

STATISTICAL AND FINANCIAL REPORTS

Numbers tell much of the story of the National Archives and Records Administration in the section that follows.

They tell us in statistics how vast our holdings are and where they are. They tell us how many individuals visited us in Washington, DC, and in our regional facilities and at our Presidential libraries around

the country to study their own or their nation's history.

These statistics also document the financial condition of NARA; its Records Center Program, which provides records management and storage services for Federal agencies; and its Trust Fund Board, which receives and administers gifts and bequests of money and other personal property.

USING THE NATIONAL ARCHIVES

FISCAL YEAR 2001

LOCATION	RESEARCHERS MICROFILM	RESEARCHERS NON-MICROFILM	ORAL INQUIRIES	WRITTEN INQUIRIES	PROGRAM ATTENDEES	MUSEUM VISITORS
Washington, DC, Area	51,547	63,581	169,599	129,038	1,159	745,106
Office of Regional Records Services (Archival Operations)						
Northeast Region (Boston)	14,907	2,076	3,595	2,963	978	n/a
Northeast Region (New York)	11,025	1,605	15,875	10,380	668	n/a
Northeast Region (Pittsfield)	9,491	n/a	1,337	1,446	1,349	n/a
Mid Atlantic Region (Philadelphia)	11,910	447	3,561	4,037	924	n/a
Southeast Region (Atlanta)	9,144	695	8,492	4,313	817	n/a
Great Lakes Region (Chicago)	8,687	411	10,721	6,625	5,424	n/a
Central Plains Region (Kansas City)	5,213	210	2,280	3,905	2,433	n/a
Southwest Region (Fort Worth)	9,822	404	2,462	4,618	1,016	n/a
Rocky Mountain Region (Denver)	10,816	818	7,863	790	370	n/a
Pacific Region (Laguna Niguel)	12,978	463	4,396	1,637	2,360	n/a
Pacific Region (San Francisco)	9,376	1,194	7,468	2,111	384	n/a
Pacific Alaska Region (Anchorage)	3,450	585	775	554	423	n/a
Pacific Alaska Region (Seattle)	16,204	707	2,932	2,104	1,408	n/a
Regional Archives Totals	133,023	9,615	71,757	45,483	18,554	n/a
NATIONWIDE TOTALS	184,570	73,196	241,356	174,566	19,703	745,106

USING THE PRESIDENTIAL LIBRARIES

FISCAL YEAR 2001

	RESEARCHERS	INQUIRIES	RESEARCH DAILY VISITS	PUBLIC PROGRAM PARTICIPANTS	OUTREACH PROGRAM PARTICIPANTS	WORKSHOP/ SEMINAR PARTICIPANTS	MUSEUM VISITORS
Hoover	172	3,246	450	28,866	5,855	308	62,673
Roosevelt	664	5,033	1,741	9,350	2,258	148	112,755
Truman	341	9,818	846	4,920	1,567	0	65,184
Eisenhower	371	8,163	913	7,633	2,715	184	77,220
Kennedy	441	1,049	1,385	63,491	92,747	40	199,630
Johnson	451	2,028	2,109	19,197	485	543	181,629
Nixon	699	1,781	4,120	130	55	45	0
Ford	123	2,932	337	23,183	6,470	105	96,619
Carter	331	7,760	1,501	1,027	2,458	16	63,443
Reagan	172	16,231	365	49,921	742	0	196,699
Bush	1,018	1,578	366	24,319	755	222	153,247
Clinton	0	1,333	0	120	100	0	0
TOTAL	4,783	60,952	14,133	232,157	116,207	1,611	1,209,099

*"The entrance of the Whitestone Bridge Drive-in Theater."
By an unknown photographer, June 13, 1951. (306-PS-51-11372,
from "Picturing the Century")*

ARCHIVAL HOLDINGS BY UNIT

FISCAL YEAR 2001

Total holdings (cubic feet)

Negative numbers are enclosed in parentheses.

UNIT	BALANCE 9/30/2000	NET CHANGE	BALANCE 9/30/2001
Washington, DC area			
Textual Records			
(except Legislative)	1,676,050	115,990	1,792,040
Legislative Records	103,042	5,772	108,814
Cartographic and Architectural Records	63,470	248	63,718
Motion Picture, Sound, and Video Records	31,233	70	31,303
Still Picture and Graphic Records	17,802	(235)	17,567
Electronic Records*			
DC Area Totals	1,891,597	121,845	2,013,442
Affiliated Archives†	2,239	0	2,239
Regional Archives			
Northeast Region (Boston)	25,645	1,224	26,869
Northeast Region (New York City)	64,640	2,868	67,508
Mid Atlantic Region (Philadelphia)	52,798	3,915	56,713
Southeast Region (Atlanta)	79,205	238	79,443
Great Lakes Region (Chicago)	66,386	2,221	68,607
Central Plains Region (Kansas City)	41,940	166	42,106
Southwest Region (Fort Worth)	84,002	2,134	86,136
Rocky Mountain Region (Denver)	31,057	10,064	41,121
Pacific Region (Laguna Niguel)	30,896	1,292	32,188
Pacific Region (San Francisco)	50,648	200	50,848
Pacific Alaska Region (Seattle)	31,018	146	31,164
Pacific Alaska Region (Anchorage)	5,490	537	6,027
Regional Archives Totals	563,725	25,005	588,730
NATIONWIDE TOTALS	2,457,561	146,850	2,604,411

* *The Center for Electronic Records measures its holdings in terms of data sets rather than cubic feet. See Nontextual Archival Holdings.*

† *Holdings reported here are those of the National Archives Affiliated Archives at the U.S. Military Academy and the U.S. Naval Academy.*



NONTEXTUAL ARCHIVAL HOLDINGS BY RECORD TYPE

FISCAL YEAR 2001

TYPE	BALANCE 9/30/2000	NET CHANGE	BALANCE 9/30/2001
Artifacts	1,300	368	1,668
Microforms			
16mm microfilm	135,302	138	135,440
35mm microfilm	132,546	56	132,602
Microfiche	91,498	0	91,498
Other microforms	122,517	0	122,517
Cartographic & Architectural Records			
Aerial photographs	15,929,558	12,985	15,942,543
Maps & charts	2,569,206	27,864	2,597,070
Architectural & engineering plans	2,983,343	9,177	2,992,520
Motion Pictures, Sound and Video Records			
Motion pictures	92,259	166	92,425
Sound recordings	141,270	154	141,424
Video recordings	23,123	188	23,311
Still Picture and Graphic Records			
Filmstrips	666	93	759
Posters	4,228	441	4,669
Still pictures	10,533,605	1,234	10,534,839
Electronic Records			
Computer data sets	56,820	81,625	138,445
TOTAL NONTEXTUAL ITEMS	32,817,241	134,489	32,951,730

