Request for Records Disposition Authority

Records Schedule Number

DAA-0079-2016-0001

Schedule Status

Approved

Agency or Establishment

National Park Service

Record Group / Scheduling Group

Records of the National Park Service

Records Schedule applies to

Agency-wide

Schedule Subject

Records of the Valles Caldera National Trust

Internal agency concurrences will

be provided

Yes

Background Information

The Valles Caldera National Trust, a wholly-owned government corporation, was established by the Valles Caldera Preservation Act of 2000 (Public Law 106-248) to preserve and protect the historic Baca Ranch of New Mexico's Jemez Mountains. The legislation provided for the Federal Government to purchase the 89,000 acre ranch nestled inside a volcanic caldera. In August 2002, the management for the new piece of public land was transferred to Valles Caldera National Trust. A nine-member board of trustees was given responsibility for the administration of the preserve. Seven of the members were appointed by the President of the United States. In addition, the superintendent of the nearby Bandelier National Monument and the supervisor of the Santa Fe National Forest also served on the board. The enactment of the National Defense Authorization Act for fiscal year 2015 (Public Law 113-291) established the Valles Caldera National Preserve as a unit of the National Park System.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	[· · · · · · · · · · · · · · · · · · ·	Number of Withdrawn Disposition Items
18	14	4	0

GAO Approval

Outline of Records Schedule Items for DAA-0079-2016-0001

Sequence Numb	er .
1 .	Organization History Files Disposition Authority Number: DAA-0079-2016-0001-0001
2	Board of Trustees Records Disposition Authority Number: DAA-0079-2016-0001-0002
3	Reports
3 1	Annual Report Disposition Authority Number: DAA-0079-2016-0001-0003
3.2	Other Reports Disposition Authority Number: DAA-0079-2016-0001-0004
4	Records of the Executive Director Disposition Authority Number: DAA-0079-2016-0001-0005
5	Newsletters and News Releases Disposition Authority Number: DAA-0079-2016-0001-0006
6	Still Photographs and Images
6 1	Captioned analog photographic prints and negatives Disposition Authority Number: DAA-0079-2016-0001-0007
6 2	Captioned color transparencies and slides Disposition Authority Number: DAA-0079-2016-0001-0008
6.3	Digital photographs Disposition Authority Number: DAA-0079-2016-0001-0009
6.4	Routine and uncaptioned photographs. Disposition Authority Number: DAA-0079-2016-0001-0010
7	Records Relating to Public Meetings Disposition Authority Number: DAA-0079-2016-0001-0011
8	Official Publications Disposition Authority Number: DAA-0079-2016-0001-0012
9	Environmental Records Disposition Authority Number: DAA-0079-2016-0001-0013
10	Cartographic Records Disposition Authority Number: DAA-0079-2016-0001-0014
11	Historical Blueprint of the BACA Ranch showing structures, landmarks, natural are as, etc. (1963) Disposition Authority Number: DAA-0079-2016-0001-0015
12	Geothermal Files Disposition Authority Number: DAA-0079-2016-0001-0016

-	Trust Website Disposition Authority Number: DAA-0079-2016-0001-0017
	Website design, management, and technical operation records Disposition Authority Number: DAA-0079-2016-0001-0018

Records Schedule Items

Sequence Number

1

Organization History Files

Disposition Authority Number DAA-0079-2016-0001-0001

Records documenting the establishment of the Trust, including enabling legislation, membership, organizational charts, functional statements, by-laws, management principles, directives, policies, and memorandums.

Final Disposition

Permanent

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after

approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the

initial transfer of records to the

National Archives?

From 2002 To 2015

How frequently will your agency

transfer these records to the

National Archives?

Every 1 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	4 Cubic feet	
Microform		

2

Hardcopy or Analog Special Media	ı
Board of Trustees Records	
Disposition Authority Number	DAA-0079-2016-0001-0002

The records include meeting agendas, minutes of proceedings, briefing books, policy decisions, speeches, memorandums, and power point presentations.

Final Disposition

Permanent

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Transfer to the National Archives

Transfer to the National Archives immediately after

for Accessioning

approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the initial transfer of records to the

National Archives?

From 2002 To 2015

How frequently will your agency

transfer these records to the

National Archives?

