Records Schedule Number: DAA-0490-2021-0003

Status: APPROVED
Date Approved: 10/02/2023

#### **General Information**

Agency or Establishment	Peace Corps		
Record/Scheduling Group	0490 - Records of the Peace Corps		
Records Schedule Applies to	Agency-wide		
Schedule Subject	Associate Director / Deputy Associate Director (or Equivalent) / Regional Director Records		
Additional Schedule Information	Heads of program offices at Peace Corps Headquarters		
Is there a classified version of this form?	No		
Is consultation and coordination with Tribal Governments required?	No- The records covered by this schedule do not implicate Tribal interests		

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#### **Item Count**

Total number of disposition items: 2

Number of Temporary disposition items: 1 Number of Permanent disposition items: 1

Number of Items with Disposition Not Approved: 0

Number of Inactive disposition items: 0

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#### Outline of Records Schedule Items for DAA-0490-2021-0003

Item #	Title	Disposition	
0001	Associate Director Records / Deputy Associate	Permanent	
	Director (or Equivalent) Records - Substantial		
0002	Associate Director Records / Deputy Associate	Temporary	
	Director (or Equivalent) Records - Administrative		

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#### **Records Schedule Items**

DAA-0490-2021-0003-0001	STATUS: Active				
ITEM GENERAL INFORMATION					
Item Title	Associate Director Records / Deputy Associate Director (or				
	Equivalent) Records - Substantial				
Item Description	Substantive files pertaining to the operation of the office or program, formulation and development of policies, allocations of resources, and other records directing the activities of sub-offices and posts. Includes, but is not limited to interim and final policies; records created or used to modify agency actions or activities; official memoranda signed by the Associate Director/Equivalent; Memorandums of Agreement, Memorandums of Cooperation (unless specifically noted in another Peace Corps schedule); strategic plans and planning documents; invitations from countries for Peace Corps Volunteers; Country Status Reports; statistical analyses that impact agency actions or activities; annual and ad hoc reports or special studies; assessments; New Country Entry Assessments and Re-Entry Assessments; official organizational charts; Country Portfolio Reviews; Field Advisory Board reports and recommendations; senior staff trip briefing books or information; international crisis files; evaluations; committee charters Post graduations, evacuations, expulsion documents; memoranda and memos summarizing new policies or directives; senior level meeting minutes; planning and transition documents; and other				
Is this item media neutral?	program. Yes				
Is this item a Big Bucket?	Yes				
<u> </u>	ON AUTHORITIES AND GRS DEVIATIONS				
Does this item supersede existing	Yes				
disposition authorities?					
1	Superseded Items				
Superseded Item	Item Superseded Explanation				
	in Part?				
N1-490-92-3 / 1/a/1	No				
N1-490-92-3 / 1/a/2	No				
DAA-0490-2016-0005-0001	No				
DAA-0490-2017-0002-0001	No				
DAA-0490-2016-0007-0001	No				

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DAA-0490-2017-0004-0001	No
DAA-0490-2017-0005-0001	No
DAA-0490-2017-0009-0001	No
Is this item a deviation from the	No
GRS?	
DISPOSITION INSTRUCTION	
Final Disposition	Permanent
Cutoff Instructions	Other: Cutoff at the end of the tenure in office or every 10 years,
	whichever is sooner.
Are there multiple instructions for	No
this item?	
Transfer Instruction	Transfer to the National Archives 15 year(s) after cutoff
ADDITIONAL INFORMATION	
Current Records Format	Textual data: 2 GB; Paper-based textual records: 50-100 CF
Approximate first year of records	1975
covered by this authority	
End year of records covered by	Still being created
this authority	
Date span of the initial transfer	From: 01/01/1975 To: 12/31/1998
Frequency of transfer	1
Are any of the records covered by	No
this item subject to a FOIA	
exemption?	

