

Controlled Unclassified Information

Executive Order 13556

Shared • Standardized • Transparent



CONTROLLED
UNCLASSIFIED
INFORMATION

Information Security Oversight Office (ISOO)

Briefing Outline

- Current state
- Benefits
- Executive Order 13556
- Categories and the CUI Registry
- Handling CUI
- Phased Implementation
- NIST Special Publication 800-171
- Approach to Contractor Environment



Why is the CUI Program necessary?

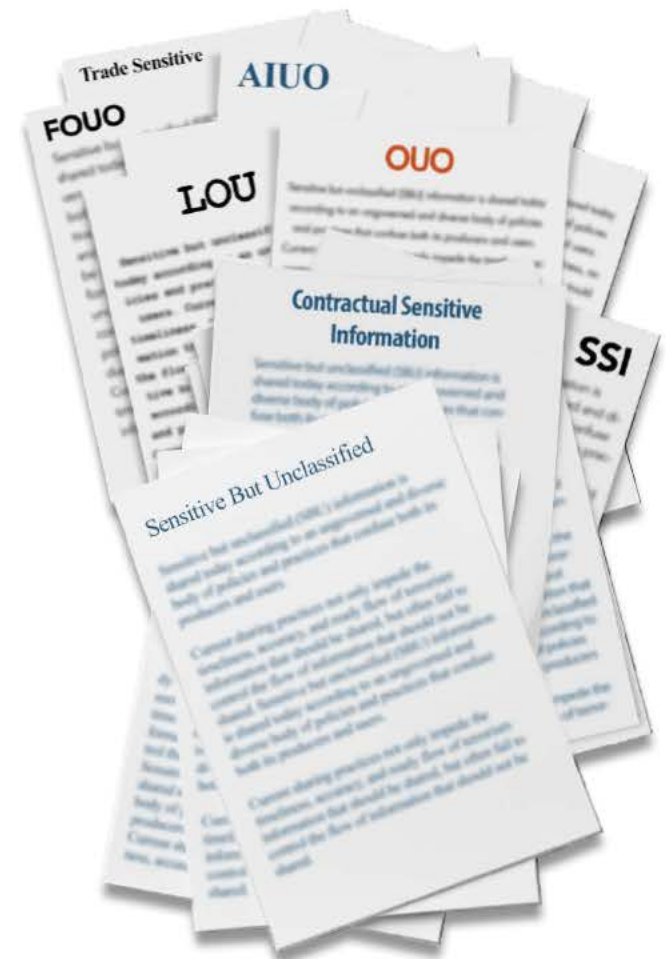
Executive departments and agencies apply their own ad-hoc policies and markings to unclassified information that requires safeguarding or dissemination controls, resulting in:

An inefficient patchwork system with **more than 100 different policies and markings** across the executive branch

Inconsistent marking and safeguarding of documents

Unclear or unnecessarily restrictive dissemination policies

Impediments to authorized information sharing



What are the benefits of the CUI Program?

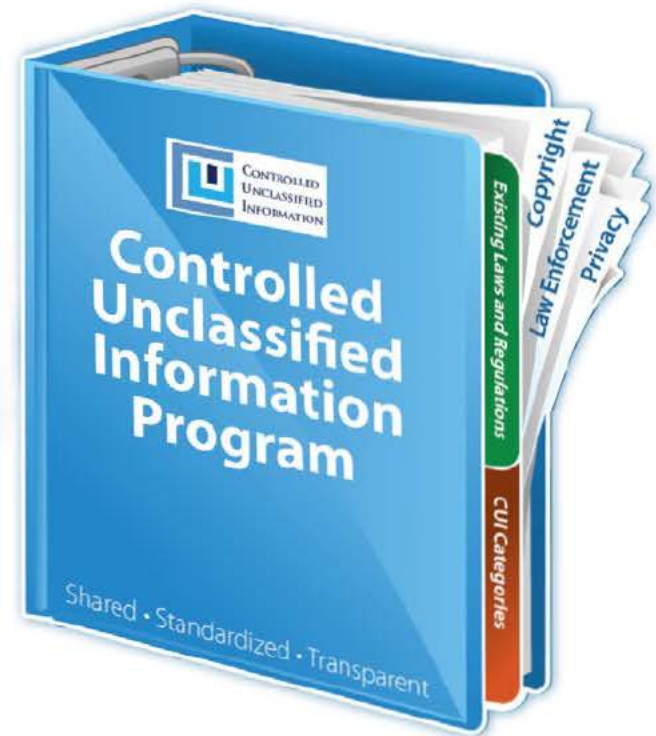
One uniform, shared, and transparent system for safeguarding and disseminating CUI that:

