National Industrial Security Program Policy Advisory Committee (NISPPAC) Meeting  
Wednesday, July 15, 2020 - 10:00 a.m. - 12:30 p.m.  
National Archives and Records Administration  
Meeting held virtually

Agenda

I. Welcome, Introductions, and Administrative Matters 15 mins

II. Action Item Follow Up 15 mins

III. Vote on NISPPAC Bylaws 15 mins

IV. Reports and Updates

- **Department of Defense Update** 5 mins
  Mr. Garry Reid, Director for Defense Intelligence, Intelligence & Security, Counterintelligence, Law Enforcement & Security

- **Defense Security Counterintelligence Agency Update** 15 mins
  DCSA Staff

- **Industry Presentation** 10 mins
  Ms. Heather Sims, Industry Spokesperson

- **Office of the Director of National Intelligence (Security Executive Agent)** 10 mins
  Ms. Valerie Kerben

- **Controlled Unclassified Information (CUI) Update** 10 mins
  Mr. Mark Riddle

V. Break 5 mins

VI. Clearance Working Group Report 5 mins
  Mr. Greg Pannoni

VII. Clearance Processing Statistics 5 mins
  Mr. Perry Russell-Hunter, DOHA

VIII. GSA Containers 20 mins
  Mr. Roy Jusino

IX. General Open Forum/Discussion 10 mins

X. Closing Remarks and Adjournment 10 mins
The NISPPAC bylaws were modified.

Garry Reid, Director of Defense Intelligence, Counterintelligence, Law Enforcement and Security, Office of the Secretary of Defense referred to Defense Secretary Mark Esper’s Homeland Security Advisory Council (HSAC) meeting from the previous week. There is an effort to make upgrades in the defense enterprise relative to operation, security, and protection of sensitive information and prevention of unauthorized disclosures. Much of this effort will come in protecting pre-decisional information.

Mr. Reid briefed that there are attempts to get the National Industrial Security Program (NISP) Operating Manual (NISPOM) change out of legal and into the Office of Management and Budget (OMB) to get into the rulemaking process. The most substantive change will be Security Executive Agency Directive (SEAD) 3, Reporting Requirements for Personnel with Access to Classified Information or Who Hold a Sensitive Position, implementation.

Keith Minard, DCSA, provided the next update. He briefed that in order to address pandemic impacts, Defense Counterintelligence and Security Agency (DCSA) has implemented a wide range of initiatives to ensure they continue engagement with industry and government partners to continue oversight and support to the NISP. Some of the changes include moving to continuous assessments instead of on-site visits. In addition, DCSA will continue to process facility security clearances (FCLs). DCSA will continue to hold Foreign Ownership, Control or Influence (FOCI) meetings in virtual formats.

DCSA is planning guidance and resources for implementing SEAD 3.

There have been administrative updates to the Industrial Security Letter (ISL) 2011-04, Adverse Information. The administrative changes address the use of Defense Information System for Security (DISS) for the submission of incident reports and adverse information, which is the replacement for Joint Personnel Adjudication System (JPAS) as a system of record for personnel security.

Contractors who have not obtained their DISS accounts should do so immediately. The transition to DISS for incident reporting is supposed to occur on August 15, 2020. The ISL includes information for the DISS support team and links to training on adverse information reporting to better enable industry in this transition.

The vetting components of DCSA has seen timelines dropping since their merger as a single division that handles end-to-end process under FCL and FOCI.

DCSA is assessing output in the application monitoring tool and will be planning improvements to the National Industrial Security System (NISS) application infrastructure to improve system
performance. Feedback to DCSA is encouraged to ensure they are making the necessary changes.

The federal acquisition rule has been issued on NISP Contract Classification System (NCCS) for DD Form 254s, DoD Contract Security Classification Specification with an effective date of August 3, 2020. It requires use of NCCS by Department of Defense (DoD) and non-DoD service branch signatories for industrial security services.

Heather Sims, Industry Spokesperson, addressed sections of the National Defense Authorization Act (NDAA) of fiscal year (FY) 2019, such as Section 889 which will take effect on August 13, 2020. Industry associations have recommended language to extend Section 889 implementation. While there are still many unknown implications to this rule, it is necessary that more collaboration with government is required to ensure there is no disruption to services or products. Industry is looking for solutions to the shared concerns about the risk to the supply chain. Industry would like a NISPPAC supply chain working group.

