Records Schedule: DAA-0434-2020-0013

Request for Records Disposition Authority

Records Schedule Number DAA-0434-2020-0013

Schedule Status Approved

Agency or Establishment Department of Energy

Record Group / Scheduling Group General Records of the Department of Energy

Records Schedule applies to Agency-wide

Schedule Subject DEPARTMENT OF ENERGY - Records Disposition Schedule: DOE

2.4: Employee Compensation and Benefits Records

Internal agency concurrences will

be provided

No

Background Information

Records created or received while conducting payroll functions and while managing specific programs that offer employees monetary or other tangible benefits. Additionally, this schedule includes records needed to support various departmental Health Programs that require records to be maintained for an extended period of time (75 years to 250 years). See DOE/GRS 2.3 (Employee Relations Records) and DOE/GRS 2.7 (Employee Health and Safety Records) for other programs that provide intangible benefits, protections, or assistance to employees.

Most payroll is processed electronically, with payroll system input records both electronic and paper (paper is usually scanned into the system). This schedule covers only versions determined to be official payroll system records.

NOTE: Refer to GRS 2.4 for all other Employee Compensation and Benefits records not addressed in this schedule.

Item Count

Number of Total Disposition Items		' '	Number of Withdrawn Disposition Items
10	0	10	0

GAO Approval

Outline of Records Schedule Items for DAA-0434-2020-0013

Sequence Number	
1	Employee Payroll Record for each Pay Period Disposition Authority Number: DAA-0434-2020-0013-0001
2	Workers Compensation (Personal Injury Compensation) Records Disposition Authority Number: DAA-0434-2020-0013-0002
3	Unemployment Compensation Records Disposition Authority Number: DAA-0434-2020-0013-0003
4	Employee Tuition Assistance Program Disposition Authority Number: DAA-0434-2020-0013-0004
5	Secure Electronic Records Transfer (SERT)
5.1	Claims data Disposition Authority Number: DAA-0434-2020-0013-0005
5.2	Claims support files Disposition Authority Number: DAA-0434-2020-0013-0006
6	Health Compensation Program
6.1	Claims Response Files (Individual Case Files) Disposition Authority Number: DAA-0434-2020-0013-0007
6.2	Working Files. Disposition Authority Number: DAA-0434-2020-0013-0008
6.3	Control Files Disposition Authority Number: DAA-0434-2020-0013-0009
6.4	Administrative Files Disposition Authority Number: DAA-0434-2020-0013-0010

Records Schedule Items

Sequence Number

1

2

Employee Payroll Record for each Pay Period

Disposition Authority Number DAA-0434-2020-0013-0001

Aggregate records documenting payroll disbursed in each pay period (pay-period batches of an agency's or contractors' entire payroll: base pay, additions to and deductions from pay, and leave balances of all civilian employees within an agency or employing entity. Note: Deviation from GRS 2.4, item 040 (legal citation 5 U.S.C. 8466), records are needed to support various departmental Health and Safety Programs that require records to be maintained for a longer period of time.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? Yes

Do any of the records covered by this item exist as structured

Yes

electronic data?

Manual Citation	Manual Title
DOE 2.4, item 010	Employee Payroll Record for each Pay Period

GRS or Superseded Authority N1-434-98-5 / 1/C
Citation N1 434 98 1 / 20/A/

N1-434-98-1 / 20/A/1 N1-434-98-1 / 20/A/2

DAA-GRS-2016-0015-0004

Disposition Instruction

Retention Period Destroy when 75 years old.

Additional Information

GAO Approval Not Required

Workers Compensation (Personal Injury Compensation) Records

Disposition Authority Number DAA-0434-2020-0013-0002

Case files on injuries employees sustain while performing their duties that result in lost time or death, whether or not the employee filed a workers'

Electronic Records Archives Page 3 of 13 PDF Created on: 08/03/2022

compensation claim. Includes: • forms, reports, correspondence, claims • medical and investigatory records • administrative determinations or court rulings • payment records Exclusion 1: Copies filed in the Employee Medical Folder. (See DOE 2.7, item 020) Exclusion 2: Copies filed in Individual Employee Personal Injury Case File. (See DOE 2.7, item 110) Exclusion 3: Records created and maintained by the Department of Labor's Office of Workers' Compensation. Note: Deviation from GRS 2.4, item 100 and 101. Records are needed to support various departmental Health and Safety Programs that require records to be maintained for a longer period of time.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

electronic data?