Establishes common understanding of CUI control

Promotes information sharing

Reinforces existing legislation and regulations

Clarifies difference between CUI controls and FOIA exemptions



Executive Order 13556



- Established CUI Program
- Designated an Executive Agent (EA) to implement the E.O. and oversee department and agency actions to ensure compliance.
 - National Archives and Records Administration
 - **Information Security Oversight Office**
- An open and uniform program to manage all unclassified information within the executive branch that requires safeguarding and dissemination controls as required by law, regulation, and Government-wide policy



Approved CUI Categories

23 Categories

Agriculture	Law Enforcement
Controlled Technical Information	Legal
Copyright	NATO
Critical Infrastructure	Nuclear
Export Control	Patent
Emergency Management	Privacy
Financial	Proprietary Business
Foreign Government	Safety Act Information
Geodetic Product Information	Statistical
Immigration	Tax
Information Systems Vulnerability Information	Transportation
Intelligence	

82 Subcategories

- Bank Secrecy
- DNA
- Investigation

- Financial
- Health Information
- Personnel

- Census
- Investment Survey

Online Registry

<http://www.archives.gov/cui>



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Controlled Unclassified Information (CUI)

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Established by Executive Order 13556, the Controlled Unclassified Information (CUI) program standardizes the way the Executive branch handles unclassified information that requires safeguarding or dissemination controls pursuant to and consistent with law, regulations, and Government-wide policies. [Learn About CUI](#)



Use the CUI Logo
[Contact Us](#)

News and Notices

- December 8, 2014 - Welcome to the new CUI Portal!

Under Development - Registry

- Markings
- 32 CFR 2002 - Implementing Directive
- Marking Handbook
- Limited Dissemination
- Decontrol

Registry



The CUI Registry is the authoritative source for guidance regarding CUI policies and practices.

Search the Registry:

Go

Access Registry by

- Category-Subcategory

Policy and Guidance

- Executive Order 13556
- CUI Notices

Additional Information

- CUI Glossary

Training



Learn about training developed by the Executive Agent for CUI users

- CUI Training Modules

Oversight



Learn about CUI oversight requirements and tools.

- CUI Reports



Handling CUI

One uniform and consistent policy applied to a defined and organized body of information