NDAA for FY 2019 Section 842, Removal of National Interest Determination (NID) Requirements for Certain Entities states a covered National Technology and Industrial Base (NTIB) entity operating under a special security agreement pursuant to the NISP shall not be required to obtain a NID as a condition for access to proscribed information beginning October 1, 2020.

The next scheduled NID Working Group is expected to discuss the current NID process, identify gaps, and work to find efficiencies in the process to limit processing timelines.

Industry does not yet have a full listing of companies under a NID obligation to better understand the full impact of the issue from an industry perspective. Industry is working on a Freedom of Information Act (FOIA) request to better understand the impacts and areas of concerns.

Industry referred to Section 9403 of the NDAA for FY 2021 federal policy on the sharing of information pertaining to contractor employees in the trusted workforce.

Industry is closely monitoring the impacts of new legislation and policy while still being focused on many of the Cognizant Security Agency (CSA)’s efforts to provide oversight to the industrial base. Industry is observing DoD’s efforts to move the NISP from a compliance-based program to a more risk-based process.

Industry is concerned about new processes for telesecurity plans being developed under the name Standard Security Processes, development of the security rating score and other oversight procedures with little industry engagement.

A strategic vision and communication strategy of efforts would be beneficial to industry and government customers for whom DCSA provides oversight. Industry has been exerting an enormous amount of resources in managing all the government systems being developed and utilized to manage the NISP.
New industry member nominations are expected to the NISPPAC Chairman by September 1, 2020.

Valerie Kerben, ODNI, briefed that William Evanina was confirmed by the Senate in May 2020 to be the Director, National Counterintelligence and Security Center (NCSC).

SEAD 8, Temporary Eligibility, was signed May 2020 and posted on ncsc.gov.

Since SEAD 2, Use of Polygraph in Support of Personnel Security Determinations for Initial or Continued Eligibility for Access to Classified Information or Eligibility to Hold a Sensitive Position, was issued, there have been many policy updates.

The National Center for Credibility Assessment (NCCA) is going to transfer from Defense Intelligence Agency (DIA) to DCSA.

The Trusted Workforce 2.0 Executive Steering Group continues to meet monthly and to move the process forward. They continue to work on the policy construct for the next set of documents in the policy framework. There were three top level documents to implement and give direction to the Executive Agents (EA) and agency heads, including executive correspondence (EC) which was signed February 3, 2020. An important aspect of the EC is to drive early adoption for compliance with periodic reinvestigations through a continuous vetting program.

The federal core vetting doctrine was submitted for informal agency review. The core vetting doctrine was approved by the EAs to go to OMB June 22, 2020 for interagency review.

The EAs and the Performance Accountability Council (PAC) Program Management Office (PMO) hosted a meeting June 30, 2020 with ISOO and NISPPAC Industry members to further discuss the federal core vetting doctrine.

Devin Casey, ISOO, briefed on CUI. He stated Controlled Unclassified Information (CUI) Notice 2020-1 on Agency Reporting has a section which details the progress of agencies implementing the CUI program. ISOO expects to see most agency’s CUI policies published and finalized. It describes the deadlines for implementation in order to help agencies as they are finalizing their implementation plans. Agencies are to report on the status of their CUI program by November 1, 2020.

CUI Notice 2020-02, Alternate Marking Methods, and CUI Notice 2020-03, Non-Disclosure Agreement Template for CUI have been published.

There is an effort to address the potential for insider threat in the CUI environment. The CUI office has collaborated with the National Insider Threat Taskforce, as well as OMB, on efforts to provide CUI understanding to the insider threat environment.

There is a CUI metadata standard, which is an optional practice that agencies can use to support their CUI programs. CUI has been working with the National Information Exchange Model (NIEM) to create a standard as well as a domain in the new NIEM architecture.
National Institute of Standards and Technology (NIST) 800-172, Enhanced Security Requirements for Protecting Controlled Unclassified Information, is out for public comment on the NIST website.

The CUI Federal Acquisition Regulation (FAR) projected comment period will be October - December, 2020.

Greg Pannoni, ISOO, briefed. Cost collection data’s importance was explained. The goal is to have a mechanism in place for FY 21 to provide more appropriate and pertinent cost data relative to industry’s expenses in implementing the NISP.

There will be the creation of a new working group to discuss NISP systems.

There will be an Insider Threat Working Group meeting within 45 days of the NISPPAC meeting.