HOLDINGS OF PRESIDENTIAL LIBRARIES

FISCAL YEAR 2001

	PAPERS (PAGES)	MICROFORMS (ROLLS/CARDS)	STILL PICTURES (ITEMS)	FILM (FEET)	VIDEOTAPE (HOURS)	AUDIOTAPE (HOURS)	AUDIODISCS (HOURS)	MUSEUM OBJECTS
Hoover	8,557,646	1,380	43,364	155,591	143	521	78	5,479
Roosevelt	16,803,020	687	134,335	308,676	28	1,024	1,108	24,683
Truman	15,277,530	5,835	107,689	335,955	267	463	462	26,860
Eisenhower	22,980,815	976	322,076	760,236	460	1,119	278	37,152
Kennedy	33,535,827	22,670	146,539	7,271,933	1,324	7,356	728	16,977
Johnson	35,863,022	3,469	620,107	824,877	8,258	13,587	0	37,105
Nixon	46,110,000	5,312	435,000	2,200,000	3,900	1,490	0	30,000
Ford	21,521,334	4,333	330,555	786,907	1,721	3,410	225	8,184
Carter	27,637,230	0	525,620	1,120,080	1,686	2,000	0	40,053
Reagan	53,879,750	7,000	1,629,295	774,000	19,650	13,728	866	100,855
Bush	42,980,772	0	1,504,858	208	2,413	672	28	96,432
Clinton	76,800,000	0	18,500,000	0	12,000	5,000	0	75,000
TOTAL	401,946,946	51,662	24,299,438	14,538,463	51,850	50,370	3,773	498,780

RECORDS CENTER AND RECORDS MANAGEMENT ACTIVITIES

FISCAL YEAR 2001

	REFERENCE	RESEARCH ROOM APPOINTMENTS	OUTREACH PARTICIPANTS
Washington National Records Center	839,560	2,143	300
Office of Regional Records Services			
Northeast Region (Boston)	338,683	1,084	72
Northeast Region (Pittsfield)	76,122	1	140
Northeast Region (New York)	n/a	200	168
Mid Atlantic Region (Philadelphia)	3,417,894	2,230	439
Southeast Region (Atlanta)	797,315	6,787	358
Great Lakes Region (Chicago)	97,713	6,559	188
Great Lakes Region (Dayton)	3,536,359	660	234
Central Plains Region (Kansas City)	690,621	653	n/a
Central Plains Region (Lee's Summit)	332,932	692	n/a
Southwest Region (Fort Worth)	851,418	3,133	429
Rocky Mountain (Denver)	751,201	1,684	238
Pacific Region (Laguna Niguel)	1,019,975	7,806	1,445
Pacific Region (San Francisco)	1,020,014	2,454	293
Pacific Alaska Region (Seattle)	292,994	5,213	411
National Personnel Records Center	2,394,114	76	161
Regional Records Services Total	15,617,355	39,232	4,576
TOTAL	16,456,915	41,375	4,876

Financial Operations

Gross funding available to the National Archives and Records Administration (NARA) in FY 2001 by appropriation under Public Law 106-544 for direct operating expenses was \$209,393,000. Of this amount, \$447,280 was rescinded, leaving a net of \$208,945,720 available in FY 2001. This amount includes \$28,971,400 for the year's payments of principal and interest on the Archives II facility located in College Park, MD.

Also available was \$6,689,300 for grants by the National Historical Publications and Records Commission (which includes \$6,450,000 from FY 2001 appropriations, \$253,300 from carry-over funds and prior year recoveries, offset by a

\$14,000 rescission of prior year funds), and \$124,296,600 for the repairs and restoration of Archives facilities and Presidential libraries (\$101,760,000 from FY 2001 appropriations, \$22,760,600 from carry-over funds and prior year recoveries, offset by a \$224,000 rescission). Other funding available was \$65,000 remaining for emergency expenses related to Year 2000 conversion of information technology systems, \$1,000 from other budget authority earned through recycling; \$397,000 from unobligated balances to develop Phase I of the Case Management and Reporting System for the National Personnel Records Center in St. Louis, MO; and \$39,000 for alterations at the Kennedy Library. The combined funding totaled \$340,433,620.

Of the funds available, \$32,676,000 was obligated by the Office of Regional Records Services for records management and archival activities, such as accessioning, preserving,

DIRECT APPROPRIATIONS

(Including Grants and Repairs and Restoration)

OBLIGATIONS BY OBJECT CLASSIFICATION AND REDEMPTION OF DEBT

(dollars in thousands)

	2001
Full-time permanent employment compensation	\$65,850
Other than full-time permanent employment compensation	1,827
Other personnel compensation	1,783
Total personnel compensation	69,460
Personnel benefits	16,232
Benefits for former personnel	49
Travel and transportation of persons	1,081
Motor pool travel	111
Transportation of things	319
Rental payments to GSA	5,078
Utilities	5,045
Communications and miscellaneous charges	2,232
Printing and reproduction	2,354
Advisory and assistance services	944
Other services	108,499
Purchases from Government accounts	5,831
Operation and maintenance of facilities	25,645
Operation and maintenance of equipment	11,414
Supplies and materials	4,106
Equipment	8,259
Land and structures	71
Grants, subsidies and contributions	6,028
Insurance claims and indemnities	4
Interest and dividends	22,887
Redemption of debt	6,084
TOTAL	\$301,733



"4-H Club member Irene Holder prepared to give a canning demonstration." By George W. Ackerman, August 1935. (33-SC-20040c, from "Picturing the Century")

describing, and making available to the general public, scholars, and Federal agencies permanently valuable historical records of the Federal Government. The Office of Records Services provided similar, yet centralized services on permanent records with funding requirements totaling \$166,797,000. Included in this total were costs associated with establishing standards on the creation and maintenance of adequate and proper documentation of government activities, and appraisal of records to identify those that warrant continued preservation. Also, funding provided for publication, exhibition, audiovisual, and public outreach activities.

The Information Security Oversight Office obligated \$2,154,000 for oversight of the information security program established by Executive Order 12958 and the policy oversight for the National Industrial Security Program established under Executive Order 12829. The Office of Presidential Libraries obligated \$54,773,000 to operate the 10 existing Presidential Libraries and to retain and process the Nixon and Clinton Presidential materials. The Office of the Federal Register obligated \$8,351,000 to edit, compile, and publish, among others, the *Federal Register*, *Code of Federal Regulations*, *U.S. Statutes at Large*, and weekly and annual

compilations of Presidential documents. Also, the National Historical Publications and Records Commission obligated \$6,028,000 in grants and \$1,983,000 to administer the grants program.

Beginning in 1994, NARA sought appropriations for the annual payments to be made under the terms of the Certificates of Participation. In accordance with OMB's guidance, the total payment must be separated into a principal and interest component. The portion that represents principal is to be treated as an appropriation for the redemption of debt. The portion that represents interest will be reported as obligations. As such, \$22,887,000 was obligated for interest payments on the Archives II facility and \$6,084,000 was treated as redemption of debt for principal.

The following table summarizes funds available and actually obligated in FY 2001:

<i>Fund</i>	<i>Availability</i>	<i>Obligated</i>
Operating Expenses, Direct	\$209,447,720	\$208,545,000 ¹
Grants	6,689,300	6,028,000 ²
Repairs and Restoration	124,296,600	87,160,000 ²
Total	\$340,433,620	\$301,733,000

1 Includes \$6,084,000 which is considered redemption of debt on the principal for the Archives II facility. Also includes (\$34,700) in obligations for emergency expenses related to Year 2000 issues.