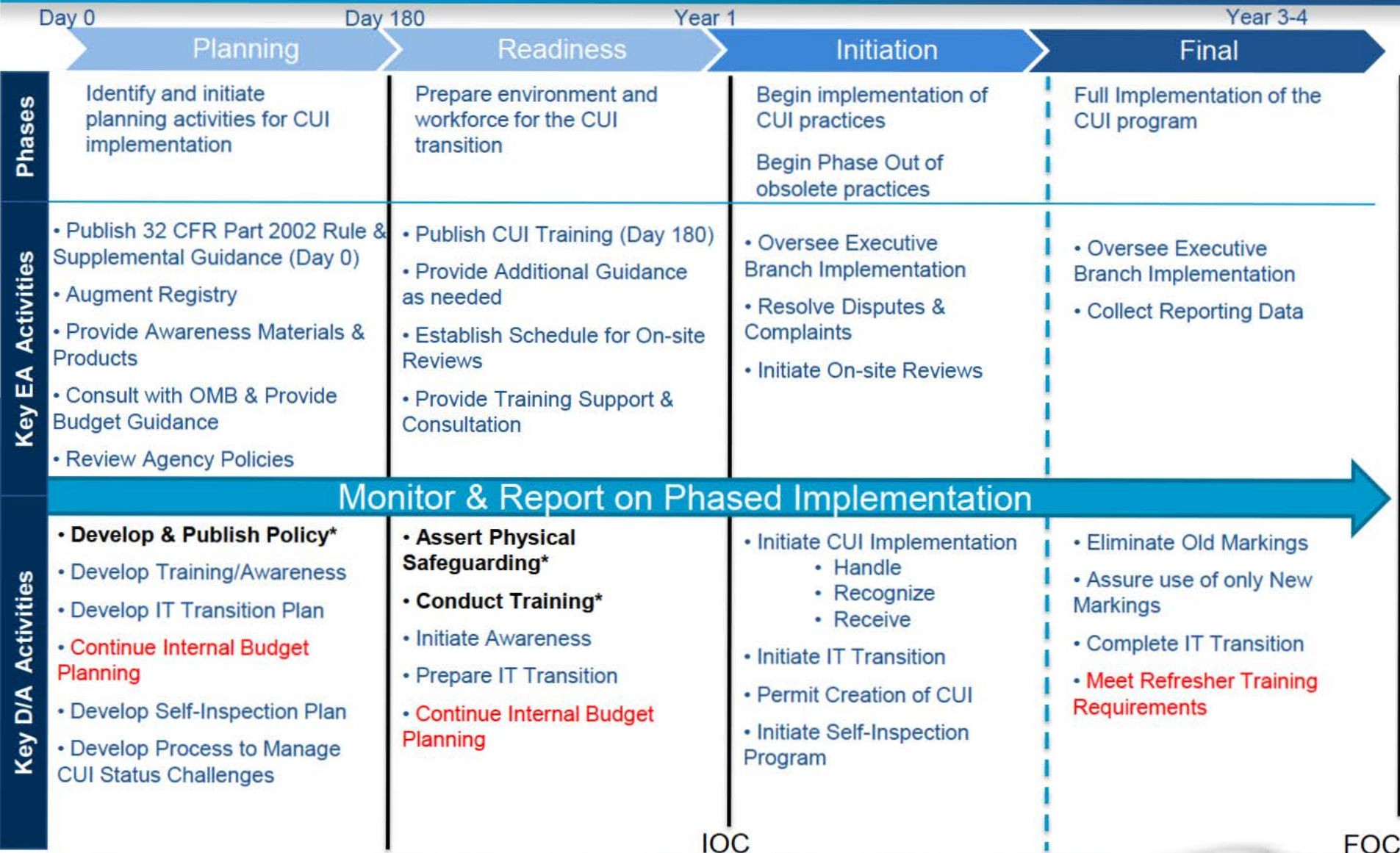


Phased Implementation

E.O. 13556 Sec. 5. Implementation (b):

After a review of agency plans, and in consultation with affected agencies and the Office of Management and Budget, the Executive Agent shall establish deadlines for phased implementation by agencies.

Phased Implementation



CUI and IT Implementation

- “This order shall be implemented in a manner consistent with...applicable Government-wide standards and guidelines issued by the National Institute of Standards and Technology, and applicable policies established by the Office of Management and Budget”, Section 6(a)3, Executive Order 13556.
- Future CUI guidance where it addresses IT issues, must be aligned to Federal policies.

Proposed CUI Regulation

- Agencies must categorize CUI at the **moderate confidentiality** impact level in accordance with FIPS Publication 199, and must apply the appropriate security requirements and controls from FIPS Publication 200 and NIST SP 800-53, **consistently with any risk-based tailoring decisions**.
- Agencies **may increase the confidentiality impact level** above moderate and apply **additional** security requirements and controls **only internally**; they may not require anyone outside the agency to use a higher impact level or more stringent security requirements and controls.

NIST Special Publication 800-171

Final Public Draft

April 2015

NIST Special Publication 800-171
Final Public Draft

Protecting Controlled Unclassified
Information in Nonfederal Information
Systems and Organizations

The final publication of Special
Publication 800-171 is targeted
for June 2015

NIST
National Institute of
Standards and Technology
U.S. Department of Commerce

Purpose and Applicability

To provide federal agencies with recommended requirements for protecting the confidentiality of CUI when such information resides in nonfederal information systems and organizations.

The security requirements apply only to components of nonfederal information systems that process, store, or transmit CUI.

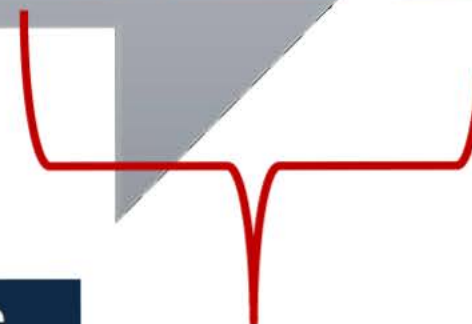
CUI Approach for Contractor Environment



Government



Industry



1 Year

Until the formal process of establishing a single FAR clause takes place, the CUI requirements in NIST SP 800-171 may be referenced in federal contracts consistent with federal law and regulatory requirements.

Questions?

