

Senior Agency Official for Records Management 2016 Annual Report



The [OMB/NARA Managing Government Records Directive \(M-12-18\)](#) requires Senior Agency Officials for Records Management (SAORM) to provide an annual report to NARA. This report demonstrates how your organization is achieving the goals of the *Directive* and other important initiatives as identified by NARA.

NARA uses the reports to determine the overall progress of the Federal Government in meeting the goals of the *Directive*, including implementing proper email management and transitioning to electronic recordkeeping. Additionally, NARA uses the report for information sharing purposes to provide best practices and model solutions with Federal agencies.

The reporting period begins on January 9, 2017 and reports are due back to NARA no later than March 17, 2017.

NARA plans to post your 2016 SAORM report on the NARA website upon receipt. Please ensure that your agency's report is a publicly releasable version. This action is in the interest of transparency in Government and to promote collaboration and communication among agencies.

Instructions for Reporting

- This template covers progress through December 31, 2016.
- Please be brief and precise in your answers. Limit answers to each question to no more than 500 words.
- Please complete the questions/items below and send the report to PRMD@nara.gov. Include the words "SAORM annual report" in the subject line of the email.
- If you are responsible for records management in multiple agencies, components, or bureaus, please determine how you will submit reports to NARA. While NARA prefers a comprehensive report, you may submit separate reports for each component.

Provide the following information (required):

- Name of SAO: Sheila Stokes, General Counsel
Court Services and Offender Supervision Agency (CSOSA)
633 Indiana Avenue NW Suite 1234
Washington DC 20004
(202) 220-5797

Name of SAO: Leslie Cooper, Deputy Director
Pretrial Services Agency for the District of Columbia (PSA)
633 Indiana Avenue NW Suite 1100
Washington, DC 20004
(202) 220-5651

1. What agencies, bureaus, components, or offices are covered by this report and your position as SAORM?

Please list below:

Court Services and Offender Supervision Agency for the District of Columbia (CSOSA)

Pretrial Services Agency for the District of Columbia (PSA)

2. Did your agency and components meet the M-12-18, target goal 1.2 to manage all email records in an electronic format by December 31, 2016? (M-12-18, Goal 1.2 and OMB Circular A-130 5(h), 3(b), page 19)

Yes

No

If No, please list and explain which part of your agency or components did not meet the deadline?

(Please note: Through a separate reporting request we will be asking your agency records officers to provide additional information regarding compliance with this target according to the email success criteria published by NARA in April 2016. [Criteria for Managing Email Records in Compliance with the Managing Government Records Directive \(M-12-18\)](#))

3. Did your agency and its components meet the M-12-18 target deadline to schedule all existing paper and non-electronic records by December 31, 2016? (M-12-18, Part I, Section 2.5)

Yes

No

If No, please list which part of your agency or components did not and why?

4. Is your agency and its components making progress toward meeting the M-12-18 deadline to manage all permanent electronic records electronically? (M-12-18, Goal 1.1)

Yes

No

If Yes, please describe this progress.

CSOSA and PSA are jointly engaged in efforts to acquire a 5015.2 certified Electronic Document Records Management System (EDRMS). The EDRMS will manage the permanent records as well as all other electronic records for both CSOSA and PSA. It is expected that the EDRMS will be procured by the end of FY 17, which will ensure that PSA and CSOSA have a system operating ahead of the December 31, 2019 deadline.

If No, please list which part of your agency or components did not and why?

5. Has your agency developed plans or taken actions to evaluate and implement the digitization of permanent records created in hard copy or other analog formats (e.g., microfiche, microfilm, analog video, analog audio)? (M-12-18 Goal 1.1)

Yes

No

Please describe your specific plans or actions.

Both CSOSA and PSA have had a comprehensive assessment done on their records programs in FY14. This evaluation determined that the volume of permanent records created in hard copy does not necessitate digitization by PSA or CSOSA.

6. Have you, as the SAORM, taken steps to include records management as a key component of your agency's information resources management

strategy in accordance with the revised OMB Circular A-130, Managing Information as a Strategic Resource? (OMB A-130 5.h, page 19)

Yes

No

If Yes, please describe what steps have been taken.

Both CSOSA and PSA have taken steps to ensure that records management is included and considered a key component when developing new or maintaining current information management systems. CSOSA's Records Officer and PSA's Records Manager play critical roles in the procurement and development of an Electronic Document Records Management System (EDRMS) to manage all information assets and resources. Additionally, CSOSA and PSA's Office of Information Technology and its Records Management Programs formed a distinct partnership in 2016, which has resulted in an open and ongoing dialogue between Agency components. Lastly, the SAO for CSOSA has taken steps to ensure that responsibility for the Agency's Paperwork Reduction Act (PRA) and other information resource-related strategies lies within the Office of the General Counsel. The Records Officer for CSOSA plays a vital role in this process and has received appropriate training regarding the PRA.