Heather Green, DCSA, briefed next. There are Continuous Evaluation (CE) industry frequently asked questions (FAQs) available on the DCSA website.

Roy Jusino, GSA, briefed next. The exemption process that allowed the US government contractors to contact General Services Administration (GSA) was a temporary solution to fill the gap while contracting officers and US government contractors made the arrangements to order through the GSA global supply system. This process was discontinued September 2019 when it was discovered that a number of purchases were being made through third party businesses.

Michael Scott, Department of Homeland Security stated that they have been teaming and following with the DCSA and ODNI’s input on getting fingerprints and package submissions.

SUMMARY OF ACTION ITEMS

- Industry to provide instances of delayed National Interest Determinations (NIDs)
  STATUS: OPEN. Industry is submitting a Freedom of Information Act (FOIA) request for listing of foreign ownership control or influence entities in the NIDs.

- ISOO will convene a NISPPAC NID working group meeting with industry representatives. DCSA was going to address the challenges in the NID process.
  STATUS: OPEN. Expect to be scheduled within 60 days.

- The Chair requested government and industry to provide metrics from the NID working group.
  STATUS: CLOSED. There will be a separate Cognizant Security Agency (CSA) and Cognizant Security Office (CSO) working group so that the U.S. government can come together on some of the challenges of the NID process. This is closed due to duplicative action items (see first action item).
• Defense Counterintelligence Security Agency (DCSA) is still in process of internal and formal coordination of an Industrial Security Letter (ISL) on Insider Threat Program, which will replace ISL 2016-02. 
STATUS: OPEN. It is in formal review at DCSA.

• Industry proposed to have another Insider Threat Working Group meeting in order to discuss the maturity of the insider threat program. 
STATUS: OPEN. Expect to be scheduled within 60 days.

• Access to the Defense Information for Security System (DISS) by non-Department of Defense (DoD) agencies. 
STATUS: CLOSED. Considered completed.

• There will be a meeting in August for industry and government to discuss ongoing issues with the National Industrial Security System (NISS). 
STATUS: CLOSED. The meeting took place.

• Office of the Director of National Intelligence (ODNI) expects to host a meeting in the fall to discuss the state of the Trusted Work Force program to address the concerns of industry. 
STATUS: CLOSED. Valerie Kerben, ODNI, addressed this issue during the meeting. They have met.

• ISOO requested DoD to take the issue of cyber assurance back to confirm what level of confidentiality, integrity, and availability for the NISP Contracts Classification System (NCCS) is or is planned to be. 
STATUS: CLOSED. The Defense Logistics Agency (DLA) manages the systems accreditation for the Procurement Integrated Enterprise Environment (PIEE), on which NCCS resides as a module.

• DoD to provide an update on critical technology protection. 
STATUS: CLOSED. DoD provided an update during the meeting on this topic.

• DCSA, was going to take an action item for the DCSA Enterprise Business Support Office (EBSO) to hold a stakeholder’s group meeting. 
STATUS: CLOSED.

• Bylaws are in the process of being modified which will allow the senior agency official to nominate a member. 
STATUS: CLOSED. The motion was voted on and passed during the meeting.

• Industry requested that DCSA re-engage with industry on the relationship between the RISO (Risk-Integration Security Oversight) program, DiT (Defense in Transition), the Tailored Security Plan (TSP), and the Security Rating Score (SRS). There have been
misunderstandings throughout industry on the terminology, the process associated with these initiatives, and the relationship between these concepts and assessments.

**STATUS: CLOSED.** DCSA is revamping these programs and how they apply to industry.
**Q&As from NISPPAC:**

1) We have heard that if the NISPOM is not released within 3 months of the election, it will not be released until after. When is the anticipated release date? DoD is working through the process for the proposed NISPOM reissuance to also become a new federal rule since it has an impact on the public. Once a NISPOM federal rule is approved, then DoD can publish the NISPOM. We don’t anticipate that occurring until sometime after November.

2) Is DCSA waiting on the release of the NISPOM before releasing the SEAD 3 ISL? The proposed ISL that includes implementation of SEAD 3 reporting requirements will not be issued until the NISPOM reissuance and rule have been published.

3) There are currently five draft ISLs. Where are they in the process?