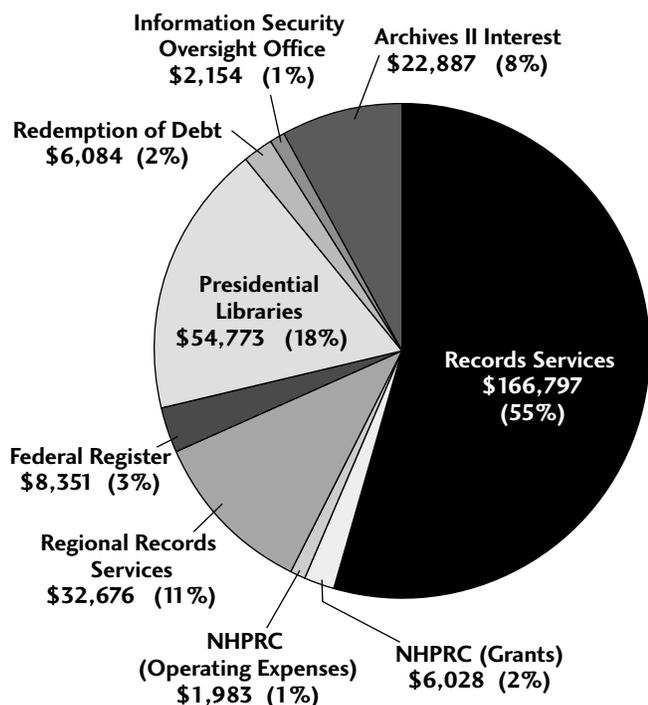
2 Funds appropriated for grants and repairs and restoration are "no-year" funds. Some congressional appropriations have the proviso that they remain available until obligated. Thus, the balance at the end of the fiscal year is carried over and is available for obligation in succeeding fiscal years.

DIRECT APPROPRIATIONS

(Including Grants and Repairs and Restoration)

FISCAL YEAR 2001

(dollars in thousands)



During FY 2001, the National Archives and Records Administration received \$4,484,000 in reimbursable income for services provided to other Federal agencies and the National Archives Trust Fund. The most significant reimbursable activity, in terms of payment received, includes photocopy services on the agency's permanently archived records for the National Archives Trust Fund Board, specialized training and workshops on archiving potentially permanent Federal records, and providing reimbursable services to agencies for special projects. Also, declassification services are offered, providing records review prior to their accessioning into NARA.

FINANCIAL SUBSYSTEMS

The Budget Preparation and the Appropriated Funds Financial Review, Analysis and Reporting financial subsystems were evaluated as required by the Office of Management and Budget (OMB) Circular A-127 Revised. The subsystems were in conformance with the overall objectives detailed in the circular. NARA's financial organization also provided information for inclusion in the annual Federal Managers Financial Integrity Report to the President and Congress showing that the subsystems are in compliance with the objectives detailed in the guidelines.

FINANCIAL AND ELECTRONIC COMMERCE PROGRAMS

CREDIT CARD USAGE BY NARA EMPLOYEES

The usage of the VISA purchase card for small purchases by NARA employees also increased in FY 2001. The dollar amount spent using the purchase card increased by 56 percent, and the number of purchases made using the VISA card increased by 54 percent.

ELECTRONIC FUNDS TRANSFER (EFT) AND DIRECT DEPOSIT

NARA is 99.9 percent on direct deposit. As of September 30,

2001, there were five NARA employees not using EFT for salary and award payments.

PROMPT PAYMENTS

NARA's interest penalty item counts decreased in FY 2001, as compared with FY 2000 results. The improvements in NARA's financial processes can be attributed to increased usage of VISA purchase cards, and increased financial oversight by NARA's financial employees and administrative officers across the country.

PERSONNEL ON BOARD

ALL FUNDS AS OF SEPTEMBER 30, 2001

PROGRAMS	WASHINGTON, DC AREA			FIELD LOCATIONS			NATIONWIDE TOTAL		
	FULL-TIME PERM.	OTHER	TOTAL	FULL-TIME PERM.	OTHER	TOTAL	FULL-TIME PERM.	OTHER	TOTAL
Records Services	802	163	965	0	0	0	802	163	965
Regional Records Services	111	7	118	1,096	200	1,296	1,207	207	1,414
Presidential Libraries	104	5	109	249	57	306	353	62	415
Information Security Oversight Office	18	0	18	0	0	0	18	0	18
Federal Register	65	2	67	0	0	0	65	2	67
National Historical Publications and Records Commission	14	0	14	0	0	0	14	0	14
Total	1,114	177	1,291	1,345	257	1,602	2,459	434	2,893

ACTUAL OBLIGATIONS: PRESIDENTIAL LIBRARIES

(dollars in thousands)

	PROGRAM COSTS (INCLUDES PERSONNEL)	BUILDINGS OPERATIONS & MAINTENANCE COSTS	MAJOR REPAIR & RESTORATION COSTS	MINOR* REPAIR & RESTORATION COSTS	TOTAL COSTS
Hoover	\$970	\$587	\$10	-\$4	\$1,567
Roosevelt	930	985	225	49	2,189
Truman	1,299	1,497	490	17	3,303
Eisenhower	1,597	1,264	557	28	3,446
Kennedy	1,428	2,025	514	62	4,029
Johnson	1,813	1,799	399	23	4,034
Nixon Presidential Materials Staff	1,939	0	0	0	1,939
Ford	1,306	1,544	567	72	3,489
Carter	1,652	959	85	17	2,713
Reagan	1,487	1,698	631	21	3,837
Bush	1,215	1,145	0	12	2,372
Central Office: Planning & Direction, Presidential Materials Staff, and Clinton Presidential Materials Project	6,986	0	1	0	6,987
Total	\$22,622	\$13,503	\$3,479	\$297	\$39,905

* Kennedy Minor Repairs and Restoration obligations include \$39K from the operating expenses account and Hoover Minor Repairs and Restoration includes credit for prior year expenses.

In Berlin on June 12, 1987, Ronald Reagan called on Mikhail Gorbachev to "tear down this wall." His speech was one of the items in "American Originals." (Ronald Reagan Library)

Records Center Revolving Fund

In fiscal year 1999, President Clinton created the Records Center Revolving Fund when he signed Public Law 106-58. With \$22 million in capitalization provided by the public law and the assets of the NARA's Records Center Program, the Fund began operations on October 1, 1999. The Fund provides Federal agencies with records management and storage services for temporary and pre-archival records at 15 records center facilities across the continental United States.



REVOLVING FUND BALANCE SHEET

AS OF SEPTEMBER 30

REVOLVING FUND SOURCES OF REVENUE

FOR THE FISCAL YEARS ENDING SEPTEMBER 30

	FY 2001	FY 2000
Department of Defense	\$33,804,727	\$31,398,800
Internal Revenue Service	27,854,204	21,904,503
Department of Veterans Affairs	7,767,768	7,204,904
U.S. Courts	5,553,741	5,103,587
National Archives & Records Administration	2,978,734	2,287,212
Department of Justice	2,804,633	2,534,802
Department of the Treasury	2,775,943	2,490,718
Office of Personnel Management	2,712,061	2,949,310
Social Security Administration	2,703,932	2,534,768
Housing & Urban Development	2,620,298	2,404,430
Independent Agencies	2,537,967	2,036,045
Department of Health & Human Services	2,453,717	2,350,627
Immigration & Naturalization Service	2,224,705	2,131,880
Department of Energy	995,644	975,005
U.S. Postal Service	989,430	970,534
Department of State	955,951	839,875
Department of Labor	823,981	765,468
Department of Interior	720,004	675,782
Environmental Protection Agency	556,662	519,452
Department of Commerce	514,710	846,730
U.S. Coast Guard	492,867	483,339
Department of Transportation	461,249	447,743
Department of Agriculture	450,758	390,293
Department of Education	347,158	328,554
Local Govt. and Other	194,924	163,782
General Services Administration	162,349	225,854
District of Columbia Government	160,778	164,610
Total Sources of Revenue	\$106,618,895	\$95,128,607