Yes

Manual Citation	Manual Title
II · · · · · · · · · · · · · · · · · ·	Workers Compensation (Personal Injury Compensation) Records

GRS or Superseded Authority N1-434-98-4 / 31 Citation N1-434-92-4 / 26

Retention Period Destroy 250 years after compensation is terminated

or when deadline for filing claims has passed.

Additional Information

Disposition Instruction

GAO Approval Not Required

Unemployment Compensation Records

Disposition Authority Number DAA-0434-2020-0013-0003

Unemployment compensation records include, but are not limited to: • notification of termination • administrative review • any dispute hearings, correspondence • compensation enrollment forms • employment verification • employee depositions • computation of benefit amounts

Final Disposition Temporary

Item Status Active

PDF Created on: 08/03/2022 **Electronic Records Archives** Page 4 of 13

3

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

Yes

electronic data?

Manual Citation	Manual Title
DOE 2.4, item 110	Unemployment Compensation Records

GRS or Superseded Authority

Citation

N1-434-98-4 / 54

Disposition Instruction

Retention Period Destroy 3 years after employee separates.

Additional Information

GAO Approval Not Required

Employee Tuition Assistance Program

Disposition Authority Number DAA-0434-2020-0013-0004

Employee tuition assistance program reimbursement records for work related undergraduate, certificate, and graduate level courses taken at an accredited college, university or vocational school. Records include, but are not limited to: • original • signed tuition request forms • notification of grades • proofs of payments • related correspondence

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? Yes

Do any of the records covered by this item exist as structured

Yes

electronic data?

Manual Citation	Manual Title
DOE 2.4, item 120	Employee Tuition Assistance Program

Electronic Records Archives Page 5 of 13 PDF Created on: 08/03/2022

GRS or Superseded Authority

Citation

5

5.1

N1-434-98-4 / 46

Disposition Instruction

Retention Period Destroy when 6 years old.

Additional Information

GAO Approval Not Required

Secure Electronic Records Transfer (SERT)

The SERT system is the host for collecting and reviewing Energy Employee Occupational Illness and Compensation Act (EEOICPA) claims of employees and former employees of DOE. The SERT system access is shared with the U.S. Department of Labor (DOL and the National Institute for Occupational Safety and Health (NIOSH). The system collects claimant requests and information needed to administer EEOICPA compensation claims, including information collected from DOL to establish the DOE employee case file. The Program information collected is used to identify the appropriate servicing DOL district office and/or the NIOSH office making the request. Claimant information is aggregated to support DOE business uses, such as statistical reporting for pending cases, overdue cases, number of claims, and timeliness of Program responses. Note: The documentation on the SERT system is scheduled under GRS 3.1, Item 011.

Claims data

Disposition Authority Number DAA-0434-2020-0013-0005

Claims data (metadata) include, but is not limited to: • SERT claim numbers • request dates • claimants' full names • social security numbers • dates of birth Claims data is utilized in reviewing and processing claims, generating pending and overdue case reports and preparing claims and response metrics.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

Yes

electronic data?

Manual Citation	Manual Title
	Secure Electronic Records Transfer (SERT) Claims data

Electronic Records Archives Page 6 of 13 PDF Created on: 08/03/2022

GRS or Superseded Authority

Citation

DAA-0434-2017-0003-0001

Disposition Instruction

Retention Period Destroy 15 years after program completion or

discontinuation.

