Finding Aid for the Records of the Financial Crisis Inquiry Commission

(RG 148)

If you are interested in viewing any of the records in this finding aid, please contact the Center for Legislative Archives at Legislative.Archives@nara.gov or (202) 357-5350.
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I. NetDocuments System

Commissioner Upload Space

Commissioners Workspace
The Financial Crisis Inquiry Commission (FCIC) was established in 2009 by Section 5 of the Fraud Enforcement and Recovery Act (PL 111-21). The 10 Commissioners, appointed by congressional leadership, hired a staff of more than 80 tasked with investigating 22 specific aspects of the financial crisis. The Commission held 19 days of public hearings across the country. Staff interviewed more than 700 people and collected millions of pages of records in paper and electronic form from government entities, financial institutions, and businesses. The 22 topics included in the FCIC’s investigatory mandate are:

- fraud and abuse in the financial sector, including fraud and abuse toward consumers in the mortgage sector;
- Federal and State financial regulators, including the extent to which they enforced, or failed to enforce statutory, regulatory, or supervisory requirements;
- the global imbalance of savings, international capital flows, and fiscal imbalances of various governments;
- monetary policy and the availability and terms of credit;
accounting practices, including, mark-to-market and fair value rules, and treatment of off-balance sheet vehicles;

tax treatment of financial products and investments;

capital requirements and regulations on leverage and liquidity, including the capital structures of regulated and non-regulated financial entities;

credit rating agencies in the financial system, including, reliance on credit ratings by financial institutions and Federal financial regulators, the use of credit ratings in financial regulation, and the use of credit ratings in the securitization markets;

lending practices and securitization, including the originate-to-distribute model for extending credit and transferring risk;

affiliations between insured depository institutions and securities, insurance, and other types of nonbanking companies;

the concept that certain institutions are 'too-big-to-fail' and its impact on market expectations;

Corporate governance, including the impact of company conversions from partnerships to corporations;

compensation structures;

changes in compensation for employees of financial companies, as compared to compensation for others with similar skill sets in the labor market;

the legal and regulatory structure of the United States housing market;

derivatives and unregulated financial products and practices, including credit default swaps;

short-selling;

financial institution reliance on numerical models, including risk models and credit ratings;

the legal and regulatory structure governing financial institutions, including the extent to which the structure creates the opportunity for financial institutions to engage in regulatory arbitrage;

the legal and regulatory structure governing investor and mortgagor protection;
financial institutions and government-sponsored enterprises; and

the quality of due diligence undertaken by financial institutions

The FCIC selected several entities to study in depth to illustrate aspects of the crisis. These entities include American International Group (AIG), Bear Stearns, Citigroup, Countrywide Financial, Fannie Mae, Goldman Sachs, Lehman Brothers, Merrill Lynch, Moody’s, and Wachovia.

The Commission’s website (www.cybercemetery.unt.edu/archive/fcic/20110310172443/http://fcic.gov) contains transcripts
and video of the public hearings, the text of the final report, staff data projects, reports and fact sheets, and audio or written transcripts or summaries of hundreds of staff interviews. The website also contains lists of Commissioners and staff; press releases; FCIC policies on financial disclosure, gifts, and other issues; and other information relating to its work.

The Commission issued its final report on Jan. 27, 2011. When it closed its doors on Feb. 13, 2011, it archived its records in the Center for Legislative Archives. The collection totals 551 archival boxes of paper records and more than 13 terabytes of electronic records. All of the records require screening before they can be served to preclude the release of sensitive information that may be closed by statute or regulation. This screening can take several weeks. Please contact the Center for Legislative Archives well before your visit to allow enough time for screening. This finding aid is also available in the form of entries in the National Archives Catalog where selected records may be uploaded to facilitate remote access.
FCIC Paper Records

The paper records of the Financial Crisis Inquiry Commission are comprised of 551 archives boxes arranged into 72 series primarily created by individual staff. This section of the finding aid provides a brief description of each series, including the volume, followed by a folder title list.

There can be significant duplication in the records since several staff addressed similar topics. It is not unusual to find published materials that were used for reference and background.

As noted in the “About the Commission” section, each folder must be screened before it can be served to researchers. The commission gathered records from government agencies that contain highly sensitive information about the regulation and supervision of financial institutions. It also received records that include sensitive commercial or financial information. Some records contain information the release of which would violate personal privacy. All information fitting into these categories may be withheld from release under NARA General Restrictions (36 CFR 1256). Any records containing redactions of withheld information will reference the specific restriction applied.

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Executive Director Wendy Edelberg’s Files

Box count: 5 LTA-S
Arranged by subject
NAID: 24331230    HMS: HS1-93415443

This series contains background materials and briefing books for hearings; responses to
document requests from Fannie Mae and others; drafts with comments of Final Report and
Preliminary Investigative Reports; news articles, speech texts, and reference reading materials;
agendas; email printouts; lessons learned from complex financial derivatives research; FCIC
administrative records such as budgets, Commissioner hours worked, staff activities table,
contracting for the publication of the Final Report, review of audio for release online;
handwritten notes; financial crisis timeline; interview transcripts; and other records. Topics
include mortgage fraud, derivatives, housing and credit bubbles, FCIC administration, Goldman
Sachs, rating agencies, and lobbying expenditures.

Folder List:

Box 1 of 5
Executive Director, Wendy Edelberg’s Notes Binder 1
Wendy Edelberg’s Notes – Briefing Binder for 06/02/2010 Hearing
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Hearing 5 (Derivatives)
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[SKDK Consulting Contract]
General Counsel Gary Cohen’s Files

Box count: 2 LTA-S, 2 LGA-S
Arranged by subject
NAID: 24450857    HMS: HS1-92098524

This series contains handwritten notes, news articles, financial disclosure forms, court records re: King County, WA v. IKB Deutsche Industriebank AG, correspondence relating to document production and confidentiality agreements.

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- Articles
- 9/11[Commission] Precedents
- Staff Lists
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- Archives NARA
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- Contracts for Services
- Financial Disclosure

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- [Miscellaneous]

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- Document Productions, Original Productions, Confidential Information, Volume Seven- October 8th-December 20th
- FCIC Referrals to the DOJ, Redlines
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Directory of Investigations Chris Seefer’s Files

Box count: 28 LTA-S, 5 LGA-S
Arranged by subject
NAID: 24450876 HMS: HS1-93479756

This series contains email printouts, press clippings, court records, Securities and Exchange Commission filings, handwritten notes, memoranda for the record, drafts of FCIC reports, bank examiner reports, earnings call transcripts, corporate press releases, and other records. Subjects include Lehman Brothers, AIG, Goldman Sachs, Bank of America, Countrywide, too big to fail, and Merrill Lynch.

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Lehman SEC Filings, 2006
Lehman: SEC Reports, 2006-2008
Lehman MFRs
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Hearing 5, AIG Earnings Release 2009-2005
UBS Miscellaneous
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Lehman 2007 [Board of Directors] Packages
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[9/11 Report]
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[John] Mack
Fraud FBI
Roosevelt Institute Project on Global Finance, 03/2009
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Las Vegas, NV – Fremont
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Witness File: Chris Laursen
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Senate Permanent Subcommittee on Investigations, 4/27/2010

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Deutsche Bank: Shorting Home Equity Mezzanine Tranches
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Witness File: Nell Minow, FCIC Notes
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Witness File: Stephen Ashley (Fannie Mae)
Witness File: Enrico Dallavecchia (Fannie Mae)
[Fannie Mae]
Fannie Mae: Monthly Reports 2000 – Year to Date 2009
Document Request Treasury Department
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Government Sponsored Enterprises] -- Greenspan
Research File: Fannie Mae, FNMA Capital Standards
Witness File: Adolfo Marzol
Fannie Mae Research: FHFA Report to Congress, 2008

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Witness File: Michael Aguirre, Correspondence
Witness File: Kenneth Bacon (Fannie Mae)
Witness File: David C. Benson (Fannie Mae)
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Witness File: Michael A. Shaw (Fannie Mae)
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Witness File: John W. Snow, Former Treasury Secretary
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Director of Research Greg Feldberg’s Files

Box count: 19 LTA-S, 3 LGA-S
Arranged by subject
NAID: 24450880    HMS: HS1-93416875

This series contains hearing briefing books; interview transcripts; draft Preliminary Staff Reports, Preliminary Investigative Reports, and Final Report; industry publications; congressional testimony; rating agency-supplied documents; bank examiner documents; Government Accountability Office reports; journal and press articles; and handwritten notes. Subjects include rating agencies, AIG, Goldman Sachs, derivatives, Fannie Mae, Lehman Brothers, and others.

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[Miscellaneous]
TBTF [Too Big To Fail]
MLRs [Material Loss Review]

Box 18 of 22
Models
Abacus
Europe
Hal Scott
CRMPG [Counterparty Risk Management Policy Group]
Roosevelt
Tricadia
Monolines
AIG
[Miscellaneous Publications Re: Risk]
Greenspan

Box 19 of 22
AIG Information Pertaining to Multi-Sector [Credit Default Swap] Portfolio
Citigroup [2 folders]
Bear [Stearns]
Repo [Repurchase Agreements]
Governance
Credit Rating Agencies
Shadow Banking
Too Big To Fail
[Ethics Guidelines for Commission Staff]
[AIG]
FRBNY [Federal Reserve Bank of New York]
Derivative Regulation
Derivatives Testimony

**Box 20 of 22**
[Handwritten Notes]
Derivatives Readings
Bloomberg
Markit
[International Swaps and Derivatives Association] ISDA
Hedge Funds
Derivatives – Witnesses
Derivatives – Interviews
Derivatives Infrastructure
Derivatives
Sanders
Credit Derivatives
Derivatives - Data
Derivatives – General
Shadow Banking
Moody’s
Disclosure
Asbury
Investors
FHFA
DTCC Data

**Box 21 of 22**
[Manuals]
[Publications]
“Substantive Positions of S&L Trade Associations, 1979-1989”
“Legal Authority, Basis and Structure of Thrift Regulatory Agencies Past and Present”
Draft of Staff Report on Credit Ratings

**Box 22 of 22**
Drafts of Section II of Final Report
[Miscellaneous Documents]
Incoming Correspondence to Commissioners

Box count: 5 LTA-S  
Arranged roughly by name of addressee Commissioner  
NAID: 24740229  
HMS: HS1-92098603

This series contains incoming correspondence addressed to specific Commissioners discussing suggestions for investigative avenues, proposing solutions, defending decisions, and suggesting reading.

Folder List:

**Box 1 of 5**  
[Miscellaneous Correspondence]

**Box 2 of 5**  
[Miscellaneous Correspondence]  
Williams, Jacqueline  
Szymoniak, Lynn  
Pugh, Gwynne  
Lyons, Dennis  
Keioukora, Tatiana  
Locker, Bob  
LeVene, Stuart  
Anderson, Michael  
Dillard, Vicki  
Anderson, Robert  
Angelo, Christopher  
Barr, Alfred  
Bernstein, Henry  
Brown, David  
Mr. Carr  
[Form Letters to Chairman from Citizens dated 10/1/2010]

**Box 3 of 5**  
[Form Letters to Chairman from Citizens dated 10/1/2010]

**Box 4 of 5**  
[Form Letters to Chairman from Citizens dated 10/1/2010]  
Domingo, Richard  
Faulkner, Michelle  
Feldman, T.J.  
Langer, H.L.  
Minck, John
Incoming Correspondence

Moore, Gary
Ost, Dolores
Olson, Carl
Pessara, Chris
Pick, J.M.
Thurman, David
Garrett, Herm

Box 5 of 5
[Miscellaneous Correspondence]
Staff Briefing Files Relating to Hearing 1

Box count: 10 LTA-S
Arranged by subject or witness
NAID: 24740230   HMS: HS1- 93381690

This series contain multiple copies of the staff briefing book for Hearing 1: biography, testimony, and suggested questions for each witness; agendas; overview with statistical graphs; multiple copies of support materials volumes for hearing re: Goldman Sachs, Morgan Stanley, JPMorgan Chase, and Bank of America: news articles, key quotes and prior testimony of witnesses, shareholder letters and stock prices, financial trend analysis, quarterly earnings releases, conference call transcripts, and analysis reports for 2007-2009; Office of the Comptroller of the Currency Quarterly Report on Bank Derivatives Activities in the third quarters of 2006 through 2009; and reports from the Special Inspector General for the Troubled Asset Relief Program

Folder List:

**Box 1 of 10**
Staff Briefing, January 13-14, 2010 (copies 1 thru 3)

**Box 2 of 10**
Staff Briefing, January 13-14, 2010 (copies 3 and 4)
Support Materials: Day 1, Panel 2

**Box 3 of 10**
Support Materials: Day 1, Panel 3
Support Materials: Day 2, Panel 2

**Box 4 of 10**
Support Materials: Day 2, Panel 2
Support Materials: Vol. 1 -- Goldman Sachs (copies 1 and 2)

**Box 5 of 10**
Support Materials: Vol. 1 – Goldman Sachs (copy 2)
Support Materials: Vol. 2 – Morgan Stanley (copy 1)

**Box 6 of 10**
Support Materials: Vol. 2 – Morgan Stanley (copies 2 and 3)

**Box 7 of 10**
Support Materials: Vol. 2 – Morgan Stanley (copy 3)
Support Materials: Vol. 3 – JPMorgan Chase (copies 1 and 2)
Box 8 of 10
Support Materials: Vol. 3 – JPMorgan Chase (copies 2 and 3)

Box 9 of 10
Support Materials: Vol. 4 – Bank of America (copies 1 and 2)

Box 10 of 10
Support Materials: Vol. 4 – Bank of America (copies 2 and 3)
Records Relating to Hearing 5

Box count: 2 LTA-S
Arranged by subject or witness
NAID: 24824023     HMS: HS1-93418947

This series contains AIG Forms 10K from FY 2000 through FY 2009 and other background materials for Hearing 5: “The Role of Derivatives in the Financial Crisis.” The background materials include questions for the panelists, panelist statements, news articles, memoranda for the record of staff interviews with panelists, transcripts of those interviews if available, and biographies of panelists.

Folder List:

Box 1 of 2
American International Group, Inc. Form 10-K, FY 2002 and FY 2003
American International Group, Inc. Form 10-K, FY 2004 and FY 2005
American International Group, Inc. Form 10-K, FY 2006 and FY 2007
American International Group, Inc. Form 10-K, FY 2008

Box 2 of 2
American International Group, Inc. Form 10-K, FY 2009
Hearing 5 Background Materials: The Role of Derivative in the Financial Crisis
Shaista Ahmed’s Files

Box count: 8.6 LTA-S
Arranged by subject
NAID: 24740231    HMS: HS1-92097825

This series contains hearing transcripts; Standard and Poor’s-supplied documents; preliminary staff reports; staff investigative reports; memoranda; news clippings and articles regarding rating agencies, especially Standard and Poor’s; derivatives; residential mortgage-backed securities; and government-sponsored enterprises.

Folder List:

Box 1 of 9
[Standard and Poor’s]
S & P Methodology
Document and Information Requests to Standard & Poor’s, Vol. 1

Box 2 of 9
Document and Information Requests to Standard & Poor’s, Vol. 1 (cont’d.)
Document and Information Requests to Standard and Poor’s, Vol. 2
Document and Information Requests to Standard and Poor’s, Vol. 3

Box 3 of 9
Document and Information Requests to Standard and Poor’s, Vol. 3 (cont’d.)
Document and Information Requests to Standard and Poor’s, Vol. 4
Credit Rating Agency Hearing [Preliminary Staff Report] and Investigative Report Background Documents
Preliminary Staff Reports
Preliminary Investigative Reports

Box 4 of 9
Preliminary Investigative Reports (cont’d.)
[Residential Mortgage-Backed Securities Rating]
[Housing Research]
FCIC Hearings Transcript: Role of Derivatives in Financial Crisis, 07/01/2010 [2 copies]

Box 5 of 9
FCIC Hearings Transcript: Role of Derivatives in Financial Crisis, 07/01/2010 [2 copies]
(cont’d.)
FCIC Hearings Transcript: Role of Derivatives in Financial Crisis, 06/30/2010 [2 copies]
Box 6 of 9
Derivatives
[Risk Management]
Credit Rating Agencies
Hearing 6: Too Big to Fail -- Follow-up Letters and Responses
Residential Mortgage-Backed Securities Case Study
[Ratings, by Bank]

Box 7 of 9
[Federal Reserve Board Transcripts]
[Correspondence and Miscellaneous]
Exhibits to October 15, 2010 Letter, From Tom Baxter to the FCIC
Staff Briefing for the Financial Crisis Inquiry Commission, 1/13-14/2010

Box 8 of 9
CD-ROM: Federal Housing Finance Agency Production 3(a)
[Washington Mutual]
Origination I: Materials on Underwriting, Brokers, and Compensation for Section II of FCIC
   Final Report
The Road Ahead for the Fed, edited by John Ciorciari and John Taylor
Civil Rights and the Mortgage Crisis by U.S. Commission on Civil Rights. 09/2009
[Maxim – Merrill Lynch]
[Government-Sponsored Enterprises]

Box 9 of 9
Documents: Securities and Exchange Commission Ratings Agency
List of Potential Hires
Jonathan E. Armstrong’s Files

Box count: 1.8 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24740233    HMS: HS1-92098153

This series contains correspondence, handwritten notes, court records, document requests and responses, articles, and other records. Subjects include JP Morgan, the Community Reinvestment Act, Deutsche Bank, Clayton Holdings, mortgage origination and underwriting.

Folder List:

Box 1 of 3
[Handwritten Notes]
Cases
[Clayton Residential Services]

Box 2 of 3
[Mortgage Research]
[Data from Deutsche Bank AG]
[Document Request to Department of Housing and Urban Development]
[JPMorgan Chase Response re: Community Reinvestment Act]
[Citigroup Response to Document Request, 11/4/2010]
[Document Requests re: Fair Value Accounting]
[Lewis Ranieri]
[Mortgage Origination]
[William Apgar]
[Andrew Cuomo]
[Documents Supplied by JPMorgan]

Box 3 of 3
[Documents Supplied by JPMorgan] (cont’d.)
6/23/10 Interrogatories and Witness Identification Letters
Community Reinvestment Act Interrogatories and Responses
Rob Bachmann's Files

Box count: 1.5 LTA-S
Arranged by subject
NAID: 24740235    HMS: HS1-92098329

This series contains articles, memoranda, drafts of reports, details of the foreclosure of Mr. and Mrs. Michael Smith, and other data.

Folder List:

Box 1 of 2
[Investor’s Recovery Specialists, Inc.]
[Smith Foreclosure]
Closed Session Meeting, Gretchen Kinney Newsom, 10/20/2009
Office of the Comptroller of the Currency
Memos, Correspondence, and Lists

Box 2 of 2
[Miscellaneous Articles]
Briefing Binder: Hearing on the Impact of the Financial Crisis – Greater Bakersfield, 9/7/2010
Martin Biegelman’s Files

Box count: 2 LTA-S
Arranged by subject
NAID: 24740236   HMS: HS1-92098275

This series contains email printouts, articles, witness statements, and other records primarily relating to the first three Commission hearings.

Folder List:

**Box 1 of 2**
[Citigroup Hearing Background]
[Hearing 1]
[Memoranda]
[Statements of Lanny A. Breuer, Sheila C. Bair, and Mary L. Shapiro, 1/14/2009]
[Responses to Additional Questions from Scott, Taylor, Zingales, and Hart, 11/2009]
[Miscellaneous Notes]
Lisa Madigan
John Suthers
Denise Voight Crawford
Hearing: Too Big To Fail
Countrywide
Adolfo Marzol
Fannie Mae Doc Request

**Box 2 of 2**
Fannie Mae
Hearing 2
Housing Investment, Research, Hearing
Armando Falcon
Clayton Holdings
[Hearings 2 and 3]
Notes
Hearings Overview
[Research – Publications]
Brad Bondi’s Files

Box count: 9 LTA-S
Arranged by subject
NAID: 24740239   HMS: HS1-92098237

This series contains handwritten notes, Citigroup records of Audit and Risk Management Committee meetings, Senate Permanent Subcommittee on Investigations exhibits from its financial crisis hearing, Moody’s Rating Committee memoranda, background material for the FCIC hearing on rating agencies, and other records. Subjects include Citigroup, Moody’s and other rating agencies.

Folder List:

Box 1 of 9
FINRA [Financial Industry Regulatory Authority] Customer Arbitration, (Produced Documents), 1/15/2010
Citi Produced Docs, (Volume 1 of 3, CITI-FCIC 00000975 - CITI-FCIC 00001684, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010

Box 2 of 9
Citi Produced Docs, (Volume 2 of 3, CITI-FCIC 00001685 - CITI-FCIC 00002350, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010
Citi Produced Docs, (Volume 3 of 3, CITI-FCIC 00002351 – CITI FCIC 00003086, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010

Box 3 of 9
Citi Produced Docs, (Volume 3 of 3, CITI-FCIC 00002351 – CITI FCIC 00003086, Audit and Risk Management Committee Meeting Supporting Documents), 1/26/2010 (cont’d.)
Citi Produced Docs, (CITI – FCIC 00000001 – CITI-FCIC 00000974, Board of Directors and Committee Minutes), 1/25/2010

Box 4 of 9
Citi Produced Docs, (CITI – FCIC 00000001 – CITI-FCIC 00000974, Board of Directors and Committee Minutes), 1/25/2010 (cont’d.)
Senior Supervisor’s Group, (FRB Citi Annual Report of Inspection), 1999-2008
Bushnell Interview Exhibits & Misc. Citi Documents

Box 5 of 9
Citigroup Short-List Docs, 4/7/2010-4/8/2010
Citigroup Briefing Materials, 4/7/2010-4/8/2010
FRB Produced Docs (Citi), 1/19/2010
Citi Executive Committee Meetings, (Supporting Materials), 2005-2008
**Box 6 of 9**
Citi Executive Committee Meetings, (Supporting Materials), 2005-2008 (cont’d.)
Moody’s Rating Committee Memoranda
PSI [Permanent Subcommittee on Investigations], (Financial Crisis Exhibits), 4/23/2010

**Box 7 of 9**
Material for Hearing on Credit Ratings and the Financial Crisis
Credibility of Credit Ratings, 6/2/2010
Rating Agency Documents

**Box 8 of 9**
Rating Agency Documents (cont’d.)
Bondi Notes
Interrogatory Documents, (Supporting Documents for Interrogatories Nos. 6, 19, 20, 22, 25, 29, 35, 39), 3/1/2010

**Box 9 of 9**
Interrogatory Documents, (Supporting Documents for Interrogatories Nos. 6, 19, 20, 22, 25, 29, 35, 39), 3/1/2010 (cont’d.)
Sylvia Boone’s Files

Box count: 9.4 LTA-S, 1 LGA-S
Arranged by staff name
NAID: 24740240    HMS: HS1-92098205

This series contains vouchers, receipts, and other administrative documentation regarding staff, commissioners, and contracts.

Folder List:

Box 1 of 11
Scott Ganz
Gretchen Newsom
Courtney Mayo
Alexis A Simendinger
Sarah Zuckerman
Sarah J. Knaus
Tucker Warren
Cassidy D. Waskowicz
Maryann Haggerty
Sam Davidson
Shaista I. Ahmed
Jonathan Armstrong
Rob Bachmann
Sylvia Boone
Ryan Bubb
Matthew Cooper
Gary Cohen
R. Richard Cheng
Jobe Danganan
Thomas Borgers
Martin Biegelman
Barton W. Baker
Rishi Gupta
Thomas Greene
Sean Flynn, Jr.

Box 2 of 11
Mina Simhai
Stuart Shroff
Gordon E. Shemin
Christopher Seefer
J Skipper Seabold

Michael M. Flagg
Megan Fasules
Michael Easterly
Desmond A. Duncker
Karen Dubas
Elizabeth Del Real
Randall Dodd
Kirsten E. Downey
Clara Morain
Steven L. Mintz
Joel Miller (Office of the Comptroller of the Currency)
Bruce McWilliams
Carl McCarden
Julie A. Marcacci
Susan Mandel
Thomas Krebs
Peter Kavounas
Mary K. Kane
Robert C. Hinkley
Brian Sylvester
Landon Stroebel
Thomas Stanton
Jeffrey A. Smith
Scott Lorretto
Ryan T. Schulte
Beneva Schulte
Adam M. Paul
Dixie Noonan
Federal Express
Contractor (Contracts)
General Services Administration – Transfer Excess Property

**Box 6 of 11**

- Intern Stipend Payments
- [Payment Invoice]
- Arthur E. Wilmarth
- Ling, Kristen Rebecca

**Box 7 of 11**

- Verizon WITS3
- Citi Statements
- Citi

**Box 8 of 11**

- Copying Services (Quotes)
- Verizon Audio Conference (Codes and Points of Contact)
- General Services Administration Contracts
- [Fixtures]
- De-obligations (2011)
- Office of Personnel Management/General Services Administration
- Xerox
- Government Printing Office
- Subscriptions
- Lease Agreement and other Contracts
- Transmittals to General Services Administration/Agency Liaison Division

- Angelides, Philip
- Thomas, William
- Born, Brooksley
- Georgiou, Byron
- Graham, Robert

**Box 9 of 11**

- Hennessey, Keith
- Murren, Heather
- Thompson, John
- Wallison, Peter
- Armstrong, Jon
- Ahmed, Shaista I.
- Bachmann, Rob
- Biegelman, Martin
- Bondi, Bradley
- Boone, Sylvia
- Borgers, Thomas
- Bubb, Ryan
- Burrus, Troy
- Cohen, Gary
- Cunicelli, Victor
- Dodd, Randall
- Downey, Kirstin
- Dubas, Karen
- Duncker, Desmond A.
- Easterly, Michael
- Edelberg, Wendy
- Feldberg, Gregory
**Box 10 of 11**

Flynn, Sean
Ganz, Scott
Greene, Thomas
Hinkley, Robert C.
Krebs, Thomas
Knaus, Sarah
Lerner, Jay
Mayo, Courtney
McCarden, Carl
Morain, Clara
Mintz, Steven

Newsom, Gretchen
McWilliams, Bruce
Noonan, Dixie
Norman, Donna
Poulin, Jane
Schulte, Beneva
Schulte, Ryan
Seefer, Chris
Shroff, Stuart
Shemin, Gordon
Simhai, Mina

**Box 11 of 11**

Simendinger, Alexis A.
Smith, Jeffrey
Stanton, Thomas
Stroebel, Landon
Tang, Shirley

Vickers, Melana
Wahl, George
Warren, Tucker
International Travel
Symposium (District of Columbia)
Tom Borgers and Victor Cunicelli’s Files

Box count: 4.5 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24740242     HMS: HS1-92098173

This series contains document and interview requests to Citigroup, with responses; Clayton Holdings data on loan pools and trending; news articles; put back data; Clayton Holdings’ underwriter manual; handwritten notes; Options Group’s Global Financial Market Overview and Compensation Reports for 2005-2008; Citigroup briefing materials; and rating agencies materials.

Folder List:

Box 1 of 6
William Apgar Testimony
[Various Permanent Subcommittee on Investigations Exhibits]
Documents Produced by Tom LaMalfa
Deposition of David Andrukonis
[Various Draft Memorandums]
[SEC-supplied documents]
10K PMI [Private Mortgage Insurance]

Box 2 of 6
PMI Study
Countrywide, Fannie and Freddie
Put Back -- Wells, DPM, Citigroup
PMI/LMI Request
Put Backs -- All
Put Backs, September 14 -- Wells, Citigroup, J.P. Morgan

Box 3 of 6
Clayton Underwriter Manual
Documents Produced by Ed Pinto, 12/10/2009
Andrew Cuomo Testimony
CLAS Training Guide for Put Back Reviews
CRA [Community Reinvestment Act] [on J.P. Morgan, Bank of America, Wells Fargo, and Citigroup]

Box 4 of 6
[Handwritten Notes]
Box 5 of 6
Rating Agency Documents [3 of 3]
Moody’s Documents from Connecticut Attorney General
Citigroup Background Reading
Credibility of Credit Ratings & Investment Decisions Made Based on Those Ratings

Box 6 of 6
Citi Correspondence and Communication
Clayton’s UBS Documents
Ron Borzekowski’s Files

Box count: 4 LTA-S, 2 LGA-S
Arranged by subject
NAID: 24746996      HMS: HS1-92098371

This series contains articles, Permanent Subcommittee on Investigations exhibits, Federal Reserve press releases, emails, report drafts, document request responses, and other records. Subjects include mortgages, the Community Reinvestment Act, the Federal Reserve, and others.