	FY 2001	FY 2000
ENTITY ASSETS		
<i>Current assets</i>		
Funds with U.S. Treasury	15,868,916	14,843,330
Accounts receivable	15,708,974	21,333,413
Prepaid expenses	26,423	72,306
Total current assets	31,604,313	36,249,049
<i>Noncurrent and other assets</i>		
Property and equipment, net of accumulated depreciation	15,619,971	14,893,808
Deferred charges	1,424,827	1,899,769
Other assets	795,410	22,087
Total noncurrent and other assets	17,840,208	16,815,664
Total entity assets	\$49,444,521	\$53,064,713

LIABILITIES AND NET POSITION

Liabilities

Current liabilities

Accounts payable -Federal	3,441,042	1,373,878
Accounts payable -non-Federal	635,946	538,487
Accrued payroll	1,345,904	9,128,778
Capital lease	430,348	402,581
Total current liabilities	5,853,240	11,443,724

Long-term liabilities

Annual leave liability	2,740,669	2,590,197
Capital lease	3,093,871	3,532,350
Total long-term liabilities	5,834,540	6,122,547
Total liabilities	11,687,780	17,566,271

NET POSITION

Cumulative results of operations	1,862,201	423,913
Donated capital	13,894,540	13,074,529
Initial fund capitalization	22,000,000	22,000,000
Total net position	37,756,741	35,498,442
Total liabilities and net position	\$49,444,521	\$53,064,713

NOTES TO FINANCIAL STATEMENTS AND EXPLANATIONS OF SIGNIFICANT ACCOUNTING POLICIES

Public Law 106-58: In 1999, the Records Center Revolving Fund was established in accordance with Public Law 106-58. It converted NARA's records center program from an appropriated to a fee-for-service program.

Revenue: Revenue is derived from fees charged to Federal agencies for records storage and related services for all temporary and pre-archival records. Revenues are recorded on an accrual basis.

Fund Balance with Treasury: This total represents all unexpended balances for the Revolving Fund with the U.S. Treasury. Amounts in the Fund are based on the balances recorded in the National Electronic Accounting and Reporting (NEAR) system. It includes the initial capitalization of \$22,000,000 authorized by Public Law 106-58.

Accounts Receivable: Accounts receivable represent amounts due to the Revolving Fund for goods and services provided to other Federal entities. An allowance for receivables deemed uncollectible was not established because monies due from other Federal entities are considered fully collectible.

REVOLVING FUND STATEMENT OF OPERATIONS

FOR THE FISCAL YEARS ENDING SEPTEMBER 30

	FY 2001	FY 2000
Revenues:		
National		
Services	\$61,488,671	\$51,350,621
Storage	43,865,826	41,882,991
Local services & other	1,264,398	1,894,995
Total revenues	\$106,618,895	\$95,128,607

Operating Expenses:

Personnel and Benefits	\$50,789,190	\$45,783,134
Travel & transportation	892,869	795,591
Rent, Communications, and Utilities	40,129,212	39,725,317
Consulting and Other Services	9,911,597	6,140,774
Supplies and Materials	910,615	797,970
Equipment and Structures	1,693,298	1,085,208
Printing and Reproduction	184,633	133,938
Interest	261,710	188,859
Miscellaneous	42,284	53,903
Total operating expenses	\$104,815,407	\$94,704,694

Net Income (Loss) from operations **\$1,803,488** **\$423,913**

Other Income:

Imputed finances—		
expenses paid by other agencies	\$3,110,354	\$3,189,944
Prior year income	58,713	
Total other income	\$3,169,067	\$3,189,944

Other expenses:

Employer's pension expense	845,571	996,173
Employer's health insurance expense	2,259,257	2,188,340
Employer's life insurance expense	5,526	5,431
Total other expenses	\$3,110,354	\$3,189,944

Excess of revenues over expenses **\$1,862,201** **\$423,913**

REVOLVING FUND STATEMENT OF CHANGES IN FINANCIAL CONDITION

FISCAL YEAR 2001

Sources of working capital:

From operations:	
Net income (loss)	\$1,803,488
Items not affecting working capital:	
Depreciation	1,198,532
Amortization of unfunded leave expense	474,942
Working capital inflow (outflow) from operations	3,476,962
From other sources:	
Increase (decrease) in annual leave liability	150,472
Prior year income	58,713
Other	34,470
Working capital inflow (outflow) from all sources	3,720,617

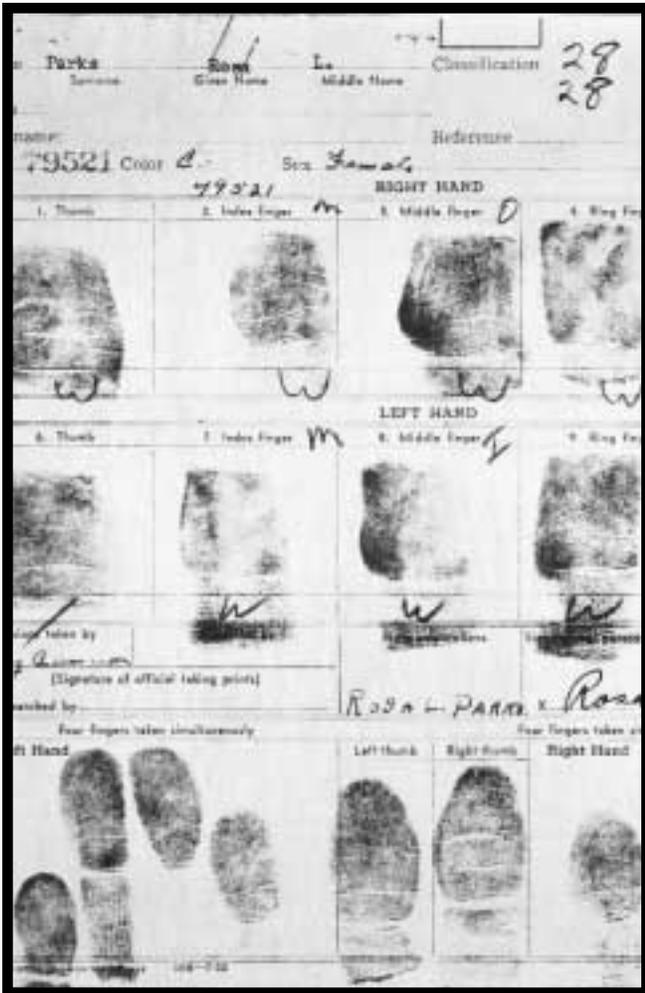
Uses of working capital:

Purchases of shelving	1,299,386
Furniture & fixtures	250,000
Systems	95,550
Systems development	649,444
Long-term capital lease	438,479
Unfilled orders transferred to OE Fund	42,010
Total working capital used for all purposes	2,774,869

Increase in working capital **\$945,748**

Prepaid Expenses: Prepaid expenses represent advance payments made by Revolving Fund for goods and services that will be provided by other Government agencies within a year.

Property and Equipment Valuation and Depreciation: Property and equipment transferred to the Revolving Fund at start-up from other appropriations consisted of records center shelving and catwalks. The historical cost was estimated based on actual cost of shelving and catwalks at Lee's Summit records center. This cost was extrapolated using a 3 percent deflation factor to all records centers. The catwalks and shelving are being depreciated on a straight-line basis over a 20-year useful life.