DAA-0490-2021-0003-0002	STATUS: Active		
ITEM GENERAL INFORMATION			
Item Title	Associate Director Records / Deputy Associate Director (or		
	Equivalent) Records - Administrative		
Item Description	Routine records documenting day-to-day administrative and		
	program support activities of office. Records include, but are not		
	limited to administrative decision memos; drafts of		
	correspondence, memoranda, reports, financial records, meeting		
	minutes, agendas, and background or briefing materials; talking		
	points; marketing plans; monthly updates; working files;		
	brochures; volunteer final reports to Posts, HQ or agency		
	partners; administrative cables from the State Department;		
	routine travel briefings; survey and questionnaire design		
	documents; quarterly strategic plan performance reviews;		
	internal management assessments; event files; promotional		
	materials; interim and administrative reports; standard operating		
	practices (SOPs); and guidance documents.		

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Is this item media neutral?	Yes
Is this item a Big Bucket?	Yes
SUPERSEDED AGENCY DISPOSIT	ION AUTHORITIES AND GRS DEVIATIONS
Does this item supersede existing	Yes
disposition authorities?	
	Superseded Items
Superseded Item	Item Superseded Explanation
	in Part?
DAA-0490-2016-0005-0002	No
DAA-0490-2016-0007-0007	No
DAA-0490-2017-0002-0002	No
DAA-0490-2017-0004-0002	No
DAA-0490-2017-0005-0002	No
DAA-0490-2017-0009-0002	No
N1-490-92-003 / 1/b	No
Is this item a deviation from the	No
GRS?	
DISPOSITION INSTRUCTION	
Final Disposition	Temporary
Cutoff Instructions	Other: Cutoff at the end of the tenure in office or every 10 years.
	whichever is sooner.
Retention Period	Destroy 7 year(s) after cutoff
ADDITIONAL INFORMATION	
Are any of the records covered by	No
this item national security classified?	
GAO Approval Required	No

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#### **Signatory Information**

Action	User	Date
Accept	Data Migration	09/01/2021
Approve	Colleen Shogan	10/02/2023