Additional Information

GAO Approval Not Required

5.2 Claims support files

Disposition Authority Number DAA-0434-2020-0013-0006

Claims support files include, but are not limited to: • official requests forms • letters and other supporting documentation of claimant names, social security numbers and dates of birth • final DOE request responses issued to DOL and NIOSH

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? Yes

Do any of the records covered by this item exist as structured

electronic data?

Yes

Manual Citation	Manual Title
II '	Secure Electronic Records Transfer (SERT) Claims support files

GRS or Superseded Authority

Citation

DAA-0434-2017-0003-0002

Disposition Instruction

Retention Period Destroy 60 days after download by recipient.

Additional Information

GAO Approval Not Required

Health Compensation Program

The Energy Employees Occupational Illness Compensation Program Act (EEOICPA) and the Radiation Exposure Compensation Act provide that the Department of Energy respond to requests received from employees or their

6

6.1

survivors, or from Federal agencies, in support of employee compensation claims, to determine whether exposures have been a factor of illness.

Claims Response Files (Individual Case Files)

Disposition Authority Number DAA-0434-2020-0013-0007

The following records represent health compensation program Individual case file which include, but are not limited to: • compensation claim forms • notifications of resolution of claims • industrial hygiene records • medical records • payroll files • radiological files • training records • neutron dose reconstruction • employment records • employee position descriptions • employee work schedules • investigatory records relating to on-the-job injuries • individual health care records and other medical information that could be used to determine whether exposures may have been a factor in illness Note: Claim case files on individuals are maintained by the organization that is responsible for submitting the claim to the EEOICPA Office through either the Secure Electronic Records Transfer (SERT) system or another means.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? Yes

Do any of the records covered by this item exist as structured

electronic data?

Yes

Manual Citation	Manual Title
[Health Compensation Program Claims Response Files (Individual Case Files)

GRS or Superseded Authority

Citation

DAA-0434-2013-0001-0001

Disposition Instruction

Retention Period Destroy when 250 years old.

Additional Information

GAO Approval Not Required

Working Files.

Disposition Authority Number DAA-0434-2020-0013-0008

Electronic Records Archives Page 8 of 13 PDF Created on: 08/03/2022

6.2

The following records represent health compensation program working files, maintained separately from the final response file, which may include, but are not limited to: • drafts • reference materials • file copies created and collected to process Health Compensation Programs claims and to prepare final response files

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? Yes

Do any of the records covered by this item exist as structured

electronic data?

Yes

Manual Citation	Manual Title
DOE 2.4, item 140	Health Compensation Program Working Files.

GRS or Superseded Authority

Citation

DAA-0434-2013-0001-0002

Disposition Instruction

Retention Period Destroy when 3 years old.

Additional Information

GAO Approval Not Required

Control Files

6.3

Disposition Authority Number DAA-0434-2020-0013-0009

The following represent health compensation program control working files which could include, but not limited to: • finding aids • procedures • logs • reports • databases • statistical summaries

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered Y

by this item currently exist in electronic format(s) other than e-mail and word processing?

Yes

Electronic Records Archives Page 9 of 13 PDF Created on: 08/03/2022

Do any of the records covered by this item exist as structured electronic data? Yes

Manual Citation	Manual Title
DOE 2.4, item 150	Health Compensation Program Control Files

GRS or Superseded Authority

Citation

6.4

DAA-0434-2013-0001-0003

Disposition Instruction

Retention Period Destroy 15 years after program completion or

discontinuation.

Additional Information

GAO Approval Not Required

Administrative Files

Disposition Authority Number DAA-0434-2020-0013-0010

Program administrative records include, but are not limited to: • implementation • reporting Program performance • routine correspondence • administrative memoranda

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

Yes

electronic data?

Manual Citation	Manual Title
	Health Compensation Program Administrative Files

GRS or Superseded Authority

DAA-0434-2013-0001-0004

Citation

Disposition Instruction

Retention Period Destroy when 3 years old.