Folder List:

Box 1 of 6
[Miscellaneous Research Articles]
Senate Permanent Subcommittee on Investigations Exhibits from Hearing on Wall Street and the Financial Crisis
Edward Pinto, Exhibit 1: Sizing Total Exposure to Subprime and Alt-A Loans in US First Mortgage Market as of 6/30/2008
Fannie Mae Data
Federal Reserve Press Releases
Freddie Mac: Cost of Meeting Affordable Housing Goals

Box 2 of 6
Hard Money Lending
Crisis Overviews
Preliminary Staff Report on Shadow Banking
Asset-Backed Commercial Papers
Securities and Exchange Commission -- Consolidated Supervised Entity Program
Hedge Funds
Maiden Lane
TBTF [Too Big to Fail]
Short Selling
FCIC Meeting with Delegation of the European Parliament CRISIS Committee, 6/08/2010
[Defining the Crisis]

Box 3 of 6
Macro
Mortgage Fraud
ERS [Excess Risk and Speculation]
Shadow Banking
Draft Sections of the Final Report
Affordable Housing Goals – Document Review
[Community Reinvestment Act]
Fannie Mae Housing Goals
Box 4 of 6
Housing
Witness File: Courtney Linn -- Orrick, Sacramento Office
[Document Request Responses re: Community Reinvestment Act]
Lobbying Expenses for Fannie Mae and Freddy Mac
[Miscellaneous]
Nationsbank-Bank of America Merger Documents

Box 5 of 6
Nationsbank-Bank of America Merger Documents (cont’d.)
[Research]

Box 6 of 6
[Research] (cont’d)
Ryan Bubb's Files

Box count: 4.5 LTA-S
Arranged by subject
NAID: 24747000        HMS: HS1-92098418

This series contains documents supplied by Moody’s, background materials, a printed congressional committee hearing on bond insurance, press clippings, drafts of commission investigative reports on Citi and Fannie Mae. Subjects include the rating agencies, especially Moody’s; the Community Reinvestment Act; Fannie Mae, and others.

Folder List:

Box 1 of 5
[Handwritten Notes]
Rating Memos
Rating Agency Documents
[Documents Supplied to the Senate Permanent Subcommittee on Investigations by Moody’s]
[Research re: Moody’s]

Box 2 of 5
“The State of the Bond Insurance Industry” Hearing before the House Financial Services Committee, 2/14/2008
[Documents Supplied to the Commission by Moody’s]

Box 3 of 5
CRA [Community Reinvestment Act]
Securitization
Who Holds [Mortgage Backed Securities, Collateralized Debt Obligations], and Credit Risk?
Flow of Funds
Reasons for Foreclosure Crisis

Box 4 of 5
Investigative Reports: Citi and Fannie Mae
Financial Market Regulation
Financial Panic
FCIC
Collateralized Debt Obligations
Servicers, Modifications
Capital Regulation
Housing Bubble
Pinto [,Edward]
Mortgage Market
Moody’s Internal Documents and Requests
Bubb

Cifuentes [Arturo]  
Adelson [Mark]  
ABX

**Box 5 of 5**  
RA [Rating Agencies] Statements  
RA [Rating Agencies] Government Reports  
RA [Rating Agencies] Interviews  
Use of Ratings in Regulations
Troy A. Burrus’ Files

Box count: 4 LTA-S, 1 LGA-S
Arranged roughly by subject
NAID: 24747003     HMS: HS1-92098471

This series contains memoranda, news and journal articles, financial agency responsive documents, correspondence, handwritten notes, and other records. Subjects include Henry Paulson, Lehman Brothers, Countrywide, GMAC, repurchase agreements, commercial paper, shadow banking, and others.

Folder List:

**Box 1 of 5**
Repos [Repurchase Agreements]
[Wachovia/Bear Stearns]
Bear Stearns
[Bear Stearns Miscellaneous]
[Madelyn Antoncic]
Primary Reserve Fund
Pimco
Shadow Banking
State Street

**Box 2 of 5**
[FCIC Reports and Interviews -- Roundtable and Closed Committee Sessions]
Lehman Interview Notes
FCIC
Pimco State Street
TBTF [Too Big to Fail] Interconnectedness
OCC [Office of the Comptroller of the Currency]
General Crisis Info
Clayton – Keith Johnson
Systemic Risk
WaMu [Washington Mutual Bank]
Excessive Risk
Lehman Brothers: Misc. Documents
Lehman Brothers: 10-K/Q Docs.
Lehman Brothers: Balance Sheet
Lehman Brothers: Repo 105
Lehman Brothers: Lehman Interview File
Lehman Brothers: Lehman Counterparty BOA [Bank of America]
Lehman Brothers: Lehman Counterparties – Dreyfus/Federated
Lehman Brothers: Lehman Counterparty – Deutsche
Lehman Brothers: Lehman Counterparty – Fidelity
Lehman Brothers: Lehman Counterparty – UBS
Lehman Brothers: Ian Lowitt
Lehman Brothers: Ed Grieb
Lehman Brothers: Richard Fuld, Jr.
Lehman Brothers: Erin Callan
Lehman Brothers: Bart McDade
Lehman Brothers: Chris O’Meara
Lehman Brothers: Jenner & Block

Box 3 of 5
Lehman Brothers: David Goldfarb
Lehman Brothers: William Schlich
Lehman Brothers: Matthew Lee
Lehman Brothers: Martin Kelly
[Citi Witnesses]
Fidelity Repos/CP [Repurchase Agreements and Commercial Paper]
[Handwritten Notes]
[Fannie Mae]
Commercial Paper
Miscellaneous
Repurchase Agreements
Gary Gorton
Merrill Lynch Repos [Repurchase Agreements]
US Chamber of Commerce – CP [Commercial Paper]
JP Morgan Repos [Repurchase Agreements]
AIG
Vanguard Investments
GAO Report
SEC [Securities and Exchange Commission]
Genworth/Indy Mac
FCIC Response Documents (Countrywide)

Box 4 of 5
Henry Paulson Background File, Vol. 1
Henry Paulson Background File, Vol. 4
Henry Paulson Background File, Vol. 5

Box 5 of 5
Timothy Geithner’s Testimony & News Articles
GMAC [General Motors Acceptance Corporation] Articles
Background Articles on Shadow Banking
GE [General Electric] Capital Corporations
Bank of New York /Mellon Production
Matthew Cooper’s Files

Box count: 0.4 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24747005       HMS: HS1-92098560

This series contains handwritten notes, drafts of sections of the final report, articles, and other records relating to Lehman Brothers, government sponsored enterprises, the Term Asset-Backed Securities Loan Facility, and shadow banking.

Folder List:

Box 1 of 2
Author Grid
 [Draft Preliminary Staff Report: Shadow Banking and the Financial Crisis]
 [Memorandum for the Record: Steve Eisman and Miscellaneous Records]
 [Government Sponsored Enterprises]
 Section IV Drafts [The Economic Crisis]
 [Final Report Drafts and Miscellaneous Working Papers]
 [Handwritten Notes]
 [Draft Reports]

Box 2 of 2
Term Asset-Backed Securities Loan Facility (TALF)
 [Lehman Brothers]
 [Articles]
Alberto Crego's Files

Box count: 17.5 LTA-S
Arranged by subject
NAID: 24747006     HMS: HS1-91991554

This series contains bank examiner and other oversight records, news articles, handwritten notes, 10-Ks and 10-Qs, government sponsored enterprise-supplied documents, handwritten notes, hearing preparation materials, memoranda for the record, email printouts, and congressional publications. Subjects include Countrywide Financial, AIG, Fannie Mae and Freddie Mac, Washington Mutual, Indy Mac, private label securities purchases, credit default swaps and collateralized debt obligations.

Folder List:

**Box 1 of 18**
Countrywide Financial Corp, Office of the Comptroller of the Currency/Office of Thrift Supervision Documents
Golden West, Pick-a-Payment, Wachovia Documents
Crego’s Notes on Countrywide
Countrywide:
  High Risk
  Servicing and Originations
  Originations
  Residential Mortgage Backed Securities Issuance
  Sales to Fannie Mae and Freddie Mac

**Box 2 of 18**
Countrywide:
  Credit Quality Metrics
  High LTV and GSE Term Refinancing
  Servicing Book – Asset Quality Trends
  Countrywide Alternative Loan Trust (CWALT) Data
Countrywide Loan Program Guide
Countrywide Underwriting and [Loan Program Guide]
Countrywide:
  100% CLTV/Piggy Back
  Subprime Premier Program 23.50
  Non-Conforming Expanded Criteria 100% Financing
  Non-Conforming Reduced, No Ratio, SISA, and NINA Documentation

**Box 3 of 18**
Countrywide 10-Q, Q-2, 2008
Countrywide Q2 2007 Results
Countrywide Q4 2007 Results
Pick-A-Payment Mortgage Portfolio Information and Wachovia’s 10-Q Excerpts
Countrywide Annual 10-K, December 2007

**Box 4 of 18**
Countrywide:
- Financial Spreads
- Request for Documents
- Spread Analysis – Uniform Bank Performance Report (UBPR)/Uniform Thrift Performance Report (UTPR)
- Press/News
- Rating Agencies
- Financial Trends and Earnings per Share (EPS)
- Bank Funding and Loans
- Regulatory Guidance
- Q2 2007 Earnings
- Board Minutes – Draw Down
- $2 Billion/Bank of America
- Market Trends – Home Prices and Delinquency
- 8-K Credit Facility Draw - Liquidity

Fannie Mae:
- Credit Losses by Riskiest Asset Class
- Credit Supplements
- [Credit Losses]
- Capital – Leverage
- [Credit Performance and Spreads]
- [Mortgages – General]

**Box 5 of 18**
Fannie Mae Documents (FM-FCIC_00000210 – FM-FCIC_00000778)
- Fannie [Mae] Acquisition
- Charts and Tables

**Box 6 of 18**
Fannie Mae, (GSE [Government Sponsored Enterprise] Hearing) (cont’d.):
- Fannie Mae PLS [Private Label Securities] Purchases
- [Handwritten Notes]
- Lehman vs. Wachovia Debt/Credit Default Swaps
- Government Sponsored Enterprise Hearing Regulation Documents

**Box 7 of 18**
Fannie Mae and Freddie Mac:
- Single Family Book Growth Citi and Citigroup Growth in Sales of Interest Only Disclosure Subprime
- Treasury Fact Sheet – GSE Bloomberg
- Preferred Stock
Fannie Mae [Private Label Securities] Purchases Response
Fannie Mae Single Family Book – Seller Services Top
Fannie Mae Yields on [Available-For-Sale] Portfolio
Freddie Mac Compensation
Chapter – Conservatorship for [Government Sponsored Enterprises]
Fannie Mae and Subprime Hybrid ARMs (An Examination of 2/28 Loans… And
Recommendations for Fannie Mae Participation Going Forward), February 2007
Fannie Mae Strategic Plan 2007-2011, (“Deepen Segments – Develop Breadth”)
Miscellaneous Fannie Mae Documents:
Asian Fixed Income Investor Trip, Master List of Qs & As, March 2005
Freddie Mac Mission Update – Executive Summary, 8/30/2007
Discussion of HUD’s Proposed Housing Goals, (Presentation to the American Bankers
Association), 4/28/2004
Additional Q&A for 5/22/07 Press Briefing, (Fannie Mae’s Role in Subprime)
Fannie Mae Board of Directors Management Report, 7/17/2007
Freddie Mac Affordable Housing Goals – 2004 Plan, Board of Dir. Meeting, 4/1/2004
Miscellaneous Reports re: Wachovia, Indy Mac, Washington Mutual, Citibank, etc.
Fannie Mae - 2009 CRAM [Community Reinvestment Act Mortgages?] Book

Box 8 of 18
Fannie Mae - 2009 CRAM [Community Reinvestment Act Mortgages?] Book (cont’d.)
Acquisitions CRAM Book 1

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Acquisitions CRAM Book 1 (cont’d.)
Acquisitions CRAM Book 2

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National City Corporation FCIC Work Papers
GMAC Summary
GMAC Work Papers
Fremont General Corporation Work Papers
Crego Nondisclosure Agreement

Box 11 of 18
Fannie Mae Documents FCIC FM-FCIC_00000779 – FM-FCIC_00001686

Box 12 of 18
SEC Form 10-K Washington Mutual, Inc.
Washington Mutual Work Papers
Washington Mutual:
[Permanent Subcommittee on Investigations] Documents
[Permanent Subcommittee on Investigations], 4/2010
Summary – WAMU
[Office of Thrift Supervision]/FDIC – WAMU
FDIC – WAMU

**Box 13 of 18**
Washington Mutual:
  - WAMU
  - Debt Ratings and Articles
  - Equity – [Credit Default Swaps]
IndyMac Work Papers
Media List Spreadsheet of 1/6/2010
Ratings Backed into Risk Based Capital [Bank Regs for Ratings]
SARs [Suspicious Activity Reports] Reporting Folder
Hearings: AIG Testimony and Transcripts
  - [Credit Default Swaps – Goldman Sachs, Bear Stearns, Lehman Brothers]
  - [Residential Mortgage Backed Securities]/[Asset Backed Securities] Examples by Source
AIG Bloomberg Report May 2008
SEC Form 10-K New Century Financial Corp. FY ending 12/31/2005
AIG [Office of Thrift Supervision]

**Box 14 of 18**
AIG [Office of Thrift Supervision] (cont’d.)
Multi-sector [Collateralized Debt Obligation] Book
Presentation to the FCIC April 28, 2010 [Weil, Gotshal & Manges]
AIG [Credit Default Swaps] Notional Balances, 2000-2010
Select ML [Maiden Lane] III LLC Holdings
AIG Multi-sector CDO Book : Credit Committee Presentations 2004 – 2007

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AIG Multi-sector CDO Book : Credit Committee Presentations 2004 – 2007
AIG Multisector [Credit Default Swap] Book: Credit Risk Committee Presentations [incl. Abacus]

**Box 16 of 18**
AIG Stress Book, 9/15/2008
Valuation & Pricing Related to AIG Transactions
AIG: Largest Insurance Company
AIG – State of New York Issue of Winding Down SEC Loan
Financial Products Contribution to AIG Consolidation
AIG Credit Ratings, March 2005 Downgrades
Goldman Sachs Buys CDS on AIG
2005 ISDA Collateral Guidelines
Memoranda for Record [MFR]: Mahoney and Dahlgren, April 2010
Hearing 5
Government Assistance and Maiden Lane
Securities Lending

**Box 17 of 18**
PriceWaterhouseCooper Production
ABS DG
Hearings and Testimony, AIG, 111th Congress
Investment Securities AIG, 2004-2008
AIG Financial Documents

**Box 18 of 18**
Press Articles and Research re: [Credit Default Swaps]
Goldman PLRMBS Sale to AIG – SEC-Lending
AIG Counterparty Exposures
Collateral Posting CDS – AIGFP
AIG Miscellaneous
Jobe Danganan’s Files

Box count: 7 LTA-S, 2 LGA-S
Arranged roughly by subject
NAID: 24747008 HMS: HS1-91991657

This series contains handwritten notes, memoranda for the record, documents supplied by institutions and Federal agencies at the request of the commission, drafts of FCIC reports, journal and press articles. Subjects include Citi, executive compensation, Federal Housing Finance Agency conservatorship, Lehman Brothers, and short selling.

Folder List:

Box 1 of 9
Select Documents from [Office of the Comptroller of the Currency] (Citi), 2/17/2010
Excess Risk and Speculation
[Articles and Research re: Executive Compensation]
[Draft Preliminary Investigative Report on Governance, Risk Management, and the Financial Crisis]
Federal Housing Finance Agency [Responsive Documents]

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[Drafts of FCIC Preliminary Investigative Reports]
[Miscellaneous Documents]
[Records Relating to Compensation]

Box 3 of 9
[Records Relating to Compensation] [cont’d.]

Box 4 of 9
[Records Relating to Compensation] [cont’d.]

Box 5 of 9
[Records Relating to Compensation] [cont’d.]
OCC Produced Documents (Citi), vol 1 of 6

Box 6 of 9
OCC Produced Documents (Citi), vol 1 of 6 [cont’d.]
Valukas – Lehman Examiner
Citibank – Lehman
Interview Prep – Lehman
AHG [Affordable Housing Goals] – Epiq 300-400
Danganan

**Box 7 of 9**
Corporate Library – Citi, Current
[Miscellaneous Unfolded Papers]
Drafts – Reports

**Box 8 of 9**
Interview Notes
JP Morgan – Lehman
Chronology of Selected Events re: JPMC and Lehman, including Supporting Documents
[Miscellaneous Records]

**Box 9 of 9**
Short Sales
Kirstin Downey’s Files

Box count: 2 LGA-S
Arranged by subject
NAID: 24747009   HMS: HS1-92107711

This series contains primarily handwritten notes made during interviews. Also included are the “2009 Mortgage Market Statistical Annual” and some news articles. The records, which concern mortgage fraud, include interviews conducted in Sacramento, Bakersfield, and Las Vegas.

Folder List:

Box 1 of 2
[Handwritten Notes]
[Mortgage Fraud]
[Interview Notes]
Jim Rokakis
Las Vegas
Mortgage Indebtedness
Elizabeth Warren
Marc Savitt
Foreclosure Report

Box 2 of 2
Dodd-Frank Overview
Nouriel Roubini
[California]
Foreclosures
[“2009 Mortgage Market Statistical Annual”]
Miscellaneous Interviews
What Became Of…?
Warnings
September 6-9 Trip
First Version
[Miscellaneous]
Bakersfield
Sujit Canagaretna
Cleveland Data
Sacramento
Karen Dubas’ Files

Box count: 33 LTA-S
Arranged by subject
NAID: 24747011    HMS: HS1-93413106

This series contains articles, supporting documents for the preliminary staff report on credit rating agencies, correspondence with Moody’s and Standard and Poor’s, correspondence regarding the June 1, 2010 hearing, transcripts and interview summaries, correspondence and performance data from Citigroup, reports filed with the Comptroller of the Currency and the Securities and Exchange Commission, Citigroup executive committee meeting minutes, Government Accountability Office reports, drafts of FCIC staff reports and preliminary investigation reports, Citigroup’s Audit and Risk Management Committee and Board of Directors’ materials, and email printouts. Subjects include credit rating agencies methodology and performance, Citigroup, regulation and supervision of financial institutions, Community Reinvestment Act, Bank of America-Merrill Lynch Troubled Asset Relief Program funds, and others.

Folder List:

**Box 1 of 33**
Moody’s RMBS [Residential Mortgage-backed Securities] and CDO [Collateralized Debt Obligations] Ratings
Rating Agency PSR [Preliminary Staff Report] – Supporting Documents

**Box 2 of 33**
Rating Agency PSR [Preliminary Staff Report] – Supporting Documents (cont’d.)

**Box 3 of 33**
Moody’s Corporate 10-Ks
Miscellaneous Moody’s Documents
FCIC Interview of Ilya Kolchinsky, 4/27/2010

**Box 4 of 33**
FCIC Interview of Ilya Kolchinsky, 4/27/2010 [duplicate]
SEC Ratings Agency Documents
Rating Agency Correspondence

**Box 5 of 33**
Hearing 5A Transcripts & Memoranda (Moody’s Interview Transcripts and MFRs)
Investigative Report Documents (Credit Rating Agencies “Best of” Documents)

**Box 6 of 33**
Connecticut Attorney General’s Moody’s Documents
Hearings 5A Rating Agency Documents
Citi Preliminary Report Documents (“Best of” Citigroup Documents for Hearing 2/3)

Box 7 of 33
Citi Correspondence & Communication (Citigroup-Related Correspondence)
Citigroup & CRA (Community Reinvestment Act)
Select Documents from OCC (Citi), 2/17/2010 (“Best of” OCC Regulatory Documents for CitiGroup)

Box 8 of 33
Citigroup Executive Committee Meeting Minutes
Citigroup Report Articles (Public Articles cited in Citigroup Preliminary Investigative Report)
TARP (Chapter 9, Section III of Book Drafts)

Box 9 of 33
TARP: Citigroup (Citigroup 2008 Documents) (Chapter 9, Section III of Book Drafts)
TARP: Bank of America and Merrill Lynch (Chapter 9, Section III of Book Drafts)
Wachovia –Systemic Risk Exception, 9/25/2008
Regulation and Supervision, General

Box 10 of 33
Regulation and Supervision, General (cont’d.)
Regulator Document Requests:
  Misc. Regulators
  FRB & FRBNY
  OCC [Office of the Comptroller of the Currency]
  FHFA [Federal Housing Finance Authority]
  FDIC

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Data for Crisis Benchmarks

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Box 13 of 33
Citi 2007 [Board of Directors] Materials [5 files]

Box 14 of 33
Citi 2007 [Board of Directors] Materials [5 files] (cont’d.)

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Citi 2007 [Board of Directors] Materials [5 files] (cont’d.)
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Citi Documents from SEC

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Citi Documents from SEC
SEC-Citi CSE [Consolidated Supervisory Entities] Documents

Box 18 of 33
SEC-Citi CSE [Consolidated Supervisory Entities] Documents (cont’d.)
Witness Exhibits 1:
- Exhibits for Maheras, 3/10/2010
- Adolfo Marzol Exhibits
- FRB Citi Docs
- Miscellaneous Citi Docs

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Witness Exhibits 2:
- Exhibits (Prince), 3/17/2010
- Exhibits for Rubin, 3/11/2010
- Bushnell Exhibits, 4/1/2010
Citi Minutes:
- Citi Board of Directors Minutes, 2004-2007
- Citi ARM [Audit and Risk Management] Committee Minutes, 2005-2007
- Citi 2008 Minutes (Board of Directors and ARM [Audit and Risk Management])

Box 20 of 33
Citi Litigation:
- George Pappas v. Countrywide et al.
- In re: American Home Mortgage Securities Litigation
- In re: Citi Shareholder Derivative Litigation
- In re: Citigroup Securities Litigation
- In re: Citigroup Bond Action Litigation
- In re: Lehman Securities & ERISA Litigation
Charles Prince
Robert Rubin

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Thomas Maheras
David Bushnell
Richard Bitner
Patricia Lindsay
Richard Bowen
Susan Mills
Murray Barnes
Nestor Dominguez

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Thomas Maheras Official Transcript, 3/10/2010

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Thomas Maheras Official Transcript, 3/10/2010 (cont’d.)
Citigroup – 2006 SEC Filings

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Citigroup – 2007 SEC Filings
Citigroup – 2008 SEC Filings

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Citigroup – 2008 SEC Filings (cont’d.)
Citigroup – 2009 SEC Filings

Box 26 of 33
Citigroup – 2009 SEC Filings (cont’d.)
Copies of Citigroup/Paul Weiss Correspondence
Dick Bowen (Citi Whistleblower)
Citi – Tracing a Loan & Ridgeway II, 3/2/2010
Interrogatory Supporting Docs
Citigroup 2003 10K
FINRA [Financial Industry Regulatory Authority] Customer Arbitration

Box 27 of 33
Citigroup 2004 SEC Filings
Citigroup 2005 SEC Filings

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Citigroup 2005 SEC Filings (cont’d.)
FDIC Emails Re: Bank of America – Merrill Lynch TARP Funds
Community Reinvestment Act (CRA)

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Community Reinvestment Act (CRA) (cont’d.)
Panel 1 Briefing Binder
Kolchinsky Transcripts, 4/27/2010
Witt Transcripts, 4/21/2010
Weill Transcripts, 5/11/2010
Siegel Transcripts, 5/26/2010

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Panel 2 Briefing Binder
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McDaniel Transcripts, 5/21/2010
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Panel 3 Briefing Binder
Clarkson Transcripts, 5/20/2010
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Brian Clarkson Interview Exhibits
Yuri Yoshizawi Interview Exhibits Used
Raymond McDaniel Interview Exhibits
[Connecticut Attorney General]: Moody’s Hot Documents

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Charles Prince Official Transcripts, 3/17/2010

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David Bushnell Official Transcripts, 4/1/2010

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Citigroup MFRs and Transcripts, 4/7-8/2010
[Handwritten Notes]
Bartly A. Dvizi’s Files

Box count: 7.5 LTA-S
Arranged by subject
NAID: 24747014       HMS: HS1-93413757

This series contains records furnished by the Office of the Comptroller of the Currency and Federal Reserve, hearing preparation materials, memoranda, news clippings, draft reports, handwritten notes, and other records primarily relating to Citigroup.

Folder List:

Box 1 of 8
Federal Reserve Bank Documents, Response to Request #3, 02/10/2010
[Office of the Comptroller of the Currency Documents re: Citigroup]
Select Documents from [Office of the Comptroller of the Currency] (Citi), 02/17/20
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[Miscellaneous]

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[Countrywide]
[Documents Supplied by the Federal Reserve Bank of New York]

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[Records Supplied to the FCIC by the Office of the Comptroller of the Currency]
LexisNexis Documents
[Office of the Comptroller of the Currency-Supplied Documents]

Box 4 of 8
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Public Documents
Federal Reserve Bank Documents (Citi) Response to 2/10/2010 Request #1, Vol. 1

Box 6 of 8
Federal Reserve Bank Documents (Citi) Response to 2/10/2010 Request #1, Vol. 1 (cont’d.)
Federal Reserve Bank Documents (Citi) Response to 2/10/2010 Request #1, Vol. 2
Michael Easterly's Files

Box count: 13 LTA-S
Arranged in four sections: investigation, research, book, and reference and thereunder by subject
NAID: 24747016    HMS: HS1-93415366

This series contains primarily journal articles, but also news articles, court documents, handwritten notes, documents supplied by AIG, bank examiner reports, financial institution committee minutes, email printouts, Government Accountability Office and Congressional Research Service reports, congressional testimony, drafts of preliminary staff reports and the final report (referred to as the “book”), FCIC hearing transcripts, memoranda for the record, and Congressional Budget Office reports. Subjects include AIG, Fannie Mae, and the history of regulation of various financial vehicles and the financial industry in general.

Folder List:

Box 1 of 13
Investigations:
   Credit Rating Agencies Report
   Interview Notes
   [Administrative] Instructions
   AIG Investigation

Box 2 of 13
Investigations:
   Fannie Mae Investigation

Box 3 of 13
Investigations:
   Fannie Mae Investigation (cont’d.)
Research:
   Idle Curiosity
   FCIC’s Report on Corporate Governance
   Corporate Governance, General
   History of Boards of Directors (for Corporate Governance Report)
   History of Executive Compensation (for Corporate Governance Report)

Box 4 of 13
Research:
   History of Risk Management (for Corporate Governance Report)
   History of Market Discipline (for Planned Addition to History of Corp. Governance Rpt.)
   History of Regulation Report
   History of Insurance Regulation (for History of Regulation Report)
   History of Securities Regulation (for History of Regulation Report)
Easterly

History of Banking Regulation (for History of Regulation Report)

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Research:
- History of Hedge Fund Regulation (for History of Regulation Report)
- History of Derivatives Regulation (for History of Regulation Report)
- Agency Lessons Learned

**Box 6 of 13**
Research:
- International Crises
- Monoline Insurance Companies

**Box 7 of 13**
Research:
- Housing Finance
- Report on Tax Treatment of Mortgage Debt
- [Collateralized Debt Obligations]
- Report on Housing Bubble

**Box 8 of 13**
Research:
- FCIC Reports
Book:
  - Book-writing Instructions
  - Book Draft and Instructions
  - Sources for the Book
  - Hearing One, Day One Transcript

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- Interviews and Hearings Consulted During Book-Writing Process
  - 2003-05 Piggyback Lending
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- 2000 HUD/Treasury Report
- 1998 Subprime Meltdown
- Automated Underwriting
- Community Reinvestment Act
- Rating Agencies
Easterly

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Book:
- Derivatives
- Regulators
- Originators
Reference:
- Miscellaneous Articles and Reference Materials

**Box 12 of 13**
Reference:
- Miscellaneous Articles and Reference Materials (cont’d.)

**Box 13 of 13**
Reference:
- Miscellaneous Articles and Reference Materials (cont’d.)
Michael Flagg’s Files

Box count: 2 LTA-S
Arranged alphabetically by subject
NAID: 24747018   HMS: HS1-93407367

This series contains articles, Federal Deposit Insurance Corporation Inspector General reports, email printouts, Government Accountability Office reports, and other records. Topics include Wachovia, regulatory reform, Washington Mutual, and others.

