REQUEST FOR RECORDS DISPOSITION AUTHORITY				JOB NUMBER		
(See instructions on reverse)				·n1-A2-00-43		
TO: National Archives and Records Administration (NIR) 8601 Adelphi Road, College Park, MD 20740-6001				DATE RECEIVED 3/22/2000		
1. FROM (Agency or establishment)				NOTIFICATION TO AGENCY		
U.S. Army 2. MAJOR SUBDIVISION				In accordance with the provisions of 44 U.S.C.		
U.S. Total Army Personnel Command				3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.		
3. MINOR SUBDIVISION						
Re	cords Management Program Division		_	or "withdrawn" in	column 10.	
4. NAME OF PERSON WITH WHOM TO CONFER 5. TELEPHONE				DATE ARCH	IVIST OF TH	E UNITED STATES
Kandy Light		(703)806-3556		4-3-02 John W. Cal		
l her and this	eby certify that I am authorized to act for that the records proposed for disposal on agency or will not be needed after the eral Accounting Office, under the provision is not required;	the attached retention periods as of Title 8 of th	page(s) specified; e GAO Mai	are not now ne and that writte	eded for t	the business of rence from the
DATE	SIGNATURE OF AGENCY REPRESENT		TITLE			
	lar 00 HOWARD N. GREENHALGH	local		Records Manage	ment Divi	sion
7.	7 10 111 01121111112011			9. GRS 0		10. ACTION
ITEM NO.	8. DESCRIPTION OF ITEM AND PRO	POSED DISPOSITION		SUPERSED JOB CITAT	DED	TAKEN (NARA USE ONLY)
5.	The purpose of this request is to implem redesigned process for records that curred disposition authority of 6 years or less at and interests records. The disposition is sheets is for such records in that series rewhich produced. The current NARA authonous shown; however, this action may cover shown on the original authority. Electronic copies created on electronic may systems will be deleted after recordkeep and when no longer needed for reference dissemination.	ently have a NAR, and are not considered hown on the attained are regardless of med hority for each iterated and word profing copy has been	A approved ered rights ached lia on em is the records cessing n produced			

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AUDIT

Prescribing Directives

AR <u>36-2</u>--Processing Internal and External Audit Reports and Follow-Up on Findings and Recommendations

AR 36-5--Auditing Service in the Department of the Army

Description: These records concern authority, responsibilities, organization, and policies relating to auditing service in the Department of the Army, action requested on United States Army Audit Agency (USAAA) reports, and audit procedures for non-appropriated and similar funds. Policies and procedures relating to audits are established by the GAO.

FN: 36

Title: General audit correspondence files Authority: NN-167-31 and NN-165-192

Privacy Act: Not applicable

Description: Routine comments on regulations, directives, or other publications prepared by another office with chief responsibility; program and budget documents; management improvement reports, cost reduction reports, and comparable information submitted to offices in charge of these functions; routine or general requests for information and replies; informal reports with related papers; general recommendations and suggestions with all types of transmittals; other transactions of a general, routine, and administrative nature; and other information relating to audit which cannot logically be filed with the detailed record series listed below. (This does not include instruction files. See file no. 25-30q.) Matters relating to audit that are received for information only, on which no action is required. These include cards, listings, indexes, or other items which are created solely to facilitate or control work, extra copies of actions maintained by action officers, duplicate copies of actions accumulated by supervisory offices (establish and keep only when necessary), and notes, drafts, feeder reports, and similar WITHDRAWN working papers gathered for preparation of an action. Resubbit of

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 6 years, then destroy.

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FN: 36-2b2

1.

Title: DODIG audit reporting files

Authority: N1-AU-86-21 Privacy Act: Not applicable

Description: Audited commands: Information on reports of audit conducted by the Department of Defense Inspector General (DODIG). Included are notices of intent to audit, preaudit surveys, tentative findings and recommendations, audit reports and command comments, copies of documents furnished to DODIG representatives, follow-up progress reports, and related information.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 6 years, then destroy.