   - **SEAD 3 ISL:** still in draft; received NISPPAC feedback, will not be issued until after NISPOM reissuance/rule approval/publication
   - **Insider Threat ISL:** with DCSA OGC.
   - **DISS ISL:** The DISS ISL is being processed
   - **Evaluated Product List:** with DoD OGC
   - **Top Secret Accountability:** with DoD OGC

4) DCSA continues to speak of lowering their eQIP inventory by improved timelines but we’re seeing more eQIPs timeout/terminate while they are pending review by VROC. What is DCSA doing to mitigate these issues? The most common eQIP timeouts is no receipt of Subject fingerprints and rarely the eQIP is placed into processing for PR deferment and inadvertently times out. VROC will be engaging with Industry partners to review a sampling of cases, identify any additional systemic issues and develop appropriate solutions.

5) DCSA spoke about processing interim eligibilities within 5 days of receipt but I’ve had several pending for over 30 days. So, is the 5 days an estimate or an actual count? On average, over 90% of interims eligibilities are processed within 5 days of receipt. Timely receipt of fingerprints is a critical component to ensure VROC obtains the necessary information to make an interim eligibility determination.
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Russo, Lawrence
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Seiler, Jason
Sharp, Mabel
Shaw, Rudy
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Solorzano, Mary
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ATTACHMENT 1
DCSA PV INVESTIGATIONS/ADJUDICATIONS | Industry

**INVESTIGATION**

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**ADJUDICATION**

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**END-TO-END TIMELINESS** (Fastest 90% of adjudicated investigations in days)

Data as of: 7/6/2020

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY
**Report Date: 7/7/2020**

**DCSA PV INDUSTRY PSI-I INFO | CONTINUOUS EVALUATION**

### FY20 PSI EXECUTION

- **130k** Requests for Investigations Processed
- **5 days** Interim Security Clearance Determinations Timeliness
- **844k** NISP Contractors With Clearance Eligibility

### DISS WAY FORWARD

**When Should I Get a DISS Account?**

- **Now!!!** You must send the entire PSSAR packet to the following email address: dcsa.dcsa-northern.dcsa-dvd.mbx.diss-provisioning@mail.mil
- For the most up to date provisioning instructions, and additional guidance/tips for when you log in, please visit the DCSA website at https://www.dcsa.mil/is/diss/

**Reminder**

- **CE Alert Rate**
  - Rate of CE Alerts Received
  - **6%**

**Population by Eligibility**

- Secret: 7yr
  - 69% 7yr
- TS: 2yr 7mo
  - 6% before next PR due to begin

**Population by Department**

- Army 34%
- Air Force 20%
- Industry 21%
- USMC 8%
- Navy 15%
- 4th Estate 2%

---

**Report Date: 7/7/2020**

**CE FY20 Valid Alert by Guideline**

- Total Subjects Enrolled in CE: ~2.2 mil
- Industry Subjects Enrolled in CE: ~455,000

**Early Detection and Risk Mitigation**

- **87,803** Industry PRs Deferred into CE to Date

**Industry PRs Deferred into CE to Date**

- Interim Security Clearance Determinations Timeliness
- NISP Contractors With Clearance Eligibility
- Requests for Investigations Processed

**When Should I Get a DISS Account?**

- **Now!!!** You must send the entire PSSAR packet to the following email address: dcsa.dcsa-northern.dcsa-dvd.mbx.diss-provisioning@mail.mil
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ATTACHMENT 2
Workload & Timeliness Performance Metrics

Nuclear Regulatory Commission
### Quarterly NRC Timeliness Performance Metrics

#### Average Days for Fastest 90% of Reported Clearance Decisions Made

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Monthly Timeliness for Fastest 90% of Initial Top Secret (T5) Security Clearance Decisions

**GOAL:**
- **Initiation** – 14 days
- **Investigation** – 80 days
- **Adjudication** – 20 days

**Total Adjudications Reported**

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**End-to-End Timeliness (Fastest 90%)**

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Monthly Timeliness for Fastest 90% of Initial Secret (T3) Security Clearance Decisions

**GOAL:**
- **Initiation** – 14 days
- **Investigation** – 40 days
- **Adjudication** – 20 days

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Monthly Timeliness for Fastest 90% of Top Secret Reinvestigation (T5R) Security Clearance Decisions

**GOAL: Initiation – 14 days**

- Jun 2019: 10
- Jul 2019: 16
- Aug 2019: 37
- Sep 2019: 11
- Oct 2019: 25
- Nov 2019: 18
- Dec 2019: 17
- Jan 2020: 28
- Feb 2020: 15
- Mar 2020: 8
- Apr 2020: 8
- May 2020: 6