Folder List:

**Box 1 of 2**
Bank of America
Book
Citi
Economists/Experts
Emails
Federal Deposit Insurance Corporation
Government Accountability Office
Goldman
Hearings
Investigations

JP Morgan
Mortgages
Politics/Context
Regulatory Reform
Temporary Liquidity Guarantee Program (TLGP)
[Public-Private Investment Program] (PPIP)
WAMU [Washington Mutual]
Writing

**Box 2 of 2**
Wachovia: Financial Documents
Wachovia: Clips
Scott Ganz’s Files

Box count: 1 LGA-S
Arranged by subject
NAID: 24747020   HMS: HS1-93407397

This series contains incoming correspondence, resumes, handwritten notes, and a briefing binder for the hearing on derivatives.

Folder List:

**Box 1 of 1**
[Unfoldered]
[Resumes]
Briefing Binder: Hearing re: Derivatives, 6/30-7/1/2010
[Handwritten Notes]
Thomas Greene’s Files

Box count: 20 LTA-S, 7 LGA-S
Unarranged
NAID: 24747032    HMS: HS1-93381440

This series contains news articles, court documents, handwritten notes, drafts of reports and memos, interview summaries, email printouts, and Federal Reserve Board of Governors records. Subjects include commodities trading, Federal government bailouts, Federal Reserve Bank of New York, Lehman Brothers, and Citigroup.

Folder List:

Box 1 of 27
[Reference: Articles and Court Documents]

Box 2 of 27
[Reference Material re: Commodities Trading]
[Reference: Articles and Congressional Publications]

Box 3 of 27
[Reference: Articles and Congressional Publications] (cont’d.)
[Federal Reserve Board of Governors Documents]
[Notes, Memos, Drafts]

Box 4 of 27
[Notes, Memos, Drafts] (cont’d.)

Box 5 of 27
[Notes, Memos, Drafts] (cont’d.)
[Report Drafts]

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[Working Files re: Citigroup]
[GE Capital]
[FCIC Finances]
[Drafts of Report re: Lehman Brothers]
[Draft of Report re: Bear Stearns]
[Miscellaneous Working Papers]

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FCIC Meeting, 10/20/2009
U.S. Bankruptcy Court in re: New Century TRS Holdings, Inc.: Final Report of ... Bankruptcy Court Examiner
Federal Home loan Mortgage Corporation, Form 10-Q
[Miscellaneous Court Cases]
Federal Reserve Board 13(3) Approvals

Box 17 of 27
[Unlabeled]
Federal Home Loan Bank of Chicago v. Bank of America Funding Corp. ... 10 CH45033
U.S. Bankruptcy Court in re: Washington Mutual, Inc. – Final Report of the Examiner
U.S. District Court for the Southern District of New York in re: Citigroup, Inc. Bond Litigation
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Companies/Morgan Stanley
Community Reinvestment Act (CRA)
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Fraud Enforcement Act (FERA)
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Greenspan
Phil [Angelides]
Press
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    Generalship/Troubled Asset Relief
    Program]

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Interviews
Legal Research
Lessons Learned
Macro Working Group
Messaging
New York Office
Notes/Commissioner Meetings

Box 21 of 27
Hearing #2
Hearing #2 (Subprime)
Hearing #3 (Securitization)
Hearing 2/3: Rubin
Goldman Sachs Documents, Produced 5/28/2010
Forum to Explore the Causes of the Financial Crisis, 2/26-27/2010
Hearing on Subprime Lending and Securitization and Government-Sponsored Enterprises (GSEs), 4/7-9/2010
Hearing on Subprime Lending and Securitization and Government-Sponsored Enterprises (GSEs), Briefing Book

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Hearing on Subprime Lending and Securitization and Government-Sponsored Enterprises (GSEs), Briefing Book (cont’d.)
Staff Briefing for Hearing 1, 1/13-14/2010

**Box 23 of 27**
The Road Ahead for the Fed, edited by John Ciorciari and John Taylor
[CDO Data]

**Box 24 of 27**
U.S. District Court SDNY in re: Citigroup Inc. Bond Litigation -- Amended Complaint Docs: News, Analyst Reports, and Transcripts

**Box 25 of 27**
U.S. Bankruptcy Court SDNY in re: Lehman Brothers Holdings, Inc., et al., Report of Examiner [Industry Publications]
Document Requests from Goldman Sachs, 11/2/2010

**Box 26 of 27**
FCIC Hearing on the Role of Derivatives in the Financial Crisis, Supporting Documents [Miscellaneous]

**Box 27 of 27**
[Miscellaneous] (cont’d.)
Rishi Gupta and Desi Duncker’s Files

Box count: 3 LTA-S
Arranged by subject
NAID: 24747033    HMS: HS1-93381607

This series contains news clippings and scholarly articles, a draft of the preliminary investigative report on Lehman Brothers, emails, court records, Securities and Exchange Commission filings, Congressional Research Service reports, hearing statements, Government Accountability Office reports, Federal Deposit Insurance Corporation and Department of the Treasury inspector general reports, a preliminary staff report on shadow banking, drafts of sections of the final report, and industry publications. Subjects include Lehman Brothers, Fannie Mae and Freddie Mac conservatorship, the Troubled Asset Relief Program, Indy Mac, shadow banking, Clayton Holdings, and others.

Folder List:

Box 1 of 3
Archstone-Smith Deal
HF Points
Fannie/Freddie Conservatorship
ARS [Auction-Rate Securities] Background Materials
Robert Steele Information
ARS [Auction-Rate Securities] Charges/Writedowns
Dow Kim Background
IndyMac Bank, FSB [Federal Savings Bank]

Box 2 of 3
Internal Documents
Clayton Holdings Documents
[Miscellaneous]
[Shadow Banking, Compensation]
[Hedge Funds]
[FCIC Procedures]
Goldschmid

Box 3 of 3
Congressional Research Service Reports, Vol. I
Congressional Research Service Reports, Vol. II
Maryann Haggerty’s Files

Box count: 1.3 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24747034     HMS: HS1-93381643

This series contains email printouts, notes, drafts, graphics, and other records relating to the publication – in print and electronic form – of the commission’s final report.

Folder List:

**Box 1 of 3**
- Proofreading
- Web Homefront
- [Electronic Publication]/Web Planning
- Sell Sheet
- National Archives
- Interviews/Transcript Notes
- Hearings
- Will Lippincott
- Government Printing Office Information
- Book Production
- TOPIRS [Topical Investigative Reports]
- Creative Brief [Electronic] Book
- [Electronic] Book Production
- Miscellaneous Reference
- Fact Check Notes
- Front Matter/Back Matter
- Work Plan
- Glossary
- Document Clearance
- Timeline
- Vetting
- Resolved
- Commissioner List Page
- Schematics
- RMBS [Residential Mortgage Backed Securities]/CDO [Collateralized Debt Obligations] Schematic
- Graphics, Miscellaneous

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- Real Questions
- Section I Charts
- Section II Charts
- Section III Charts
- Section IV Charts
- Charts, Not Using
- Home Price Maps
- Older Extra Graphics
- Review
- Graphics – Latest Version
- [Graphics]
- Graphics – Notes for 12/30 and 12/31
- [Commissioner Comments]
- Preface
- Briefing Binders
- Web Site Pre-Launch

**Box 3 of 3**
- Findings Facts
- Accounting TOPIR [Topical Investigative Reports
Robert C. Hinkley and Thomas Krebs’ Files

Box count: 1 LGA-S, 1 LTA-S
Arranged by subject
NAID: 24747035    HMS: HS1- 93418977

This series contains handwritten notes, Federal Register regulations and proposed rules, Securities and Exchange Commission-supplied Form 10-Q for Lehman Brothers Holdings, Inc. from 2008, news articles, Goldman Sachs loan reports and data output.

Folder List:

Box 1 of 2
[Goldman Sachs Loan Data]
[Loan Data]
[News Articles]
[Goldman Sachs Data]
[Handwritten Notes]

Box 2 of 2
[Goldman Sachs Data]
[Regulations and Proposed Rules]
[SEC Form 10-Q, Lehman Brothers, FY2008]
[Press articles, correspondence, memos]
[Goldman Sachs Data]
Brook L. Kellerman’s Files

Box count: 2.8 LTA-S
Arranged by subject
NAID: 24747036    HMS: HS1-93419067

This series contains articles, slip cases, court records, correspondence, agency-supplied documents, and other records relating to subprime mortgages, mortgage-backed securities, section 302 of the Sarbanes-Oxley Act, bespeaks caution doctrine, cease and desist orders, AIG, Bear Stearns, Lehman Brothers, and other topics.

Folder List:

Box 1 of 3
[Mortgage-Backed Securities] Case
Subprime Cases – Articles
[Mortgage-Backed Securities] Articles
Securities and Exchange Commission Statute of Limitations
Section 2.6
10(b) – Outside Directors
Sarbanes-Oxley Section 302

Box 2 of 3
Bespeaks Caution -- Articles
Bespeaks Caution -- Cases
Cease and Desist Orders from the Office of Thrift Supervision (OTS)
Federal Reserve Documents re: AIG
BSAM [Bear Stearns Asset Management] Documents
Research for Timeline
Mortgage-Backed Securities – Offering Docs

Box 3 of 3
Documents in the Draft
Lehman
Probably Not Actually Cited, but On Point [Miscellaneous]
Sarah Knaus’ Files

Box count: 8 LTA-S, 1 LGA-S  
Arranged by subject 
NAID: 24747037  
HMS: HS1-93419114

This series contains documents used in preparation for Hearings 4 and 5, document requests, court records, email printouts, handwritten notes, financial institution-supplied documents, and other records. Subjects include Bear Stearns, American International Group, Reserve Primary Fund, Bank of New York Mellon, and others.

Folder List:

Box 1 of 9
FCIC Hearing 4: Long List Documents, Vol. 1
FCIC Hearing 4: Long List Documents, Vol. 2
FCIC Hearing 4: Short List Documents Day 1

Box 2 of 9
FCIC Hearing 4: Short List Documents Day 1 (cont’d.)
New Century of America
Hard Copy Document Requests
Transcripts (1/27/2010) Left By Feretesh
Witness File: Alan Schwartz
Witness File: Jimmy Cayne
Witness File: Tom Marano
Witness File: Brad Fox
Witness File: Karen DeNale
Randall Krosner, Chris Mayer and Jaffe
Bert Eliz
Gary Gorton
Jim Carr
D.A. NY
Reserve Primary Fund
Document Request: Goldman Sachs
[Handwritten Notes]

Box 3 of 9
Merrill [Lynch] Analyst Reports (Reviewed by Susan M.)
Document Requests: Background File

Box 4 of 9
Hearing 5: Complex Financial Derivatives (Document Request Letters & Responses)
G. Park Emails (AIG) – Hearing 5
Box 5 of 9
Reserve Primary Fund, RMCI 738-1532
Bear Stearns Prep: Sam Molinaro
Bear Stearns Prep: Tom Marano
Bear Stearns Prep: Mike Alix
Bear Stearns Prep: Jimmy Cayne
Hearing 5 Background: AIG

Box 6 of 9
ACA ABACUS 00000001 – ACA ABACUS 00001029 (1 of 2)
ACA ABACUS 00000001 – ACA ABACUS 00001029 (2 of 2)

Box 7 of 9
Cassano Documents I
Cassano Documents II
Kevin McGinn Prep
Forster/Cassano Prep

Box 8 of 9
AIG Credit Risk Committee Approval Memos
Securities and Exchange Commission Cox/Donaldson Speeches & Testimony
Cox/Donaldson, Vol. II

Box 9 of 9
AIG Conference Call Transcripts
Documents from Hearing 4
Bear Stearns Articles
Jay N. Lerner’s Files Relating to Lehman Brothers

Box count: 11 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24747038    HMS: HS1-93419186

This series contains agency- and Lehman-supplied documents, court records, interview notes and summaries, correspondence, congressional committee records, articles, and other material relating to Lehman Brothers’ bankruptcy. Some records also relate to General Electric, Fairfield Greenwich, and Bear Stearns.

Folder List:

Box 1 of 12
Lehman Bankruptcy: Miscellaneous
Lehman Bankruptcy: Sections I, II, III.A.1
Lehman Bankruptcy: Section III.A.2
Lehman Bankruptcy: Section III.A.3
Lehman Bankruptcy: Section III.A.6
Lehman Bankruptcy: Section III.B and C
Lehman Bankruptcy: Appendices 1 – 7 and 15
[Repurchase Agreement] 105

Box 2 of 12
Lehman Witnesses, General
Lehman Witnesses:
    Bill Schlich (Ernst & Young)
    Martin Kelly
    Ed Grieb
    Bart McDade
    Erin Callan
    Dick Fuld
    Lehman Lawyers
House Financial Services Committee Hearing, 4/20/2010; House Oversight & Government Reform Committee Hearing, 10/06/2008

Box 3 of 12
Lehman Derivatives
[Lehman Timeline]
[Drafts of Investigative Report on Lehman Brothers]
Lehman
Tom Krebs’ File on Lehman and [Repurchase Agreement] 105

Box 4 of 12
Lehman Articles
[Court Records]
[Documents Supplied by Lehman Brothers]

**Box 5 of 12**
[Documents Supplied by Lehman Brothers] (cont’d.)
Consolidated Supervised Entity (CSE) Program:
  General
  [Christopher] Cox /[William H.] Donaldson
  [Christopher] Cox/Securities and Exchange Commission

**Box 6 of 12**
CSE Program (cont’d):
  [Harvey J.] Goldschmid
  [Annette] Nazareth
  [Erik] Sirri
  [Henry] Paulson
  [Ben] Bernanke
  [Paul] Volcker
  [Timothy] Geithner
Commercial Paper
GE Capital
GE Capital-Background

**Box 7 of 12**
GE Capital-Transcripts
Fairfield Greenwich
Clayton Holdings

**Box 8 of 12**
Bear Stearns
Repurchase Agreements
Auction-Rate Securities
Money Markets

**Box 9 of 12**
Reserve Primary-Lehman
CPFF [Commercial Paper Funding Facility] –Treasury
TLGP [Temporary Liquidity Guarantee Program]-FDIC [Federal Deposit Insurance Corporation]
Shadow Banking
Hearing 4 -- May 2010
Henry M. Paulson, Jr. Testimony, 5/6/2010
Robert Rubin Interview, 3/11/2010
Charles Prince Interview, 3/17/2010
Box 10 of 12
[JP Morgan Chase]
[Miscellaneous]
[Publications]
[Handwritten Notes]
[President’s Working Group on Financial Markets and Agencies re: Private Pools of Capital]
FCIC – January Hearings
FCIC – February Hearings

Box 11 of 12
FCIC – February Hearings (cont’d.)
Short Selling
Hedge Funds – Congressional Testimony
Hedge Funds

Box 12 of 12
Hedge Funds (cont’d.)
Hearing 6 – Derivatives
Excess Risk – Magnetar
Derivatives – Goldman Sachs
Michael Burry – Scion
AIG – Hank Greenberg
Julie Marcacci’s Files

Box count: 1.4 LTA-S
Arranged by volume number, handwritten notes unarranged
NAID: 24747039      HMS: HS1-93419240

This series contains volumes 1-5 of Lehman Brothers Examiner Report (per Lehman Brothers bankruptcy case of 2010) and handwritten notes.

Folder List:

Box 1 of 2
[Unlabeled Handwritten Notes re: Lehman Brothers]
Lehman Brothers Examiner Report, volumes 1-4

Box 2 of 2
Lehman Brothers Examiner Report, volume 5
Courtney Mayo’s Files

Box count: 2 LTA-S, 0.5 LGA-S
Arranged by subject
NAID: 24747040      HMS: HS1- 93419270

This series contains transcripts of the public hearings, notes of conversation with Stephanie Kaplan and Philip Zelikow of the 9/11 Commission about its processes and lessons learned, news articles, witness lists, FCIC administration, including Westlaw subscription service and logistics of hearings.

Folder List:

**Box 1 of 3**
FCIC Public Hearing Transcript, 9/23/2010 (2 copies)
FCIC Hearing Transcript re: Credibility of Credit Ratings, the Investment Decisions Made Based on Those Ratings, and the Financial Crisis, 9/7/2010

**Box 2 of 3**
FCIC Hearing Transcript re: Credibility of Credit Ratings, the Investment Decisions Made Based on Those Ratings, and the Financial Crisis, 9/7/2010
Commission Hearings, 9/7/2010
9/11 Commission
[Handwritten Notes]
[Unlabeled]
WestLaw
Hearing Logistics

**Box 3 of 3**
Hearing: Locations
Miami and Sacramento Field Hearings
Bakersfield and Las Vegas Hearings
Additional Bakersfield Testimony
H[earing] 7
July 28-29 FCIC Meeting
Hearing 5 (New York City)/Retreat
Hearing 5
Hearing 4
Research Symposium
Hearing 1 (1/13-14/2010)
Witness List
Carl McCarden’s Files

Box count: 6 LTA-S, 3 LGA-S
Arranged by subject
NAID: 24747041 HMS: HS1-93419942

This series contains news articles, Countrywide statistical data, handwritten notes, court records, earnings reports and press releases, industry publications, derivative valuations, FCIC personnel materials. Also included are records of staff member Beneva Schulte. Subjects include Countrywide Financial Corporation, Morgan Stanley, and Goldman Sachs.

Folder List:

Box 1 of 9
“Countrywide Financial: Corp. Credit Risk Committee, 3/21/2005”
Key Articles Used in Countrywide Preliminary Investigative Report
Morain - Countrywide Files – Documents Reviewed for Case Study Report [I & II]
[Handwritten Notes]

Box 2 of 9
Countrywide FLAG Lawsuit
AIG Research – Relevant Litigation Tom Grober Data
Documents Produced by Joseph St. Denis

Box 3 of 9
Key New York Fed Emails re: AIG Failure
AIG Debt Owed to U.S. Government
AIG – Greenberg
Derivatives Hearing: Documents from FrontPoint (Steve Eisman), Produced April 2010
Countrywide Financial Corp – Analyst Reports
Key Countrywide Financial Corp. Emails used in Preliminary Investigative Report and Book

Box 4 of 9
Countrywide Financial 2006 Earnings Releases & Transcripts
Countrywide Financial Earnings Call Transcripts 2007
“Countrywide 2007 Strategic Plan & Forecast Summary, February 2007”
[Miscellaneous Documents]

Box 5 of 9
Morgan Stanley
Freddie Mac
[Miscellaneous Records]
CLSA publications: Initiation of Coverage and Industry Outlook
[Handwritten Notes]
Box 6 of 9
FNMA Early and Later Warning Reports 2006
FNMA Early and Later Warning Reports, 2008
Rosenblatt Documents
Morgan Stanley Research Reports
Bernstein Research: Morgan Stanley/Fannie Mae

Box 7 of 9
Goldman Sachs
Jonathan Egol/Peter Ostrem

Box 8 of 9
David Lehman/Jonathan Egol/Peter Ostrem
Egol
[Rating Changes Charts]
[Handwritten Notes]
Exhibits to Summary of Investigative Report [re: Goldman Sachs]
Goldman Sachs Abacus 2004-1
Goldman Sachs Abacus 2006-8
Goldman Sachs Abacus 2007-18
[Miscellaneous Goldman Sachs]
Appendices to March 1, 2010 Letter of Goldman Sachs

Box 9 of 9
[Miscellaneous Goldman Sachs]
Beneva Schulte Files:
Hearings, General
Hearing One
Day 1+ Day 2 Fact Sheets
Hearing 2
Glen Sears
Hearing One – Comm Plan
Background Information
Fact Checkers
Hearing 4
Hearing 2/3
Hearing 5 and 5A
Detailees
Staff Hires
Personnel Potentials
Personnel
Senior Staff Meetings
Forum Takeaways
Hypothesis
Bruce McWilliams's Files

Box count: 20 LTA-S
Arranged roughly by subject
NAID: 24747042    HMS: HS1-93420201

This series contains statistics, drafts of staff investigative reports, congressional committee exhibits, Congressional Research Service reports, agency- and financial institution-supplied records, Securities and Exchange Commission filings, court records, email printouts, bank examiner reports, articles, and other records. Topics include risk, rating agencies, the Community Reinvestment Act, Citigroup, Wachovia, Moody's, Wells Fargo, National City Corporation, Fremont Investment and Loan, and others.

Folder List:

**Box 1 of 20**
Moody’s 10Ks, 1998-2009
Rating Agencies Documents

**Box 2 of 20**
Rating Agencies Documents (cont’d.)
Brian Clarkson
Rating Agency Document
Buffet
Fons – Buffet
Corporate Library
Witnesses
Parmalat
Congressional Research Service Paper on Community Reinvestment Act
Share Holding – Buffet/Moody’s
Michalek Testimony
Cifuentes Testimony
Kolchinsky
Cox Testimony
Investment Company Institute – Securities and Exchange Commission Testimony
Rating Agency Articles
Witness Not Used
Compensation
Rating Agencies Hearing: Permanent Subcommittee on Investigations Documents on Community Reinvestment Act Hearing
Box 3 of 20
Rating Agencies Hearing: Permanent Subcommittee on Investigations Documents on Community Reinvestment Act Hearing (cont’d.)
Rating Agency Document 2
[Articles]
[Federal Reserve]

Box 4 of 20
Clayton Holdings
Russell
PIMPCO
[Dreyfus]
Riversource
Securities and Exchange Commission Suit Against Reserve Fund
Moody’s Fund Stuff
Moody Fees
[Citi Documents]
[Citi] ‘07

Box 5 of 20
[Citi Form 10Q] March 2008 [through] June 2009
[Citi]
Office of the Comptroller of the Currency about Citi
Citi Interview Notes
“Citigroup Saw No Red Flags…” NY Times
GCIB Corp
Short Sale Information
Tier 1 Calculations
Risk-based Capital Guidelines
Capital Chart
Federal Register R[isk]- B[ased] C[apital]
Citi – Call Report 12/2009

Box 6 of 20
Moody’s 10 Qs
Securities and Exchange Commission Credit Rating Agency Documents
Moody’s: Corporate Library Articles

Box 7 of 20
Moody’s Proxy Statements
Moody’s Form DEF14A for Period Ending 4/22/2008
Moody’s Court Cases
Academics
Chris Laursen Notes
13-3 Regulations
Fed [Federal Reserve Board] Document Request

**Box 8 of 20**
TBTF [Too Big to Fail] Various Notes/Program
Let – Mark to Markets
Barnes Interview
Ganz Paper
Leech Testimony
Citi Stock Offer
[Miscellaneous Citigroup Documents]
[Citigroup 8-K Report, 10/13/2009]
[Citigroup] 10-K 2006
[Citigroup] 10-K 2005

**Box 9 of 20**
[Executive Compensation]
Prince Interview
Citi Subsidiaries
[Citigroup 8-K Report, 1/23/2009]
[Citigroup] 10-Q Reports, 2007
Citi Interrogatories
[Citigroup] 10-K, 2009
*Large Financial Institutions’ Perspectives on Risk, 2/20/2007 from Federal Reserve Bank of New York*
[Citi Senior Supervisor’s Group Risk Management Self-Assessment]
[Federal Reserve Bank of New York Examiners’ Reports re: Citi]

**Box 10 of 20**
[Federal Reserve Reports, Speeches, Timeline]
[Wachovia-Supplied Documents]
[Moody’s-Supplied Material]

**Box 11 of 20**
[Moody’s-Supplied Material] (cont’d.)

**Box 12 of 20**
[Moody’s-Supplied Material] (cont’d.)
[Wachovia-Supplied Documents]
[Briefing Book for Sacramento Field Hearing]
Box 13 of 20
[Federal Reserve Examiner Report on Wells Fargo]
[Moody’s-Supplied Material]

Box 14 of 20
[Moody’s-Supplied Material] (cont’d.)

Box 15 of 20
[Moody’s-Supplied Material] (cont’d.)
[National City Corporation]
[Fremont Investment and Loan]

Box 16 of 20
[Fremont Investment and Loan] (cont’d.)
[Moody’s-Supplied Material]
[Wachovia]
[Federal Reserve Bank of New York Material re: Lehman Brothers]
[Too Big to Fail]

Box 17 of 20
[Federal Reserve]
[Federal Reserve Reports re: Bank of America]
[Federal Reserve Documents re: Wachovia]
[Wachovia 10-Qs]
[Wachovia 10-K and Annual Report, 2007]

Box 18 of 20
[Hedge Funds Reports from President’s Working Group on Financial Markets]
[Too Big to Fail]
[Securities and Exchange Commission Oversight of Bear Stearns]
[Final Report Sections: Drafts]
[Miscellaneous]

Box 19 of 20
[Miscellaneous] (cont’d.)

Box 20 of 20
[Miscellaneous] (cont’d.)
Joel Miller's Files

Box count: 1 LGA-S, 1 LTA-S
Arranged by subject
NAID: 24747044 HMS: HS1-93420623

This series contains handwritten notes, preliminary staff reports, news articles, Florida state investigation records, President’s Working Group on Supervision records, and printed regulations.

Folder List:

Box 1 of 2
Annuities
Bank Sweeps
Broker-Dealer Insolvency
Brokered Deposits
Cash Collateral Pools
CDARS
Collective Investment Funds
Commercial Paper
Complex Structured Finance
Credit Underwriting

Box 2 of 2
Shadow Banking
SIVs [Structured Investment Vehicles]
Ultra-short Funds
Supervision and Regulation
FCIC General Info
State and Local Government Investigations
Florida Materials
Money Market Funds
Money Market Funds 2007-2008 Support

Enhanced Cash Fund
FHLBs [empty]
Hedge Funds
Home Equity
ILCs [Industrial Loan Companies]
Interview Notes
Wholesale Funding/Shadow Banking:
Real Estate Lending Standards
Repo
Reserve Primary Fund
Securities Lending
Steven L. Mintz’s Files

Box count: 1 LTA-S
Arranged by document type
NAID: 24822113    HMS: HS1-93420653

This series contains handwritten notes taken during interviews, court records, Department of Justice press releases, and an essay titled “The Panic of 2007.”

Folder List:

Box 1 of 1
[Handwritten Notes]
Department of Justice Press Releases
Litigation
“The Panic of 2007”
Clara Morain’s Files

Box count: 3 LTA-S
Arranged by subject
NAID: 24822117    HMS: HS1-93420724

This series contains email printouts, court records, news articles, and Fannie Mae documents.

Folder List:

**Box 1 of 3**
Hearing and Briefing Binder: Hearing on Subprime Lending and Securitization and GSEs
Fannie Mae Investigation: Treasury Dept. Documents
Fannie Mae Research: Warning Reports [1 of 2]

**Box 2 of 3**
Fannie Mae Research: Warning Reports [2 of 2]
Fannie Mae: Selected Documents
Fannie Mae Research: Research Reports, Earnings Release, Earnings Call Transcripts
FHFA (OFHEO) Info on 3/19/2008 Announcements
Document Request: Fannie Mae
Valukas Report Excerpts and Documents re: Citi [2 of 4]

**Box 3 of 3**
Valukas Report Excerpts and Documents re: Citi [3 of 4]
Key Documents Re: Warnings About Fannie Mae
Gretchen Newsom’s Files

Box count: 1 LTA-S, 2 LGA-S
Arranged by subject
NAID: 24822118       HMS: HS1-93479274

This series contains email printouts, memoranda, vouchers and other administrative records, articles, handwritten notes, and other records. Subjects include the organization, administration, and logistics of the commission, especially budget, arrangements for the hearings, and the rollout of the final report.