Every 1 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	15 Cubic feet	
Microform		

1				11114
	Hardcopy or Analog Special Media			
		<u>.</u>		, , , , , , , , , , , , , , , , , , , ,
3	Reports Reports			
3.1	Annual Report			
	Disposition Authority Number	DAA	\-0079-2016-0001-0003	
	A comprehensive and detaile the Trust's operations, activiti ecological, cultural, and finan	ies, a	and accomplishments, in	cluding the status of
	Final Disposition	Perr	manent	
	Item Status	Acti	ve	
	Is this item media neutral?	Yes		
· .	Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?	No	` •	
	Disposition Instruction			
	Transfer to the National Archives for Accessioning		nsfer to the National Arch roval of schedule	ives immediately after
	Additional Information			
,	First year of records accumulation	200	2	
	End year of records accumulation	201	5	•
	What will be the date span of the initial transfer of records to the National Archives?	Fror	m 2002 To 2015	
	How frequently will your agency transfer these records to the National Archives?	Eve	ry 1 Years	
				T
			Estimated Current Volume	Annual Accumulation
	Electronic/Digital			
	Paper		0.5 Cubic feet	
	Microform			

3.2

spo	sition Authority		Red	cords Schedule DAA-0079-2016-000	
	Hardcopy or Analog Special Media				
	Other Reports				
	Disposition Authority Number	DAA	A-0079-2016-0001-0004		
but not limited to , the Framework Management Report, Five-year for Interpretation, Strategic Plan			e mission and functions of the Trust, including fork and Strategic Guidance for Comprehensive ar State of the Preserve Reports, Master Plan an, Public Access and Use Plans, Strategic Caldera National Preserve and Land Use History		
	Final Disposition	Pen	manent	•	
	Item Status	Acti	ve _,		
	Is this item media neutral?	Yes			
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No.		-	
	Disposition Instruction				
	Transfer to the National Archives for Accessioning		nsfer to the National Arch roval of schedule	nives immediately after	
	Additional Information			•	
	First year of records accumulation	200	2		
	End year of records accumulation	201	5		
	What will be the date span of the initial transfer of records to the National Archives?	Froi	m 2002 To 2015		
	How frequently will your agency transfer these records to the National Archives?	Eve	ry 1 Years		
			Estimated Current Volume	Annual Accumulation	
	Electronic/Digital				
	Paper		1 Cubic feet		

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	1 Cubic feet	
Microform		

 ND RECORDS ADMINISTRATION osition Authority	Records Schedule: DAA-0079-2016-0001
Hardcopy or Analog Special Media	
Records of the Executive Dir	rector
Disposition Authority Number	DAA-0079-2016-0001-0005
Congress, the White House, tribal leaders, and Trust boar	per and electronic) of the Executive Director with State of New Mexico officials, Native American and members pertaining to Trust activities, calendars, officials and environmental groups, speeches,
Final Disposition	Permanent
Item Status	Active
Is this item media neutral?	Yes
Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?	No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the From 2002 To 2015 initial transfer of records to the

National Archives?

How frequently will your agency transfer these records to the

National Archives?

Every 1 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	4 Cubic feet	
Microform		

5

Hardcopy or Analog Special	
Media	

Newsletters and News Releases

Disposition Authority Number

DAA-0079-2016-0001-0006

The files include record copies of newsletters and news releases issued by the Trust. The files consist of all press statements or announcements issued by the Trust that were prepared primarily for distribution to the news media.

Final Disposition

Permanent

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after

approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the initial transfer of records to the

From 2002 To 2015

National Archives?

How frequently will your agency transfer these records to the

Every 1 Years

National Archives?

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	1 Cubic feet	
Microform		
,		

	Hardcopy or Analog Special Media		
6	,	s created, commissioned or acquired by the Trust speeches, and presentations.	
6.1	Captioned analog photographic prints and negatives		
	Disposition Authority Number	DAA-0079-2016-0001-0007	
	Final Disposition	Permanent	
	Item Status	Active	
	Is this item media neutral?	Yes	
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	Yes	
	Do any of the records covered by this item exist as structured electronic data?	No	
	Disposition Instruction		
	Transfer to the National Archives for Accessioning	Transfer all files on hand, along with any related finding aids, to the National Archives immediately upon approval of the schedule.	
•	Additional Information	o	
1	First year of records accumulation	2002	
	End year of records accumulation	2015	
•	What will be the date span of the initial transfer of records to the National Archives?	From 2002 To 2015	
	How frequently will your agency transfer these records to the National Archives?	Every 1 Years	
6.2	Captioned color transparence	ies and slides	
	Disposition Authority Number	DAA-0079-2016-0001-0008	
	Final Disposition	Permanent	
	Item Status	Active	

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Do any of the records covered by this item exist as structured electronic data?

No

Yes

Disposition Instruction

Transfer to the National Archives for Accessioning

Transfer all files on hand, along with any related finding aids, to the National Archives immediately upon approval of the schedule.

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the initial transfer of records to the

National Archives?

From 2002 To 2015

How frequently will your agency transfer these records to the

National Archives?

Every 1 Years

Digital photographs

Disposition Authority Number

DAA-0079-2016-0001-0009

Final Disposition

Permanent

Item Status

6.3

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured electronic data?

No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer all files on hand, along with any related logs, indexes, or other finding aids, to the National Archives immediately upon approval of the schedule.