Fingerprint chart of Rosa Parks, December 1, 1955. In Montgomery, AL, Mrs. Parks refused a bus driver's instructions to move from her seat and was arrested for violating the laws requiring racial segregation of public buses. Her arrest touched off a bus boycott that was a milestone in the civil rights movement. (Records of District Courts of the United States, from "American Originals")

Shelving purchased and installed during FY 2001 was recorded at cost and depreciated on the same basis as the shelving and catwalks transferred into the fund. The depreciation expense recorded on shelving and catwalks during the fiscal year ended September 30, 2001, was \$1,180,210.

Other property and equipment is capitalized at cost if the initial acquisition cost is \$25,000 or more, and depreciated on a straight-line basis between 5 and 7 years useful life. Depreciation expense recorded on this property during the fiscal year ended September 30, 2001, was \$18,362.

Deferred Charges: Deferred charges represent annual leave costs earned by Revolving Fund employees and transferred to Fund at start up. The cost of \$2,374,711 was capitalized and is being amortized over 5 years.

Other Assets: Other assets include employee advances, claims against employees, and work in process for systems development and shelving.

Accounts Payable: As of September 30, payables consisted of the following:

	FY 2001
General	\$ 4,045,780
Disbursements in Transit	31,208
Totals	\$ 4,076,988

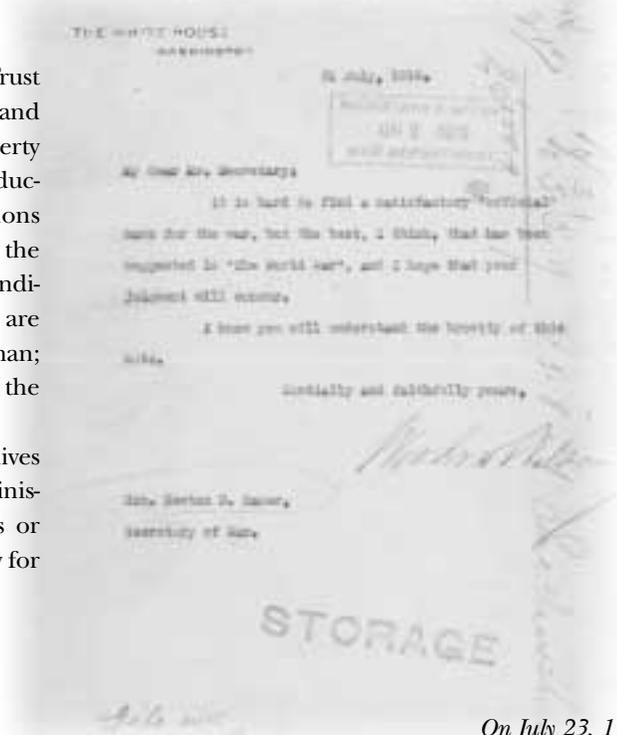
Annual Leave Liability: Annual leave liability represents the cumulative amount payable to Revolving Fund employees as annual leave at year end. Unfunded leave expense for the year is treated as an operating expense in the computation of net income or loss for the period. This treatment does not apply to sick or other leave, which is expensed as it is used.

Capital Lease: Capital leases represent the liability for shelving leased through GSA at the Dayton and Philadelphia records centers. The monthly payments are included in the rental payments to GSA. The current liability for capital leases represents the principal payments payable within a year. The long-term portion represents the principal payments payable over a period of more than 12 months.

The National Archives Trust Fund and Gift Fund

Congress established the National Archives Trust Fund Board to receive and administer gifts and bequests of money and other personal property and to receive monies from the sale of reproductions of historic documents and publications approved by the Board and in the interest of the National Archives and Records Administration and the individual Presidential libraries. The members of the Board are the Archivist of the United States, who serves as Chairman; the Secretary of the Treasury; and the Chairman of the National Endowment for the Humanities.

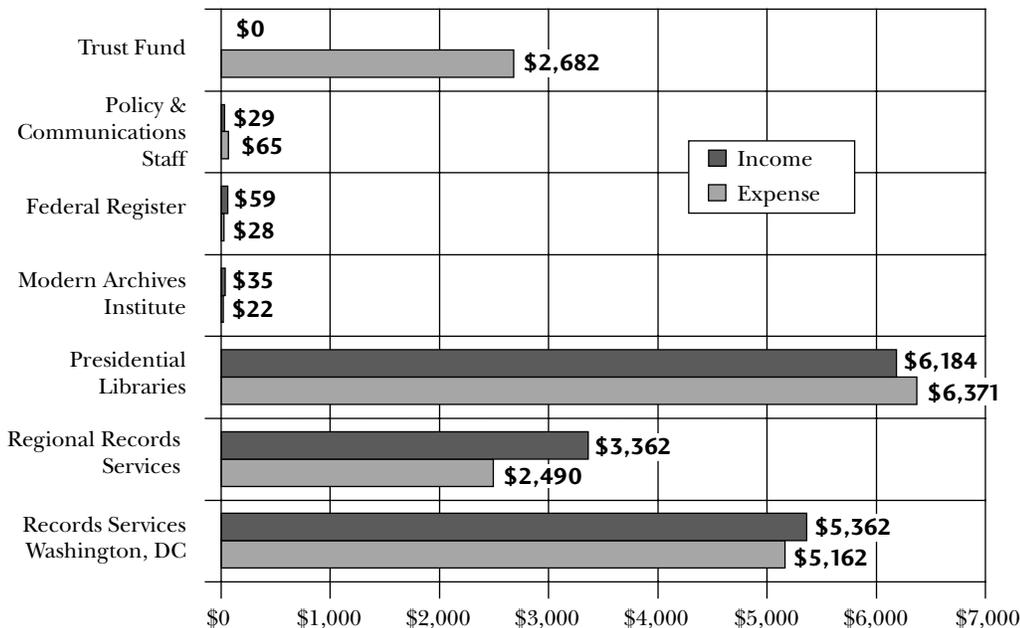
The Gift Fund is administered by the National Archives Trust Fund Board and accepts, receives, holds, and administers, in accordance with the terms of the donor, gifts or bequests of money, securities, or other personal property for the benefit of NARA activities.



On July 23, 1919, the Secretary of War asked President Woodrow Wilson to “give a name to the war” recently fought. Wilson replied in this letter of July 31 that his choice was “The World War.” (Records of the Adjutant General’s Office, 1917–, from “American Originals”)

TRUST FUND OPERATING INCOME AND EXPENSE BY ORGANIZATION

FISCAL YEAR 2001 (dollars in thousands)