Electronic Records Archives Page 10 of 13 PDF Created on: 08/03/2022

Additional Information

GAO Approval

Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	Ву	Title	Organization
05/12/2021	Return to Submitte r	Maria Levesque	Departmental Recor ds Officer	OCIO - Records Management
05/20/2021	Certify	Maria Levesque	Departmental Recor ds Officer	OCIO - Records Management
07/27/2022	Submit for Concur rence	Steven Rhodes	Senior Appraisal Arc hivist	National Archives and Records Administration - Records Management Services
07/30/2022	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Services
08/01/2022	Concur	Laurence Brewer	Chief Records Office r	National Records and Archives Administration - National Records and Archives Administration
08/03/2022	Approve	Debra Wall	Deputy Archivist	National Archives and Records Administration - ND Archives I Office

Department of Energy (DOE) 2.4: Employee Compensation and Benefits Records

		New DOE 2	4	T		Old Authoritie	nc .	
Schedule Item Retention ERA Number/				Schedule	Item	Retention Disposition Auth		
No.	No.	Retention	Disposition Authority	No.	No.	Retention	Disposition Authority	
				Payroll				
DOE 2.4	010	75 years	DAA-0434-2020-0013-	GRS 2.1a was				
			0001	GRS 2.1b init	ially cross-w	alked to GRS 2.4, iten	n 040	
				ADM 2	1c	56 years	N1-434-98-5, item 1c	
				Uranium Mill Remedial Act	tion	6 years 3 months	N1-434-98-1, item 20a(1) (ir part)	
				(UMTRA) Project	3 years	N1-434-98-1, item 20a(2) (in part)		
DOE 2.4	020	250 years	DAA-GRS-2019-0004- 0002	GRS 2.7 and 0	GRS 2.8 cros	ss-walked to GRS 2.4, 030, both in part		
DOE 2.4	025	10 years	DAA-GRS-2019-0004- 0002	GRS 2.7 and	GRS 2.8 cros	ss-walked to GRS 2.4,	030, both in part	
DOE 2.4	030	10 years	DAA-GRS-2019-0004- 0002	GRS 2.7 and	GRS 2.8 cros	ss-walked to GRS 2.4,	030, both in part	
Remains un	ider EPI N	loratorium unt	il DOE lifts the hold	District Control of the Control of t				
	100	250 years	DAA 0434 2020 0013	ADM 1	1 21	75 years	N1 424 08 4 itom 31 (in	
DOE 2.4	100	250 years	DAA-0434-2020-0013-	ADM 1	31	75 years	N1-434-98-4, item 31 (in	
DUE 2.4	100	250 years	DAA-0434-2020-0013- 0002	Las Vegas Co		75 years	part) N1-434-92-4, item 26 (in	
		·	0002	Las Vegas Co Schedule 1	ntractor	75 years	part) N1-434-92-4, item 26 (in part)	
DOE 2.4	110	250 years 3 years	DAA-0434-2020-0013- 0003	Las Vegas Co Schedule 1 ADM 1	ntractor	75 years 3 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54	
DOE 2.4 DOE 2.4		·	DAA-0434-2020-0013-	Las Vegas Co Schedule 1	ntractor	75 years	part) N1-434-92-4, item 26 (in part)	
DOE 2.4	110	3 years	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013-	Las Vegas Co Schedule 1 ADM 1	ntractor 54 46 onic ssfer	75 years 3 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54	
DOE 2.4 DOE 2.4 DOE 2.4	110	3 years 6 years	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013- 0004 DAA-0434-2020-0013-	Las Vegas Co Schedule 1 ADM 1 ADM 1 Secure Electr Records Tran	ntractor 54 46 conic sisfer m conic sisfer	75 years 3 years 6 years 3 months	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54 N1-434-98-4, item 46 DAA-0434-2017-0003-	
DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4	110 120 170	3 years 6 years 250 years	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013- 0004 DAA-0434-2020-0013- 0005	Las Vegas Co Schedule 1 ADM 1 ADM 1 Secure Electr Records Tran (SERT) Syster Secure Electr Records Tran	ntractor 54 46 conic sefer monic sefer sefer metals se	75 years 3 years 6 years 3 months 75 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54 N1-434-98-4, item 46 DAA-0434-2017-0003- 0001 DAA-0434-2017-0003-	
DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4	110 120 170	3 years 6 years 250 years 3 years	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013- 0004 DAA-0434-2020-0013- 0005 DAA-0434-2020-0013- 0006	Las Vegas Co Schedule 1 ADM 1 ADM 1 Secure Electr Records Tran (SERT) Syster Secure Electr Records Tran (SERT) Syster Health Comp	ntractor 54 46 onic sefer monic sefer mensation	75 years 3 years 6 years 3 months 75 years 3 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54 N1-434-98-4, item 46 DAA-0434-2017-0003-0001 DAA-0434-2017-0003-0002 DAA-0434-2013-0001-	
DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4	110 120 170 180	3 years 6 years 250 years 3 years	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013- 0004 DAA-0434-2020-0013- 0005 DAA-0434-2020-0013- 0006 DAA-0434-2020-0013- 0007	Las Vegas Co Schedule 1 ADM 1 ADM 1 Secure Electr Records Tran (SERT) Syster Secure Electr Records Tran (SERT) Syster Health Comp Programs	ntractor 54 46 onic sefer monic sefer mensation	75 years 3 years 6 years 3 months 75 years 3 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54 N1-434-98-4, item 46 DAA-0434-2017-0003-0001 DAA-0434-2013-0001-0001 DAA-0434-2013-0001-	
DOE 2.4	110 120 170 180 130	3 years 6 years 250 years 3 years 15 years 3 years	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013- 0004 DAA-0434-2020-0013- 0005 DAA-0434-2020-0013- 0006 DAA-0434-2020-0013- 0007 DAA-0434-2020-0013- 0008	Las Vegas Co Schedule 1 ADM 1 ADM 1 Secure Electr Records Tran (SERT) Syster Secure Electr Records Tran (SERT) Syster Health Comp Programs Health Comp Health Comp	ntractor 54 46 onic safer monic safer mensation ensation	75 years 3 years 6 years 3 months 75 years 3 years 15 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54 N1-434-98-4, item 46 DAA-0434-2017-0003-0001 DAA-0434-2017-0003-0002 DAA-0434-2013-0001-0001	
DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4 DOE 2.4	110 120 170 180 130	3 years 6 years 250 years 3 years 15 years 15 years 60 days	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013- 0004 DAA-0434-2020-0013- 0005 DAA-0434-2020-0013- 0007 DAA-0434-2020-0013- 0008 DAA-0434-2020-0013- 0009 DAA-0434-2020-0013-	Las Vegas Co Schedule 1 ADM 1 ADM 1 Secure Electr Records Tran (SERT) Syster Secure Electr Records Tran (SERT) Syster Health Comp Programs Health Comp Programs Health Comp Programs Health Comp Programs	ntractor 54 46 onic sisfer monic sisfer mensation ensation	75 years 3 years 6 years 3 months 75 years 3 years 15 years 3 years 3 years 3 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54 N1-434-98-4, item 46 DAA-0434-2017-0003-0001 DAA-0434-2013-0001-0002 DAA-0434-2013-0001-0003 DAA-0434-2013-0001-0003	
DOE 2.4	110 120 170 180 130	3 years 6 years 250 years 3 years 15 years 15 years 60 days	DAA-0434-2020-0013- 0003 DAA-0434-2020-0013- 0004 DAA-0434-2020-0013- 0005 DAA-0434-2020-0013- 0007 DAA-0434-2020-0013- 0008 DAA-0434-2020-0013- 0009 DAA-0434-2020-0013- 0010	Las Vegas Co Schedule 1 ADM 1 ADM 1 Secure Electr Records Tran (SERT) Syster Secure Electr Records Tran (SERT) Syster Health Comp Programs Health Comp Programs Health Comp Programs Health Comp Programs	ntractor 54 46 onic sisfer monic sisfer mensation ensation	75 years 3 years 6 years 3 months 75 years 3 years 15 years 3 years 3 years 3 years	part) N1-434-92-4, item 26 (in part) N1-434-98-4, item 54 N1-434-98-4, item 46 DAA-0434-2017-0003-0001 DAA-0434-2013-0001-0002 DAA-0434-2013-0001-0003 DAA-0434-2013-0001-0003	