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the From 2002 To 2015 initial transfer of records to the

National Archives?

How frequently will your agency

transfer these records to the

Every 1 Years

National Archives?

6.4

Routine and uncaptioned photographs.

Disposition Authority Number DAA-0079-2016-0001-0010

Still photographs that are (1) not captioned, (2) document routine or internal events having short-term value (e.g. social gatherings, awards ceremonies, retirement events training common to most government agencies), or (3) created for the purpose of selecting the best quality images necessary to document the subject but note selected as a record copy under Items 6.1, 6.2, or 6.3 above.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

electronic data?

No

Disposition Instruction

Retention Period Destroy immediately after no longer needed

Additional Information

GAO Approval Not Required

Records Relating to Public Meetings

Disposition Authority Number DAA-0079-2016-0001-0011

Summary reports, agendas, issue briefs, presentation slides, and transcripts regarding meetings held with scientific boards, Forest Service collaboration meetings, NEPA meetings, schools, universities, state and other Federal agencies, environmental organizations, and the U.S. Army Corps of Engineers.

Final Disposition Permanent

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after

approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the From 2002 To 2015

initial transfer of records to the

National Archives?

How frequently will your agency

transfer these records to the

National Archives?

Every 1 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	2 Cubic feet	
Microform		
:		
Hardcopy or Analog Special Media		

Official Publications

Disposition Authority Number DAA-0079-2016-0001-0012

Publications produced by the Trust, including educational brochures, informational

bulletins, and posters.

Final Disposition Permanent

Item Status Active

Is this item media neutral? Yes

Page 13 of 20

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after

approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the

initial transfer of records to the

National Archives?

From 2002 To 2015

How frequently will your agency

transfer these records to the National Archives?

Every 1 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	2 Cubic feet	
Microform		
r		
Hardcopy or Analog Special		
Media		

Environmental Records

Disposition Authority Number

DAA-0079-2016-0001-0013

Records pertaining to Federal and trust decisions or actions on land use environment, including NEPA statements

Final Disposition

Permanent

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after

approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the

initial transfer of records to the

National Archives?

From 2002 To 2015

How frequently will your agency

transfer these records to the

National Archives?

Every 1 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	4 Cubic feet	
Microform		
Hardcopy or Analog Special Media		

Cartographic Records

Disposition Authority Number

DAA-0079-2016-0001-0014

Detailed National Preserve maps on a variety of topics, including land use, elk hunting areas, grazing pastures, recreation areas, hydraulic drilling areas, etc.

Final Disposition

Permanent

Item Status . .

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? Yes

Do any of the records covered by this item exist as structured

No

electronic data?

10

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after

approval of schedule

Additional Information

First year of records accumulation 2002

End year of records accumulation 2015

What will be the date span of the

initial transfer of records to the

National Archives?

How frequently will your agency transfer these records to the

National Archives?

From 2002 To 2015

Every 1 Years

Historical Blueprint of the BACA Ranch showing structures, landmarks, natural areas, etc. (1963)

Disposition Authority Number

DAA-0079-2016-0001-0015

Final Disposition

Permanent

Item Status

Active

Is this item media neutral?

No

Explanation of limitation

The record is in paper copy only.

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Transfer to the National Archives

for Accessioning

Transfer to the National Archives immediately after

approval of schedule

Additional Information

First year of records accumulation 1963

End year of records accumulation 1963

What will be the date span of the initial transfer of records to the

From 1963 To 1963

National Archives?

Every 1 Years

How frequently will your agency transfer these records to the

National Archives?

12

13

Geothermal Files

Disposition Authority Number

DAA-0079-2016-0001-0016

The records pertain to the exploration and study of approximately 24 geothermal wells to determine their potential as a useful source of electrical power.

Final Disposition

Temporary

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Retention Period

Destroy when no longer needed for ongoing business

Additional Information

GAO Approval

Not Required

Trust Website

Disposition Authority Number

DAA-0079-2016-0001-0017

Final Disposition

Temporary

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

Yes

electronic data?

Disposition Instruction

Retention Period

Destroy/Delete when superseded, obsolete, or no

longer needed for agency business.

Additional Information

GAO Approval

Not Required

Website design, management, and technical operation records

Disposition Authority Number

DAA-0079-2016-0001-0018

Final Disposition

Temporary

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

Disposition Instruction

Retention Period

Destroy/Delete when no longer needed

Additional Information

GAO Approval

Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	Ву	Title	Organization
10/07/2015	Certify	Andrea Quick	Rec Mngmt and FOI A Manager	Information Resources Directorate - Portfolio Management and Strategic Planning Div
12/22/2015	Submit for Concur rence	Tom Cotter	Appraiser	National Archives and Records Administration - Records Management Services
12/29/2015	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Serivces
12/29/2015	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Serivces
01/04/2016	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist