

Controlled Unclassified Information

Executive Order 13556

Shared • Standardized • Transparent



CONTROLLED
UNCLASSIFIED
INFORMATION

Information Security Oversight Office (ISOO)

Briefing Outline

- Current state
- Benefits
- Executive Order 13556
- Categories and the CUI Registry
- Handling CUI
- Phased Implementation
- NIST Special Publication 800-171
- Approach to Contractor Environment



Why is the CUI Program necessary?

Executive departments and agencies apply their own ad-hoc policies and markings to unclassified information that requires safeguarding or dissemination controls, resulting in:

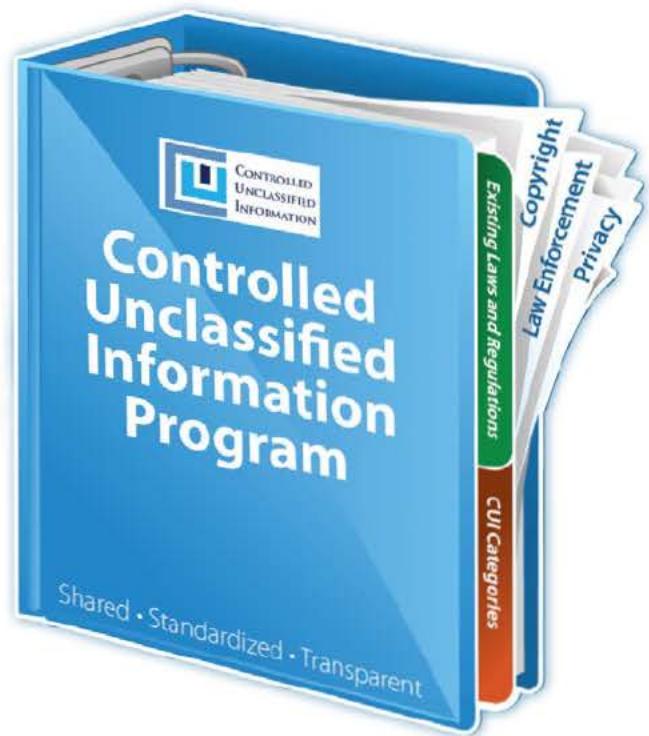
An inefficient patchwork system with more than 100 different policies and markings across the executive branch	Inconsistent marking and safeguarding of documents	Unclear or unnecessarily restrictive dissemination policies	Impediments to authorized information sharing
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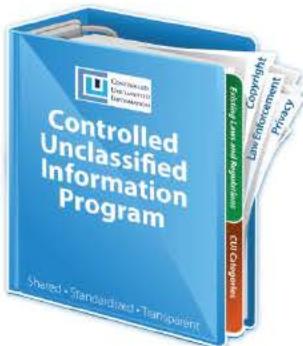
What are the benefits of the CUI Program?

One uniform, shared, and transparent system for safeguarding and disseminating CUI that:

Establishes common understanding of CUI control	Promotes information sharing	Reinforces existing legislation and regulations	Clarifies difference between CUI controls and FOIA exemptions
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Executive Order 13556



- Established CUI Program
- Designated an Executive Agent (EA) to implement the E.O. and oversee department and agency actions to ensure compliance.
 - National Archives and Records Administration
 - **Information Security Oversight Office**
- An open and uniform program to manage all unclassified information within the executive branch that requires safeguarding and dissemination controls as required by law, regulation, and Government-wide policy



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Approved CUI Categories

23 Categories		82 Subcategories
Agriculture	Law Enforcement	<ul style="list-style-type: none">• Bank Secrecy• DNA• Investigation
Controlled Technical Information	Legal	
Copyright	NATO	
Critical Infrastructure	Nuclear	
Export Control	Patent	
Emergency Management	Privacy	
Financial	Proprietary Business	<ul style="list-style-type: none">• Financial• Health Information• Personnel
Foreign Government	Safety Act Information	
Geodetic Product Information	Statistical	
Immigration	Tax	<ul style="list-style-type: none">• Census• Investment Survey
Information Systems Vulnerability Information	Transportation	
Intelligence		



Online Registry

<http://www.archives.gov/cui>

- 23 Categories
- 82 Sub-categories
- 315 unique Control citations
- 106 unique Sanction citations

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Controlled Unclassified Information (CUI)

Home > CUI

Established by Executive Order 13556, the Controlled Unclassified Information (CUI) program standardizes the way the Executive branch handles unclassified information that requires safeguarding or dissemination controls pursuant to and consistent with law, regulations, and Government-wide policies. [Learn About CUI](#)

Registry

The CUI Registry is the authoritative source for guidance regarding CUI policies and practices.



Search the Registry:

Access Registry by

- Category-Subcategory

Policy and Guidance

- Executive Order 13556
- CUI Notices

Additional Information

- CUI Glossary

Training



Learn about training developed by the Executive Agent for CUI users

- CUI Training Modules

Oversight



Learn about CUI oversight requirements and tools.

- CUI Reports

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Use the CUI Logo
Contact Us

News and Notices

- December 8, 2014 - Welcome to the new CUI Portal!

Under Development - Registry

- Markings
- 32 CFR 2002 - Implementing Directive
- Marking Handbook
- Limited Dissemination
- Decontrol

Handling CUI

One uniform and consistent policy applied to a defined and organized body of information

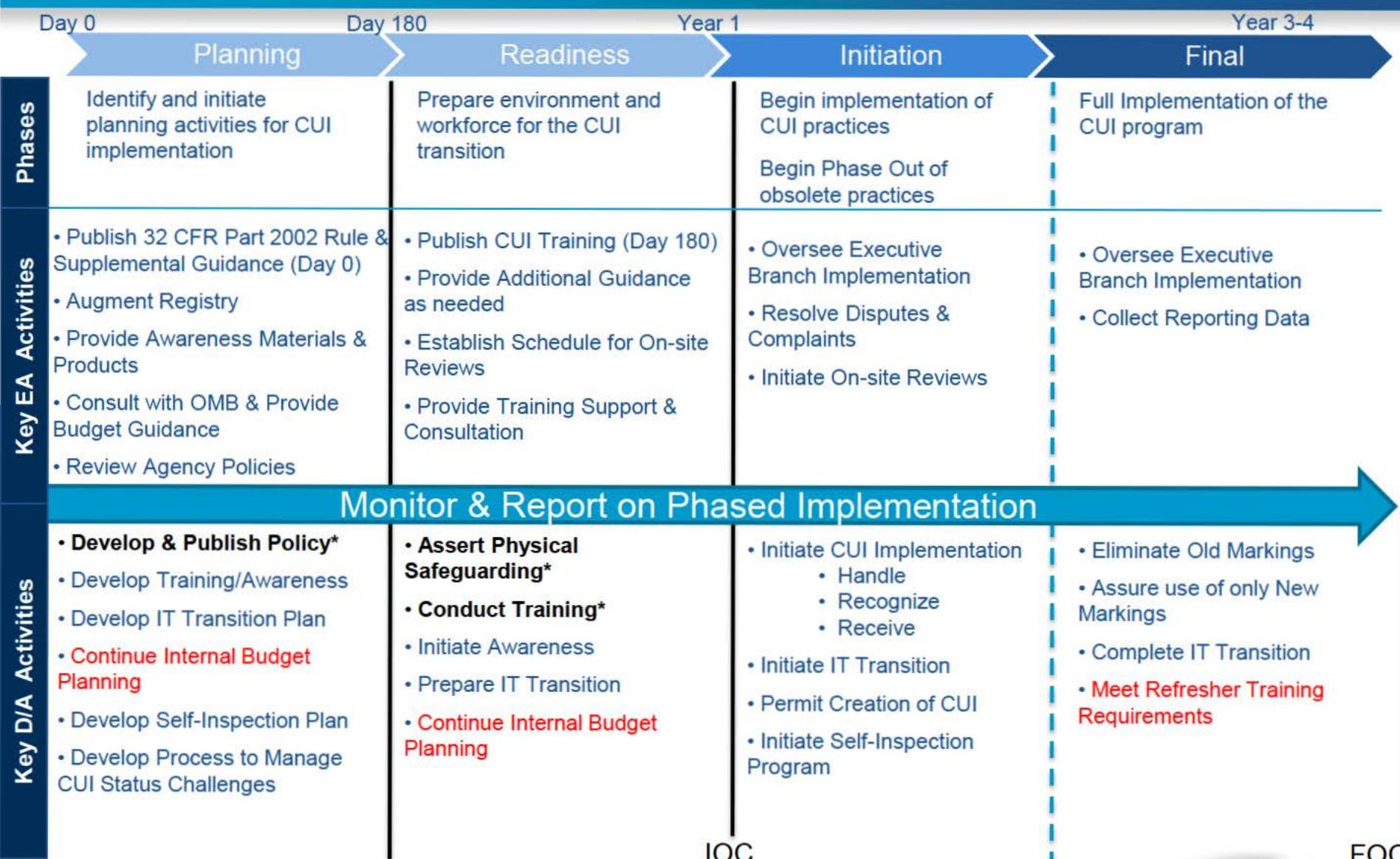


Phased Implementation

E.O. 13556 Sec. 5. Implementation (b):

After a review of agency plans, and in consultation with affected agencies and the Office of Management and Budget, the Executive Agent shall establish deadlines for phased implementation by agencies.

Phased Implementation



***Required for IOC**



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CUI and IT Implementation

- “This order shall be implemented in a manner consistent with...applicable Government-wide standards and guidelines issued by the National Institute of Standards and Technology, and applicable policies established by the Office of Management and Budget”, Section 6(a)3, Executive Order 13556.
- Future CUI guidance where it addresses IT issues, must be aligned to Federal policies.