FN: 36-5e(1)

Title: Military establishment audits

Authority: NC1-AU-76-44 Privacy Act: Not applicable

Description: Audit report official case files (parts II and III): Information created or accumulated by USAAA in doing scheduled and special audits of DA commands, installations, and activities. Included are audit reports, auditor's work papers, survey reports, and related information. Note: This file does not include audits of American Red

Cross and non-appropriated funds.

on the next succeeding audit, or command reply procedures closeout on the current audit, Keep in CFA until event occurs and then until no longer needed for conducting business, but not more than 6 years after the event, then destroy.

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5.

FN: 36-5e(2)

Title: Military establishment audits

Authority: NC1-AU-76-44 Privacy Act: Not applicable

Description: Information furnished to a requestor or Audit Control Point, survey reports and related work papers: Information created or accumulated by USAAA in doing scheduled and special audits of DA commands, installations, and activities. Included are audit reports, auditoria work papers, survey reports, and related information.

audit reports, auditor's work papers, survey reports, and related information.

Note: This file does not include audits of American Red Cross and non-appropriated

funds.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 6 years, then destroy.

FN: 36-5g

Title: Audit control files
Authority: II-NN-3372
Privacy Act: Not applicable

Description: USAAA offices: Information kept in connection with controlling audits. Included is information reflecting all audits performed, installations and activities audited, periods of audit, scheduling of audits, time budgeting, and related information required for administrative, statistical, or control purposes.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business,

but not longer than 6 years, then destroy.

FN: 36-5th

Title: American Red Cross audits
Authority: NC1-AU-79-69

Privacy Act: Not applicable

Description: USAAA offices: Information on scheduled and special audits of the various elements of the American Red Cross, as provided by AR 930-5. Included are audit reports, auditors' work papers, and related information.

Disposition: Code KE6. Event: completion of next annual combined audit. Keep in CFA until event occurs and then until no longer needed for conducting business, with the but not more than 6 years after the event, then destroy.

FN: 36-5i

6.

Title: NAF report of audits
Authority: II-NNA-2295
Privacy Act: Not applicable

Description: Information accumulating from Non-appropriated Fund (NAF) audits performed pursuant to AR 36-5 and AR 36-75. Included are reports of audit with directly related information such as financial statements and correspondence relating to

actions taken.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, with Daniel but not longer than 6 years, then destroy.

FN: 36-5k

Title: NAF payroll control documents

Authority: II-NNA-2295

Title: NAF payroll control documents

Privacy Act: Not applicable

Description: Information used in controlling pay of employees. Included are timecards and time sheets, time and attendance reports with attached evidence of court or military service, overtime authorizations, copies of notifications of personnel actions, copies of applications for NAF retirement plan or group insurance plan, payroll change slips, and other information used to assure proper payment.

Disposition: Code KE6. Event: audit has been made and exceptions or irregularities have been cleared. Keep in CFA until event occurs and then until no longer needed for conducting business, but not more than 6 years after the event, then destroy.

PROPERTY ACCOUNTABILITY

Prescribing Directives

AR <u>735-5</u>--Policies and Procedures for Property Accountability AR 735-11-2--Reporting of Item and Packaging Discrepancies

AR 735-17--Accounting for Library Materials

AR 735-20--Financial Accounting and Reporting for Real Property and Capital Equipment

AR 735-60--Financial Inventory Accounting: General Policies and Principles

Description: These records concern authority, policies, and procedures governing accounting for Army property in terms of both monetary value and quantity. They include pricing, accounting for lost, damaged, and destroyed property; reports of surveys fixing responsibility; and financial inventory accounting for materials and property.