Folder List:

**Box 1 of 3**
- FCIC Budget
- Rollout Location
- Rollout
- Book Outline
- 9/11 Commission
- Hearing Sites
- Communications
- FCIC – Tickler File
- Senior Staff Meetings
- Website
- [Hearings of 6/30-7/1/2010]
- [Handwritten Notes]
- Congressional Correspondence
- Working Groups – TBTF [Too Big to Fail]

**Box 2 of 3**
- White Board -- Implementation
- Hearing 1
- Hearings 2 and 3
- Hearing 4: Shadow Banking
- Hearings 5 and 5a
- Hearing 6: Excess Risk
- Las Vegas
- Key Goldman Sachs Articles
- FCIC-General Services Administration

**Box 3 of 3**
- [Ethics and Rules]
- [Correspondence]
- [Staffing/Personnel]
- [Report Outlines/Drafts]
- [Commission Meetings and Organization]
- [Research]
Dixie Noonan’s Files

Box count: 13.2 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24822120      HMS: HS1-93479342

This series contains reports, presentation materials, handwritten notes, press releases and
clippings, earnings call transcripts, data supplied by financial institutions, testimony, published
industry reports, congressional hearing transcripts, briefing books, correspondence, court filings,
and other records. Subjects include AIG, JPMorgan, the Depository Trust and Clearings
Corporation, the Federal Reserve Board, Deutsche Bank, the Presidential Working Group on
Financial Markets, and many others.

Folder List:

Box 1 of 15
AIG-12/5/07 Disclosures-02/2008 Disclosures
[Handwritten Notes]

Box 2 of 15
JPMorgan Miscellaneous
JPMorgan -- 2010 Investor Day Powerpoints
JPMorgan Articles
JPMorgan Financials
JPMorgan: TARP; Government Warrants; OCC [Office of the Comptroller of the Currency]
    Derivatives Report

Box 3 of 15
Depository Trust & Clearing Corporation, Documents Produced
JPMorgan Articles, Vol.1
Jamie Dimon Articles (JP Morgan) Vol. 1
JPMorgan Chase Research Reports Vol. 1
JPMorgan Chase: Earnings Call Transcripts & Press Releases, Q1 2007-Q3 2009

Box 4 of 15
FRB [Federal Reserve Board] Speeches and Testimonies
Speeches and Testimony: Gramlich, Spillenkothen, Cole of Federal Reserve Bank
Randall Krosner, Governor of Federal Reserve Board, Select Speeches & Testimony, 2008 –
2009
Mortgage Lending Regulatory Reforms
Documents from Cassano’s Lawyers

Box 5 of 15
Alan Greenspan Public Speeches (1994-2005)
Federal Reserve/Greenspan

Box 6 of 15
[Andrew] Forster Interview Documents
Hearing 5: 05/11/2010 Interviews (Gorton/Frost)
Gene Park: Interview Prep/Docs
AIG Earnings, Call Transcripts
R. [Robert] Lewis Interview Prep

Box 7 of 15
[Pierre] Micottis Prep
Hearing 5: In re AIG 2008 Securities Litigation, Background
The Federal Reserve
Federal Reserve: Reviewed Documents

Box 8 of 15
HOEPA [Home Ownership and Equity Protection Act] Research
Sigrid & Paul Menard-First Horizon Home Loans; Request for Modification Assistance; #0058704131
Eric Dinallo Background Information
Deutsche Bank Documents Produced 6/3/2010
Background Materials for Hearing 5 (Complex Derivatives)

Box 9 of 15
Background Materials for Hearing 5 (Complex Derivatives) (cont’d.)
Hearing 5: Complex Financial Derivatives -- Miscellaneous Documents
Hearing 5: Complex Financial Derivatives -- Miscellaneous Articles

Box 10 of 15
President’s Working Group on Financial Markets Materials
Hank Greenberg
AIG SEC
AIG: Compensation Information
AIG-NYFRB [Federal Reserve Bank of New York]
ACA ABACUS 00001742 – ACA ABACUS 00003489: 1 of 3

Box 11 of 15
ACA ABACUS 00001742 – ACA ABACUS 00003489: 1 of 3 (cont’d.)
ACA ABACUS 00001742 – ACA ABACUS 00003489: 2 of 3

Box 12 of 15
ACA ABACUS 00001742 – ACA ABACUS 00003489: 3 of 3
ACA ABACUS 00003504 - ACA ABACUS 00003505: 1 of 1
ACA ABACUS 00003528 - ACA ABACUS 00003529: 1 of 1
ACA ABACUS 00003530 - ACA ABACUS 00003531: 1 of 1
ACA ABACUS 00003532 - ACA ABACUS 00003533: 1 of 1
ACA ABACUS 00003534 - ACA ABACUS 00004363: 1 of 2

Box 13 of 15
ACA ABACUS 00003534 - ACA ABACUS 00004363: 2 of 2
ACA ABACUS 00004376 – ACA ABACUS 00004377: 1 of 1
ACA ABACUS 00004378 - ACA ABACUS 00004381: 1 of 1
AIG Public Filings

Box 14 of 15
AIG Public Filings (cont’d.)
[Court Documents re: Consolidated Class Action Suit Against AIG]

Box 15 of 15
[Court Documents re: Consolidated Class Action Suit Against AIG] (cont’d.)
[AIG Amendment to Form 8-K/A]
**Donna Norman's Files**

Box count: 6 LTA-S  
Arranged by subject  
NAID: 24822170   HMS: HS1-93479414

This series contains news articles, handwritten notes, documents provided by Goldman Sachs, minutes of AIG Board of Directors’ meetings, drafts of FCIC staff reports, and AIG’s credit default swap transaction documentation. Subjects include AIG, Goldman Sachs, JP Morgan, credit default swaps, and shadow banking.

**Folder List:**

**Box 1 of 6**  
Citigroup Articles  
AIG ISDAs [International Swap Dealers Association, Inc.]  
Non-Abacus Goldman/AIG [Credit Default Swaps]

**Box 2 of 6**  
Goldman Sachs Documents

**Box 3 of 6**  
Goldman Sachs Documents (cont’d.)  
Draft Staff Reports, Jan-June 2010

**Box 4 of 6**  
Draft Staff Reports, Jan-June 2010 (cont’d.)  
AIG Board Minutes  
Eric Dinallo Background Information  
AIG Cassano Documents

**Box 5 of 6**  
AIG Documents 7 CDS for Abacus, 5/10/2010  
Norman Notes

**Box 6 of 6**  
Norman Notes (cont’d.)  
Shadow Bank Hearing  
Office of Inspector General, SEC’s Oversight of Bear Stearns and Related Entities: The Consolidated Supervised Entity Program  
J.P. Morgan Board Materials and Internal Audit Reports, Binder
Adam Paul’s Files

Box count: 0.2 LGA-S
Arranged by subject
NAID: 24822171       HMS: HS1- 93479517

This series contains the employee handbook and ethics guidelines, notes on meetings of the Federal Reserve Board’s Federal Open Market Committee, and handwritten notes taken during interviews.

Folder List:

Box 1 of 1
[Employee Handbook and Forms]
[Federal Reserve Board’s Open Market Committee (FOMC)]
MFR Notes
Jane Poulin’s Files

Box count: 6 LTA-S
Arranged by subject
NAID: 24822172  HMS: HS1-93479564

This series contains news articles, email printouts, company 10-K and 10-Q forms, oversight reports, court documents, correspondence, and other records relating to fair value accounting, overstock.com, taxing derivatives, and short sales.

Folder List:

**Box 1 of 6**
Fair Value Accounting Research Papers
SEC’s Fair Value Study
SEC Form 10-K Annual Reports on Federal Home Loan Banks

**Box 2 of 6**
Accounting Investigation Supporting Documents
Merrill Lynch Testimony
Records re: Overstock.com
Taxation of Derivatives

**Box 3 of 6**
Naked Short Selling
“Fun With Fails: The Topography of Unsettled Trades in U.S. Stock Markets,” John W. Welborn, April 2010
“Naked Short Selling and the Options Market Maker Exception,” Jonathan E. Johnson III & John W. Welborn, 5/19/2009
[Fails to Deliver]

**Box 4 of 6**
Fannie Mae 10-K, 2008
Hearing 2 Fannie Mae Working Binder
Merrill Lynch 10-K

**Box 5 of 6**
Document Requests and Receipts
Bank of America 10-Q
Citigroup 10-Q, June and September 2009
AIG Annual 10-K, 2008-2009
Box 6 of 6
Goldman Sachs 10-K, 2009
Steve Sanderford's Files

Box count: 0.4 LTA-S
Unarranged
NAID: 24822173    HMS: HS1-93479612

This series contains news articles and scholarly articles relating to the Basel Capital Accord, risk-based capital requirements for mortgage loans, and regulatory capital arbitrage.

Folder List:

Box 1 of 1
Capital Arbitrage
Kim Shafer’s Files

Box count: 2 LTA-S
Arranged by subject
NAID: 24822174      HMS: HS1-93527902

This series contains the briefing book for June 30-July 1, 2010 hearing regarding the role of derivatives in the crisis which includes witness statements, questions for witnesses, draft summary of preliminary investigations of AIG and Goldman Sachs. Also included in the series are research and investigative plans for the derivatives hearing, with drafts; draft reports; email printouts; interview transcripts; draft document requests. Subjects are primarily derivatives and rating agencies.

Folder List:

Box 1 of 2
Briefing Binder: Hearing on the Role of Derivatives in the Financial Crisis, 6/30-7/1/2010
Derivatives PSR [Preliminary Staff Report]
Interview Exhibits
CDO Investors
DTCC [Depository Trust & Clearing Corporation]
Deriv
Deriv Data
Deriv & CDO & League Data
Amplification
CDO Interrogs

Box 2 of 2
Rating Agencies
DTCC [Depository Trust & Clearing Corporation]
DTCC [Depository Trust & Clearing Corporation] 2009 Annual Report
Miscellaneous (Derivatives and CDOs)
Gordon Shemin's Files

Box count: 4 LTA-S, 1 LGA-S
Arranged roughly by subject
NAID: 24822175      HMS: HS1-93527952

This series contains industry publications, SEC documents, documents supplied by Merrill Lynch and Fannie Mae in response to FCIC requests, and handwritten notes. Subjects include Merrill Lynch and Fannie Mae.

Folder List:

Box 1 of 5
“Moody’s: The First Hundred Years,” by David Stimpson
Magnetar [Capital LLC/ Norma CDO I Ltd]
[Merrill Lynch Reports from 2007 Re: Subprime CDOs…]
[Merrill Lynch CDO Documents]
[Miscellaneous Merrill Lynch Documents]

Box 2 of 5
[Miscellaneous Merrill Lynch Documents] (cont’d.)
COGR [House Committee on Oversight & Government Reform] Hearings on Merrill Lynch -- Bank of America Merger
[Handwritten Notes]
Legal: Quote Clearing and MFR Objections [2 files]

Box 3 of 5
Fannie Mae MFRs
Dept. of Treasury OIG Inquiry Re: Development and Promulgation of IRS Notice 2008-83
VHS Tape: Confidential Exhibit H “Citi Financial Customer First Workshop Jan. 2003”
Wachovia Documents

Box 4 of 5
Wachovia Documents (cont’d.)
Fact Checking

Box 5 of 5
SEC Documents re: Merrill Lynch
SEC OPSRA [Office of Prudential Supervision and Rick Analysis] Memos
Merrill Lynch
Stuart C. Shroff and Peter Kavounas’ Files

Box count: 1 LGA-S
Arranged by subject
NAID: 24822205    HMS: HS1-93419037

This series contains responsive documents, notes, drafts, bank examiner reports, and others. Subjects include collateralized debt obligations and rating agencies.

Folder List:

Box 1 of 1
[CDO Ratings]
[MKP Capital Management]
[ Warehouse Agreement]
[Moody’s-Supplied Documents]
[Miscellaneous Industry-Supplied Documents]
[Miscellaneous Correspondence and Document Request Responses]
Alexis Simendinger’s Files

Box count: 1 LTA-S, 1 LGA-S  
Arranged by subject  
NAID: 24822206  
HMS: HS1-93528004

This series contains files of one of the writers on the team that wrote the Final Report. The records include press releases about hearings and forums, news articles, a compilation of quotes for use in the Final Report, email printouts, handwritten notes, Simendinger’s calendar, and a draft of sections of the Final Report.

Folder List:

Box 1 of 2
[Unlabeled]
[Republican Commissioners]
FCIC Internal Memos
Alexis Simendinger Notes [handwritten notes]
FCIC Interview Notes- Simendinger (Writer)
FCIC Writers’ Door Art
SKED- Alexis Interviews
FCIC News Releases- Hearings
FCIC Quotes for Report

Box 2 of 2
FCIC “Conclusions” (Report), Conclusions Drafts, Simendinger- Drafts Conclusions
Final Report of the FCIC
Mina Simhai’s Files

Box count: 9 LTA-S, 2 LGA-S
Arranged roughly by subject
NAID: 24822222    HMS: HS1-93528072

This series contains published research and articles, email printouts, handwritten notes, Bear Stearns minutes and correspondence, court documents, and hearing briefing binders. Subjects include Fannie Mae, Ralph Cioffi, Bear Stearns, Sarbanes – Oxley, shadow banking, and others.

Folder List:

Box 1 of 11
[Fannie Mae]
Sarbanes – Oxley

Box 2 of 11
Sarbanes – Oxley (cont’d.)
[Published Research Papers]

Box 3 of 11
*House of Cards: A Tale of Hubris and Wretched Excess on Wall Street* by William C. Cohan
SEC -- [Ralph] Cioffi Documents, Vol. II

Box 4 of 11
SEC -- [Ralph] Cioffi Documents, Vol. II
[Ralph Cioffi, Miscellaneous]
Cioffi Collateral Emails
Bear Stearns Asset Management Investor Materials

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Bear Stearns Asset Management Investor Materials (cont’d.)
Bear [Stearns] Materials for Book
[Miscellaneous Bear Stearns]

Box 6 of 11
[Miscellaneous Bear Stearns] (cont’d.)
Emails from Alan Schwartz
Documents: Robert Upton Quotes

Box 7 of 11
Background Articles on Shadow Banking
FCIC Hearing on the Shadow Banking System, May 5-6, 2010, Commission Documents, Day 1
Document Production for Minutes and Supporting Documentation for Bear Stearns Board of Directors Meetings, BSC-FCIC 000000001 – BSC-FCIC 00000262
Minutes and Memoranda relating to Bear Stearns Companies Inc, Board of Directors December 12, 2007 Meeting
Bear Stearns 10-K and 10-Q 2007-2008
Robert Upton: Search Results from Alan Schwartz’s Emails

Robert Upton: Search Results from Alan Schwartz’s Emails (cont’d.)
Shadow Banking and Bear Stearns
CSE [Consolidated Supervised Entity Program]
OPSRA [Office of Prudential Supervision and Risk Analysis] Securities and Exchange Commission

Repos [Repurchase Agreements]
Hearing 4 Background Materials: The Shadow Banking System

[Notes re: Housing]
[Handwritten Notes]
[Maiden Lane]
Lehman Brothers Inc.
Hearing 2 Background Materials Federal Reserve
Jeffrey Smith's Files

Box count: 1 LGA-S, 1 LTA-S
Arranged by subject
NAID: 24822223    HMS: HS1-93528148

This series contains email printouts, handwritten notes, documents supplied by Wells Fargo and Wachovia regarding derivatives, and documents supplied by the Federal Deposit Insurance Corporation regarding mortgage fraud.

Folder List:

Box 1 of 2
[Unlabeled]
Miscellaneous
Wells Fargo and Wachovia Productions
[Handwritten Notes]

Box 2 of 2
FDIC Mortgage Fraud Production
Thomas H. Stanton’s Files

Box count: 9.4 LTA-S, 1 LGA-S
Arranged roughly by subject
NAID: 24822224      HMS: HS1-93528197

This series contains email printouts, handwritten notes, documents responsive to requests for information, draft findings, articles, industry-created data, Securities and Exchange Commission filings, briefing books, and other records. Subjects include preemption, housing goals, Fannie Mae and Freddie Mac, causes of the financial crisis, accounting practices, and others.

Folder List:

Box 1 of 11
Preemption
U.S. Commission on Civil Rights Initial Production: Relevant Documents
U.S. Commission on Civil Rights Supplemental Production: Relevant Documents
Summary of Investigative Findings on the Office of the Comptroller of the Currency and Preemption
[Memorandum for the Record: Susan Mills, 2/3/2010]
[Robert Monks]
[Preliminary Results of the Residential Mortgage Lender Peer Group Analysis, 11/1/2005]
[Office of the Comptroller of the Currency]
[Lisa Madigan]
[Bank of America Production]
[Office of Thrift Supervision]
[Goldman Sachs 10-K, 2009]
[Housing and Urban Development Correspondence to Fannie Mae and Freddie Mac, 4/24/2008]

Box 2 of 11
[Citi]
[AIG]
[Federal Housing Finance Agency Documents]
[Securities and Exchange Commission Memo, 4/6/2009]
[Federal Reserve Bank of New York Document]
[Working Papers]
[Franklin Raines’ Statement, 11/9/2010]
[Memorandum for the Record: Murray Barnes]
[Janet Yellin Interview, 11/15/2010]
[Memorandum for the Record: Michael Alix, 4/8/2010]
[Rich Spillenkothen, 3/31/2010]
[Peter Wallison Draft Dissent, 12/17/2010]
[Fannie Mae/Freddie Mac]
**Box 3 of 11**
[Fannie Mae/Freddie Mac] (cont’d.)
Briefing Book for the Forum to Explore the Causes of the Financial Crisis, 2/26-27/2010
[Lehman Brothers]
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[Department of the Treasury]

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[Madelyn Antoncic – Lehman Brothers]
8/12/2010
[Testimony of the Center for Responsible Lending]
[U.S. Bankruptcy Court for the Delaware District in re: New Century TRS Holdings, Inc.]
[Articles and Publications]

**Box 5 of 11**
[Articles and Publications] (cont’d.)
Origination Practices: Company Documents, Statements, Cases

**Box 6 of 11**
[Standard & Poor’s Summary of Lehman Brothers, 4/3/2008]
[Documents from AIG]
[Citigroup re: Liquidity Put Valuation]
[Documents from the Office of Federal Housing Enterprise Oversight]
[Documents from the Securities and Exchange Commission]
[Documents from the Office of the Comptroller of the Currency]
[Lehman Brothers: Default Scenario]
[Treasury Department Document “Fannie Mae Insolvency and Its Consequences”]
[Documents from the Federal Housing Finance Agency]
[Document from Federal Deposit Insurance Corporation: “Initial Risk Analysis of Bank Holding Companies with Trust Preferred Securities”]
[Documents from Citi]
[Documents from the Federal Reserve Bank of New York]

**Box 7 of 11**
[Documents from the Federal Reserve]
[Documents from Fannie Mae and Freddie Mac]

**Box 8 of 11**
[Documents from Fannie Mae and Freddie Mac] (cont’d.)
[Articles and Publications]

**Box 9 of 11**
[Working Papers]
[People of California v. Countrywide Financial Corporation]
[Securities and Exchange Commission v. Mozilo, Sambol, and Sieracki]
Stanton

[Memo re: Interview with Adolfo Marzol]
[Memorandum for the Record: Bob Lewis, 6/15/2010]
[Memorandum for the Record: Kevin McGinn, 6/10/2010]
[Memorandum for the Record: Anurag Saksena, 6/22/2010]
[Memorandum for the Record: Mike Quinn, 3/10/2010]
[Memorandum for the Record: Charles Prince, 3/17/2010]
[Memorandum for the Record: Jim Embersit, 9/24/2010]
[Memorandum for the Record: Pat Parkinson, 3/30/2010]
[Statement of James B. Lockhart, 4/9/2010]
[Statement of Daniel H. Mudd, 4/9/2010]
[Henry Paulson Interview Excerpts, 4/2/2010]
[Interview of David Bushnell, 4/1/2010]
[Interview with Dugan]
[Interview of Brian R. Leach, 3/4/2010]
[Interview of Jay Eisbruck, 4/19/2010]
[John G. Stumpf]
[Draft: Role of Accounting During the Financial Crisis]
[Draft: The Community Reinvestment Act and the Mortgage Crisis]
[“Regulatory Inaction, Preemption, and Predatory Lending”]

Box 10 of 11
[Draft: Investigative Findings on Fannie Mae]
[Draft: Preliminary Investigative Report on Wachovia]
[Draft: Investigative Findings on Lehman Brothers]
[Draft: Preliminary Investigative Report on Countrywide]
[Draft: Preliminary Investigative Report on Credit Ratings and the Financial Crisis]
[Draft: Investigative Findings on Bear Sterns]
[Draft: Topical Investigative Report on Compensation]
[Draft: Borrowers and the Financial Crisis]
[Draft: Report on the Office of Thrift Supervision’s Supervision of Thrifts and Savings and Loan Holding Companies]
[Draft: Mortgage Fraud Update]
[Draft: Preliminary Investigative Report on the Federal Reserve’s Regulation of Subprime Mortgage Lending]
[Draft: Investigative Findings on GE Capital]

Box 11 of 11
[Draft: Preliminary Investigative Report on AIG]
[Draft: Investigative Findings on Governance, Risk Management, and the Financial Crisis]
[Draft: Preliminary Investigative Findings on Citigroup]
[“Reforming Prudential Supervision and Building a Safer Financial System”]
Landon Stroebel’s Files

Box count: 1 LGA-S
Arranged by subject
NAID: 24822275      HMS: HS1-93528245

This series contains published Moody’s reports about asset-backed commercial paper, news articles, handwritten notes, and hedge fund reports.

Folder List:

Box 1 of 1
SIVS [Structured Investment Vehicles] and ABCP [Asset-Backed Commercial Paper]
Notes on Market Risk Survey
Firm Level Survey Response
[Handwritten Notes]
Cash Management Pools
Hedge Fund Reports
Melana Vickers’ Files

Box count: 1.4 LTA-S  
Arranged by subject  
NAID: 24823029      HMS: HS1-93528335

This series contains interview notes, news articles, and Federal Reserve-supplied documents. The records pertain to the Federal Reserve.

Folder List:

Box 1 of 2
Interview Notes for Netdocs  
[FCIC Interviews with Susan Bies and Paul Volker]  
[FCIC Interview with Sabeth Siddique, 9/9/2010]  
[FCIC Interview with Ben Bernanke, 11/17/2009]  
The Federal Reserve System’s Role in Protecting Consumers, 7/16/2009  
FCIC Interview of Seth Carpenter, 9/20/2010

Box 2 of 2
FCIC Interview with Lawrence H. Summers, 5/28/2010  
[Federal Reserve Document], 11/1/2005  
Exhibit 1, Detailed Comments, Institutions Designated High and Moderate Risk  
Memo, Sandra L. Thompson, Acting Director, FDIC, to National Risk Committee, 9/13/2006,  
Risk Analysis Center Mortgage Credit Trends Project – Residential Mortgage Review Program  
Standard & Poors, Structured Finance, Issuer Alert, Increasing Option ARM Credit Support To Address The Probability of Default, 7/26/2005
George Wahl’s Files

Box count: 5 LTA-S
Arranged by subject
NAID: 24823030   HMS: HS1-93528370

This series contains news articles, court documents, published reports, email printouts, Department of Justice (DOJ) Office of the Inspector General and Federal Bureau of Investigation audits, Financial Crimes Enforcement Network reports. Also included are records relating to Florida mortgage fraud, Mortgage Bankers Association, DOJ lawsuits, and the Illinois Attorney General. The records pertain to mortgage fraud.

Folder List:

Box 1 of 5
DOJ-OIG FBI Audits
Safe Mortgage Licensing Act of 2008
Tom Doyle, MBA [Mortgage Bankers Association]
Nevada Mortgage Fraud
Eileen Foster, Countrywide
Tony Accetta, Bear Stearns
Rachel Steinmetz v. Capital One
Miscellaneous Mortgage Fraud:
IRS
Laws/Bills
Mortgage Fraud Articles
OCC
Center for Responsible Lending
Federal Reserve [1 of 2]

Box 2 of 5
Federal Reserve [2 of 2]
Mortgage Bankers Association:
    MBA Production
    MBA Reports
    MBA Document Request and Bryan Cave Emails
    MBA Fraud Meeting Minutes
    MBA and MARI [Mortgage Asset Research Institute]
    Jonathan Kempner
MARI [Mortgage Asset Research Institute]:
    MARI
    MARI Document Request
Regulating Agencies:
    FinCEN
    FinCEN Interrogatories
    FinCEN Bank Reports
    FDIC

Box 3 of 5
MF/RMBS/CDO Lawsuits
DOJ/FBI:
Chris Swecker
FBI/DOJ
“Filing Trends in Mortgage Loan Fraud”
Alberto Gonzales
DOJ [1 of 2]

Box 4 of 5
DOJ [2 of 2]
Madigan, Illinois Attorney General
[Mortgage Fraud, General]
Darcy Parmer v. Wells Fargo Docs.
Center for Responsible Lending

Box 5 of 5
Amy Stroup v. BB&T
Ann Fulmer Testimony
Florida Mortgage Fraud
Miami Field Hearing
[Orson Benn Case – Florida]
Fannie Mae/FHFA/OFHEO:
  Fannie Mae
  FHFA/OFHEO
  OFHEO Performance and Accountability Reports, 2005-2007
  House Committee on Housing and Commercial Opportunities Testimonies, 10/7/2004
  Miami-Dade Police Dept
  BSA [Bank Secrecy Act] Rules
Tucker Warren's Files

Box count: 1 LTA-S
Arranged by subject
NAID: 24823031   HMS: HS1-93528406

This series contains handwritten notes, website screen shots, drafts of a section of the final report, and a briefing binder for the Commission’s Jan. 27, 2011, meeting. Subjects include the Commission’s website, the rollout and media strategy for the release of the final report.

Folder List:

Box 1 of 1
[Handwritten Notes]
EPIQ Work Order
[Final Report]
Website
Flashing Yellow
[Articles]
Financial Crisis Inquiry Commission Briefing Binder, 01/27/2011 [2 copies]
Cassidy D. Waskowicz’s Files

Box count: 2.5 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24823032    HMS: HS1-93528441

This series contains routine administrative records of the commission as well as memoranda and some research materials. Subjects include potential referrals to the Attorney General; Wells Fargo’s unassisted bid; American International Group (AIG); and the administration of the commission, especially printing the commission’s final report and personnel issues.

Folder List:

Box 1 of 4

[Handwritten Notes]    FCIC Staff Meetings
General Services Administration (GSA)    Book Agreement
Financial    Personnel/Consultants
FCIC Rules    Personnel/Detailees
Correspondence    Personnel/Org[anization] Charts
Contractors/Epiq    Personnel/Employee Manual
Contractors/LexisNexis    Personnel/Health Care
Contractors/Spieler Agency    Personnel/Hiring
Communications    Signed Staff Ethics Policies
Budget    Wells Fargo “Unassisted Bid” Research
Oath of Office    Confidentiality Letters and Employment
Signature Cover Page    Contract Issues
Confidentiality Agreements (Agencies)

Box 2 of 4

Little, Brown & Company Contract
Signed Staff Confidentiality Agreements
American International Group (AIG) Research
FCIC Referrals [to Attorney General]

Box 3 of 4

FCIC Referrals [to Attorney General] (cont’d.)
[Table of Objections to Use of Quotes in Final Report]
[Miscellaneous]
Audio File Release Materials
[Alphabetical Correspondence File]

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[Alphabetical Correspondence File] (cont’d.)
Vetting Information
Sarah Zuckerman’s Files Relating to Document Production

Box count: 6 LTA-S, 1 LGA-S
Arranged by subject
NAID: 24823130     HMS: HS1-93528580

This series includes correspondence accompanying responses to document production requests from the FCIC to financial institutions and government agencies, documents provided by Joseph Cassano’s counsel, and incoming correspondence. This series also includes Carl McCarden’s notes on the interview with Dan Sparks. Subjects include Joseph Cassano, FCIC document requests, and confidentiality of responses.