**Investigation – 150 days**

- Jun 2019: 154
- Jul 2019: 153
- Aug 2019: 154
- Sep 2019: 131
- Oct 2019: 165
- Nov 2019: 112
- Dec 2019: 119
- Jan 2020: 104
- Feb 2020: 71
- Mar 2020: 91
- Apr 2020: 102
- May 2020: 138

**Adjudication – 30 days**

- Jun 2019: 9
- Jul 2019: 10
- Aug 2019: 15
- Sep 2019: 16
- Oct 2019: 8
- Nov 2019: 8
- Dec 2019: 17
- Jan 2020: 8
- Feb 2020: 6
- Mar 2020: 7
- Apr 2020: 7
- May 2020: 138

**End-to-End Timeliness (Fastest 90%)**

- Jun 2019: 173 days
- Jul 2019: 179 days
- Aug 2019: 206 days
- Sep 2019: 157 days
- Oct 2019: 206 days
- Nov 2019: 138 days
- Dec 2019: 144 days
- Jan 2020: 149 days
- Feb 2020: 94 days
- Mar 2020: 105 days
- Apr 2020: 117 days
- May 2020: 151 days
Monthly Timeliness for Fastest 90% of Secret Reinvestigation (T3R) Security Clearance Decisions

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ATTACHMENT 3
Workload & Timeliness
Performance Metrics

Dept. of Energy
Quarterly DOE Timeliness Performance Metrics

Average Days for Fastest 90% of Reported Clearance Decisions Made

<table>
<thead>
<tr>
<th></th>
<th>All Initial (T3/T5)</th>
<th>Top Secret (T5)</th>
<th>Secret (T3)</th>
<th>TS Reinvest (T5R)</th>
<th>Secret Reinvest (T3R)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Days</td>
<td>189</td>
<td>148</td>
<td>126</td>
<td>119</td>
<td>206</td>
</tr>
<tr>
<td>FY19-Q3</td>
<td>157</td>
<td>22</td>
<td>13</td>
<td>12</td>
<td>114</td>
</tr>
<tr>
<td>FY19-Q4</td>
<td>114</td>
<td>94</td>
<td>91</td>
<td>9</td>
<td>10</td>
</tr>
<tr>
<td>FY20-Q1</td>
<td>94</td>
<td>91</td>
<td>9</td>
<td>9</td>
<td>10</td>
</tr>
<tr>
<td>FY20-Q2</td>
<td>91</td>
<td>9</td>
<td>9</td>
<td>9</td>
<td>10</td>
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<tr>
<td>Initiate</td>
<td>13</td>
<td>12</td>
<td>13</td>
<td>12</td>
<td>11</td>
</tr>
<tr>
<td>Investigate</td>
<td>24</td>
<td>121</td>
<td>13</td>
<td>12</td>
<td>13</td>
</tr>
<tr>
<td>Adjudicate</td>
<td>13</td>
<td>9</td>
<td>9</td>
<td>9</td>
<td>9</td>
</tr>
</tbody>
</table>

Adjudication actions reported – 3rd Q FY19: 3,418

Adjudication actions reported – 4th Q FY19: 3,773

Adjudication actions reported – 1st Q FY20: 3,358

Adjudication actions reported – 2nd Q FY20: 3,376
Monthly Timeliness for Fastest 90% of Initial Top Secret (T5) Security Clearance Decisions

**GOAL: Initiation – 14 days**

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</thead>
<tbody>
<tr>
<td>Total Adjudications Reported</td>
<td>825</td>
<td>924</td>
<td>918</td>
<td>925</td>
<td>852</td>
<td>760</td>
<td>748</td>
<td>1,039</td>
<td>913</td>
<td>760</td>
<td>633</td>
<td>545</td>
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</table>

**Investigation – 80 days**

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<td>1,039</td>
<td>913</td>
<td>760</td>
<td>633</td>
<td>545</td>
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</table>

**Adjudication – 20 days**

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<td>913</td>
<td>760</td>
<td>633</td>
<td>545</td>
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**End-to-End Timeliness (Fastest 90%)**

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<td>545</td>
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**End-to-End Timeliness (Fastest 90%)**

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<td>913</td>
<td>760</td>
<td>633</td>
<td>545</td>
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**End-to-End Timeliness (Fastest 90%)**