FN: 735

Title: General property accountability correspondence

Authority: NN-167-31 and NN-165-192

Privacy Act: Not applicable

Description: Routine comments on regulations, directives, or other publications prepared by another office with chief responsibility; program and budget documents, management improvement reports, cost reduction reports, and comparable information submitted to offices in charge of these functions; routine or general requests for information and replies, informal reports with related papers, general recommendations and suggestions with all types of transmittals, other transactions of a general, routine, and administrative nature; and other information relating to property accountability which cannot logically be filed with the detailed record series listed below. (This does not include instruction files. See file no. 25-30q.) Matters relating to property accountability that are received for information only, on which no action is required. These include cards, listings, indexes, or other items which are created solely to facilitate or control work; extra copies of actions maintained by action officers; duplicate copies of actions accumulated by supervisory offices (establish and keep only when necessary); and notes, drafts feeder in the factor of the fact

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

6 years, then destroy.

FN: 735-5a

Title: Stock inventory and reconciliations

Authority: NN-166-204 Privacy Act: Not applicable

Description: Stock balance sheets or comparable work papers used for inventory, reconciliation, or

adjustment of stock balances.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

§O. 6 years, then destroy.

FN: 735-5b

Title: Stock record account authorization controls

Authority: II-NNA-881
Privacy Act: Not applicable

Description: Background material consisting of documents pertaining to requests for, authorization and assignment of, or cancellation of, stock record account serial numbers and information concerning audit

status, accountability, and transfer of accountability.

Disposition: Code KE6. Event is termination of account. Keep in CFA until event occurs and then until no longer needed for conducting business, but not longer than 6 years after the event, then destroy.

FN: 735-5c

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Title: Property accounting deviations

Authority: NN-166-204 Privacy Act: Not applicable

Description: Documents relating to deviations from property accounting procedures granted under the provisions of AR 735-5. Included are requests for deviation, justification for deviation, coordination, comments, approvals, disapprovals, similar documents, and related papers.

Disposition: Code KE6. Event is expiration or disapproval of deviation. Keep in CFA until event occurs and then until no longer needed for conducting business, but not longer than 6 years after the event, then destroy.

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FN: 735-5d

Title: Stock level controls
Authority: NN-166-204
Privacy Act: Not applicable

Description: Documents relating to establishing and amending stock levels at stations and depots. Included are requests by station to depots for revision in station stock level and requests by depots to

control points for revision to depot stock level.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

13 · 6 years, then destroy.

FN: 735-5e

Title: Property accountability transfers

Authority: NN-166-204
Privacy Act: Not applicable

Description: Documents attesting to the transfer of property accountability from one accountable officer

to another. Included are certificates of transfer, inventory lists, and related documents.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than Wolfa Market

6 years, then destroy.

FN: 735-5f

14.

Title: Installation property accounts

Authority: NN-166-204
Privacy Act: Not applicable

Description: Information accumulated by departmental offices in staff supervision of property accounts at field installations. Included are copies of audit reports received from USAAA; reports on the status of

property accounts, and comparable information.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

6 years, then destroy.

FN: 735-5q

Title: Stock record account serial number registers

Authority: NC1-AU-80-46 Privacy Act: Not applicable

Description: Registers recording stock record account serial numbers and indicating each designation

and station to which assigned.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

6 years, then destroy.

FN: 735-5i

Title: Stock record accounts
Authority: NC1-AU-76-03
Privacy Act: Not applicable

Description: The stock record accounts of depots, stations, and other activities constitute installation or activity property accounts, depot custodial records and other documentation of accountable supply distribution activity (ASDA) wholesale stocks. As such they are distinguished from contract property accounts. Installation or activity property account and depot custodial records and other documentation of ASDA wholesale stocks are those which reflect the receipt (regardless of source), storage, issue, usage or location of Government property furnished to Army contractors under contract. Stock record account files are the basic records showing by item the receipt, disposal, and quantities of supplies on hand.

These accounts are maintained by an accountable officer, except for depot custodial records and other documentation of ASDA wholesale stocks, which are maintained by a responsible officer. They include vouchers with supporting documents or attachments thereto; stock record cards or any equivalent forms used in their place; and stock record account files, which normally consist of the following:

a. Debit youther files, which include shipping documents, discrepancy reports, copies of purchase orders. packing lists, shipping tickets, property turn-in slips, or other documents filed with vouchers to a debit voucher file.

- b. Inventory and stock record adjustment voucher files, including inventory adjustment reports, reports of survey, or other stock record adjustment vouchers.
- c. Requisition files or credit voucher files, consisting of--
- (1) Requisitions.
- (2) Shipping.
- (3) Substitution advices.
- (4) Extract requisitions.
- (5) Cancellation advices.
- (6) Purchase requests.
- (7) Army shipping documents.
- (8) Other documents filed in a requisition file supporting action taken on a requisition or shipping order.
- d. Unserviceable property files, including Army shipping documents, or comparable documents which are filed in an unserviceable property file as a record of property held pending repair or reclamation.
- e. Transaction histories, count cards, survey cards, and other docomentation in support of inventory accounting.
- f. Stock accounting and stock control registers, such as debit voucher registers, requisition control and credit voucher registers, or other registers maintained for comparable purposes.
- g. Stock record cards will be continued in effect until filled, or until final entry is reade thereon. Note: Prior to destruction of a property account, all vouchers, both debit and credit representing memorandum receipt accounts which have not been covered by subsequent consolidations or memorandum receipts will be removed from the files and retained pending settlement of the transactions or inclusion in subsequent consolidated memorandum receipts. Neither vouchers nor stock record cards reflecting adjusting of shortages will be destroyed before final approval is authenticated on appropriate adjustment documents, such as inventory adjustment report or report of survey. Documents relating to equipment being tested for agencies and proving grounds will be destroyed 2 years after disposition of the equipment being tested. Documents relating to all items coded "K" or "R" in the notes column of the Federal Supply Catalog, DOD Section, Medical Material will be destroyed after 3 years.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

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6 years, then destroy.

FN: 735-5n

Title: Government-furnished material and equipment files

Authority: II-NNA-2067 Privacy Act: Not applicable

Description: Documents reflecting Government owned materials, tools, and equipment furnished to contractors. This description will not be construed to include reports or other documents constituting, or required to be filed with, a voucher to a contract property account.

Disposition: Code KE6. Event is turn-in or other satisfactory accounting for items involved. Keep in CFA until event occurs and then until no longer needed for conducting business, but not longer than 6 years after the event, then destroy.

FN: 735-5p

Title: Hospital linen inventories Authority: NN-166-204

Privacy Act: Not applicable

Description: Inventories which are retained by the inventory officer, such as quarterly hospital linen inventories used to determine losses or gains incurred in the operation of hospital linen control and distribution.

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Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 6 years, then destroy.

FN: 735-5q

Title: Lease and rental cases Authority: II-NNA-2147 Privacy Act: Not applicable

Description: Documents relating to individual leases or rentals of Government owned equipment to private concerns or individuals. Included are invoices, shipping documents, collection vouchers,

agreements, and related documents.

Disposition: Code KE6. Event is close of fiscal year in which final collection of rentals is made. Keep in CFA until event occurs and then until no longer needed for conducting business, but not longer than 6 years after the event, then destroy.

FN: 735-5r2

Title: Report of survey files Authority: NC1-AU-81-22 Privacy Act: A0027-1DAJA

Description: Reports that describe the circumstances and recommend action concerning the loss, damage, or destruction of Government property. Included are reports of survey and supporting

documents

Disposition: Files not involving pecuniary liability: Code K6. Keep in CFA until no longer needed for

conducting business, but not longer than 6 years, then destroy.

FN: 735-5s

Title: Report of survey control register files

Authority: NC1-AU-81-22 Privacy Act: Not applicable

Description: Registers and related documents maintained to control reports of survey.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

6 years, then destroy.

FN: 735-5t1

Title: Relief from responsibility (liability admitted) files

Authority: NC1-AU-83-39 Privacy Act: A0027-1DAJA

Description: Retained unit copies of statements of charges or cash collection vouchers on property which has been lost, damaged, or destroyed, and for which liability is admitted by the responsible individual. Includes DD Form 1131 (Cash Collection Voucher), DD Form 362 (Statement of Charges), and similar forms and records. This does not include those copies of forms that support entries to the property book, which are filed under 710-2a.

Disposition: DD Form 1131: Code KE6. Event is completion of collection action. Keep in CFA until event occurs and then until no longer needed for conducting business, but not longer than 6 years after the event, then destroy.

FN: 735-5t2

Title: Relief from responsibility (liability admitted) files

Authority: NC1-AU-83-39 Privacy Act: A0027-1DAJA

Description: Retained unit copies of statements of charges or cash collection vouchers on property which has been lost, damaged, or destroyed, and for which liability is admitted by the responsible individual. Includes DD Form 1131 (Cash Collection Voucher), DD Form 362 (Statement of Charges), and similar forms and records. This does not include those copies of forms that support entries to the property book, which are filed under 710-2a.

Disposition: DD Form 362: Code KE6. Event is verification that all amounts have been collected from the soldier's pay. Keep in CFA until event occurs and then until no longer needed for conducting business, 24. but not longer than 6 years after the event, then destroy.

FN: 735-11-2a

Title: Packaging and handling deficiency reports

Authority: II-NNA-1462 Privacy Act: Not applicable

Description: Reports submitted by receiving activities concerning deficiencies in the preservation, packaging, marking, or handling of supplies, equipment, or materials. Included are reports of packaging

and handling deficiencies, reports or corrective action, and related papers.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 25.

6 years, then destroy.

FN: 735-17a

Title: Library vouchers Authority: NN-166-204 Privacy Act: Not applicable

Description: Vouchers, supporting papers, and voucher register and inventory balance record of books. Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than

26. 6 years, then destroy.

FN: 795-20a

Title: Financial inventory accounting files

Authority: NN-166-204 Privacy Act: Not applicable

Description: Documents created and maintained by offices responsible for financial inventory accounting for equipment and supplies in their custody. Included are category journals, category ledgers, inventory control records, retained copies of financial supply management reports, financial statements, consolidated worksheets, analyses of change in operating stock on hand, summaries of inventory position, posting media, correspondence and related records. General ledgers summarizing these data are maintained by finance and accounting officers.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than with the longer than business. 27.

6 years, then destroy.

FN: 735-60a

Title: Administrative and management financial inventory accounts

Authority: NN-166-204 Privacy Act: Not applicable

Description: Information relating to the administration and management of financial inventory accounting systems at elements in the command. Included are consolidated reports, supply management reports, source material, posting media, and related information.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 28. 6 years, then destroy.

STATISTICS

Prescribing Directive

DA Memo <u>325-1</u>--Clearance of Statistical Material for Release Outside the Department of the Army

Description: Information on policies, procedures, and guidelines for submitting statistical information to the U.S. Army Information Systems Command-Pentagon for clearance before release outside the Department of the Army.

FN: 325

Title: General statistics correspondence files Authority: NN-167-31 and NN-165-192

Privacy Act: Not applicable

Description: Routine comments on regulations, directives, or other publications prepared by another office with chief responsibility; program and budget documents. management improvement reports, cost reduction reports, and comparable information submitted to offices in charge of these functions; routine or general requests for information and replies; informal reports with related information, general recommendations and suggestions with all types of transmittals; other transactions of a general, routine, and administrative nature; and other information relating to statistics which cannot logically be filed with the detailed record series listed below. (This does not include instruction files. See file no. 25-30q.) Matters relating to statistics that are received for information only, on which no action is required. These include cards, listings, indexes, or other items which are created solely to facilitate or control work; extra copies of actions maintained by action officers; duplicate copies of actions accumulated by supervisory offices (establish and keep only when necessary); and notes, drafts, feeder reports, and similar working information gathered for preparation of W: THORAWN. an action.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 6 years, then destroy.

RESUBNIT

29.