Folder List:

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Box 3 of 7
Document Productions -- Original Productions -- Vol. 5: 7/27 – 8/18
Document Productions -- Original Productions -- Vol. 6: 8/20 – 10/6

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Document Productions -- Original Productions -- Vol. 6: 8/20 – 10/6 (cont’d.)
[Joseph] Cassano – Docs Provided by Cassano’s Counsel

Box 5 of 7
Correspondence Regarding Legal Clearance
Misc. Correspondence
Department of Labor Documents
Goldman Sachs Production Correspondence

Box 6 of 7
Goldman Production Cover Letters and Correspondence
Dan Sparks Interview Notes (Carl McCarden)
Original Production Letters

Box 7 of 7
Federal Housing Finance Agency Document Request
Documents Received from [Federal Housing Finance Agency] on 3/12
Miscellaneous Document Productions – Societe Generale and Vanderbilt Capital Advisors
General

General Files

Box count: 24.8 LTA-S, 6 LGA-S
Arranged by subject
NAID: 24823131  HMS: HS1-93407528

This series contains documents supplied by financial institutions and oversight agencies, Securities and Exchange Commission filings, correspondence, handwritten notes, summaries of interviews, materials supportive of commission hearings, articles, and other records. Subjects include too big to fail, Clayton Holdings, collateralized debt obligations, subprime mortgages, shadow banking, credit rating agencies, the Community Reinvestment Act, and others.

Folder List:

Box 1 of 31
Hearing 4 Documents, P[anel] 2
Hearing 2/3 Documents, Day 1

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Hearing 2/3 Hearing, Day 3

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Discovery - Response to Document Production Request: Bank of America
Hearing 1 – Response and Follow-up Letter: Bank of America
Correspondence: Barclays PLC
Correspondence: Bass, J. Kyle
Hearing 1 – Response to Follow-up Letter: Bass, J. Kyle
Correspondence: BNY Mellon
Discovery - Response to Document Production Request: Band of New York Mellon
Correspondence: (Texas Securities Commissioner) Crawford, Denise
Hearing 1 – Response to Follow-up Letter: Crawford, Denise Vogt
Correspondence: Deloitte
Correspondence: Department of Justice
Discovery – Response to March 8 Email Request: Department of Justice
Discovery - Response to Document Production Request: Department of Justice
Correspondence: Department of Labor
Discovery - Response to Document Production Request: Department of Labor
Discovery - Response to Document Production Request: Fannie Mae
Correspondence: Federal Deposit Insurance Corporation
Hearing 1 – Response to Follow-up Letter: Sheila Bair, Federal Deposit Insurance Corporation
Correspondence: Federal Reserve
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Correspondence: Federated Investors, Inc.
Correspondence: Fidelity Investments
Correspondence: Goldman Sachs
Hearing 1 – Response to Follow-up Letter: Goldman Sachs
Hearing 2/3 – Response to Follow-up Letter: Citigroup, Inc.
Hearing 2/3 – Response to Follow-up Letter: Greenspan, Alan
Hearing 2/3 – Response to Follow-up Letter: Levin, Robert J.
Hearing 2/3 – Response to Follow-up Letter: Mudd, Daniel H.
Discovery – Response to Document Production Request: Housing and Urban Development
Correspondence: JPMorgan Chase
Hearing 1 – Response to Follow-up Letter: JPMorgan Chase
Correspondence: Lehman Brothers
Hearing 1 – Response to Follow-up Letter: Madigan, Lisa
Correspondence: MBIA, Inc.
Correspondence: McCleskey, Scott
Correspondence: (State Street) Meier, Steve
Correspondence: Merrill Lynch
Discovery – Response to Document Production Request: Morgan Stanley
Hearing 1 – Response to Follow-up Letter: Morgan Stanley
Correspondence: Mortgage Bankers Association
Correspondence: New York State Banking Department
Correspondence: Henry M. Paulson
Discovery – Response to Document Production Request re: Bear Sterns & Lehman Brothers:
  Securities and Exchange Commission
Hearing 1 -- Response to Follow-up Letter: Mary Shapiro, Securities and Exchange Commission
Correspondence: (Colorado Attorney General’s Office) Suthers, John W.
Hearing 1 – Response to Follow-up Letter: Theobald, Glenn

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Bank of America 10K Annual Report 2006
Bank of America 10K Annual Report 2007
Morgan Stanley Securities and Exchange Commission Filings, Vol. 1 of 3

Box 6 of 31
Morgan Stanley Securities and Exchange Commission Filings, Vol. 1 of 3 (cont’d.)
NCUA [National Credit Union Administration] Production
NCUA [National Credit Union Administration]

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NCUA [National Credit Union Administration] 2/12 Production

Box 8 of 31
Principal Global Investors Production
Miscellaneous Research: Mike Mayo, American International Group, Federal Reserve Bank of New York
Journal of Economic Perspectives – Winter 2010 – Articles
GMAC [General Motors Acceptance Corporation] Articles

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[Repurchase Agreements]
Background Articles on Shadow Banking
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Citigroup Mortgage-Related Litigation, Vol. 2
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Lehman Brothers Emails, Vol. 4 (cont’d.)
Lehman Brother Emails, Vol. 5
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Bailout Programs
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Outline
Contacts/Database
Corporate Governance
Meeting with Delegation of the European Parliament CRISIS Committee,
   6/8/2010

   Document Management
   [Encore Discovery Solutions]
   [Executive Director’s] Reports
   Fact Checking
   Roundtable Letters
   Forum
   Geithner
   Hearing 1
   Hearing 2/3
   Hearing 2/3: Housing Data
   Hearing 2/3: Take-Aways

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Affordable Housing Goals
[Community Reinvestment Act] Staff Report
Greenspan Letter
[Government Sponsored Enterprises] Staff Report
Housing Investigation
Housing Staff Report
Mortgage Market Staff Report
Securitization Staff Report
Hearing 4: Shadow Banking
Shadow Banking Investigation
Shadow Banking [Research and Investigation]
Shadow Banking Take-Aways
Derivatives Research and Investigation
Credit Rating Agencies Research and Investigation Plan
Hearing 5a: PSR [Preliminary Staff Report]
Hearing 5a: Credit Rating Agencies
(Potential) Panel on Credit Rating Agencies
Hypotheses
Macro Working Group
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Pinto
Witness List
[Spreadsheets]
Bank of America 10k Annual Report 2008

**Box 13 of 31**
Bank of America 10k Annual Report 2008 (cont’d.)
Documents produced by Joseph St. Denis
Documents produced by Price Waterhouse Coopers on 5/25/2010
ACA ABACUS 00001030 – ACA ABACUS 00001520

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ACA ABACUS 00001030 – ACA ABACUS 00001520 (cont’d.)
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ACA ABACUS 00001727 – ACA ABACUS 00001730
ACA ABACUS 00001731 – ACA ABACUS 00001734
ACA ABACUS 00001735 – ACA ABACUS 00001736
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ACA ABACUS 00004382 – ACA ABACUS 00004386
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Hearing 2/3, Day 2 (cont’d.)
Hearing 5A, Documents, P. 2
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Too Big To Fail Hearing Documents (cont’d.)
Hearing 4 Redacted Documents
Hearing 4 Documents, P. 1

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FCIC Response Documents (HomeSaver Advance)
Mortgage Insurance
Federal Housing Finance Agency Response: Mortgage Insurance Documents, March 2010
General

FCIC Request: Documents for Fannie Mae
   Midyear Letter for 2008
   Private Label Securities Operations, including End User Computers
   Supervision Handbook, as of 1/2007

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FCIC Request: Documents for Fannie Mae (cont’d.)
   Reserve Management Company
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   [Handwritten Notes]
   Managing Timing on 2008 Downgrade
   Emails to McDaniel re: July 2007 Downgrade
   Moody’s Is Least Accurate
   March 2008
   Is McDaniel Out of Touch?
   “Servicers Not Modifiers” – Weill
   “How Common is Mass Downgrade?” – Answers Weill
   7/10/2007 Moody’s Announcement: Downgrade of Subprime First-Lien [Residential Mortgage-Backed Securities]

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Clayton Holdings
Clayton Holdings – Interviews
Call Logs
[Interview Notes]
Federal Reserve Board Documents Responsive to Requests #6 – 8, 2/10/2010

**Box 20 of 31**
Federal Reserve Board Documents Responsive to Requests #6 – 8, 2/10/2010 (cont’d.)
Federal Reserve Board Documents Responsive to Request #9, 2/10/2010
Federal Reserve Board Production of 3/26/2010, Part 1

**Box 21 of 31**
Federal Reserve Board Production of 3/26/2010, Part 1 (cont’d.)
Federal Reserve Board Production of 3/26/2010, Part 2

**Box 22 of 31**
GMAC [General Motors Acceptance Corporation] Articles
Repos [Repurchase Agreements] [Articles]
Federal Reserve Produced Documents re: Citi, 1/19/2010
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[Securities Offering Reform Final Rule – Securities and Exchange Commission]

**Box 23 of 31**
[Securities Offering Reform Final Rule – Securities and Exchange Commission] (cont’d.)
[Repurchase Agreements]
[Citigroup Articles]
[Federal Reserve Bank of New York-Supplied Documents]

Box 24 of 31
[Federal Reserve Bank of New York-Supplied Documents] (cont’d.)

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[Federal Reserve Bank of New York-Supplied Documents] (cont’d.)
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[Office of Thrift Supervision Documents re: GE Capital](cont’d.)
President’s Working Group on Financial Markets
Lawrence Summers Interview, 5/28/2010
FINRA [Financial Industry Regulatory Authority] Documents Produced (Arbitration Cases v. Citi)

Box 27 of 31
FINRA [Financial Industry Regulatory Authority] Documents Produced (Arbitration Cases v. Citi) (cont’d.)
JPMorganChase Earnings Call Transcripts and Press Releases

Box 28 of 31
JPMorganChase Earnings Call Transcripts and Press Releases (cont’d.)
Witness File: Lisa Madigan
Witness File: John W. Suthers
Witness File: Glenn Theobald
Witness File: Denise Voight Crawford
Witness File: Michael Mayo
[Stuart] McFarland Witness File: Seefer Notes
Witness File: James Lockhart

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Witness File: James Lockhart (cont’d.)
Goldman Stock Price History
Research File: Materials Provided by Goldman [Sachs]
Hearing Documents: Federal Reserve Bank of New York Documents Produced 1/21/2010

Box 30 of 31
Bank of America Research for Hearing 1: Federal Reserve Board Documents Produced 1/26/2010
Chad Scherr
Robert Eric Karoly
General

Michael Levy
Jeff Gates
Breeden, Joseph
Cadden, Hugh (Institute for Regulatory Training)
Cunningham, William
Kravitz, Richard
Marilyn Howard

Box 31 of 31
Arthur J. Riel
AIG [American International Group] Proxy
Writers’ Research Files

Box count: 11 LTA-S
Arranged by roughly by section of the final report
NAID: 24823132    HMS: HS1-93528508

This series contains records compiled by the commission’s writing team of Kirstin Downey and Alexis Simendinger. It includes drafts of the final report, research data and articles, court records, briefing books, and memoranda for the record. Subjects include mortgage fraud, collateralized debt obligations, Consumer Advisory Council, shadow banking, government sponsored enterprises, and others.

Folder List:

**Box 1 of 11**
- Defaults by Zip Code
- FBI – DOJ Mortgage Fraud Investigations
- [Miscellaneous Research Material]
- Statement of Mark M. Fleming at Sacramento, CA Field Hearing, 9/23/2010
- Statement of John C. Dugan, Comptroller of the Currency before the FCIC, 4/8/2010
- Draft of Preliminary Investigative Report on the Federal Reserve’s Regulation of Subprime Mortgage Lending
- Draft Topical Investigative Report on Securitization: Misleading Disclosure

**Box 2 of 11**
- Preliminary Draft Investigative Findings on Bear Stearns
- Preliminary Draft Investigative Findings on Lehman Brothers
- Draft of Preliminary Investigative Report on AIG
- Preliminary Draft Investigative Findings on Fannie Mae
- Mortgage Fraud
- Section IV – Economic Impact

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- Section IV – Economic Impact (cont’d.)
- FCIC Section IV Information and Report Data
- Federal Home Loan Bank of Chicago v Bank of America, et al in the Circuit Court of Cook County, IL
- Cambridge Place Investment Management, Inc. v Morgan Stanley, et al. in the Commonwealth of Massachusetts
- State of Florida v Scott Almeida, et al. in the Tenth Federal Judicial Circuit

**Box 4 of 11**
- Testimony, Transcripts, and Summaries
- CDO [Collateralized Debt Obligations] Underwriters Document Requests
- CDO [Collateralized Debt Obligation] Managers Document Requests
Writers’ Research

Bakersfield Hearing Transcript

**Box 5 of 11**
Sacramento [Field Hearing]
Miami [Field Hearing]
Las Vegas [Field Hearing]
Briefing Book: Hearing on the Impact of the Financial Crisis – Greater Bakersfield, 9/7/2010

**Box 6 of 11**
Briefing Book: Financial Crisis at the Community Level – Sacramento, CA, 9/23/2010
Federal Reserve’s Consumer Advisory Council Meeting (CAC): 10/27/2005

**Box 7 of 11**

**Box 8 of 11**
[Transcript of Federal Reserve Board Public Hearing on Building Sustainable Homeownership: Responsible Lending and Informed Consumer Choice – Chicago, 6/7/2006]
[Transcript of Federal Reserve Board Public Hearing on Building Sustainable Homeownership: Responsible Lending and Informed Consumer Choice – Atlanta, July 11, 2006]
Federal Reserve Board, Home Ownership and Equity Protection Act (HOEPA) Public Hearing, 8/14/2007

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Hearing 1 Transcripts
Hearing 2 Background Materials re: Citigroup
Hearing 2 Background Materials re: Federal Reserve
Writers’ Research

Hearings 2 & 3 Transcripts

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Hearing 4 Transcripts
Hearing 5 Transcripts
For The Record
Supporting Materials FORUM

Box 11 of 11
Background on [Government Sponsored Enterprises] -- Documents from Peter Wallison
Shadow Banking Memoranda for the Record, 5/5/2010 and 5/6/2010
Incoming Correspondence to the Commission

Box count: 2.5 LTA-S
Unarranged
NAID: 24823280       HMS: HS1-93415779

This series contains incoming public correspondence detailing their financial problems and/or allegations of financial institutions’ improprieties.

Folder List:

Box 1 of 3
Misc. Correspondence Letters, Faxes and Emails

Box 2 of 3
Misc. Correspondence Letters, Faxes and Emails

Box 3 of 3
Misc. Correspondence Letters, Faxes and Emails
Bank of America’s Securities and Exchange Commission Filings

Box count: 5 LTA-S
Arranged by volume number
NAID: 24823281    HMS: HS1-92098309

This series contains printouts of filings made by Bank of America to the Securities and Exchange Commission.

Folder List:

Box 1 of 5
Bank of American Securities and Exchange Commission Filings, Vol. 1

Box 2 of 5
Bank of American Securities and Exchange Commission Filings, Vol. 4

Box 3 of 5
Bank of American Securities and Exchange Commission Filings, Vol. 1

Box 4 of 5

Box 5 of 5
Bank of American Securities and Exchange Commission Filings, Vol. 4
Files of Bear Stearns

Box count: 3 LTA-S
Arranged by subject
NAID: 24823282    HMS: HS1-92098289

This series contains email printouts, primarily from James Cayne and Alan Schwartz (both former Chief Executive Officers of Bear Stearns) regarding Sam Molinaro (former Chief Financial Officer of Bear Stearns), Warren Spector (former President of Bear Stearns), and Michael Alix (former Risk Officer at Bear Stearns).

Folder List:

Box 1 of 3
Sam Molinaro: Search Result from Alan Schwartz’s Emails (Response to Request of 2/18/2010)

Box 2 of 3

Box 3 of 3
Federal Housing Finance Authority Production Files

Box count: 2 LGA-S, 0.4 LTA-S
Arranged by subject
NAID: 24823288    HMS: HS1-93416970

This series contains documents from Fannie Mae and Freddie Mac produced by the Federal Housing Finance Agency, press releases, and agency publications.

Folder List:

Box 1 of 3
FHFA Production to FCIC No. 5: 2004 Proposed Rule and Fannie Mae Comments
FHFA Production to FCIC No. 6: Comments of Federal Home Loan Mortgage Corp on HUD’s Proposed Housing Goals…
Fannie Mae and Freddie Mac Data Analysis Reports

Box 2 of 3
Freddie [Mac] Subprime Inquiry
Subprime Mortgages
Feasibility – 2007 Goals
Topical Comment Summary
Comment Summary by Comment Number
GSA Comment Summary
Organization Type – Comment Summary
Priority Rank – Comment Summary
FHFA Production to FCIC No. 7
2004 Rule – Comments

Box 3 of 3
2004 Final Rule Regulatory Analysis of HUD’s Regulation of Fanny Mae and Freddie Mac
Federal Housing Finance Authority Press Releases

Box count: 1.3 LTA-S
Arranged chronologically by year
NAID: 24823289       HMS: HS1-93407337


Folder List:

Box 1 of 2
Office of Federal Housing Enterprise Oversight Press Releases, 2007

Box 2 of 2
Federal National Mortgage Association (Fannie Mae) Minutes and Other Files

Box count: 20 LTA-S, 1 LGA-S
Arranged roughly chronologically
NAID: 24823290    HMS: HS1-93415641

This series contains minutes of meetings of the Federal National Mortgage Association (Fannie Mae) Board of Directors and its various committees from 2004 through 2008; court records; handwritten notes; and press articles.

Folder List:

**Box 1 of 21**
FNMA Board Documents: Vol. 1, 1/2008
FNMA Board Documents: Vol. 2, 2/2008

**Box 2 of 21**
FNMA Board Documents: Vol. 3, 3-5/2008
FNMA Board Documents: Vol. 4, 6-7/2008

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FNMA Board Documents: Vol. 4, 6-7/2008 (cont’d.)
FNMA Board Documents: Vol. 5, 7-8/2008
FNMA Board Documents: Vol. 6, Compensation Committee Materials, 1-9/2007

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FNMA Board Documents: Vol. 6, Compensation Committee Materials, 1-9/2007 (cont’d.)
FNMA Board Documents: Vol. 8, Risk Policy & Capital Committee; Board Meetings, 1/2007

**Box 5 of 21**
FNMA Board Documents: Vol. 10, 5-8/2007

**Box 6 of 21**
FNMA Board Documents: Vol. 11, 9-10/2007
FNMA Board Documents: Vol. 12, 11-12/2007; Compliance Committee Materials

**Box 7 of 21**
FNMA Board Documents: Vol. 13, 2005 10-K (Drafts 1.2 and 3), 2007
FNMA Board Documents: Vol. 14, 2005 10-K (Draft 1.2); Audit Committee Materials, 2007
**Box 8 of 21**
FNMA Board Documents: Vol. 14, 2005 10-K (Draft 1.2); Audit Committee Materials, 2007 (cont’d.)
FNMA Board Documents: Vol. 15, Audit Committee Materials, 2007
FNMA Board Documents: Vol. 16, Audit Committee Materials, 7-12/2007

**Box 9 of 21**
FNMA Board Documents: Vol. 16, Audit Committee Materials, 7-12/2007 (cont’d.)
FNMA Board Documents: Vol. 18, 1-7/2006

**Box 10 of 21**
FNMA Board Documents: Vol. 20, 11-12/2006; Risk Policy & Capital Committee Materials, 2006

**Box 11 of 21**
FNMA Board Documents: Vol. 20, 11-12/2006; Risk Policy & Capital Committee Materials, 2006 (cont’d.)
FNMA Board Documents: Vol. 21, Compensation Committee Materials, 2006
FNMA Board Documents: Vol. 22, Audit Committee Deliverables, 2006

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FNMA Board Documents: Vol. 22, Audit Committee Deliverables, 2006
FNMA Board Documents: Vol. 23, Audit Committee Materials, 2006

**Box 13 of 21**
FNMA Board Documents: Vol. 24, Audit and Compliance Committees Materials, 2006
FNMA Board Documents: Vol. 26, 5-11/2005

**Box 14 of 21**
FNMA Board Documents: Vol. 26, 5-11/2005
FNMA Board Documents: Vol. 29, Audit Committee Materials, 1-10/2005
FNMA Board Documents: Vol. 31, 2-4/2004

**Box 15 of 21**
FNMA Board Documents: Vol. 31, 2-4/2004 (cont’d.)

**Box 16 of 21**
FNMA Board Documents: Vol. 33, Audit Committee Materials, 3-11/2004; Compensation Committee Materials, 2004
Fannie Mae Background Articles, 1995-2010
**Box 17 of 21**
FNMA Board Meeting Minutes, 2004-2008
Fannie Mae & Freddie Mac Congressional Hearings, 2004-2008

**Box 18 of 21**
Fannie Mae & Freddie Mac Congressional Hearings, 2004-2008 (cont’d.)
Subprime, 2003-2008
Affordable Housing Goals
[Mudd, General]
Fannie Mae Research: FNMA Financial Trend Analysis
[Fannie Mae Single Family Conventional Acquisition Characteristics Report]
[Fannie Mae, General]
Document #8: Customer Engagement Plans

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Marzol Background
Index
Document #1: September 2004 Memo (Marzol to Mudd)
Document #2: March 2005 Memo (Marzol to Mudd)
Document #3: June 2005 Presentation (Tracy Amos)
Document #5: September 2007 Email (Quinn to Mudd, etc.)
Document #4: July 2007 Presentation
Document #6: April 2008 Email (Quinn to Mudd, etc.)
Case File – FNMA Conservatorship Lawsuit
Case File – FNMA Restatement Lawsuit
[Department of Housing and Urban Development Housing Goals]
[Fannie Mae Witness Questions and Press Articles]

**Box 20 of 21**
Thomas Lund Documents
[Handwritten Notes]
Fannie Mae Research -- Lobbying

**Box 21 of 21**
Fannie Mae-Initial Working Binder
Fannie Mae Research: FHFA [Federal Housing Finance Agency] ROEs [Reports of Examination], 1994-2008
Government Sponsored Enterprise Files

Box count: 5.5 LTA-S
Arranged by subject
NAID: 24823321      HMS: HS1-93381560

This series contains Securities and Exchange Commission filings by Fannie Mae, board meeting records, Compensation Committee records, Office of Housing Enterprise Oversight annual reports to Congress, industry publications, and other records primarily concerning Fannie Mae.

Folder List:

Box 1 of 6
Fannie Mae Compensation Committee Materials, 10/2007 – 7/2008
Fannie Mae 10-Q, 2nd Quarter 2008
Fannie Mae 10-Q, 3rd Quarter 2008
Hearing 2/3: Day 3 -- Fannie Mae Materials, 4/9/2010

Box 2 of 6
Federal National Mortgage Association Board Documents, 2005, vol. 27

Box 3 of 6
Federal National Mortgage Association Board Documents, 2005, vol. 28
Fannie Mae SEC Filings, 2005 10-K

Box 4 of 6
Fannie Mae SEC Filings, 2008 10-K
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Fannie Mae Compensation Materials

Box 5 of 6
Fannie Mae Research: Morgan Stanley/Sanford Bernstein Analyst Reports
Office of Federal Housing Enterprise Oversight Reports to Congress, 2005 - 2008

Box 6 of 6
[Lloyd Blankfein Remarks to Council of Institutional Investors, 4/2009]
[Lloyd Blankfein Testimony, 1/13/2010]
[Goldman Sachs Global Markets Institute “Effective Regulation”]
[Suggested Questions for Hearing 1 Witnesses]
[Miami-Dade County Mortgage Fraud Task Force Report 2009]
[Miscellaneous Publications]
Goldman Sachs

**Files Supplied by Goldman Sachs**

Box count: 33.2 LTA-S, 12 LGA-S  
Arranged in numerical batches by Bates number  
NAID: 24823579  
HMS: HS1-93381208

This series contains primarily internal Goldman Sachs documents supplied to the commission. The records include email printouts, memoranda, news clippings, briefing materials, weekly estimates, the “Derivative Collateral Dispute Summary of 10/30/2007,” daily trading risk summaries, weekly senior management reports, correspondence, prospectuses, asset purchase agreements, mortgage pass-through certificates, documents supplied to the Senate Permanent Subcommittee on Investigations, records of the Asia Special Situation Group. Subjects include investment risk, plans for future investments, remarketing, residential mortgage-backed securities, collateralized debt obligations, Freddie Mac, the Capital Committee, the Principal Investment Committee, global sales, derivatives, ABACUS, subprime lending, Morgan Stanley’s ABS Capital, Firmwide Capital Committee, GSAA Home Equity Trust, and others.

Folder List:

**Box 1 of 46**  
GS MBS 0000008081 – 8801  
GS MBS 0000008808 – 9538

**Box 2 of 46**  
GS MBS 0000008808 – 9538 (cont’d.)  
GS MBS 0000009539 - 0000010481

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GS MBS 0000009539 – 0000010481 (cont’d.)  
GS MBS 0000014837-GS MBS 0000015594

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GS MBS 0000014837-GS MBS 0000015594 (cont’d.)  
GS MBS 0000015595-GS MBS 0000016358

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GS MBS 0000016359-GS MBS 0000016968  
GS MBS 0000002386-GS MBS 0000002965

**Box 6 of 46**  
GS MBS 0000014837 – 15594  
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GS MBS 0000015595 – 16358 (cont’d.)
Goldman Sachs

GS MBS 0000016366 – 16936

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GS MBS 0000016366 – 16936 (cont’d.)

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GS MBS 000020414 – GS MBS 000020998

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GS MBS 000020999 – GS MBS 000023395
[Documents Supplied by Goldman Sachs]

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[Documents Supplied by Goldman Sachs] (cont’d.)

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GS MBS 0000013525 – GS MBS 0000014229
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GS MBS 0000014230 – GS MBS 0000014836

**Box 20 of 46**
[Documents Supplied by Goldman Sachs]

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[Documents Supplied by Goldman Sachs] (cont’d.)

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GS MBS 0000014382 – GS MBS 0000014836

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GS MBS 0000014382 – GS MBS 0000014836 (cont’d.)
GS MBS 0000011181 – GS MBS 0000011575
GS MBS 0000011578 – GS MBS 0000012180

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Goldman Sachs

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GSMBS 0000017818 – GS MBS 0000018044
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GSMBS 0000012353 – GS MBS 0000013018 (cont’d.)
JP Morgan’s Securities and Exchange Commission Filings

Box count: 1.8 LTA-S
Arranged by volume number
NAID: 24823580    HMS: HS1-93419007

This series contains printouts of filings made by JP Morgan to the Securities and Exchange Commission.

Folder List:

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**Box 2 of 2**
Files Supplied by Morgan Stanley

Box count: 4 LTA-S
Arranged by document type
NAID: 24823581    HMS: HS1-93420688

This series contains Securities and Exchange Commission filings made by Morgan Stanley, trustee reports, and other records requested by the commission.