TRUST FUND STATEMENTS OF OPERATIONS

FOR THE FISCAL YEARS ENDED SEPTEMBER 30

	2001			2000		
	PRESIDENTIAL LIBRARIES	OTHER TRUST FUND	TOTAL	PRESIDENTIAL LIBRARIES	OTHER TRUST FUND	TOTAL
Revenues:						
Reproduction service						
Non-Federal	\$442,672	\$6,292,651	\$6,735,323	\$450,046	\$6,374,191	\$6,824,237
Federal	272	0	272	3,559	21,422	24,981
Microform publications						
Non-Federal	0	1,699,086	1,699,086	0	923,772	923,772
Federal	0	0	0	0	36,274	36,274
Over-the-counter sales						
Non-Federal	1,995,374	696,722	2,692,096	1,931,986	975,018	2,907,004
Federal	0	8,459	8,459	10,085	7,258	17,343
Publications						
Non-Federal	0	47,798	47,798	0	78,931	78,931
Federal	0	0	0	0	8,029	8,029
Admissions						
Non-Federal	3,072,600	0	3,072,600	2,770,367	0	2,770,367
Federal	0	0	0	0	0	0
Other income						
Non-Federal	672,742	70,391	743,133	754,111	57,642	811,753
Federal	0	31,888	31,888	1,410	2,875	4,285
Total revenues	6,183,660	8,846,995	15,030,655	5,921,564	8,485,412	14,406,976
Expenses:						
Operating expenses						
Non-Federal	6,303,971	6,179,066	12,483,037	5,996,979	5,366,204	11,363,183
Federal	66,488	4,270,210	4,336,698	66,488	4,270,210	4,336,698
Total operating expenses	6,370,459	10,449,276	16,819,735	6,063,467	9,636,414	15,699,881
Income/(Loss) from operations	(186,799)	(1,602,281)	(1,789,080)	(141,903)	(1,151,002)	(1,292,905)
Other income:						
Imputed financing—expenses paid by other agencies	186,939	117,912	304,851	178,392	104,484	282,876
Prior year income - Non-Federal	0	0	0	0	0	0
Investment income - Federal	317,359	302,382	619,741	313,855	389,048	702,903
Other—Non-Federal	66,892	22,884	89,776	61,156	65,335	126,491
Total other income	571,190	443,178	1,014,368	553,403	558,867	1,112,270
Other expenses:						
Employer's pension expense	23,195	59,598	82,793	19,668	53,443	73,111
Employer's ORB expense	163,744	58,314	222,058	158,724	51,041	209,765
Prior year expense	(943)	3,360	2,417	(49,302)	(291,055)	(340,357)
Total other expenses	185,996	121,272	307,268	129,090	(186,571)	(57,481)
Excess (Shortage) of revenues over expenses	198,395	(1,280,375)	(1,081,980)	282,410	(405,564)	(123,154)
Net position, beginning of year	7,451,147	8,966,529	16,417,676	7,168,737	9,372,093	16,540,830
Net position, end of year	\$7,649,542	\$7,686,154	\$15,335,696	\$7,451,147	\$8,966,529	\$16,417,676

The accompanying notes are an integral part of this statement.

TRUST FUND BALANCE SHEETS

AS OF SEPTEMBER 30

	2001			2000		
	PRESIDENTIAL LIBRARIES	OTHER TRUST FUND	TOTAL	PRESIDENTIAL LIBRARIES	OTHER TRUST FUND	TOTAL
Entity Assets						
Current assets:						
Cash in U.S. Treasury	\$196,235	\$173,772	\$370,007	\$168,369	\$328,748	\$497,117
Cash in transit	36,287	13,922	50,209	15,001	(177,206)	(162,205)
Imprest funds	30,870	6,545	37,415	22,670	9,220	31,890
Interest receivable—Non-Federal	191	58,699	58,890	191	58,699	58,890
Investments—Federal	6,923,046	5,588,305	12,511,351	6,978,406	5,766,991	12,745,397
Accounts receivable, net of allowance for uncollectible accounts of \$55,369 for fiscal years 2001 and 2000						
Non-Federal	2,026	291,983	294,009	2,532	423,459	425,991
Federal	0	0	0	0	10,477	10,477
Inventories, net of reserve for obsolescence of \$209,557 and \$480,674, respectively, for fiscal years 2001 and 2000	776,377	203,726	980,103	720,572	387,637	1,108,209
Prepaid expenses—Federal	0	39,757	39,757	1,260	50,863	52,123
Total current assets	7,965,032	6,376,709	14,341,741	7,909,001	6,858,888	14,767,889
Non-current assets:						
Property and equipment, at cost less accumulated depreciation of \$1,052,774 and \$2,372,251, respectively, for fiscal year 2001 and \$994,804 and \$1,562,075, respectively, for fiscal year 2000	87,633	2,875,371	2,963,004	112,503	3,325,372	3,437,875
Total entity assets	\$8,052,665	\$9,252,080	\$17,304,745	\$8,021,504	\$10,184,260	\$18,205,764
Liabilities						
Current liabilities:						
Accounts payable						
Non-Federal	\$193,295	\$675,869	\$869,164	\$261,769	\$364,000	\$625,769
Federal	0	0	0	1,114	9,361	10,475
Accrued payroll—Non-Federal	65,345	22,909	88,254	175,278	86,798	262,076
Sales tax payable—Non-Federal	4,928	0	4,928	1,337	0	1,337
Deferred revenue						
Non-Federal	4,511	652,718	657,229	4,491	514,379	518,870
Federal	0	76,488	76,488	0	88,282	88,282
Total current liabilities	268,079	1,427,984	1,696,063	443,989	1,062,820	1,506,809
Long-term liabilities:						
Annual leave liability—Non-Federal	135,044	137,942	272,986	126,368	154,911	281,279
Total long-term liabilities	135,044	137,942	272,986	126,368	154,911	281,279
Total liabilities	403,123	1,565,926	1,969,049	570,357	1,217,731	1,788,088
Net Position						
Cumulative results of operations	7,649,542	7,686,154	15,335,696	7,451,147	8,966,529	16,417,676
Total liabilities and net position	\$8,052,665	\$9,252,080	\$17,304,745	\$8,021,504	\$10,184,260	\$18,205,764

The accompanying notes are an integral part of this statement.

SCHEDULES OF CHANGES IN WORKING CAPITAL

EFFECT ON WORKING CAPITAL

	2001	2000
Current assets:		
Cash in U.S. Treasury	\$(127,110)	\$(64,896)
Cash in transit	212,414	(201,606)
Imprest funds	5,525	860
Interest receivable	0	10,720
Investments	(234,046)	(1,400,068)
Accounts receivable	(142,459)	(60,617)
Inventories	(128,106)	(241,981)
Prepaid expenses	(12,366)	8,235
Current liabilities:		
Accounts payable	(232,920)	360,450
Accrued payroll	173,822	(27,407)
Sales tax payable	(3,591)	(1,337)
Deferred revenue	(126,565)	(63,611)
Decrease in working capital	<u>\$(615,402)</u>	<u>\$(1,681,258)</u>

The accompanying notes are an integral part of this statement.

NOTES TO FINANCIAL STATEMENTS AND EXPLANATIONS OF SIGNIFICANT ACCOUNTING POLICIES

Revenue: Revenue is derived from the sale of publications, reproductions of records, self-service electrostatic copies, museum shop items, Presidential library museum admissions, and investment income. Revenues are recorded on an accrual basis.

Investment Valuation: U.S. Government securities held by the Trust Fund are stated at cost adjusted for accretion of discount.

Inventory Valuation: Inventories that consist of merchandise held for sale are valued at cost, determined using an average cost method. Physical inventory counts, taken at all locations, are performed at the end of each fiscal year and appropriate adjustments are made. Inventories of supplies are expensed at the time of receipt.

Property and Equipment Valuation and Depreciation: Fixed assets are shown at original acquisition cost less accumulated depreciation. The capitalized cost of these assets is allocated over the estimated useful life by the straight-line method. Currently, all administrative and operating equipment is estimated to have a useful life of 5 years.

