

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-266-83-06

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule is inactive. All items below are superseded

NC1-266-83-006, Item 001 is superseded by DAA-0266-2016-0012-0001

NC1-266-83-006, Item 002 is obsolete because the records within the specified time span have been dispositioned.

NC1-266-83-006, Item 003 is superseded by N1-266-90-001 item 002

Date Reported:

NC1-266-83-06

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORD DISPOSITION AUTHORITY
(See Instructions on reverse)

TO **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1 FROM (AGENCY OR ESTABLISHMENT)

SECURITIES AND EXCHANGE COMMISSION

2 MAJOR SUBDIVISION

OFFICE OF APPLICATIONS AND REPORTS SERVICES

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER

Charles A. Moore

5 TEL EXT

272-7216

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JOB NO

NC1-266-83-6

DATE RECEIVED Revised

January 27, 1984

NOTIFICATION TO AGENCY

In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10

2-6-84 [Signature]
Date Archivist of the United States

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

☐ A Request for immediate disposal.

☒ B Request for disposal after a specified period of time or request for permanent retention.

C DATE <u>1/27/84</u>	D SIGNATURE OF AGENCY REPRESENTATIVE <u>Charles A. Moore</u>	E TITLE Charles A. Moore Records Officer
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7. ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10. ACTION TAKEN
1.	<p>The Securities and Exchange Commission was created under authority of the Securities Act of 1934 (49 Stat. 881; 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and to protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>SEC Commissioners Files (Excluding Chairmen), 1934 to the present.</p> <p>Both subject and chronological files maintained by commissioners who either never served as chairman or by commissioners during the period they did not serve as chairman.</p> <p>DISPOSABLE: Destroy 1 year after Commissioner's tenure of office.</p>		

Request for Records Disposition Authority - Continuation

JOB NO
NCL - 266-83-6PAGE OF
2 2

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
2.	<p>SEC Chairmen's "Case" Files, 1934-1972.</p> <p>Subject files maintained by individuals during the time they served as Chairman of the SEC. Included among these records are "case files" containing chronological files. Arrangement by the name of Chairman and thereunder alphabetically by the title of the subject file. Volume on hand, 262 cubic feet.</p> <p>Permanent: Offer to NARS in July 1984.</p>		
3.	<p>SEC Chairman's Chronological Files for the period 1972 to the present.</p> <p>Letters signed by SEC officials answering letters addressed to the chairman, and letters signed by the chairman. Almost all of the letters have background documents attached to them.</p> <p>Disposable: Destroy 1 year after chairman's tenure of office.</p>		