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<tbody>
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<td>1,039</td>
<td>913</td>
<td>760</td>
<td>633</td>
<td>545</td>
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</tbody>
</table>
Monthly Timeliness for Fastest 90% of Initial Secret (T3) Security Clearance Decisions

**GOAL:**
- **Initiation:** 14 days
- **Investigation:** 40 days
- **Adjudication:** 20 days

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</tr>
</thead>
<tbody>
<tr>
<td><strong>Total Adjudications Reported</strong></td>
<td>191</td>
<td>274</td>
<td>224</td>
<td>348</td>
<td>364</td>
<td>268</td>
<td>312</td>
<td>259</td>
<td>203</td>
<td>105</td>
<td>195</td>
<td>112</td>
</tr>
<tr>
<td><strong>End-to-End Timeliness (Fastest 90%)</strong></td>
<td>146 days</td>
<td>130 days</td>
<td>141 days</td>
<td>120 days</td>
<td>113 days</td>
<td>125 days</td>
<td>112 days</td>
<td>115 days</td>
<td>113 days</td>
<td>103 days</td>
<td>79 days</td>
<td>96 days</td>
</tr>
</tbody>
</table>
Monthly Timeliness for Fastest 90% of Top Secret Reinvestigation (T5R) Security Clearance Decisions

**GOAL:**
- **Initiation** – 14 days
- **Investigation** – 150 days
- **Adjudication** – 30 days

### Total Adjudications Reported

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>1,031</td>
<td>1,210</td>
<td>1,276</td>
<td>1,308</td>
<td>1,235</td>
<td>874</td>
<td>898</td>
<td>970</td>
<td>1,507</td>
<td>1,256</td>
<td>971</td>
<td>584</td>
</tr>
</tbody>
</table>

### End-to-End Timeliness (Fastest 90%)

<table>
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<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>361 days</td>
<td>330 days</td>
<td>336 days</td>
<td>312 days</td>
<td>202 days</td>
<td>171 days</td>
<td>196 days</td>
<td>259 days</td>
<td>312 days</td>
<td>243 days</td>
<td>176 days</td>
<td>112 days</td>
</tr>
</tbody>
</table>
Monthly Timeliness for Fastest 90% of Secret Reinvestigation (T3R) Security Clearance Decisions

<table>
<thead>
<tr>
<th>Month</th>
<th>Total Adjudications</th>
<th>End-to-End Timeliness (Fastest 90%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jun 2019</td>
<td>145</td>
<td>99 days</td>
</tr>
<tr>
<td>Jul 2019</td>
<td>204</td>
<td>103 days</td>
</tr>
<tr>
<td>Aug 2019</td>
<td>157</td>
<td>117 days</td>
</tr>
<tr>
<td>Sep 2019</td>
<td>247</td>
<td>102 days</td>
</tr>
<tr>
<td>Oct 2019</td>
<td>264</td>
<td>82 days</td>
</tr>
<tr>
<td>Nov 2019</td>
<td>122</td>
<td>82 days</td>
</tr>
<tr>
<td>Dec 2019</td>
<td>105</td>
<td>87 days</td>
</tr>
<tr>
<td>Jan 2020</td>
<td>132</td>
<td>99 days</td>
</tr>
<tr>
<td>Feb 2020</td>
<td>103</td>
<td>80 days</td>
</tr>
<tr>
<td>Mar 2020</td>
<td>114</td>
<td>67 days</td>
</tr>
<tr>
<td>Apr 2020</td>
<td>279</td>
<td>67 days</td>
</tr>
<tr>
<td>May 2020</td>
<td>200</td>
<td>74 days</td>
</tr>
</tbody>
</table>

**INVESTIGATIONS
FOR OFFICIAL USE ONLY**
ATTACHMENT 4
BRIEFING
TO
NISPPAC NISA WG

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

KARL HELLMANN
NISP Authorization Office (NAO)

DATE: JUNE 2020
DCSA Assessment and Authorization Process Manual (DAAPM)

Complete control package submissions per DAAPM Section 7. A security plan should only be submitted when the following is completed: System Details, Test Results, Implementation Plan, SLCM, and Artifacts.

eMASS

Navigating eMASS. “Winging it” is not an option. eMASS is a workflow application which requires following specific tasks and formats in their defined sequence. For this reason, DCSA created the NISP eMASS Industry Operation Guide, currently on Version 1.1. DCSA is hampered in our ability to complete system reviews based on improper eMASS submissions.
COVID-19 Operational Adjustments

Existing system registrations that submit complete eMASS packages with an ATD prior to 30 September, will be assessed and considered for extensions up to 180 days. The ATO or Extension workflow in eMASS will be utilized as appropriate.