Proposed CUI Regulation

- Agencies must categories CUI at the **moderate confidentiality** impact level in accordance with FIPS Publication 199, and must apply the appropriate security requirements and controls from FIPS Publication 200 and NIST SP 800-53, **consistently with any risk-based tailoring decisions**.
- Agencies **may increase the confidentiality impact level** above moderate and apply **additional security requirements and controls only internally**; they may not require anyone outside the agency to use a higher impact level or more stringent security requirements and controls.

NIST Special Publication 800-171

NIST Special Publication 800-171
Final Public Draft

Protecting Controlled Unclassified
Information in Nonfederal Information
Systems and Organizations

The final publication of Special
Publication 800-171 is targeted
for June 2015

NIST
National Institute of
Standards and Technology
U.S. Department of Commerce

Final Public Draft

April 2015

Purpose and Applicability

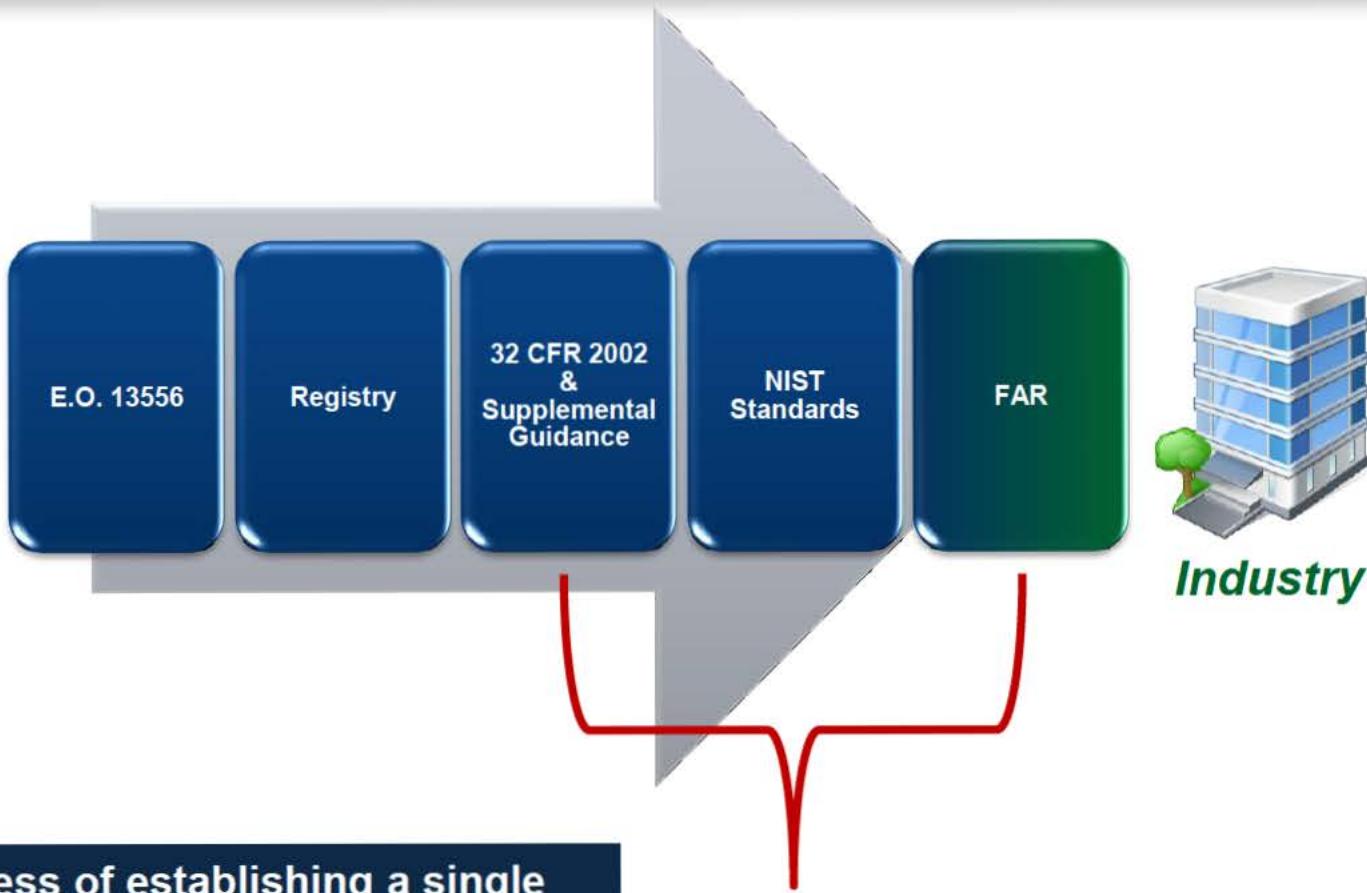
To provide federal agencies with recommended requirements for protecting the confidentiality of CUI when such information resides in nonfederal information systems and organizations.

The security requirements apply only to components of nonfederal information systems that process, store, or transmit CUI.

CUI Approach for Contractor Environment



Government



Until the formal process of establishing a single FAR clause takes place, the CUI requirements in NIST SP 800-171 may be referenced in federal contracts consistent with federal law and regulatory requirements.



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Questions?

