

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-266-81-02

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule is inactive because the records are no longer created or maintained by the agency.

Date Reported:

NC1-266-81-02

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

NC 0 4200 81 14

LEAVE BLANK	
JOB NO NC1-266-81-2	
DATE RECEIVED June 4, 1981	
NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10	
Date 6-12-81	Archivist of the United States <i>John K. News</i>

TO: **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1. FROM (AGENCY OR ESTABLISHMENT)
Securities and Exchange Commission

2. MAJOR SUBDIVISION
Office of Applications & Reports Services

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER Nathan L. Harrison	5. TEL EXT 523-5583
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6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

- ☐ A Request for immediate disposal.
- ☒ B Request for disposal after a specified period of time or request for permanent retention.

C. DATE 6/3/81	D. SIGNATURE OF AGENCY REPRESENTATIVE <i>Charles A. Moore</i>	E. TITLE Charles A. Moore Records Officer
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO 10. ACTION TAKEN
1.	<p>The Securities and Exchange Commission was created under authority of the Securities Exchange Act of 1934 (48 Stat. 881; 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>The disposition standard of this schedule supersedes the standard established in Disposition Job No. NC1-266-77-2, which provided for destruction 10 years after registrant no longer had a reporting requirement.</p> <p>SEC File No. 17-00-1, -3</p> <p>Reports on stabilizing activities (Form X-17A-1) [17 CFR 240.10b-7(1); 240.17a-2(d)] and related correspondence.</p> <p>Destroy when 6 years old..</p>	<p><i>NC1-266-77-2</i></p> <p><i>Item 35</i></p> <p><i>1 item</i></p>

*Closed Out: 6-22-81: K.T.D.
Copy to NCA, & Agency*