

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> <i>(See Instructions on reverse)</i>		<b>LEAVE BLANK (NARA use only)</b>	
<b>TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)</b> <b>WASHINGTON, DC 20408</b>		<b>JOB NUMBER</b> <i>71-330-06-1</i>	
<b>1. FROM (Agency or establishment)</b> Department of Defense		<b>DATE RECEIVED</b> <i>3-20-2006</i>	
<b>2. MAJOR SUBDIVISION</b> Office of the Secretary of Defense		<b>NOTIFICATION TO AGENCY</b>  In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
<b>3. MINOR SUBDIVISION</b> Directives and Records Management Division, ESD, WHS			
<b>4. NAME OF PERSON WITH WHOM TO CONFER</b> Luz D. Ortiz	<b>5. TELEPHONE</b> (703) 696-4959		
<b>6. AGENCY CERTIFICATION</b> I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,		<b>DATE</b> <i>1/22/07</i>	
<input checked="" type="checkbox"/> is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.		<b>ARCHIVIST OF THE UNITED STATES</b> <i>A. [Signature]</i>	
<b>DATE</b> <i>Mar 14, 2006</i>	<b>SIGNATURE OF AGENCY REPRESENTATIVE</b> <i>John C. Krysa</i>	<b>TITLE</b> <i>Chief, Directives and Records Management Division</i>	
7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
1	U.S. Exports System Files (USXPORTS). See attached pages for description and disposition of system.		

*22 1/25/07 copies sent to Agency, NWMG*

## **SF 115 Submission for scheduling with NARA:**

### **US EXPORTS SYSTEM (USXPORTS System Files)**

**BACKGROUND:** The USXPORTS system was developed by the Office of the Under Secretary of Defense for Policy (OUSD(P)) for the Defense Technology Security Administration (DTSA) and the Services. The OUSD(P) is responsible for the operational and software environment and DTSA is responsible for the data. The USXPORTS system replaced the existing Technology Protection System as the DoD automated export licensing system for dual-use items, munitions, goods, and services. USXPORTS is an electronic process between industry and government, and government to government, via XML. Industry submits licensing requests to the Department of State (DoS) and Department of Commerce (DoC), who submits them to the Department of Defense for review. DoS, submits approximately 15,000 munitions requests per year and DoC submits approximately 13,000 dual-use requests per year. DoD reviews these licenses to ensure national security objectives are safeguarded. Data in USXPORTS is organized by case id/case record. Data in legacy system dates back to the 1970's. Unless otherwise noted system input/source records, output files, and documentation and specification files will be destroyed in accordance with GRS 20 Items 2 (a-d), 6, 9, and 11(a).

**FILE NUMBER:** [AI 15 File number to be determined]

**FILE TITLE:** USXPORTS System Files

**FILE DESCRIPTION:** Information system designed to automate export licensing for dual use items, munitions, goods, and services. Temporary and permanent export licensing requests originated by industry and submitted to the Department of State (DoS) and the Department of Commerce (DoC) for licensing is provided to DoD for national security review. All information from this system is received, processed, and transferred electronically; there are no hard copy outputs included are:

- Munitions Case files pertaining to but not limited to records originating at the Department of State, Office of Defense Trade Control consisting of: Applications for licenses for permanent (DSP Form 5) and temporary export (DSP Form 73); Applications for licenses for temporary import (DSP Form 61); applications/license for the export of temporary import of classified defense articles and related classified data (Form DSP-85); Commodity Jurisdiction Determination Request; Voluntary Disclosure requests; and Manufacturing and/or Technical Assistance Agreements, Brokering Agreements, and Distribution Agreements.
- Dual-Use Case files pertaining to records originated at the DoC, Bureau of Export Administration (BXA) consisting of BXA Forms 738P (Multi-Purpose Application) and related data; reviews of agency and Service positions/input; background information originating at DTSA Licensing Directorate, Dual-Use Division; and extra copies of industry-provided technical data.

- Source and reference information such as USXPORTS case summaries, and position papers, agendas, and briefing books, intelligence reports, and other related records used to formulate DoD's position to the Operating Committee (OC) and Advisory Committee on Export (ACEP).

**DISPOSITION:** Destroy/Delete electronic case file data 75 years after review or when no longer needed for conducting business, as determined by licensing director.