Annual Leave Liability: Annual leave liability represents the cumulative amount payable to Trust Fund employees as

STATEMENTS OF CHANGES IN FINANCIAL CONDITION

AS OF SEPTEMBER 30

	2001	2000
Sources of working capital:		
From operations:		
Net (loss) income	\$(1,081,980)	\$(123,154)
Items not affecting working capital:		
Depreciation	868,146	477,096
Working capital (outflow) inflow from operations	(213,834)	353,942
From other sources:		
Increase (decrease) in annual leave liability	(8,293)	36,443
Working capital inflow (outflow) from other sources	(8,293)	36,443
Working capital inflow from all sources	(222,127)	390,385
Uses of working capital:		
Purchase of operational assets	393,275	2,071,643
Total working capital used for all purposes	393,275	2,071,643
Decrease in working capital	<u>\$(615,402)</u>	<u>\$(1,681,258)</u>

The accompanying notes are an integral part of this statement.

annual leave at year end. Unfunded leave expense for the year is treated as an operating expense in the computation of net income or loss for the period. This treatment does not apply to sick or other leave, which is expensed as it is used.

Deferred Revenue: The current liability for deferred revenue represents advance payments for products and services that are to be furnished within a year. The long-term liability for deferred revenue represents collections for services to be provided over a period of more than 12 months.

Accounts Payable: As of September 30, payables consisted of the following:

	2001	2000
General	\$505,284	\$382,293
Estimated year-end accruals	311,065	228,459
Disbursements in transit	52,815	25,492
Totals	\$869,164	\$636,244

GIFT FUND BALANCE SHEETS

AS OF SEPTEMBER 30

	2001	2000
ENTITY ASSETS		
Current assets:		
Cash in U.S. Treasury	\$86,630	\$132,506
Cash in transit	(2,682)	8,072
Accounts receivable	0	70,109
Interest receivable—Non-Federal	21,346	27,620
Investments		
Non-Federal	4,710,368	4,687,795
Federal	3,611,860	4,977,324
Total entity assets	<u>\$8,427,522</u>	<u>\$9,903,426</u>
LIABILITIES		
Current liabilities:		
Accounts payable—Non-Federal	\$261,048	\$44,539
Total liabilities	261,048	44,539
NET POSITION		
Cumulative results of operations	8,166,474	9,858,887
Total Liabilities and Net Position	<u>\$8,427,522</u>	<u>\$9,903,426</u>

GIFT FUND STATEMENTS OF CHANGES IN FINANCIAL CONDITION

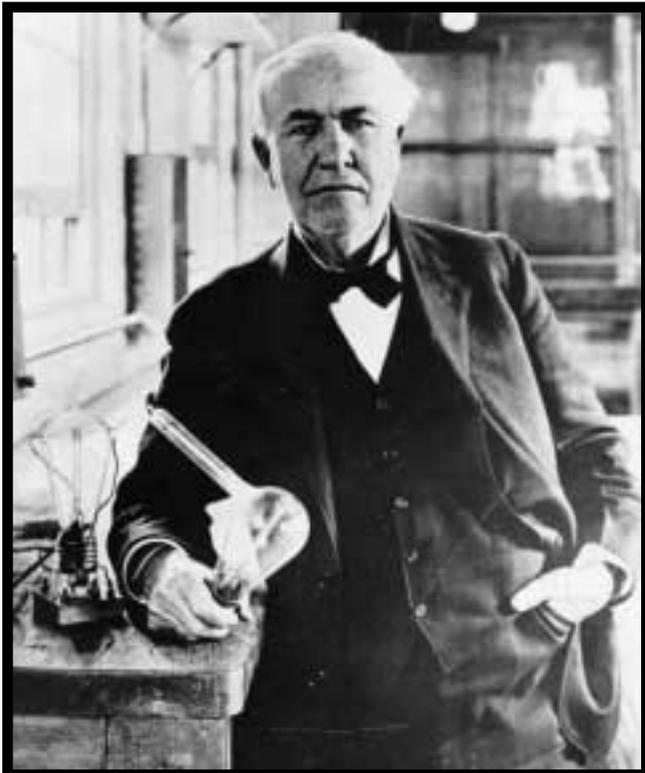
AS OF SEPTEMBER 30

	2001	2000
Sources of funds:		
(Shortage) Excess of revenue over expenses:		
Funds provided by operations	\$(3,940,781)	\$(406,012)
Grants and donations	2,248,368	3,165,216
(Shortage) Excess of revenue over expenses	<u>(1,692,413)</u>	<u>2,759,204</u>
Application of funds:		
Working capital	<u>\$(1,692,413)</u>	<u>\$2,759,204</u>

GIFT FUND SCHEDULES OF CHANGES IN WORKING CAPITAL

EFFECT ON WORKING CAPITAL

	2001	2000
Current assets:		
Cash in U.S. Treasury	\$(45,876)	\$25,812
Cash in transit	(10,754)	46,448
Accounts receivable	(70,109)	
Interest receivable	(6,274)	27,170
Investments	(1,342,891)	2,650,813
Current liabilities:		
Accounts payable	(216,509)	8,961
(Decrease) Increase in Working Capital	<u>\$(1,692,413)</u>	<u>\$2,759,204</u>



Thomas Edison, ca. 1918-19.
(Records of the U.S. Information Agency,
from "American Originals")

GIFT FUND STATEMENTS OF OPERATIONS

FOR THE FISCAL YEARS ENDED SEPTEMBER 30

	2001			2000	
	PRESIDENTIAL LIBRARIES	OTHER UNRESTRICTED	OTHER RESTRICTED	TOTAL	
Revenues:					
Grants and donations Non-Federal	\$1,369,295	\$44,620	\$834,453	\$2,248,368	\$3,165,216
Investment income					
Non-Federal	290,808	3,284	0	294,092	328,278
Federal	193,806	97,127	0	290,933	221,620
Total revenues	<u>1,853,909</u>	<u>145,031</u>	<u>834,453</u>	<u>2,833,393</u>	<u>3,715,114</u>
Expenses:					
Travel and transportation—Non-Federal	48,424	15	5,901	54,340	69,192
Supplies and materials					
Non-Federal	295,631	9,656	55,432	360,719	159,575
Federal	0	0	0	0	200
Equipment and Rental					
Non-Federal	2,037	0	0	2,037	0
Federal	0	0	0	0	0
Printing and reproduction					
Non-Federal	10,901	11,275	0	22,176	50,413
Federal	0	0	0	0	20,787
Payments to commercial contractors—Non-Federal	109,478	30,946	667,875	808,299	208,951
Payments to other agencies or funds					
Non-Federal	424,592	0	0	424,592	475
Federal	2,754,806	0	86,203	2,841,009	408,397
ASB Capital Management Fee—Non-Federal	11,890	48	31	11,969	11,130
Total expenses	<u>3,657,759</u>	<u>51,940</u>	<u>815,442</u>	<u>4,525,141</u>	<u>929,120</u>
Adjustments to prior year operations	665	0	0	665	26,790
Excess (Shortage) of revenues over expenses	(1,804,515)	93,091	19,011	(1,692,413)	2,759,204
NET POSITION, BEGINNING OF YEAR	<u>8,089,867</u>	<u>337,632</u>	<u>1,431,388</u>	<u>9,858,887</u>	<u>7,099,683</u>
NET POSITION, END OF YEAR	<u>\$6,285,352</u>	<u>\$430,723</u>	<u>\$1,450,399</u>	<u>\$8,166,474</u>	<u>\$9,858,887</u>