Folder List:

Box 1 of 4
Bloomberg Reports MS0000098-226
Trustee Reports MS0000227-1011
Trustee Reports MS0001012-1499

Box 2 of 4
Trustee Reports MS0001012-1499 (cont’d.)
Trustee Reports MS0001500-1986
Morgan Stanley Documents Responsive to 2/2/[2010] Letter

Box 3 of 4
Morgan Stanley Documents Responsive to 2/2/[2010] Letter (cont’d.)
Morgan Stanley SEC Filings, Vol. 1

Box 4 of 4
Morgan Stanley SEC Filings, Vol. 2 [with duplicate]
Office of the Comptroller of the Currency Records Relating to Citigroup

Box count: 3 LTA-S
Arranged by volume number
NAID: 24823582   HMS: HS1-93479461

This series contains records about Citigroup that were supplied to the commission by the Office of the Comptroller of the Currency.

Folder List:

Box 1 of 3
OCC Produced Documents re: Citi, Vol. 2 [Note: There is no volume 1]
OCC Produced Documents re: Citi, Vol. 3

Box 2 of 3
OCC Produced Documents re: Citi, Vol. 3 (cont’d.)
OCC Produced Documents re: Citi, Vol. 4

Box 3 of 3
OCC Produced Documents re: Citi, Vol. 4 (cont’d.)
OCC Produced Documents re: Citi, Vol. 5
OCC Produced Documents re: Citi, Vol. 6
Electronic Records

The electronic records of the Financial Crisis Inquiry Commission are comprised of three subsets of records: those downloaded from the NetDocuments platform; CDs, DVDs and hard drives supplied to the Commission in response to document requests; and Commissioner and staff email.
I. NetDocuments System

The FCIC used a document management system called NetDocuments (NetDocs) to organize and share its electronic files. There are 11 cabinets in the NetDocs system, each with its own file structure. Please note there are several folders in the directories that contain no files.

Commissioner Upload Space

NAID: 24450904
Extent: 10 data files

This series contains an article about the lessons of Depression-era banking reform, FCIC ethics guidelines, and a brochure about credit derivative companies.

File list
I. Cabinet Inbox
   A. Background material for report
      1. CalomirisGreatDepressionBankRegulatoryReform2010
   B. Ethics Guidelines Dec 7, 2009
II. Misc
Commissioners Workspace

NAID: 24450907
Extent: 2,620 data files

This series contains final versions of work product FCIC staff shared with the Commissioners. Records include interview lists, administrative files, records relating to field hearings, drafts of the final report, research and investigative materials that resulted in investigative and staff reports, background briefing binders and hearing binders prepared for the Commissioners, hearing documents, (key documents from the investigations), and hearing follow up, (letters sent to witnesses with questions pertaining to their testimony). Topics include too big to fail, macroeconomic factors in the crisis, shadow banking, subprime mortgages, rating agencies, and others.

File list
NetDocuments Document Report

I. Restored
   A. 09-11-17 Agenda for Business Meeting of Financial Crisis Inquiry Commission
   B. 09-12-14 Agenda for Business Meeting of Financial Crisis Inquiry Commission
   C. 1) Citigroup Preliminary Investigative Report -3-30-10
   D. 1. LV Session 2 Bios
   E. 10-2-17 Agenda for Business Meeting of Financial Crisis Inquiry Commission
   F. 10-4-20 Agenda for Business Meeting of Financial Crisis Inquiry Commission
   G. 10-6-15 Agenda for Business Meeting of Financial Crisis Inquiry Commission
   H. 10-7-13 Agenda for Business Meeting of Financial Crisis Inquiry Commission
   I. 2) Citigroup Financial Narrative - 4-01-2010
   J. 2) Citigroup Financial Narrative - 4-02-2010
   K. 2. Cattani_Testimony
   L. 2002-09-28 BOG Meeting Minutes re Citigroup’s acquisition of CalFed
   M. 2002-09-30 BOG Meeting Minutes re Citigroup and Enron
   N. 2002-12-13 Draft Proposal to CMAC to Establish a Liquidity Put
   O. 2002-12-17 Minutes of CMAC Meeting Approving Liquidity Put
   P. 2003-02-19 Joint Letter from the OCC nd FRBNY to Citigroup Risk Managers
   Q. 2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
   R. 2005 Report of Examination for Citigroup
   S. 2005 Report of Examination of Citigroup
   T. 2005-03-16 BOG Meeting Minutes re Citigroup’s acquisition of First American Bank
   U. 2005-03-16 BOG Minutes re Citigroup’s acquisition of First Am
   V. 2005-06-13 Notice of Failure to Meet Safety and Soundness Standards
   W. 2006 Examination Report for Citigroup
   X. 2006 Report of Examination for Citigroup
   Y. 2006-01-09 CMAC Proposal to ISssue CDS on Subprime Backed ABS CDOs
   Z. 2006-01-18 Memorandum Reflecting CMAC Approval of Subprime Backed ABS CDOs
   AA. 2006-02-17 Memo to Governor Bies re Citigroup Upgrade
   AB. 2007-05-08 Transmittal of OCC Findings on Structured Credit Derivatives
   AC. 2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin
   AD. 2008-01-30 Email from Dan Chen re Weekly Mortgage Risk
   AE. 2008-02-27 Statement of James Lockhart re releasing portfolio caps
   AF. 2008-03-11 Barrons Article re Fannie Mae
   AG. 2008-05-07 Cox Speech to the Security Traders Conference
   AH. 2008-06-09 Reducing Systemic Risk in a Dynamic Financial System
   AI. 2008-10-07 Testimony of E Dinallo Before the House Oversight Committee
   AJ. 2008-10-23 PBS - Greenspan Admits Flaw
   AL. 2010-01-29 M Mayo Response to Follow Up Qs (copy)
   AM. 2010-02-10 G Cohen ltr to FRB (D Caperton) re Doc Request (copy)
   AN. 2010-02-12 G Cohen ltr to BoA (E O'Keefe) re doc production (copy)
   AO. 2010-02-12 G Cohen ltr to FHFA (A Pollard) re doc production (copy)
   AP. 2010-02-22 G Cohen ltr to OCC (J Williams) re Doc Request (copy)
   AQ. 2010-02-26 D Crawford ltr to T Greene re Hearing One Follow-Up (copy)
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D Bushnell Email to E Duke re Super Seniors (2007-08-13) (copy)
d. 2009-06-04 M Masters Testimony Before the Senate Agriculture Committee
d. 2010-04-16 MFR Interview Steve Meier
d-1) Charles Prince Testimony

d1Lusardi_bio
d2Lusardi_paper
d3Lusardi_slides
e. 2007-04-17 Mudd Testimony before House Financial Services Committee
e. Key Quotes - Jimmy Cayne
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e2Mayer_paper
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f1Jaffee_bio
f2Jaffee_paper
f3Jaffee_slides
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FCIC Master Contact List for 6-16-10
FCIC Rules of Procedure as of 3-16-2010
FCIC-122423-427
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FNMA Demonstratives
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FRB Richmond memo re Wachovia
FRB to Exam. 000826.
FRB to Exam. 001118-1122
FRBNY to Exam. 000017
FRBNY to Exam. 000033-37
FRBNY to Exam. 00038-42
g) Mortgage Fraud Update - summary
g1Brunnermeier_Bio
g2Brunnermeier_paper
g3Brunnermeier_Follow_up_Questions
GS 08183
GS MBS 0000027878 (CUSIP Overlap Analysis) (copy)
GSCP The Way Forward (Michael Raynes, 2007-09) (copy)
GSM Mortgage Finance Warehouse Lines of Credit - Response to I-39 (copy)
h1Kashyap_Bio
H2 3 Follow-up Questions to Fannie Mae & OFHEO
h2Kashyap_paper
HW. Subpoena Notes
HX. Subprime Whole Loan Purchases in GSM, 2006-2010 - Response to I-35 (copy)
HY. Super Senior Contingent Credit Option Program for High Grade ABS CDO - May 2006 (copy)
HZ. Takeaways from Research and Investigation Plan
IA. TG Interview of J. Dugan_2010-03-30
IB. Tracking the Twin Storms and NYT Briefing Cover Email to C Prince (2007-07-30) (copy)
IC. Undated Scope Memorandum of the FRBNY on 2005 Horizontal Examinations of Multiple Companies Complex
ID. UST-FCIC 0029512 - UST-FCIC 0029514
IE. UST-FCIC 0030001

II. -Restored-Data
A. 09-05-28 Testimony of Deborah Cuevas Hill Before the Committee on Public Services and Consumer Affairs
B. 09-10-07 Statement of Dr Kenneth Rosen Chair of the Fisher Center for Real Estate and Urban Economics at the Hass - US House Committee on Small Business
C. 09-11-19 Testimony of Dean Baker Before the Congressional Oversight Panel for the Troubled Asset Relief Program
D. 09-12-08 Testimony of Julia Gordon Before the US House of Representatives Committee on Financial Services
E. 10 15 10 FRBNY Letter
F. 10-1-20 Agenda for Business Meeting of Financial Crisis Inquiry Commission
G. 10-3-16 Agenda for Business Meeting of Financial Crisis Inquiry Commission
H. 10-5-18 Agenda for Business Meeting of Financial Crisis Inquiry Commission
I. 2010-11-09 Redline Exhibit F- Clayton
J. 2010-11-09 Redline Exhibit G- Merrill
K. 2010-11-09 Redline Exhibit H- Fannie
L. a. Key Quotes - Chairman Cox
M. Approved Minutes 09-09-17
N. Compensation
O. CRA staff report 10 7 2010
P. Housing Bubble
Q. Regulatory Inaction and Predatory Lending
R. Regulatory Inaction and Preemption
S. Thank You Letters - Las Vegas Hearing
T. Wagstaff - Invite Letter

III. R&I Plans
A. Complex Financial Derivatives
   1. Document Requests
      a. 2010-02-09 G Cohen ltr to BofA (E O'Keefe) (copy)
      b. 2010-02-09 G Cohen ltr to Goldman Sachs (G Palm) (copy)
   2. Hearing 5
      a. Documents
         1. 2003-08-19 ISDA Master Agreement Between AIGFP and Goldman AIG-FCIC 00384254
         2. 2005-07-21 Email from Forster re Topics to discuss AIG-FCIC00145574
         3. 2005-12-01 Schedule to the Master Agreement AIG-FCIC000000110
         4. 2005-12-07 CRC Memo Broderick CDO I AIG-FCIC00098115
         5. 2005-12-20 CRC memo re AIGGIG Global Securities Lending Cash …Policy AIG-FCIC00550171-00550175
6. 2006-02-28 Email from Park to Cassano re exiting business-AIG-FCIC00109490
7. 2006-07-17 CRC Memo West Coast Funding I AIG-FCIC00384171
8. 2007 Goldman Sachs third-quarter-earnings
9. 2007 Supervisory Plan at OTSAIG-EFILES 0000084111-130
10. 2007-03-23 Timberwolf Offering Circular GS-MBS-E 000673671
11. 2007-05-22 CRC Minutes AIG-SEC9406809
12. 2007-07-26 email from Davilman to Frost re margin call 20bb of superseniorAIG-
13. 2007-07-27 Collateral Call GS 06017
14. 2007-08-01 Athan Email re HALO called needed tomorrow AM AIG-SEC9990006
15. 2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks
16. 2007-08-08 Email fr. Athan to Frost re Latest on GS AIG-SEC1913380
17. 2007-08-08 Memo to AIGFP 2007 2Q Review Files PWC-FCIC 00212-213
18. 2007-08-10 Side Letter Agreement AIG-SUBPRIME-SEC00000547-54
19. 2007-08-16 Email from Forster to Frost re Goldman AIGSEC11604624
20. 2007-09-11 Email Cassano Binder Tab 3
21. 2007-10-02 Frederick W Cook Co 2006 Competitive Executive Compensation
22. 2007-11-01 Email- Cassano Tab 4
23. 2007-11-02 Collateral Call GS 07806
24. 2007-11-05 email from Forster to Cassano AIG-SEC2152468
25. 2007-11-05 Micottis Collateral Call Spreadsheet
26. 2007-11-06 Audit Committee Meeting Minutes
27. 2007-11-07 PWC Memo PWC-FCIC 000224-240
28. 2007-11-08 Email fr. Lehman to Forster AIG-FCIC00348171
29. 2007-11-09 Email re Merrill Marks Cassano Binder Tab 12
30. 2007-11-14 Email Cassano Binder Tab 5
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32. 2007-11-19-20 email re Credit Perspective for the CFO AIG-SEC9422058
33. 2007-11-21 Email from Cassano to Dooley re 2007 Compensation SEC00001400
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35. 2007-11-23 Side Letter
36. 2007-11-27 Email AIG-SEC1364133
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38. 2007-11-29 Email re FP Meeting Cassano Binder Tab 9- AIGSEC12689327
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42. 2007-12-01 email from Cassano re November change in value for SS multisector
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46. 2007-12-13 Proposal for a Special Incentive Plan for AIG-FP for 2007 PowerPoint presentation HHOGR00
47. 2007-12-14 Forster email re Collateral Dispute
48. 2007-12-17 Cassano email re 2007 special comp plan
49. 2007-12-20 Goldman Sachs Collateral Disagreement Observations and Conclusions Micottis AIG-SEC254922
50. 2007-12-23 email from Sherwood to Cassano & Viniar re CDO spreadsheet AIG-FCIC00336767-00336768
51. 2008-01-02 Collateral Call GS 08890
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53. 2008-01-07 Email fr. Athan to Cassano re CDS Collateral Call Status AIG-FCICO0383724
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141. Listing of collateral movements from 2007-08-10 to 2008-12-31
142. Meeting notes 2008-02-08 PWC-FCIC 000209-211
143. Memo to File by AIG FSD CFO Elias Habayeb PWC-FCIC 000101-108
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146. Notes of 2008-02-07 meeting PWC-FCIC 000206-208
147. PSI Exhibit 103- Goldman Sachs internal email, dated May 2007 re Lester called
148. PSI Exhibit 107- Goldman Sachs internal email, dated December 2006, re Paulson
149. PSI Exhibit 11- Goldman Sachs internal email, dated February 2007 re goals
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212. SSCDS contract between AIGFP and Goldman Sachs GS 00001-62
213. Transcript of 2007-07-11 call AIG-SEC1910855
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b. Hearing Background Materials
   1. I. Background Reading
      a. 1. AIG
         1. a. 2008-05-10 WSJ - AIG's Black-Box Pickle
         2. b. 2008-09-08 WSJ - Bad Bets and Cash Crunch Pushed Ailing AIG
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         3. c. 2008-10-11 WSJ - Documents Show AIG Knew of Problems with
Valuations
         4. d. 2008-10-31 WSJ Behind AIG's Fall, Risk Models Failed to Pass
Real-World Test
         5. e. 2008-12-29 WPost - The Beautiful Machine
         6. f. 2008-12-30 WPost - A Crack in the System
         7. g. 2008-12-31 WPost - Downgrades and Downfall
         8. h. 2009-02-05 WSJ - An AIG Unit's Quest to Juice Profit
         9. i. 2009-03-30 Time - How AIG Became Too Big the Fail
        10. j. 2009-04-28 WSJ - Criminal Authorities Focus on 3 in Investigation
of AIG
        11. k. 2010-04-07 Institutional Invester - The Inside Story of Hank
Greenberg and the rise and demise
      b. 2. Goldman Sachs
         1. a. 2008-09-28 NYTimes - Behind Insurer's Crisis, Blind Eye to a Web
of Risk
         2. b. 2010-01 Vanity Fair - The Bank Job
         3. c. 2010-04-18 NYTimes - Senior Executives at Goldman Had a Role
in Mortgage Unit
         4. d. 2010-04-20 NYTimes - Gambling with the Economy
         5. e. 2010-06-19 Bloomberg - Goldman's Hudson CDO Said to Be
Target of 2nd SEC Probe
      c. 3. AIG and Goldman
         1. a. 2010-02-07 NYTimes - Testy conflict with Goldman Helped Push
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         2. b. 2010-04-12 WSJ - AIG, Goldman Unwind Soured Trades
      d. 4. Regulators
         1. a. 2010-01-06 FT - CFTC Head Blames OTC Derivatives for Crisis
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2. Transcripts or MFRs of Pre-Interviews
   a. 2010-06-10 MFR of Interview with Pete Kyle
   b. 2010-06-10 MFR of Interview with Michael Masters
   c. 2010-05-24 MFR of Interview with Steve Kohlhagen
   d. 2010-02-25 MFR of Interview with Michael Greenberger

3. Documents of Selected Quotes
   a. Key Quotes - Michael Masters
   b. Key Quotes - Michael Greenberger

4. Significant Past Testimony
   a. 2009-02-03 M Greenberger Testimony Before House Ag Committee
   b. 2009-02-04 M Masters Testimony Before House Ag Committee
   c. 2009-06-04 M Masters Testimony Before Senate Ag Committee
   d. 2009-08-05 M Greenberger Testimony Before the CFTC
   e. 2010 Greenberger Out of the Black Hole - Make Markets be Markets
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   g. Albert Kyle - Cash Settlement, Price Manipulation and the Modigliani-Miller Theorem
   h. Albert Kyle - How to Define Illegal Price Manipulation
   i. Albert Kyle - Causes and Cures to Financial Crisis
   j. 20100629_Kyle_for_FCIC_FER_statement_Reforming the OTC Derivatives Market

3. III. Day 1, Panel 2 - AIG
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   a. 2010-06-25 MFR of Interview with Joe Cassano
   b. 2010-06-25 Draft Transcript of Joe Cassano Interview
   c. 2010-06-17 MFR of Interview with Martin Sullivan
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   e. 2010-06-15 Summary of Interview with Robert Lewis
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3. Documents of Selected Quotes
   a. Key Quotes - Martin Sullivan
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4. IV. Day 1, Panel 3 - Goldman Sachs
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   2. Transcripts or MFRs of Pre-Interviews
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      c. 2010-06-17 MFR of Interview with C Broderick
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3. Documents of Selected Key Quotes
   a. Key Quotes - Craig Broderick
   b. Key Quotes - Gary Cohn

d. 4. Significant Past Testimony
   a. 2010-01-13 L Blankfein Testimony Before the FCIC
   b. 2010-04-27 C Broderick Testimony before the Senate Permanant Subcommittee on Investigations
   c. 2010-04-27 L Blankfein Testimony Before the Senate Permanent Subcommittee on Investigations

5. V. Day 2, Panel 1 - AIG and Goldman
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      b. Key Quotes - David Viniar
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   d. 4. Significant Past Testimony
      a. 2010-04-27 D Viniar Testimony Before the Senate Permanent Subcommittee on Investigations
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   c. 3. Documents of Selected Key Quotes
      a. Key Quotes - Gary Gensler
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   d. 4. Significant Past Testimony
      a. 2008-10-07 Testimony of E Dinallo Before the House Oversight Committee
      b. 2009-10-07 G Gensler Testimony Before House Financial Services Committee
      c. 2008-10-14 Dinallo Testimony Before the Senate Ag Committee
      d. 2009-03-05 E Dinallo Testimony Before the Senate Banking Committee
      e. 2009-06-22 G Gensler Testimony Before the House Financial Services Committee

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   a. Agenda for Commission Briefing Session on 6-29-2010
   b. Agenda and Witness List FOR Hearing June 30-July 1_2010
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      3. b-ii. Gensler_Gary. Testimony final clean
      4. b-iii. CK Lee. FCIC.testimony
      5. c. Witness Questions - Regulation Panel - Day 2_Session 2

IV. SUPPLEMENTAL INVESTIGATIVE DOCUMENTS
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   d. Hearing Follow-Up
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         a. 2010-08-23 Follow-up Letter to Gary Gensler
         b. 2010-08-23 Follow-up Letter to M Greenberger
         c. 2010-08-26 Follow-Up Letter to David Lehman (copy)
         d. 2010-08-30 Follow-up Letter to Mr. Broderick (copy)
         e. 2010-08-30 Follow-Up Letter to Mr. Cassano (copy)
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   e. Hearing transcripts
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   f. MFRs_Transcripts_Interview Notes
      1. 2010-02-22 MFR re Interview with Frank Partnoy
      2. 2010-03-10 Interview notes - IMF Randall Dodd - Background - Derivatives
      3. 2010-03-16 Interview notes - FRBNY Theo Lubke - Background sources
      4. 2010-03-30 Transcript - Interview of Patrick Parkinson
      5. 2010-04-09 Interview notes - Michael Greenberger - Derivatives
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     18. 2010-05-17 MFR re Interview with Anthony Sanders
     19. 2010-05-19 G Feldberg MFR re interview with Martin Mayer
     20. 2010-05-20 Interview notes - FRB Mark Van der Weide - Background sources
21. 2010-05-24 Interview notes - Steve Kohlhagen - Derivatives
22. 2010-05-27 G Feldberg MFR re interview with Bob Pickel
23. 2010-05-27 G Feldberg MFR re interview with Gary Gensler
24. 2010-06-10 MFR re Interview with Darryll Hendricks

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1. Document Requests
   a. 2010-02-03 G Cohen ltr to ISDA (Tew Darras) (copy)
   b. 2010-02-09 G Cohen ltr to JPMorgan (S Cutler) (copy)
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         a. 2001pdf
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         c. 2003ltr
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      2. 2000-09-15 Moody's Approach to Rating Multisector CDOs
      3. 2002 - Moody's Corporation Achieves 1 Billion in Revenue in 2002 (commemorative plaque)
      4. 2004-10-1 Moody’s Investors Service Record Retention Policy for Non-Public Records Used in the Credit
      5. 2005-06-27 Moody’s Revisits its Assumptions Regarding Structured Finance Default and Asset Correlati
      7. 2006 - Moody’s Corporation Achieves 2 Billion in Revenue in 2006 (commemorative plaque)
      8. 2006-08-17 Yoshizawa Email to Derivatives Monitoring Group re Deal Assignment - Muni Healthcare Edu
      9. 2007-01-04 Email from Sunil Surana to MDs re 2006 US Derivative Market Share
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     11. 2007-02-20 Email from Kolchinsky to Clarkson re Subprime ABS CDO Update
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     15. 2007-06-26 Moody’s Investors Service Form NRSRO Application to SEC
20. 2007-08-12 Tomlinson and Evans - The Rating Charade (Bloomberg)
21. 2007-09-21 A Kimball email to E Kolchinsky re Servicer Survey and New Grid for CDOs of ABS
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24. 2007-10-11 Moody's Downgrades 33.4 Billion of 2006 Subprime First-Lien RMBS and Affirms 280 Billion A
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26. 2008-04-11 Lucchetti - As Housing Boomed, Moody's Opened Up (WSJ)
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28. 2008-08-04 Gary Gorton - The Panic of 2007 (FRBKC)
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36. 2010-04-23 PSI Credit Rating Agencies (copy)
37. 2010-04-23 Richard Michalek Testimony to Permanent Subcommittee on Investigations
38. 2010-04-27 MFR of Interview of Mark Froeba
39. 2010-04-27 Transcript of Interview with Eric Kolchinsky
40. 2010-05 Moody's Rating Symbols and Definitions
41. 2010-05-11 Transcript of Interview with Nicolas Weill
42. 2010-05-17 Transcript of Interview with Yuri Yoshizawa
43. 2010-05-20 Transcript of B Bondi Interview with Brian Clarkson
44. 2010-05-21 Transcript of Interview with Raymond McDaniel
45. 2010-05-26 Transcript of Interview with Roger Stein
46. 2010-05-26 Transcript of Interview with Warren Buffett
47. Complex Mortgage Related Asset Backed Securities Rating Fee Schedule
48. Corporate Library Board Analyst Profile of Moody's
49. E Kolchinsky email to Y Fu, Y Yoshizawa 2006-5-30
50. Email from Raymond McDaniel to Nicolas Weill, Andrew Kimball, and Brian Clarkson, March 19, 2008.
51. Examination of Moodys Investor Services, Inc July 11, 2008
52. Figure 1 - 60+ Days Delinquency
53. Figure 2 - GSC ABS CDO 2006-2m Estimated Total Market Value of Collateral
54. First Quarter 2007 Deal List (Moody's, S&P, Fitch deal sizes)
55. hearing 5 Long doc list
56. hearing 5 updated short doc list
57. Key Excerpts from FCIC staff Interview of Roger Stein
58. Key Excerpts of FCIC Staff Interview with Jay Siegel
59. Kolchinsky Chronology
60. Montana Board of Investments Letter to FCIC
61. Moody's Investors Service Record Retention Policy for Non-Public Records Used in the Credit Research
62. MOODY'S-COGR-0002561 - 0002586 2007 Strategic Plan Overview
63. MOODY'S-COGR-0004710 - 0004711 R McDaniel email to Alica Bass
64. MOODY'S-COGR-0018860 M Kanef Email to B Clarkson re Subprime Script for BC D1
65. MOODY'S-COGR-0019397 - 0019409 Testimony of Warren Kornfeld
66. MOODY'S-COGR-0019693 - 0019695 B Mary email to Moodys subprime Working group (July 11, 2007)
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70. MOODY'S-COGR-0057229 Y Yoshizawa email to B Clarkson (Oct 18, 2007)
71. MOODY'S-COGR-0064550 - 0064553 Threats and Possible Responses
72. MOODY'S-COGR-0067365 - 0067366 ERM RISK The Ratings Business
73. MOODY'S-COGR-0067972 - 0067975 L Levenstein Email to Y Yoshizawa re Financial Guarantors Special Co
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75. MOODY'S-COGR-0076800 - 0076801 L Huber email to G Jonas re Market reaction to rating enhancements (j
76. Moody's - The First Hundred Years (Stimpson and Mahoney) pp. 264-266
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80. MOODYS-FCIC-0002561 July 2007 MCO Strategic Plan (McDaniel) [unreadect]
81. MOODYS-FCIC-0002978-0003015 - Moody's 2007 Operating Plan
82. MOODYS-FCIC-0003712 - Moody's Investor Service Securities Trading Policy
83. MOODYS-FCIC-0003716 (2003-03-03) Moody's Bonus Ltr to Kolchinsky from Rutherford
84. MOODYS-FCIC-0005186 - Derivatives (Americas) Org Chart
85. MOODYS-FCIC-0005198 - Derivatives (Americas) Org Chart II
86. MOODYS-FCIC-0005390 - Legal Violations Letter to Michael Kanef
87. MOODYS-FCIC-0007328 (2006-05-26) Ratings Memorandum GSC ABS CDO 2006-2m Ltd
88. MOODYS-FCIC-0008593 Rating Committee Memo CMLTI 206-NC2 (2006-08-29)
89. MOODYS-FCIC-0012073 - Email From Jay Siegel Regarding Revised Silent Second
90. MOODYS-FCIC-0012075 - Email from Jay Siegel Regarding Benefit For SQ1 Servicer
91. MOODYS-FCIC-0012079 - Email from Jay Siegel Regarding the Interim Rule
92. MOODYS-FCIC-0012103 - Email from Jay Siegel Regarding Second Liens IOs
93. MOODYS-FCIC-0014789 Complex Collateralized Bond and Loan Obligations Rating Fee Schedule
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95. MOODYs-FCIC-0016904 - Email from Michael Zoccoli Regarding the Sep 2002YTD Distribution
96. MOODYs-FCIC-0033971 - Email from Jay Siegel Subject-Rating Feedback Discussion
97. MOODYs-FCIC-0035795 - Email from Brian Clarkson Subject-June YTD AFG by Analyst
98. MOODYs-FCIC-0035795 (2001-07-05) B Clarkson email re June YTD AFG by Analyst - 1st Half 2001 AFG
99. MOODYs-FCIC-0038399 (2010-04-02) Moody's Wells Submission to SEC
100. MOODYs-FCIC-0052868 - Email from Mandy Roberts to Wendy Lynn
101. MOODYs-FCIC-0055776 - Email from Ray McDaniel Subject-Fitch Criticises Ratings of CPDOs
102. MOODYs-FCIC-0184795 - Email from Ray McDaniel Subject-Re Short Guide to Subprime
103. MOODYs-FCIC-0207797 Memo from J Goggins re Record Retention Policy 2005-02-15
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105. MOODYs-FCIC-0284278 (2007-07-19) Memo from B Clarkson to BOD re Subprime Update
106. PSI-MOODYS-000072 [Ex16] (2006-01-12) W May Email to G Harris re BES and PEs
108. PSI-MOODYS-000096 [Ex 15] B Clarkson Email to N Kirnon re performance evaluation (2003-12-01)
109. PSI-MOODYS-MS-000001 [Ex 24a] (2007-10-05) Email from Y Yoshizawa to MDs re 3Q Mkt Coverage CDOs
110. SEC_OCIE_FCIC_0000001 - SEC Letter to Ray McDaniel
111. SEC_OCIE_FCIC_000010 -SEC Fax to Ray McDaniel
112. SEC_OCIE_FCIC_000496 - Examination Report to Moody's Investor Services, Inc
113. SEC_OCIE_FCIC_000512 - SEC Credit Rating Agencies Report 1
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      c. 2010-04-23 Levin and Coburn memo re Wall Street and the Financial Crisis - The Role of Rating
      d. 2010-04-23 Senator Levin PSI Opening Statement on The Role of Credit Rating Agencies
      e. 2009-06 Stocktaking on the Use of Credit Ratings (Joint Forum of Basel, BIS, Intl Org Securities
      f. 2010-04-23 Michalek Testimony to Senate Permanent Subcommittee on Investigations
      g. 2008-04-11. Article. LUCCHETTI:AARON: As Housing Boomed_
a. Agenda for Commission Briefing Session on 6-1-2010
b. Agenda and Witness List FOR Hearing June 2 _2010
c. H5_logistics_Commissioners
d. Media Advisory 5.26.10 - Final.doc