For new system registrations, the package reviews will continue to occur. The onsite validation will be delayed, deferred, or rescheduled. Submission requirements remain unchanged.

SSD

Government representatives met in April to discuss potential processes for updating the clearing and sanitization guidance for SSD. Unfortunately the NSA group responsible for this guidance was unable to attend due to the ongoing COVID-19 impact. The next action is to meet once NSA resumes to a normal operating status and can attend the meeting.
2020 DCSA Field Operations A & A (MAR-JUN)

Capital – 140 ATO’s, 36 Extensions, 58 day avg

Northern – 184 ATO’s, 214 Extensions, 71 day avg

Southern – 186 ATO’s, 107 Extensions, 67 day avg

Western – 191 ATO’s, 186 Extensions, 63 day avg
Procurement Steps
1. Authorization to store classified information

GSA Order OGP 4100.21 allows for contractors to procure through the GSA sources

(3) Fixed-price contractors (and subcontractors) purchasing security equipment. Under 40 U.S.C. § 501, the Administrator has determined that fixed-price contractors and lower-tier subcontractors who are required to maintain custody of security classified records and information may purchase security equipment from GSA. Procedures for such acquisitions are set forth in 41 CFR 101-26.507.
Procurement Requirements

1. Must have requirement to store classified material and ensure contract authorizes storage. (DD 254 or equivalent)
2. Must have Activity Address Code, DoDAAC or GSA Account Code with contracting officers’ authorization OR can get own eDoDAAD.
3. Must be able to pay (GPC, AAC/DoDAAC, MIPR, VCSS, pay.gov, credit/debit card, Paypal/Amazon Pay, Bank Account)
4. Order Security Equipment offline or online thru GSA
Step 1: Requirement and Authorization

Must have requirement to store classified material and ensure contract authorizes storage. (DD 254 or equivalent)

Work with your Contracting Officer to insert the appropriate clause allowing use of Government sources of supply if not already included. (Contract Clause 252.251-7000 ORDERING FROM GOVERNMENT SUPPLY SOURCES (NOV 2004))
Step 2: Activity Address Code, DoDAAC, eDoDAAD

If you already have an Activity Address Code, DoDAAC or eDoDAAD, please skip to step 3 (page 5)

• Must have Activity Address Code, DoDAAC or GSA Account Code with contracting officers’ authorization OR can get own eDoDAAD.

• Link to get eDoDAAD: https://www.dla.mil/HQ/InformationOperations/DLMS/DLMSPrograms/DoDAAD/
Step 3: Payment

Forms of payment include:

A. Payment forms accepted:
   - Government Purchase Card
   - AAC/DoDAAAC
   - Bank Account
   - Credit /Debit card
   - Paypal /Amazon Pay

B. Use Vendor Customer Support Service (VCSS) account to see bills

C. Use pay.gov to pay bills

If you have concerns/issues with payments such as paypal, bank card, etc..., contact your POC for the appropriate payment method at your activity.
Step 4: Ordering Security Equipment

Order Security Equipment online or offline thru GSA See next slides for assistance

• If further explanation is required, you can obtain detailed procedures on GSA’s website:
  

• For Assistance with completing requisitions and identifying or validating DoDAAC you can contact [Sheila.Patterson@gsa.gov](mailto:Sheila.Patterson@gsa.gov)

• For Assistance with item identification/clarification (NSN) and Order Status contact Security Container General Mailbox [securitycontainers@gsa.gov](mailto:securitycontainers@gsa.gov) or [Martin.Cieszlak@gsa.gov](mailto:Martin.Cieszlak@gsa.gov)
Step 4: Ordering Security Equipment - **Online**

**Online** – to order online you must have the following available:

1. .mil or .gov email address
2. Activity Address Code
3. Form of Payment and/or Codes
4. National Stock Number for Security Container that you want to order – See page 13 for how to find NSN’s
5. To place order go to [www.gsaglobalsupply.gsa.gov](http://www.gsaglobalsupply.gsa.gov) or [www.gsaadvantage.gov](http://www.gsaadvantage.gov)
Step 4: Ordering Security Equipment - Offline

Offline – order offline by FEDSTRIP or MILSTRIP

- Orders can be placed “offline” through DD Form 1348 (MILSTRIP) or Standard Form 344 (FEDSTRIP).
- Submit completed forms to GSA's Requisitioning Processing & Customer Center at: rpc@gsa.gov and copy the Security Container Team at: securitycontainers@gsa.gov.