“Laura Petty, a 6 year old berry picker on Jenkins Farm.” By Lewis Hine, June 7, 1909. (102-LH-829, from “Picturing the Century”)

GIFT FUND DONATIONS

FISCAL YEAR 2001

OFFICE	DONOR	AMOUNT	TOTAL GIFTS
<i>Presidential Libraries</i>			
Hoover Library	Miscellaneous	\$1,858	\$1,858
Roosevelt Library	VIDDA Foundation	10,000	
	Edelman Foundation	5,000	
	Franklin and Eleanor Roosevelt Institute*	2,260	
	Franklin and Eleanor Roosevelt Institute (non-cash)*	4,437	
	Miscellaneous	186	21,883
Truman Library	Harry S. Truman Institute*	912,216	
	Miscellaneous	3,171	915,387
Eisenhower Library	Eisenhower Foundation*	5,000	
	Miscellaneous	4,031	9,031
Kennedy Library	Ucross Foundation Clearmont	1,000	
	Miscellaneous	68	1,068
Johnson Library	LBJ Foundation*	122,116	
	Miscellaneous	6,495	128,611
Ford Library	Gerald R. Ford Foundation	5,500	
	Gerald R. Ford Foundation (non-cash)	2,000	
	Miscellaneous	1,553	9,053
Carter Library	Americans for Balanced Energy Choices	40,000	
	Miscellaneous	6,536	46,536
Bush Library	Texas A & M Foundation*	66,520	
	Bush Foundation*	159,226	
	Brazos Valley Council of the Arts	1,000	
	The Community Foundation for the National Capital Region	1,500	
	Miscellaneous	1,965	230,211
Clinton Presidential Materials	Miscellaneous		155
Reagan Library	Reagan Presidential Foundation	2,987	
	Miscellaneous	2,515	5,502
Total Presidential Libraries			\$1,369,295

Continued on next page.

* Amount shown represents multiple gifts from this donor. Miscellaneous gifts include donations of less than \$1,000.



“American Originals” displayed John F. Kennedy’s handwritten draft of his inaugural address and this picture of his swearing-in, January 20, 1961. (Records of the Office of the Chief Signal Officer)

GIFT FUND DONATIONS *continued*

DONOR	AMOUNT	TOTAL GIFTS
<i>General, Cultural, & Archival</i>		
AT&T, through the Foundation for the National Archives	\$250,000	
Jeanette Rudy, through the Foundation for the National Archives	100,247	
National Endowment for the Arts, through the Foundation for the National Archives	65,000	
Xerox, through the Foundation for the National Archives	10,000	
The Guggenheim Family, through the Foundation for the National Archives	10,000	
Danforth Foundation, through the Foundation for the National Archives	8,000	
Bay Foundation, through the Foundation for the National Archives	7,500	
Sallie Mae, through the Foundation for the National Archives	5,000	
New York Public Library, through the Foundation for the National Archives	100,000	
Science City at Union Station, through the Foundation for the National Archives	50,000	
The Witte Museum, through the Foundation for the National Archives	50,000	
Ohio Historical Society, through the Foundation for the National Archives	50,000	
Carter Center	50,000	
Dr. Carmelita Ryan	6,000	
Foundation for the National Archives*	22,500	
National Film Preservation Foundation	32,999	
The Perot Foundation	3,187	
The Federalist Society for Law & Public Policy Studies	1,500	
The Brookings Institution	1,500	
American Institute of Aeronautics and Astronautics	1,500	
The George Washington University Law School	1,500	
Citigroup	1,500	
Stanford University	1,735	
Kodak (non-cash)	8,000	
Miscellaneous (cash)	3,917	
Total General, Cultural & Archival		\$841,585
<i>Office of Regional Records Services</i>		
Northeast—Boston	Miscellaneous	\$3,213
Northeast—Pittsfield	Miscellaneous	284
Northeast—New York	A friend of the National Archives	1,000
	Caroline Ruda	1,000
	Miscellaneous	1,130
Mid-Atlantic—Philadelphia	Miscellaneous	3,130
Southeast—Atlanta	Friends of the National Archives	2,204
	Miscellaneous	5,693
	Miscellaneous (non-cash)	2,246
		389
Great Lakes—Chicago	Miscellaneous	8,328
Central Plains—Kansas	Miscellaneous	1,178
NPRC—Military	Miscellaneous	1,694
Southwest—Fort Worth	Miscellaneous	475
Rocky Mountain—Denver	Miscellaneous	1,000
Pacific—San Francisco	Miscellaneous	1,810
Pacific—Laguna	Miscellaneous	1,615
Pacific Alaska—Seattle	Miscellaneous	7,997
Pacific Alaska—Anchorage	Miscellaneous	4,468
		92
Total Office of Regional Records Services		\$37,488
TOTAL DONATIONS		\$2,248,368

* Amount shown represents multiple gifts from this donor. Miscellaneous gifts include donations of less than \$1,000.

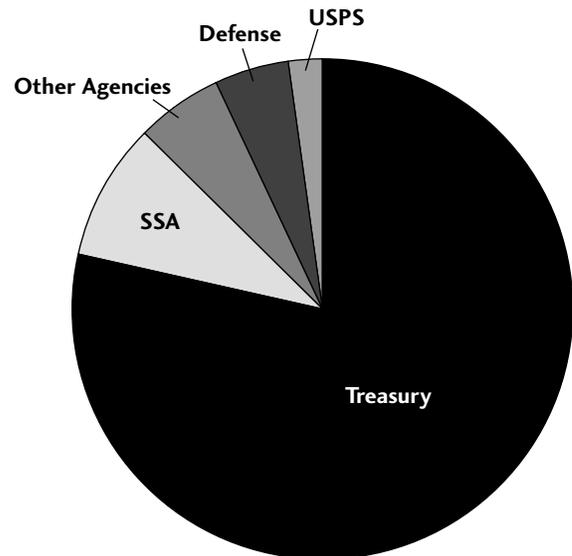
Our landings in the
 Cherbourg - Have our
 hours failed to gain a
 satisfactory foothold and
 I hope with ~~the~~
 the troops. ~~have been~~
~~withdrawn.~~ ~~My decision to~~
~~cancel~~ My decision to
 attend at this time and for
 was based upon the best
 information available, ~~and~~
 the troops, the air and the
 Navy did all that ~~was~~
 Bragg and devotion to duty
 could do. If any blame
 or fault attends to the attempt
 it is mine alone.

July 5

A note, in case of failure of the D-day invasion, by Gen. Dwight D. Eisenhower, June 5, 1944, but misdated July 5. (Dwight D. Eisenhower Library, from "American Originals")

RECORDS DISPOSED BY NARA FEDERAL RECORDS CENTERS

FISCAL YEAR 2001



AGENCY	HOLDINGS (CUBIC FEET)	PERCENTAGE
Treasury	717,766	78.6%
Social Security Administration	80,862	8.9%
Other Agencies*	50,909	5.6%
Defense	43,621	4.8%
U.S. Postal Service	19,953	2.2%
Total Cubic Feet of Disposals	913,111	100%

* Includes other agencies with less than 9,000 c.f. of disposal

There is an increase in disposal from FY2000 due to some agencies lifting the Tobacco Industry Litigation Freeze.

Note: Source of data is the NARS-5 History report, which is subject to indefinite end-of-year adjustments. Totals may not cross-reference to published performance indicators because those numbers are taken directly from the Federal Records Centers' CARS report, which has an absolute cut-off date 2 weeks following the end of the fiscal year.