II. MATERIALS for DAY ONE, WEDNESDAY, JUNE 2, 2010

a. 1) SESSION 1 – The Ratings Process
   1. a) PSR Credit Ratings and the Financial Crisis 5-28-2010 _
   2. b) 2010-06-08 Investigative Report Rating Agencies CLEAN
   3. b-i. Key Excerpts from FCIC Staff Interview of Roger Stein
   4. b-ii. Interview Highlights for H5 Hearing Witnesses
   5. b-iii. 2010-05-31 Moody’s Financial Summary
   6. c. Session 1- Witness Bios - The Ratings Process - Revised
   7. d-i. Eric Kolchinsky Testimony
   8. d-ii. Nicholas Weill Testimony
   9. d-iii. Gary Witt Testimony
   10. d-iii_1. Witt-CRA
   11. d-iii_2. Witt -CDOROM driven Correlated Binomial Sep 2005
   15. d-iv. Jay Siegel Testimony
   16. e. H5 - Session 1 questions
   17. OLD b) 2010-05-28 Investigative Report Credit Ratings v3
   18. OLD b) 2010-05-31 REVISED and REdlined Investigative Report - Credit Ratings
   19. OLD b) 2010-06-08 REDLINED Rating Agencies Investigative Report

b. 2) SESSION 2 – Credit Ratings and the Financial C
   1. a) Berkshire Hathaway and Warren Buffett FCIC Summary Report May 27(3)
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   2. b-i. Brian Clarkson Testimony
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3. OUTLINE - H5 Briefing book for Commissioners (5-27-2010)

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f. MFRs Transcripts Hearing Notes

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1. 2000-2009 Berkshire Hathaway Shareholder Letters

2. 26 May 2010 Interview Transcript of Warren Buffett

3. Warren Buffett House Oversight investigation Sub-Committee


3. RIP - Credit Rating Agencies - Hearing 5a

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1. 2010-01-27 T Greene Ltr to D Crawford (copy)

2. 2010-01-27 T Greene Ltr to E Holder (copy)

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16. 2010-02-02 T Greene Ltr to J Dimon (copy)

17. 2010-02-02 T Greene Ltr to J Mack (copy)

b. Response letters from witnesses

1. 2010-01-29 M Mayo Response to Follow Up Qs (copy)

2. 2010-02-03 P Solomon ltr to Chair re Hearing 1 Follow-Up (copy)
3. 2010-02-23 BofA (R Brown) ltr to G Cohen re Doc Production (copy)
4. 2010-02-26 D Crawford ltr to T Greene re Hearing One Follow-Up (copy)
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         b. 08-09-22 NYT - Radical Shift For Goldman and Morgan
         c. 08-10-02 FT.com - Eased mark-to-market rule lifts banks
         d. 08-12-17 NTY - Goldman Sachs Reports $2.1 Billion Quarterly Loss
         e. 09-07-13 Rolling Stone - The Great American Bubble Machine
         f. 09-08-06 NYT - Despite Bailouts, Business as Usual at Goldman
         g. 09-09-29 NYPost - The Secret to Goldman Sachs' Good Fortune
         h. 09-10-10 WSJ - The Bank Everybody Loves to Hate
         i. 09-10-12 FT.com - To avoid crises, we need more transparency
         j. 09-10-24 NTY - Short Memories at Goldman
         k. 09-11-02 McClatchy - Goldman takes on new role- taking away people's homes
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o. 09-11-08 Times - I'm doing 'God's work'. Meet Mr Goldman Sachs
p. 09-11-11 Blankfein defends pay levels for 'more productive' Goldman staff
q. 09-11-17 SIGTARP - Factors Affecting Efforts to Limit Payments to AIG Counterparties
r. 09-11-18 - $500 Million and Apology From Goldman
s. 09-12-10 Goldman Sachs - Goldman Sachs Announces Changes to 2009 Compensation Program
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u. 09-12-11 FT.com - Goldman scraps cash bonuses for 30 top executives
v. 09-12-11 LATimes - Goldman scraps cash bonuses for 30 top executives
w. 09-12-11 NYT - Goldman Sachs Alters Its Bonus Policy to Quell Uproar
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z. 09-12-16 NYT - As Goldman Thrives, Some Say an Ethos Has Faded
aa. 09-12-24 NYT - Banks Bundled Bad Debt, Bet Against It and Won
ab. 09-12-30 McClatchy - Goldman's offshore deals deepened global financial crisis
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ae. 10-01-07 - Supreme Court of the State of New York, County of New York
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b. 05-01 Center for Responsible Lending, Borrowers in Higher Minority Areas More Likely to Receive Prepayment Penalties on Subprime Loans
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d. 07-03-27 CRL Issue Paper no 14, Subprime Lending-A Net Drain on Homeownership
e. 07-05-22 Chairman of the Mortgage Bankers Association Addresses the National Press Club
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l. 08-11-19 Comptroller Dugan Says CRA not Responsible for Subprime Lending Abuses
m. 08-12 National Low Income Housing Coalition, Renters in Foreclosure
n. 08-12-04 Credit Suisse, Foreclosure Update-over 8 million foreclosures expected
o. 09-01 Center for Responsible Lending, Continued Decay and Shaky Repairs
p. 09-01 Joint Center for Housing Harvard, Meeting Multifamily Housing Finance
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t. 09-05 Economic Commentary, Ten Myths about Subprime Mortgages
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aa. July 2007 to November 2009 Hope Now, Phase 1 National Data
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3. 11. Cloutier
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   c. 09-02-17 MidSouth Banks CEO tells Bloomberg Feds need to break up big banks
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   e. 09-03-02 Healthy Firms Worry Treasury Capital Now Tainted
   f. 09-05-17 Rusty Cloutier has Money to Spare
   g. 09-07-01 Money to lend-a recession isnt deterring Rusty Cloutier and his team at this community bank from aggressive plans growth-MidSouth Bank
   h. 09-09-08 FDIC Flags Dissenters, Raises Ire
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4. 2. Morgan Stanley
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ai. 10-01-10 Businessweek - German and French banks got $36 billion from AIG Bailout
aj. Andrew M Cuomo - No Rhyme of Reason-The 'Heads I Win, Trails You Lose Bank Bonus Culture
ak. OCC's Quarterly Report on Bank Trading and Derivatives Activities Third Quarter 2009

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b. 08-01-12 WSJ - Behind Bank of America's Big Gamble
c. 08-01-14 BusinessWeek - Bank of America Bags Countrywide
d. 08-03-12 CNNMoney - FBI probes Countrywide for possible fraud
e. 08-09-14 - Bank of America Said to Walk Away From Lehman Talks (Update 1)
f. 08-10-06 PRNewswire - Bank of America Announces National Homeownership Retention Program for Country

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k. 09-08-20 NYT - Countrywide Loses Ruling in Loan Suit
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t. 10-01-04 - BofA-We'll bank on balance
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x. 10-01-11 Bank of America Corporation - US Securities and Exchange Commission

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2. Transcripts or MFRs of Pre-Interviews
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4. Significant Past Testimony
   a. 06-09-20 Statement of Robert D. Broeksmit Before a Joint Hearing of the Subcommittee on Housing and Transportation and the Subcommittee on Economic Policy
   b. 07-09-19 Testimony of Martin Eakes Before the Joint Economic Committee
   c. 08-02-13 Prepared Testimony of Michael S. Barr Before the Committee on Financial Crisis
   d. 08-02-13 Testimony of Ann F. Jaedicke Before the Committee of Financial Services of the US House of Representatives
   e. 06-10-16 Testimony of Eric Stein Before the US Senate Committee on Banking, Housing and Urban Affairs
   f. 09-03-03 Prepared Statement of Patricia A McCoy Before the US Senate Committee on Banking, Housing, and Urban Affairs
   g. 09-03-04 Testimony of Cloutier before the Subcommittee on Financial Institutions and Consumer Credit Committee on Financial Services
   h. 09-03-17 Cloutier Before the Congress of the United States House Committee on the Judiciary Subcommittee on Courts and Competition Policy
   i. 09-05-28 Testimony of Deborah Cuevas Hill Before the Committee on Public Services and Consumer Affair
   j. 09-09-24 Written Testimony of Mark Zandi Before the House Financial Services Committee
   k. 09-10-07 Statement of Dr Kenneth Rosen Chair of the Fisher Center for Real Estate and Urban Economic
   l. 09-10-29 Written Testimony of Mark Zandi Before the Joint Economic Committee
   m. 09-11-19 Testimony of Dean Baker Before the Congressional Oversight Panel for the Troubled Asset Relief Program
   n. 09-11-19 Written Testimony of Mark Zandi Before the Congressional Oversight Panel
   o. 09-12-08 Testimony of Julia Gordon Before the US House of Representatives Committee on Financial Services

5. V. Day 2, Panel 1
   1. Witness Bios
      a. 1. Witness Bios
   2. Transcripts or MFRs of Pre-Interviews
   3. Documents of Selected Quotes
   4. Significant Past Testimony

6. MFRs Transcripts Interviews Notes
7. Misc

E. Macroeconomic Factors and U.S. Monetary Policy
F. Shadow Banking
   1. Document Requests
      a. 2010-02-05 G Cohen ltr to Reserve Primary Fund (C Birch) (copy)
2010-02-18 G Cohen ltr to JPMorgan (S Cutler) re Doc Production (copy)
c. 2010-02-18 G Cohen ltr to Lehman (H Miller) re Doc Production (copy)
d. 2010-02-18 G Cohen ltr to Merrill Lynch (R Brown) re Doc Production (copy)
e. 2010-02-19 G Cohen ltr to Barclays (J Hughes) re Doc Production (copy)
f. 2010-02-26 G Cohen ltr to BNY (R Dorado) re Doc Request (copy)
g. 2010-02-26 G Cohen ltr to JPMorgan (S Cutler) re Doc Request (copy)
h. 2010-03-08 G Cohen ltr to FDIC (P Nash) re Doc Request (copy)
i. 2010-03-10 G Cohen ltr to Federated (P Germaine) re Doc Production (copy)
j. 2010-03-10 G Cohen ltr to Fidelity (S Goebel) re Doc Production (copy)

2. Hearing 4
   a. Hearing Background Materials
      1. I. Bear Stearns
         a. 1. Bear Stearns Witness Biographies
            a. a. Bear Stearns Witness Biographies
         b. 2. Transcripts or Memoranda of Pre-Interviews
            1. a) MFR_Interview_BSC_SMolinaro_09Apr10_3_
            2. b) Paul Friedman MFR 2010-04-29
            3. c) MFR Warren Spector March 30 interview _3_
            4. d) 2010-04-19 MFR of Interview with Tom Marano
            5. e.) 2010-04-23 A Schwartz
            6. f.) Jimmy Cayne MFR
            7. OLD 2010-04-29 Friedman _2_
            8. OLD. 2010-03-20 MFR for Warren Spector (Bear Stearns)
            9. OLD. 2010-04-09 MFR of Interview with SMolinaro
         c. 3. Documents of Selected Key Quotes
            1. a. Key Quotes - Sam Molinaro
            2. b. Key Quotes - Paul Friedman
            3. d. Key Quotes - Alan Schwartz
            4. e. Key Quotes - Jimmy Cayne
         d. 4. Congressional Research Service Report
            1. a. 2008-03-26 CRS Report - Bear Stearns, Crisis and 'Rescue' for a Major Provider
         e. 5. Relevant Articles
            1. a. 2007-03-28 WSJ - CEO Pay Rises at Bear Stearns
            2. b. 2007-06-03 WSJ - Bear Stearns and Man Group Launch New Financial Product
            3. c. 2007-06-07 WSJ - The Sure Bet Turns Bad - Funds Howl as Bear Buys Mortgages
            4. d. 2007-06-21 Bloomberg - Bear Stearns Fund Collapse Sends Shock Through CDOs
            5. e. 2007-06-23 WSJ - Bear Stearns Bails Out Fund With Big Loan
            6. f. 2007-06-26 NYT - A Stock Filing Gone Awry for Bear Stearns
            7. g. 2007-07-11 WSJ - Behind a Bear Analyst's Subprime Call
            8. h. 2007-08-06 NYT - Fallout from U.S. Subprime Market Widens, Costing the Job of Top Banker
            9. i. 2007-10-11 Business Week - Bear Stearns' Bad Bet
            10. j. 2007-10-23 WSJ - Bear Stearns Is Big in Bonds But Didn't Start Out That Way
            11. k. 2007-11-16 WSJ - Bear Rating is Cut by S&P
            12. l. 2008-03-18 WSJ - With Street Watching, 'Repo' Trading is Light
1. 2008-05-27 WSJ - Lost Opportunities Haunt Final Days of Bear Stearns
2. 2008-05-28 WSJ - Fear, Rumors Touched Off Fatal Run on Bear Stearns
3. 2008-08 Vanity Fair - Bringing Down Bear Stearns
4. 2009-10-14 Rolling Stone - Wall Street's Naked Swindle
5. 2009-10-31 WSJ - In 'The Greatest Trade Ever'
6. 2010-04-01 Bloomberg - Fed Reveals Bear Stearns Assets It Swallowed
7. 2010-04-14 FT - Repo Market Struggles to Pull Back from Slump
8. Investigator General Report
   a. 2008-09-25 SEC’s Oversight of Bear Stearns and Related Entities-the CSE Program
   b. 2007-06-26 Cox Testimony Before the House Financial Services Committee
   c. 2007-07-11 Erik Sirri Testimony Concerning Recent Initiatives Taken by the Commission with Respec
   d. 2007-09-20 Erik Sirri Remarks before the FIA and OIC New York Equity Options Conference
   e. 2007-10-04 Erik Sirri Testimony Concerning the Consolidated Supervision of U.S. Securities Firms
   f. 2008-02-08 Cox Speech to the Practising Law Institute
   g. 2008-04-03 Chairman Cox Testimony Before Senate Banking Committee
   h. 2008-04-16 Chairman Christopher Cox Letter to Sen. Charles Grassley
   i. 2008-04-30 Cox Speech to the ICI Mutual Fund Leadership Dinner
   j. 2008-05-07 Chairman Cox Speech to the Security Traders 12th Annual Washington Conference
   k. 2008-06-19 Chairman Cox Op-Ed for WSJ
   l. 2008-06-19 Erik Sirri Testimony Concerning Oversight of Risk Management at Investment Banks
   m. 2008-07-24 Chairman Christopher Cox Testimony Concerning Reform of the Financial Regulatory Syste
   n. 2008-10-23 Cox Testimony before House Oversight Committee
   o. 2009-01-05 H. David Kotz Inspector General of the SEC Before the House Financial Services Committ
   p. 2009-04-09 Erik R. Sirri- Securities Markets and Regulatory Reform
   q. 2010-04-20 Cox Testimony re Lehman
   r. 2003-11-07 Donaldson Speech to the Securities Industry Association
   s. 2004-04-28 Donaldson Statement at SEC Open Meeting
   t. 2005-06-29 Donaldson Farewell Remarks to SEC Staff
   u. 2008-09-25 SEC’s Oversight of Bear Stearns and Related Entities-the CSE Program
   v. 2007-06-26 Cox Testimony Before the House Financial Services Committee
   w. 2007-07-11 Erik Sirri Testimony Concerning Recent Initiatives Taken by the Commission with Respec
   x. 2007-09-20 Erik Sirri Remarks before the FIA and OIC New York Equity Options Conference
   y. 2007-10-04 Erik Sirri Testimony Concerning the Consolidated Supervision of U.S. Securities Firms
   z. 2008-02-08 Cox Speech to the Practising Law Institute
   A. 2008-04-03 Chairman Cox Testimony Before Senate Banking Committee
   B. 2008-04-16 Chairman Christopher Cox Letter to Sen. Charles Grassley
   C. 2008-04-30 Cox Speech to the ICI Mutual Fund Leadership Dinner
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   H. 2008-10-23 Cox Testimony before House Oversight Committee
   I. 2009-01-05 H. David Kotz Inspector General of the SEC Before the House Financial Services Committ
   J. 2009-04-09 Erik R. Sirri- Securities Markets and Regulatory Reform
   K. 2010-04-20 Cox Testimony re Lehman
   L. 2003-11-07 Donaldson Speech to the Securities Industry Association
   M. 2004-04-28 Donaldson Statement at SEC Open Meeting
   N. 2005-06-29 Donaldson Farewell Remarks to SEC Staff
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   R. 2007-09-20 Erik Sirri Remarks before the FIA and OIC New York Equity Options Conference
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   T. 2008-02-08 Cox Speech to the Practising Law Institute
   U. 2008-04-03 Chairman Cox Testimony Before Senate Banking Committee
   V. 2008-04-16 Chairman Christopher Cox Letter to Sen. Charles Grassley
   W. 2008-04-30 Cox Speech to the ICI Mutual Fund Leadership Dinner
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   Y. 2008-06-19 Chairman Cox Op-Ed for WSJ
   Z. 2008-06-19 Erik Sirri Testimony Concerning Oversight of Risk Management at Investment Banks
   a. 2008-07-24 Chairman Christopher Cox Testimony Concerning Reform of the Financial Regulatory Syste
   b. 2008-10-23 Cox Testimony before House Oversight Committee
   c. 2009-01-05 H. David Kotz Inspector General of the SEC Before the House Financial Services Committ
   d. 2009-04-09 Erik R. Sirri- Securities Markets and Regulatory Reform
   e. 2010-04-20 Cox Testimony re Lehman
   f. 2003-11-07 Donaldson Speech to the Securities Industry Association
   g. 2004-04-28 Donaldson Statement at SEC Open Meeting
   h. 2005-06-29 Donaldson Farewell Remarks to SEC Staff
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   j. 2007-06-26 Cox Testimony Before the House Financial Services Committee
   k. 2007-07-11 Erik Sirri Testimony Concerning Recent Initiatives Taken by the Commission with Respec
   l. 2007-09-20 Erik Sirri Remarks before the FIA and OIC New York Equity Options Conference
   m. 2007-10-04 Erik Sirri Testimony Concerning the Consolidated Supervision of U.S. Securities Firms
   n. 2008-02-08 Cox Speech to the Practising Law Institute
   o. 2008-02-14 Cox Testimony Before Senate Banking Committee
   p. 2008-04-03 Chairman Cox Testimony Before Senate Banking Committee
   q. 2008-04-16 Chairman Christopher Cox Letter to Sen. Charles Grassley
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   y. 2009-04-09 Erik R. Sirri- Securities Markets and Regulatory Reform
   z. 2010-04-20 Cox Testimony re Lehman
   A. 2003-11-07 Donaldson Speech to the Securities Industry Association
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   C. 2005-06-29 Donaldson Farewell Remarks to SEC Staff
   D. 2008-09-25 SEC’s Oversight of Bear Stearns and Related Entities-the CSE Program
   E. 2007-06-26 Cox Testimony Before the House Financial Services Committee
   F. 2007-07-11 Erik Sirri Testimony Concerning Recent Initiatives Taken by the Commission with Respec
   G. 2007-09-20 Erik Sirri Remarks before the FIA and OIC New York Equity Options Conference
   H. 2007-10-04 Erik Sirri Testimony Concerning the Consolidated Supervision of U.S. Securities Firms
   I. 2008-02-08 Cox Speech to the Practising Law Institute
   J. 2008-02-14 Cox Testimony Before Senate Banking Committee
   K. 2008-04-03 Chairman Cox Testimony Before Senate Banking Committee
   L. 2008-04-16 Chairman Christopher Cox Letter to Sen. Charles Grassley
   M. 2008-04-30 Cox Speech to the ICI Mutual Fund Leadership Dinner
   N. 2008-05-07 Chairman Cox Speech to the Security Traders 12th Annual Washington Conference
   O. 2008-06-19 Chairman Cox Op-Ed for WSJ
   P. 2008-06-19 Erik Sirri Testimony Concerning Oversight of Risk Management at Investment Banks
   Q. 2008-07-24 Chairman Christopher Cox Testimony Concerning Reform of the Financial Regulatory Syste
   R. 2008-10-23 Cox Testimony before House Oversight Committee
   S. 2009-01-05 H. David Kotz Inspector General of the SEC Before the House Financial Services Committ
   T. 2009-04-09 Erik R. Sirri- Securities Markets and Regulatory Reform
   U. 2010-04-20 Cox Testimony re Lehman
   V. 2003-11-07 Donaldson Speech to the Securities Industry Association
   W. 2004-04-28 Donaldson Statement at SEC Open Meeting
   X. 2005-06-29 Donaldson Farewell Remarks to SEC Staff
1. a. Key Quotes - Chairman Cox
2. b. Key Quotes - Chairman Donaldson

5. Relevant Articles
   b. 2008-02-18 Real Clear Markets - The SEC Killed Wall Street on April 28, 2004
   c. 2008-04-17 Bond Buyer - SEC Plans Rule 15c2-12 Changes in May
   d. 2008-05-08 Bond Buyer - SEC Eyes Legislation to Help Strengthen BD Oversight
   e. 2008-09-23 Time - How Much is the SEC's Cox to Blame
   f. 2008-09-27 WaPo - Report Says SEC Failed in Oversight of Bear Stearns
   g. 2008-09-27 NYT - SEC Concedes Oversight Flaws Fueled Collapse
   h. 2008-10-03 NYT - Agency's 04 Rule Let Banks Pile Up New Debt
   i. 2008-10-06 ComplyNet - SEC Chairman Cox Announces End of CSE Program
   j. 2008-10-18 Reuters - SEC Head Calls for Transparency on CDS
   k. 2008-12 Compliance Week - SEC Puts Credit Swaps in Regulatory Sight
   l. 2009-03-31 Reuters - SEC's Head of Trading and Markets Leaving
   m. 2010-03-18 Wall Street Journal - SEC on Lehman Oversight - Not Good Enough
   n. 2010-04-20 DowJones - Ex-SEC Chairman Cox Says Agency Stretched Authority with Lehman

6. Selected SEC Press Releases
   a. 2008-03-14 Statement of SEC Division of Trading and Markets Regarding the Bear Stearns Companies
   b. 2008-03-18 Answers to Frequently Asked Investor Questions Regarding the Bear Stearns Companies, I
   d. 2008-09-26 Chairman Cox Announces End of Consolidated Supervised Entities Program
   e. 2009-03-31 Erik R. Sirri, Director of Division of Trading and Markets, to Leave SEC

7. Investigator General Report
   a. Investigator General Report SEC's Oversight of Bear Stearns and Related Entities - CSE Program

8. Case Study - Bear Stearns and the SEC
   a. 2009-08-10 Case Study - Bear Stearns and the SEC (M Halloran and E Hellmold)

9. SEC Basement Meeting
   a. 2004-04-28 SEC Open Meeting Agenda

3. III. Secretary Henry Paulson
   a. Secretary Paulson Biography
      a. Secretary Paulson Biography
   b. Transcripts or "Memoranda for the Record" (MFR)
      a. 2010-04-02 MFR for Secretary Paulson
   c. Significant Speeches and Testimony
      a. 2008-09-24 Paulson Testimony Before the House Financial
2. b. 2009-07-16 Paulson Testimony Before the House Oversight Committee
3. c. 2010-04-20 Paulson Testimony Before the House Financial Services Committee
d. 4. Document of Selected Quotes
   1. a. Key Quotes - Secretary Paulson

4. IV. Secretary Timothy Geithner
   a. 1. Secretary Geithner Biography
      1. a. Secretary Geithner Biography
   b. 2. Transcripts or "Memoranda for the Record" (MFR)
      1. a. 2009-11-17 Geithner Transcript from Closed Session
   c. 3. Significant Speeches and Testimony
      1. a. 2008-06-09 Timothy Geithner Remarks at the Economic Club of New York
      2. b. 2009-03-26 Geithner Testimony Before the House Financial Services Committee
      3. c. 2009-04-03 Geithner Testimony Before the Senate Banking Committee
      4. d. 2009-04-21 Geithner Testimony Before the Congressional Oversight Panel
      5. e. 2010-04-20 Geithner Testimony Before House Financial Services Committee
d. 4. Document of Selected Key Quotes
   1. a. Key Quotes - Secretary Geithner

5. V. Commercial Paper Market
   a. 1. Commercial Paper Witness Biographies
      1. a. Commercial Paper Market Witness Biographies
   b. 2. Transcripts or "Memoranda for the Record" (MFR)
      1. a. MFR with Michael Neal
      2. b. Mark Barber MFR 2010-04-01
      3. c. Paul McCulley 2010-04-23
      4. d. 2010-04-16 MFR Interview Steve Meier
      5. OLD. 2010-04-16 MFR Interview Steve Meier
c. 3. Documents of Selected Key Quotes
   1. a. Key Quotes - Commercial Paper Market Witnesses
d. 4. Relevant Articles
   2. b. 2008-10-10 CNN Money - GE Under Seige
   3. c. 2008-12-07 NYT - Darkness and Light at GE Capital

6. INDEX_H4_Background Briefing Book for Commissioners
   b. Hearing Briefing Binder
      1. I. Agendas, Timelines, Logistics, and Media Adv
         a. a. Agenda for Commission Briefing Session on 5-4-2010
         b. b. Agenda and Witness List FOR Hearing April 7-9_2010
         c. c. H4_logistics_Commissioners
d. d. Media Advisory 4.29.10 - Final

2. II. DAY ONE, WEDNESDAY, MAY 5, 2010
   a. 1. SESSION 1 - BEAR STEARNS
      1. a. PSR_-_Shadow_Banking_0504
      2. b) REDLINE Updated Bear Summary 5-2-2010 _2_
3. c. Bear Stearns - Summary of Financial Narrative
4. d. Bear Stearns Session 1 - Witness Bios
5. e-i. Sam Molinaro
6. e-ii. Paul Friedman
7. e-iii. Warren Spector FCIC Prepared Testimony
8. f) Bear Stearns Session 1 - Suggested Questions
9. OLD - Bear Stearns Session 1 - Suggested Questions
10. OLD - PSR_-_Shadow_Banking_0429
11. OLD Bear Stearns - Summary of Investigative Findings

b. 2. SESSION 2 - BEAR STEARNS CEO's
1. a. Bear Stearns Session 2 - Witness Bios
2. b-i. Alan Schwartz
3. b-ii. James Cayne -- final FCIC statement
4. c) Bear Stearns Session 2 - Suggested Questions
5. OLD - Bear Stearns Session 2 - Suggested Questions

c. 3. SESSION 3 - SEC and CSE
1. a. SEC Witness Biographies
2. b-i. Christopher Cox Testimony
3. b-ii. Chairman Donaldson Testimony
4. b-iii. Kotz, David - Testimony
5. b-iv. Erik Sirri Testimony
6. c) SEC-CSE Program Session 3 – Suggested Questions
7. OLD - SEC-CSE Program Session 3 – Suggested Questions