ASSISTANCE, INSPECTIONS, INVESTIGATIONS, AND FOLLOW-UP Prescribing Directive

AR 20-1--Inspector General Activities and Procedures

Description: These records concern inquiries, investigations, and inspections into activities and matters pertaining to the performance of mission and the state of discipline, efficiency, and economy of the DA by The Inspector General and other inspectors general. Excludes security and criminal investigations and materiel inspections which are placed in other appropriate subject series.

FN: 20

Title: General assistance, inspections, investigations, and follow-up correspondence

files

Authority: NN-467-31 and NN-165-192

Privacy Act: Not applicable

Description: Routine comments on regulations, directives, or other publications prepared by another office with chief responsibility; program and budget documents, management improvement reports, cost reduction reports, and comparable information submitted to offices in charge of these functions; routine or general requests for information and replies; informal reports with related information; general recommendations and suggestions with all types of transmittals; other transactions of a general, routine, and administrative nature; and other information relating to assistance, inspections, investigations, and follow-up which cannot logically be filed with the detailed record series listed below. (This does not include instruction files. See file no. 25-30g.) Matters relating to assistance, inspections, investigations, and follow-up that are received for information only, on which no action is required. These include cards, listings, indexes, or other items which are created solely to facilitate or control work; extra copies of actions maintained by action officers; duplicate copies of actions accumulated by supervisory offices (establish and keep only when necessary); and notes, drafts, feeder reports, and similar working information gathered for preparation of withoraups an action. RESUBNIT ON

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, but not longer than 6 years, then destroy.

FN: 20-4a

Title: IG inspections (field commands not reporting directly to HQDA)

Authority: N1-AU-97-14
Privacy Act: Not applicable

Description: Inspecting agency: Information accumulated by other commands, installations, activities, and units, whether or not an inspector general (IG) is authorized on the staff. Included are inspection reports and related information pertaining to annual, general, procurement, special, technical proficiency, and Federal recognition inspections directed by the command, and similar files pertaining to inspections made of the command by higher authority.

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Disposition: Code KE6. Event: completion of the inspection. Keep in CFA until event occurs and then until no longer needed for conducting business, but not more than 6 By ARMY years after the event, then destroy.

FN: 20-4d

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Title: Physical inspections Authority: NN-166-204 Privacy Act: Not applicable

Description: Information relating to general and special inspections of enlisted personnel of an organization. Included are reports and similar information.

Disposition: Code K6. Keep in CFA until no longer needed for conducting business, without his transfer of the conducting business, without his transfer of the conducting business.

but not longer than 6 years, then destroy.

FN: 20-1f2

Title: IG Inspections (HQDA) Authority: NC1-AU-79-39 Privacy Act: Not applicable

Description: All other inspection files than the annual general and special inspection files created and maintained by the Office of The Inspector General and other DA staff agencies authorized an inspector general:: Information relating to inspections conducted by inspectors general. Included are inspection reports and related information pertaining to annual general, procurement, special, nuclear surety, and Federal recognition inspections made of or conducted by a Headquarters, Department of the Army, agency.

Disposition: Code KE6. Event: next comparable inspection. Keep in CFA until event ພາກລະຄາພາຍ occurs and then until no longer needed for conducting business, but not more than 6 by ARM 01/25/01

years after the event, then destroy.

FN: 20-1g2

Title: IG inspections (field commands reporting directly to HQDA)

Authority: NG1-AU-79-39 Privacy Act: Not applicable

Description: All other inspection files than the annual general and special inspections of major subordinate commands directed by major commands: Information accumulated by commands authorized an inspector general and also reporting directly to Headquarters, Department of the Army. Included are inspection reports and related information pertaining to annual general, procurement, special, technical proficiency, and Federal recognition inspections directed by the command and similar files pertaining to inspections made of the command by higher authority.

Disposition: Code KE6. Event: next comparable inspection. Keep in CFA until event occurs and then until no longer needed for conducting business, but not more than 6

years after the event, then destroy.

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