Department of Energy (DOE) 2.4: Employee Compensation and Benefits Records

New DOE 2.4				Old Authorities			
Schedule Item No. No.		Retention	ERA Number/ Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority
		2 nd succeeding survey	DAA-GRS-2016-0015- 0010	Las Vegas Contractor Schedule 1		2 nd succeeding survey	N1-434-92-4, item 8
GRS 2.4	110	Transfer to retirement system		Las Vegas Contractor Schedule 1		3 years	N1-434-92-4, item 28b(1)
GRS 2.4	111	1 year	DAA-GRS-2016-0015-	ADM 1	35a	75 years	N1-434-98-4, item 35a
		'	0014	ADM 1	35b(2)	75 years	N1-434-98-4, item 35b(2)
				Las Vegas Co	ntractor	3 years	N1-434-92-4, item 28a
				Schedule 1		3 years	N1-434-92-4, item 28b(2)
GRS 2.4	140	3 years	DAA-GRS-2016-0015- 0019	ADM 1	56	3 years	DAA-0434-2014-0002-0002
GRS 2.4	141	3 years	DAA-GRS-2016-0015- 0020	ADM 1	57	3 years	DAA-0434-2014-0002-0001
Rescinded			Las Vegas Co Schedule 1	ntractor	3 years	N1-434-92-4, Item 29	

Epidemiological Moratorium

General Record Schedules (GRS)

Note: GRS items listed below may have been listed in the DOE Administrative Schedules, as a DOE schedule with a GRS authority.

	New GRS 2.4				Old Authorities			
Schedule	Item	Retention	ERA Number/	Schedule	Item	Retention	Disposition Authority	
No.	No.		Disposition	No.	No.			
			Authority					
GRS 2.4	010	3 years	DAA-GRS-2019-0004-	GRS 2	15a	3 years	N1-GRS-92-4, item 15a	
			0001	GRS 2	15b	3 years	N1-GRS-92-4, item 15b	
				GRS 2	16	Superseded or employee separation	N1-GRS-92-4, item 16	
				GRS 2	17	Superseded or employee separation	N1-GRS-92-4, item 17	
				GRS 2	18	3 years	N1-GRS-92-4, item.18 N1-434-98-5, item 18 (ADM 2.18) was an approved deviation from the GRS	
				GRS 2	23a	3 years	N1-GRS-92-4, item 23a	
GRS 2.4	020	4 years	DAA-GRS-2016-0015- 0002	GRS 2	13a	4 years	N1-GRS-92-4, item 13a	
GRS 2.4	030	3 years	DAA_GRS_2019_0001_ 0002	GRS 2	6a	At end of the following pay period	N1-GRS-92-4, item 6a	

Department of Energy (DOE) 2.4: Employee Compensation and Benefits Records

General Record Schedules (GRS)