See following pages for assistance with forms.
How to Fill out Offline Forms

FEDSTRIP – Standard Form 344

Link to FEDSTRIP form that you can fill out: https://www.gsa.gov/cdnstatic/SF_344fillable.pdf

<table>
<thead>
<tr>
<th>STOCK NUMBER</th>
<th>FSC</th>
<th>NBR</th>
<th>LOT No.</th>
<th>FROM</th>
<th>TO</th>
<th>QUANTITY</th>
<th>SERIAL</th>
<th>SUPPLEMENTARY ADDRESS</th>
<th>D.E.A.</th>
<th>D.U.</th>
<th>PROJECT</th>
</tr>
</thead>
<tbody>
<tr>
<td>7110</td>
<td>93</td>
<td>302</td>
<td>29-29</td>
<td>29-30</td>
<td>29</td>
<td>45-66</td>
<td>58-69</td>
<td>57-68</td>
<td>69-80</td>
<td>A</td>
<td></td>
</tr>
</tbody>
</table>

POC NAME, e-mail and Phone Number

- If shipping is different, Address/DODAAC then Billng/Receipient.

1. Put new ship to DAC/AAC in Box 43-50, put J in box 51 if
2. If your POC has DAC/AAC put Y in box 60, J in box 51
3. Put new shipping address with POC name in remarks
Specifics for ordering containers using FEDSTRIP (344)

- On the previous slide you will see that there are some fields that are already filled out.
- These are the fields that will not change:
  - Boxes
  - 1-3: AOA
  - 4-6: GSA
  - 7: S
  - 8-11: 7110
  - 23-24: EA
  - 51: A
  - 52-53: 00

- Fields that Change:
  - 12-20 rest of National Stock Number (9 digits)
  - 25-29 Quantity
  - 30-35 Billing DoDAAC
  - 36-39 Julian Date, for 2020 will start with 0 then 3 digits for day of year
  - 45-50 Ship To DoDAAC (if different than Billing) see form for codes to place into other boxes if this is your requirement
  - 60-61 06 to 13
  - Block 23 Remarks – should have your POC’s Name, Phone and E:Mail, can also put in alternate delivery address in this block
How to Fill out Offline Forms

MILSTRIP – DD From 1348

Link to MILSTRIP form that you can fill out: https://www.gsa.gov/cdnstatic/DD1348-6_FillableForm.pdf
Specifics for ordering GSA Approved containers using MILSTRIP (1348)

- On the previous slide you will see that there are some fields that are already filled out.
- These are the fields that will not change:
  - Boxes
  - 1-3: AOA
  - 4-6: GSA
  - 7: S
  - 8-11: 7110
  - 23-24: EA
  - 51: A
  - 52-53: 00

- Fields that Change:
  - 12-20 rest of National Stock Number (9 digits)
  - 25-29 Quantity
  - 30-35 Billing DoDAAC
  - 36-39 Julian Date, for 2020 will start with 0 then 3 digits for day of year
  - 45-50 Ship To DoDAAC (if different than Billing) see form for codes to place into
    - other boxes if this is your requirement
  - 60-61 06 to 13
  - Block 11 Remarks – should have your POC’s Name, Phone and eMail, can also put in alternate delivery address in this block
Where to Find National Stock Numbers

NOTE: Some sources have pictures that do not correspond with the stock number listed. Read description of what you are purchasing/looking for when obtaining number.

• [https://cmls.gsa.gov/CMLSPubCategory?searchKey=CA-0025721](https://cmls.gsa.gov/CMLSPubCategory?searchKey=CA-0025721) – website for Global Supply Security Container Catalog

Additional Resources

Step-by-step Contractor Purchasing Guide:

DoD Lock Program – One stop tool for technical information and updates to the security program
https://www.navfac.navy.mil/navfac_worldwide/specialty_centers/exwc/products_and_services/capital_improvements/dod_lock.html

DLA FAQ

GSA Supply Catalog 2020
https://cmls.gsa.gov/CMLSPubCategory?searchKey=CA-0025721