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ITEM	BUCKET/ITEM TITLE	NEW RETENTION	NEW DISPOSITION INSTRUCTIONS	OFFICE OF RECORD, PERMANENT RECORDS ONLY	SUPERCEDED AUTHORITY	SUPERCEDED SERIES TITLE	SUPERSEDED	SUPERSEDED DISPOSITION INSTRUCTIONS					
0001	Associate Director Records / Deputy Associate Director (or Equivelant) Records - Substantial	Permanent	Cutoff at the end of the Incumbant's Tenure. Transfer to the National Archives 15 year(s) after cutoff.	Office of Victim Advocacy Office of Sexual Assault Prevention and Response Office of General Counsel	DAA-0490-2016-0005-0001	Peace Corps Response Program Files - High Level	Permanent	Cutoff at the end of the fiscal year. Transfer to the National Archives 15 year(s) after cutoff.					
				Office of Inspector General Office of Civil Rights and Diversity	DAA-0490-2016-0007-0001	3rd Goal, Returned Volunteer Services, and World Wise Schools Program Records - Significant	Permanent	Cutoff at the end of the calendar year. Transfer to the National Archives 15 year(s) after cutoff.					
						Office of the Chief Compliance Officer Office of Strategic Information, Research and Planning Office of the Third Goal & Returned Volunteer Services	Office of Strategic Information, Research and Planning Office of the Third Goal & Returned Volunteer Services	DAA-0490-2017-0004-0001	Office- of Strategic Information, Research, and Planning (OSIRP) Program Records - Significant	Permanent	Cut off at the end of the fiscal year.  Transfer electronic records to the National Archives 15 years after cutoff. Transfer paper records to NARA 15 years after cutoff in 5 year blocks.		
					Office of Gifts and Grants Management Office of Strategic Partnerships and Intergovernmental Affairs Office of Communications Office of Congressional Relations Office of Congressional Affairs	Office of Strategic Partnerships and Intergovernmental Affairs Office of Communications	Region Records -Significant	Permanent	Cut off at the end of the fiscal year or when post closes. Transfer electronic records to NARA 15 years after cutoff. Transfer paper records to NARA 15 years after cutoff in 5 year blocks when the latest record in block is 5 years old.				
								Office of the Chief Information Officer Office of the Chief Financial Officer	Office of the Chief Financial Officer	DAA-0490-2017-0009-0001	Associate Director Records -Significant	Permanent	Cutoff at the end of the calendar year. Transfer to the National Archives 15 year(s) after cutoff.
				Office of Human Resources Office of Management Office of Global Operations Office of Safety and Security Office of Volunteer Recruitment and Selection Office of Volunteer Recruitment and Selection Office of Health Services Africa Regional Office Inter-America and the Pacific Regional Office Europe, Mediterranean, and Asia Regional Office Office of Overseas Programming and Training Support	N1-490-92-003 /1/a/1	Files of High-Level Agency Officials. Files of the Deputy Director; Chief of Staff, Associate Director of Management; Associate Director for Planning, Budget and Financial Management; Associate Director for Volunteer Recruitment and Selection; Regional Director for Inter-America Operations; Regional Director for Inter-America Operations; Regional Director for Furnsia and thee Mediterrean; Regional Director for Asia and the Pacific; Director for the Office of Training and Program Support; Director for International Research; Inspector General; General Counsel; Director for External Affairs; and Director of the Equal Opportunity Office.	Permanent	CUTOFF at the close of the Fiscal Year. RETIRE to WNRC when four years old or when no longer needed for administrative purposes, whichever is sooner. Transfer to Archives in 5-year blocks when latest records are 10 years old.					
				Office of Global Health and HIV DA Office of Peace Corps Response Office of Press Relations	Office of Peace Corps Response	DAA-04190-2017-0002-0001	Office of Global Operations Program Files -Significant Program Records	Permanent	Cutoff at the end of the calendar year. Transfer to the National Archives 15 year(s) after cutoff.				
			N1-490-0003 / 1/a/2	Files of High-Level Agency Officials. Files of other offices designated by agency to have Associate Director or higher status.	Permanent	CUTOFF at the close of the Fiscal Year. RETIRE to WNRC when four years old or when no longer needed for administrative purposes, whichever is sooner. Transfer to Archives in 5-year blocks when latest records are 10 years old.							
0002	Associate Director Records / Deputy	Temporary	Cutoff at the end of the Incumbant's Tenure.		DAA-0490-2016-0005-0002	Peace Corps Response Program Files -Routine	Temporary	Cutoff at the end of the fiscal year. Destroy 6 year(s) after cutoff					
	Associate Director (or Equivelant) Records -		Destroy 7 year(s) after cutoff.		DAA-0490-2016-0007-0007	3rd Goal, Returned Volunteer Services, and World Wise Schools Program -	Temporary	Cutoff at the end of the calendar year. Destroy 6 year(s) after					
	Administrative				DAA-0490-2017-0004-0002	Office of Strategic Information, Research, and Planning (OSIRP) Records	Temporary	Cutoff at the end of the fiscal year. Destroy 6 year(s) after cutoff					
					DAA-0490-2017-0005-0002	Region Records -Routine	Temporary	Cut off at the end of the calendar year or when post closes.					
					DAA-0490-2017-0009-0002	Associate Director Records -Administrative	Temporary	Cutoff at the end of the calendar year. Destroy 6 year(s) after					
					N1-490-92-0003 / 1/b	Files of High-Level Agency Officials. All other offices	Temporary	CUT OFF at the close of the Fiscal Year.					
					DAA-0490-2017-0002-0002	Office of Global Operations Administrative I Routine Records	Temporary	Cutoff at the end of the calendar year. Destroy 6 year(s) after					