		New GRS 2	4	Old Authorities				
Schedule No.	Item No.	Retention	ERA Number/ Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority	
			,	GRS 2	6b	3 years	N1-GRS-92-4, item 6a	
				GRS 2	7	6 years	N1-GRS-92-4, item 7 (in par	
				GRS 2	8	6 years	N1-GRS-92-4, item 8 (in par	
		s 020, 025 or 0	30 for Time and Attendo	ance Records	10		22	
GRS 2.4	035	6 years	DAA-GRS-2018-0001- 0001	New Item				
GRS-2.4	040	S6 years	DAA_GRS_2016_0015_ 0004	GRS 2	1b	56 years	N1-GRS-92-4, item 1b	
Jtilize DOE	2.4, item	010 for Emplo	yee Payroll Records for a	each pay period	i i			
GRS 2.4	050	4 years	DAA-GRS-2016-0015-	GRS 2	13b	4 years	N1-GRS-92-4, item 13b	
			0005	GRS 2	13c	4 years	N1-GRS-92-4, item 13c	
GRS 2.4	2.4 060 2 years	DAA-GRS-2016-0015- 0006	GRS 2	22a	No longer needed; no more than 2 years	N1-GRS-92-4, item 22a		
				GRS 2	22b	2 years	N1-GRS-92-4, item 22b	
				GRS 2	24	2 years	N1-GRS-92-4, item 24	
GRS 2.4	061	3 years	DAA-GRS-2016-0015- 0007	GRS 2	22c	3 years	N1-GRS-92-4, item 22c	
GRS 2.4	070	3 years	DAA-GRS-2016-0015- 0008	New Item				
GRS 2.4	071	1 year	DAA-GRS-2016-0015- 0009	GRS 1	37	1 year	N1-GRS-92-5, item 1	
GRS 2.4	080	2d succeeding survey	DAA-GRS-2016-0015- 0010	GRS 1	38	2d succeeding survey	Authority not known	
GRS 2.4	090	3 years	DAA-GRS-2016-0015- 0011	GRS 1	41	3 years	N1-GRS-96-2	
Contractors	utilize D	OE 2.2, item 03	30 Contractor Employee	Personnel File f	or employ	ment agreements,	recruitment, relocation,	
employee a	wards, a	nd retention in	centive packages.					
SRS-2.4	100	3 years	DAA-GRS-2016-0015- 0012	GRS 1	31	3 years	N1-GRS-86-4,item 32	
GRS-2.4	101	15 years	DAA-GRS-2016-0015_		1	New Item		
			0013					
		•		nal injury comp	ensation)	records or DOE 2.7	, item 110 for Individual	
		nal Illness/Inju	· · · · · · · · · · · · · · · · · · ·	-				
GRS 2.4	110	Filing instructions	None	Rescind			N1-GRS-88-2, item 1b1	
GRS 2.4	111	1 year	DAA-GRS-2016-0015- 0014	GRS 1	35a	3 years	N1_GRS_88_2, item_1a N1-434-98-4, item 35a was an approved deviation fron the GRS	
				GRS-1	35b2	3 years	N1-GRS_88-2, item.1b2 N1-434-98-4, item 35b(2) was an approved deviation from the GRS	
GRS 2.4	120	3 years	DAA-GRS-2016-0015- 0015			New Item		

Department of Energy (DOE) 2.4: Employee Compensation and Benefits Records

General Record Schedules (GRS)

New GRS 2.4			Old Authorities				
Schedule No.	Item No.	Retention	ERA Number/ Disposition Authority	Schedule No.	Item No.	Retention	Disposition Authority
GRS 2.4	121	2 years	DAA-GRS-2016-0015- 0016		1	New Item	.1
GRS 2.4	130	3 years	DAA-GRS-2016-0015- 0017	GRS 9	7	3 years	N1-GRS-97-2, item 7 (in part
GRS 2.4	131	2 years	DAA-GRS-2016-0015- 0018	GRS 9	7	3 years	N1-GRS-97-2, item 7 (in part
GRS 2.4	140	3 years	DAA-GRS-2016-0015- 0019	New Item			
GRS 2.4	141	3 years	DAA-GRS-2016-0015- 0020			New Item	
Rescinde	h			GRS 1	35b1		N1-GRS-88-2, item 1b1
Resemble	u			GRS 2	1a	Update elements	N1-GRS-92-4, item 1a *See DOE 2.4, item 010
				GRS 2	2	15 years	N1-GRS-92-4, item 2
				GRS 2	14a	Superseded or employee separation	N1-GRS-92-4, item 14a
				GRS 2	14b	4 months	N1-GRS-92-4, item 14b
				GRS 2	14c	4 months	N1-GRS-92-4, item 14c
				GRS 2	23b	1 month	N1-GRS-92-4, item 23b