3. III. DAY TWO, THURSDAY, MAY 6, 2010
a. 1. SESSION 1 - Paulson
1. a. Secretary Paulson Biography
2. b) Paulson FCIC Testimony 5.6.10
3. c) Hank Paulson - suggested questions
4. OLD - Hank Paulson - suggested questions

b. 2. Session 2 - Geithner
1. a. Secretary Geithner Biography
2. b) Geithner FCIC Testimony 050610 FINAL
3. c) Tim Geithner - suggested questions
4. OLD - Tim Geithner - suggested questions

c. 3. Session 3 - Shadow Banking System Participants
1. a. Investigative Report for GE Capital
2. b. Financial Summary for GE Capital
3. c. Shadow Banking Participants - Witness Biographies
4. d-i. Steven R. Meier Testimony
5. d-ii. Neal Testimony
6. d-iii. Mark Barber Testimony
7. d-iv. McCulley_Written_Statement
8. d-iv. OLD McCulley_Written_Statement
9. e) Institutions Participating in Shadow Banking Session 3 – Suggested Questions
10. OLD - Institutions Participating in Shadow Banking Session 3 – Suggested Questions

4. IV. KEY DOCUMENT APPENDIX
a. 1) REDLINE Updated Bear Stearns Preliminary Investigation Report -
5-2-2010
b. 2. Bear Stearns - Full Financial Narrative
c. 3) Financial Narrative for GE Capital
d. 4) Lehman Summary of Investigative Findings
e. 5. Lehman - Summary of Financial Narrative
f. 6) Lehman Full Preliminary Investigative Report
g. 7. Lehman - Full Financial Narrative
h. OLD Bear Stearns Draft Investigative Report 04-28-10

5. OUTLINE - H4 Briefing book for Commissioners (4-27-2010)
c. Hearing follow-up
   1. Follow Up Letters
      a. letters to witnesses
         1. 2010-08-09 Letter to Chairman Christopher Cox (copy)
         2. 2010-08-09 Letter to Paul Friedman (copy)
         3. 2010-08-09 Letter to Samuel Molinaro (copy)
         4. 2010-08-09 Letter to Warren Spector (copy)
         5. 2010-08-10 Follow-up Letter to T Geithner (DOT) (copy)
         6. 8-9-2010 Letter to Henry M Paulson
   2. Response Letters From Witnesses
      a. 2010-08-27 Geithner response to FCIC
      b. 2010-09-10 Follow-Up Response from Mr. Friedman
      c. 2010-09-10 Follow-Up Response from Mr. Molinaro
      d. 2010-09-10 Follow-Up Response from Mr. Spector
      e. 2010-09-12 Follow-Up Response of Chairman Cox
      f. 2010-09-14 Paulson Response to Hearing Follow-Up
   3. H4 Commissioner Follow-up Questions (original)
   4. H4 Commissioner Follow-up Questions Grid
   5. takeaways_from_shadow_banking_research_and_investigative_plan_7_22
d. Hearing transcripts
   1. 2010-0505- Hearing 4, Day 1 - Transcript
   2. 2010-0506- Hearing 4, Day 2 - Transcript
e. MFRs Transcripts Interview Notes
   1. 2010-02-23 Fidelity Investments
   2. 2010-02-23 Interview with Merrill Lynch MFR
   3. 2010-02-24 SEC Scheidt and Plaze
   4. 2010-03-01 US Chamber re commercial paper
   5. 2010-03-03 FRB Hewko and Merriett re accounting and capital
   6. 2010-03-04 Call with JPMorgan
   7. 2010-03-04 Memo re Interview with Fidelity Investments
   8. 2010-03-05 ICI MFR
   9. 2010-03-11 Call with BNYM re Repo
10. 2010-03-11 Memo re mtg with David Kotz and Pete Kyle
11. 2010-03-15 FDIC Interview
12. 2010-03-15 MFR for State Street re Repo and CP
13. 2010-03-16 Background interview with FRBNY Lubke
14. 2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman
15. 2010-03-20 MfR Warren Spector
16. 2010-03-24 MFR Mtg with Bankruptcy Examiner
17. 2010-03-24 MFR of interview with B De Leon (PIMCO)
18. 2010-03-25 Call with Reserve Primary Fund
19. 2010-03-25 Interview notes Kevin Coffey (FRBNY)
20. 2010-03-26 Interview notes for Jean-Marie Davis and Brian Peters (FRBNY)
21. 2010-03-29 Memo for Call with KBass of Hayman Capital
22. 2010-04-01 MFR for Mark Barber (GE Capital)
23. 2010-04-01 MFR of Interview with Annette Nazareth
24. 2010-04-06 Memo for Interview with ISDA
25. 2010-04-06 MFR of Interview at SEC
26. 2010-04-08 MFR re Interview with Michael Alix (Bear Stearns)
27. 2010-04-08 Transcript of Interview with Harvey Goldschmid
28. 2010-04-09 MFR of Interview with Ernst and Young
29. 2010-04-09 MFR of Interview with Sam Molinaro
30. 2010-04-13 MFR of Interview with Robert Upton
31. 2010-04-16 K Dubas MFR with Bart McDade (Lehman)
32. 2010-04-19 K Dubas MFR of Interview with Tom Marano (Bear)
33. 2010-04-20 Memo re Interview with Theo Lubke (FRBNY)
34. 2010-04-21 MFR of Jimmy Cayne (Bear Stearns)
35. 2010-04-22 MFR of Interview with Helen Muccio (FRBNY)
36. 2010-04-22 MFR of Interview with Steve Meyer (Bear)
37. 2010-04-23 MFR for Paul McCulley (PIMCO)
38. 2010-04-30 K Dubas memo re phone call with Kyle Bass

f. Misc

g. Short List Documents
   1. 2005-03-16 FRBNY Operations Review - Draft Closeout report re Large Complex Banking Supervision
   2. 2005-12-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
   3. 2006-01-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
   4. 2006-01-24 SEC Investigation of Bear Stearns
   5. 2006-02-01 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
   6. 2006-03-08 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
   7. 2006-04-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
   8. 2006-05-08 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
  10. 2006-06-26 Hedge Fund Derivative-Linked Products- Current Market Practice, Risk Management & Capital
  11. 2006-07-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
  12. 2006-08-02 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
  13. 2006-09-07 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
  14. 2006-10-03 Residential and Commercial Mortgage Securitization- Current Market
Practice, Risk Management
15. 2006-10-06 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
17. 2006-12-05 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
18. 2007-01-04 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
19. 2007-01-23 Email from Jay Remis re Bear Res December 2006 Business Update
20. 2007-01-24 Email from Sandra Hong re Redemption Report for February 1, 2007
21. 2007-02-02 Internal SEC Memorandum- Risk Management Reviews of Consolidated Supervised Entities
22. 2007-02-13 Email from Warren Spector re US Investment Banks- Examining the sector risk from sub-prime
23. 2007-02-13 Securities Broker Dealer- Little Exposure to subprime implosion
24. 2007-02-28 Email from Jay Remis re Bear Res January 2007 Business Update
25. 2007-03-01 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
26. 2007-03-19 Email from George Zahringer re Bear Stearns Sub-prime-Prime Mortgage Watch
27. 2007-03-22 Minutes of Regular Meeting of the Board of Directors
28. 2007-03-29 Jeff Mayer and Thomas Marano, Fixed Income Overview
29. 2007-03-30 Internal SEC Memo- Risk Management Reviews of Consolidated Supervised Entities
30. 2007-04-18 The Bear Stearns Companies Inc. Minutes of Regular Meeting of Board of Directors
32. 2007-05 Funding and Liquidity Package
33. 2007-05-30 SEC Bear Stearns Packet
34. 2007-06-12 Email from Tom Marano to Warren Spector
35. 2007-06-14 Memo from Bob Upton & John Stacconi to Sam Molinaro re Liquidity Pool- Theory and Sizing
36. 2007-06-16 Email from Paul Friedman re Old Lane
37. 2007-06-17 Email from Tom Marano to Warren Spector
38. 2007-06-21 Internal email from Bill Jamison of Federated
39. 2007-06-24 Email from Tom Marano to Warren Spector
40. 2007-06-27 Federated Internal Memo re Counterparty Risk Committee
41. 2007-07-06 Email from Jeff Chan to Alan Greenberg, et al. re BSSC Weekly Net Capital Report
42. 2007-07-12 Email from Tom Marano to Andrew Donnellan, Ralph Cioffi, Raymond McGarrigal
43. 2007-08-03 Private Equity and Principal Investing- Current Market Practice, Risk Management & Capita
44. 2007-08-14 Paul Friedman Email to group re Thornburg
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2008-02-28 Steel email re GSE Losses Overshadowed by Removal of Portfolio Cap
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4. Lehman Outline 13April2010
5. Market Exposure Survey
6. RIP - Shadow Banking - Hearing 4
7. Shadow Banking PSR Outline
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   b. 2010-01-22 Doc Retention Notice Citigroup MHelfer (copy)
   c. 2010-01-22 FNMA Doc Request, G Cohen to Timothy Mayopoulos (copy)
   d. 2010-01-25 Citi Second Doc Request (B Bondi to Susanna Buergel) (copy)
   e. 2010-02-02 C Seefer Ltr to FHFA (A Pollard) (copy)
   f. 2010-02-02 G Cohen Ltr to DOT (G Madison) (copy)
   g. 2010-02-02 G Cohen Ltr to FRBNY (S Leventhal) (copy)
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   i. 2010-02-03 G Cohen Ltr to SEC (M Cahn) (copy)
   j. 2010-02-08 G Cohen Ltr to OCC (J Williams) (copy)
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   l. 2010-02-12 B Bondi Ltr to Citi (S Buergel) re second supplemental request (copy)
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2010-02-24 G Cohen ltr to FHLMC (R Kelner) re Doc Request (copy)
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         d. 4. Relevant Articles
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         e. 5. Greenspan Testimony before House Oversight Committee_2008-10-23
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   a. Transcript of Interview with Patricia Lindsay 2010-03-24
   b. Transcript of Interview with Dick Bowen 2010-02-27
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   d. MFR of Interview with Murray Barnes 2010-03-02
   e. MFR of Interview with Nestor Dominguez 2010-03-02
   f. Transcript of Interview with Tom Maheras (Condensed format) 2010-03-10
   g. Transcript of Interview with Charles Prince (condensed version) 2010-03-17
   h. Transcript of Interview with Robert Rubin (condensed format) 2010-03-11

c. Documents of Selected Key Quotes
   a. Key Quotes - Charles Prince
   b. Key Quotes - Robert Rubin

d. Relevant Articles
   b. The Reckoning - Citigroup Saw No Red Flags Even as It Made Bolder Bets E. Dash 2008-11-22

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      2. Hawke Testimony April 1 2004
      3. Dugan Testimony September 5 2007
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      6. Statement on Subprime Mortgage Lending
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      1. Does this Bank Watchdog -OCC- Have Bite A. Martin NYTimes 2010-03-26

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      2. 2010-03-17 Robert Levin MFR
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      4. 2010-03-26 Dan Mudd MFR
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   c. Document of selected key quotes
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2. b. Fannie Mae's Last Stand_B. McLean_Vanity Fair_2009-02
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   3. c. 2006-06-06 Lockart Testimony before House Financial Services Committee
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5. V. KEY DOCUMENT APPENDIX
   a. 1) Citigroup Preliminary Investigative Report - 4-01-10
   b. 2) Citigroup Financial Narrative - 4-02-2010
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g. 2010-06-09_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing

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b. 2010-06-17_J Hawke Response to 4-8-10 Hearing Follow-up Questions

c. 2010-06-17_R Levin Response to 4-9-10 Hearing Follow-up Questions

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f. 2010-06-23_Citi Response to April 7-9, 2010 Hearing Follow-up Questions

g. 2010-07-08 Dugan Testimony Follow-Up

h. 2010-07-19 S Mills & D Bushnell H23 Follow-Up

i. 2010-07-30 J Lockhart ltr to FCIC re Hearing Follow up Responses (copy)

j. 2010-11-01 Citigroup Response to H2_3 Follow-Up

3. 2010-09-15 Hearing 2-3 Follow-up Memo

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6. takeaways from housing research and investigative plan (8-25)

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2. 2010-04-08 HEARING 2 3 TRANSCRIPT DAY TWO_FINAL

3. 2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]_FINAL

e. Misc

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f. Short List Documents

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2. 1999-03-01 Interagency Guidelines on Subprime Lending

3. 2000-08-31 memo fr Dolores Smith and Glenn Loney to Gov Edward Gramlich, re Compliance Inspections

4. 2000-11-15 Memo re Citigroup's Acquisition of Associates

5. 2000-12-05 FRB Memo


7. 2002-09-26 memo fr Richard Spillenkothen to BOG re Board Briefing on September 30

8. 2002-09-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed

9. 2002-09-30 BOG Meeting Minutes re Citigroup and Enron

10. 2003-02-21 Letter from David Hammker re Guidelines for National Banks to Guard Against Predatory Len

11. 2003-02-21 Memo from David Hammaker re Avoiding Predatory and Abusive Lending Practices in Brokered

12. 2003-07-28 Formal Agreement with OCC

13. 2003-07-31 Statement of John Hawke re National City Preemption Determinaiton and Order
14. 2004-03-29 OCC Findings on Fixed Income Derivatives Examination
15. 2004-09-23 Transmittal to Citibank of OCC Findings on Derivatives Examination
16. 2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
17. 2005-01-13 OCC Findings on Risk Management Examination
18. 2005-01-31 OCC Transmittal of Risk Management Findings
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22. 2005-06-27 Strategic Crossroads presentation (copy)
23. 2005-08-17 Minutes of August 18, 2005 meeting between NYFed examiners and Citigroup (copy)
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25. 2006-03-06 Citibank Response to December 22, 2005 Transmittal
26. 2006-03-21 Email fr. Leonard Zawistowski to John McCormick re Mortgage Fraud discussion with FBI
27. 2006-05-23 Consent Agreement (copy)
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29. 2006-05-08 Testimony of James Lockhart re FDIC, SEC, FRB and Housing Nominations
31. 2007 FHFA Report of Examination on Fannie Mae (copy)
32. 2007-04-05 OCC Notice of Satisfaction of Safety and Soundness Notice
33. 2007-04-16 Corporate Library Analyst Reports re Citigroup (copy)
34. 2007-06-28 Notice in Federal Register re Interagency Statement on Subprime Mortgage Lending
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37. 2007-11-19 Minutes of Meeting of Regulators with CRO Bushnell, GC Helfer, and COB Rubin
38. 2007-12-21 American Banker Article- Setting an OFHEO Plan, But Wishing Otherwise
39. 2008-01-17 Conclusion Memorandum on CDO Valuation
40. 2008-02-07 Testimony of James Lockhart before Senate Banking re GSE Overhaul
41. 2008-02-14 Letter to Pandit Regarding Serious Problems at Citibank
42. 2008-02-27 Lockhart Statement (copy)
43. 2008-03-03 Nelson email re GSEs' credit risk (copy)
44. 2008-03-06 Farrell eml to Steel (copy)
45. 2008-03-08 Thomas eml to Steel (copy)
46. 2008-03-10 Barrons - The Next Gov Bailout (copy)
47. 2008-03-16 Norton eml to Steel Nason Ryan Kashkari (copy)
48. 2008-03-17 Lockhart eml to Mudd and Steel (copy)
49. 2008-03-17 Mudd eml to Lockhart (copy)
50. 2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity (copy)
51. 2008-03-24 Citibank Letter to OCC Disputing OCC Findings
54. 2008-06-17 FINRA Report on the Examination of CGMI (copy)
55. 2008-08-22 Dickerson ltr to Mudd (copy)
56. 2008-09-01 Dickerson ltr to Mudd (copy)
57. 2008-09-04 Dickerson ltr to Mudd - Mid-year letter (copy)
58. 2008-09-06 FHFA Fannie Mae Conservatorship Memo (copy)
59. 2008-09-08 OCC - Observations - Allowance Process and Methodology (copy)
60. 2009-12 Operations Review Close Out Report
61. 2010-01-22 K Dubas Memo re Bondi Call with M Macchioroli (SEC) (copy)
62. 2010-02-27 Team 2 Interview with Dick Bowen
63. 2010-03-23 FCIC Interview with Scott Alvarez and Kiernan Fallon (copy)
64. BOD Minutes (2007-10-15) (copy)
65. C Prince to R Rubin re Maheras and Super Seniors (2007-09-09) (copy)
66. Citi memo re Valuation of Liquidity Puts (2006-10-19) (copy)
67. Citigroup PowerPoint on U.S. CDOs (copy)
68. Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15) (copy)
70. D Bowen to R Rubin and D Bushnell re concerns (2007-11-03) (copy)
71. December 15, 2005 OCC Findings on North American Global Structured Credit Derivatives Examination
72. December 22, 2005 OCC Transmittal to Citibank of Derivatives Examination Findings
74. GSM Mortgage Finance Warehouse Lines of Credit - Response to I-39 (copy)
75. Hearing 2 Short List
76. Hearing 2 Short List
77. Letter from Sandra F. Braunstein, to Steven H. Rosenbaum, Countrywide Referral Letter, Mar. 5, 2007
78. Liquidity Put Discussion (copy)
79. Memo to the Committee on Consumer and Community Affairs, Apr. 8, 1998
80. November 19 2007 minutes of meeting of regulators with Chief Risk Officer Bushnell, General Counsel
81. Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06) (copy)
82. Purchases, Sales, Securitizations, 3d Party UW (2005-2009) (copy)

3. Hearing Invitations
   a. 2010-03-10 Invitation Ltr - Greenspan (copy)
   b. 2010-03-11 Hearing Invite to D Mudd (copy)
   c. 2010-03-11 Hearing Invite to J Lockhart (copy)
   d. 2010-03-16 FCIC Hearing Invitation to John C. Dugan (copy)
   e. 2010-03-16 FCIC Hearing Invitation to John D. Hawke (copy)
   f. 2010-03-22 Invitation Ltr to Charles Prince (copy)
   g. 2010-03-22 Invitation Ltr to David Bushnell (copy)
   h. 2010-03-22 Invitation Ltr to Murray Barnes (copy)
   i. 2010-03-22 Invitation Ltr to Nestor Dominguez (copy)
   j. 2010-03-22 Invitation Ltr to Patricia Lindsay (copy)
   k. 2010-03-22 Invitation Ltr to Richard Bitner (copy)
4. Memorandum
   a. Copy of NCRC attachment to memo 10 7 2010
   b. CRA staff report 10 7 2010 & Wells Exhibit 1
   c. housing_results_20100707
   d. housing_results_pinto_20100809
   e. Pinto response to FCIC housing data 8 14 10

5. OCC Materials
   a. OCC Witness Biographies
      a. Hawke Remarks October 7 2002
      b. OCC Selected Speeches and Testimony
         a. Hawke Testimony April 1 2004
         b. Dugan Testimony September 5 2007
         c. Dugan Remarks November 19 2008
      c. Dugan Remarks February 2, 2010
      d. Statement on Subprime Mortgage Lending

6. Hearing Plan - Subprime Housing, Securitization, and GSEs - Hearing 2,3

7. Invitation to Chairman Greenspan

8. RIP - Subprime Lending, Securitization, and GSEs - Hearing 2,3

H. Too Big to Fail
   1. Hearing 6
      a. Documents
         1. Chairman's Documents
            a. Lehman
               1. 07_11_08 Memo FCIC-155482-155491 (copy)
               2. 3_09_09 Memo to File fr. Scott G. Alvarez FCIC-133533-133548 (copy)
               3. 6_16_08 email FCIC-155446 (copy)
               4. 6_20_08 email, FCIC-155450-51 (copy)
               5. 6_25_08 Primary Dealer Monitoring- Liquidity Stress Analysis FCIC-155470-474 (copy)
               6. 7_10_08 email UST-FCIC 0029097 (copy)
               7. 7_11_08 email UST-FCIC 0029096 (copy)
               8. 7_12-13_08 email FCIC-155510-12 (copy)
               9. 9_05_08 Email FCIC-123348-123350 (copy)
              10. 9_10_08 email UST-FCIC 0029201 (copy)
              11. 9_11_08 Email FCIC-154783 (copy)
              12. 9_11_08 Email and gameplan FCIC-154768-773 (copy)
              13. 9_11_08 Email FCIC-154785 (copy)
              14. 9_11_08 Email FCIC-154787-789 (copy)
              15. 9_11_08 email UST-FCIC 0029510 (copy)
              16. 9_12_08 Email and Financial Times article UST-FCIC 0029440-441 (copy)
              17. 9_12_08 email FCIC-154863 (copy)
b. Wachovia

1. 11_17_08 Letter WF-WACH-003797-3826 (copy)
2. 2006 OCC ROE Wachovia (copy)
3. 2006 RAP FCIC-134446 – FCIC134579 (copy)
5. 2007 ROE FCIC 134717-725 (copy)
6. 2009 Wachovia RAP-Supervisory Plan (copy)
7. 7_12_07 RAP FCIC-134586-FCIC 134716 (copy)
8. 8_04_08 OCC letter and exam report OCC15-00300870-903 (copy)
9. 8_19_08 MOU OCC15-00300853-869 (copy)
10. 9_28_08 Memo to the Board of Governors of the Federal Reserve System (copy)
11. 9_29_08 memo to the FDIC Board of Directors (copy)
12. 9-29-08 Meeting Minutes (copy)
13. 9-29-08 Transcript of Closed Meeting (copy)
14. 9-29-08 Wachovia Board Resolution (copy)
15. OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007 (copy)
16. Wachovia power point feldberg (copy)
198. LBHI_SEC07940_558653-558656
199. LBHI_SEC07940_612771-612797
200. LBHI_SEC07940_623492-623493
201. LBHI_SEC07940_651987
202. LBHI_SEC07940_653425-653426
203. LBHI_SEC07940_670045-670047
204. LBHI_SEC07940_741210-741211
205. LBHI_SEC07940_745595-745602
206. Lehman Suit against JPM
207. Letter to C. Seefer
208. Long List
209. Moodys-FCIC-038373
210. Notes of 9_14_08 conference call with Dinallo FCIC-AIG0021169-72
211. nyfrb_triparty_whitepaper
212. OCC 2005 Report of Examination of Wachovia
213. OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2006
214. OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2007
215. OCC Quarterly Risk Assessment Profiles of Wachovia 2nd Quarter 2008
216. PWP000001727
217. TBTF Hearing Document Final List
218. UST-FCIC 0029177
219. UST-FCIC 0029177 REDACTED
220. UST-FCIC 0029475
221. UST-FCIC 0029475 REDACTED
222. UST-FCIC 0029499
223. UST-FCIC 0029499 REDACTED
224. UST-FCIC 0029512 - UST-FCIC 0029514
225. UST-FCIC 0029516-517
226. UST-FCIC 0029516-517 REDACTED
227. UST-FCIC 0029680
228. UST-FCIC 0029680 REDACTED
229. UST-FCIC 0029725-30
230. UST-FCIC 0029725-30 REDACTED
231. UST-FCIC 0029964
232. UST-FCIC 0029964 REDACTED
233. UST-FCIC 0030001
234. UST-FCIC 0030001 REDACTED
235. Wachovia 2009 Supervisory Plan FCIC-136525 – FCIC 136621
236. Wachovia Package
237. Wachovia power point feldberg
238. WF-WACH-003782-3796
239. WF-WACH-004017-20
240. WF-WACH-016550
241. Zubrow FCIC Written Statement

b. Hearing Background Materials
   1. I. Background Reading
      a. 1. Too Big to Fail
       1. a. 2003-05-30 ICBA - Fed Lite and the Industrial Loan Company
Loophole

2. b. 2009-05-30 WP - Congress’s Afterthought, Wall Street’s Trillion Dollars
3. c. 2009-08-28 WP - Banks 'Too Big to Fail' Have Grown Even Bigger

3. Lehman
1. a. 2008-05-18 Bloomberg - Is Lehman Liquid Enough
2. b. 2008-09-13 Bloomberg - Paulson, Bernanke Brave ‘Raptors,’ Resist Lehman Aid
3. c. 2008-10-06 WSJ - The Two Faces of Lehman's Fall
4. d. 2008-12-08 WSJ - A Money-Fund Manager's Fateful Shift
5. e. 2008-12-14 NYTimes - The Man Who Is Unwinding Lehman Brothers
6. f. 2009-09-17 WSJ - The Specter of Lehman Shadows Trade Partners
7. g. 2009-10-21 Bloomberg - Lehman Hedge Fund Clients to Get $3.3 Billion Payout

3. Wachovia
1. a. 2008-10-05 NYTimes - Citigroup Says Judge's Order Suspends Wachovia Deal
2. b. 2008-10-06 Crowell & Moring - Tax Notice Drives Wachovia Takeover Turmoil
3. c. 2008-10-19 ABA - What It Means to Banks Acquiring Other Banks
4. d. 2008-10-30 Bloomberg - Schumer Challenges IRS Rule Sparking Bank Merger
5. e. 2009-05-15 NYTimes A Bank is Survived by Its Loans (copy)
6. f. 2009-10-13 AB - A Fall to Remember An Inside Look at Wachovia's Last Days

2. II. Day 1, Panel 1 - Wachovia
a. 1. Witness Bios - Wachovia
   a. a. Witness Bios - Wachovia
b. 2. Transcripts or MFRs of Interviews
   a. a. 2010-08-18 MFR of Interview with Robert Steel
   b. b. 2010-07-16 MFR of Interview with John Corston
   c. c. 2010-03-23 MFR of Interview with Scott Alvarez
   d. d. 2010-03-23 Transcript of Interview with Scott Alvarez
   e. e. 2010-07-29 MFR of Interview with Scott Alvarez

c. 3. Documents of Selected Key Quotes
   a. a. Key Quotes - Robert Steel
d. 4. Significant Past Testimony
   a. a. 2008-04-03 Robert Steel Testimony Before the Senate Banking Committee

3. III. Day 1, Panel 2 - Lehman
a. 1. Witness Bios
   a. a. Witness Bios - Lehman
b. 2. Transcripts or MFRs of Pre-Interviews
   a. a. 2010-04-28 MFR of Interview with Dick Fuld
   b. b. 2010-08-24 MFR of Interview with Dick Fuld
   c. c. 2010-04-30 MFR of Interview with Tom Baxter
   d. d. 2010-08-11 Transcript of Interview with Tom Baxter
   e. e. 2010-08-05 MFR of Interview with Harvey Miller
   f. f. 2010-08-02 MFR of Interview with Barry Zubrow
7. 2010-08-19 MFR of Interview with Barry Zubrow  
   c. 3. Document of Selected Key Quotes  
      1. a. Key Quotes - Dick Fuld  
      2. b. Key Quotes - Tom Baxter  
      3. c. Key Quotes - Harvey Miller  

d. 4. Significant Past Testimony  
   1. a. 2008-10-06 Dick Fuld Testimony Before the House Oversight and Government Reform Committee  
   2. b. 2009-10-22 Harvey Miller Testimony Before the House Judiciary Subcommittee  
   3. c. 2010-04-20 Dick Fuld Testimony Before the House Financial Services Committee  
   4. d. 2010-05-26 Tom Baxter Statement to COP  

4. IV. Day 2, Panel 1 - Chairman Sheila Bair  
   a. 1. Witness Bios  
      1. a. Witness Bios - Chairman Bair  
   b. 2. Transcripts or MFRs of Pre-Interviews  
      1. a. 2010-03-29 MFR of Interview with Chairman Bair  
      2. b. 2010-08-18 MFR of Interview with Chairman Bair  
   c. 3. Documents of Selected Key Quotes  
      1. a. Key Quotes - Chairman Bair  
   d. 4. Significant Past Testimony  
      1. a. 2009-05-06 Chairman Bair Testimony Before Senate Banking Committee  

5. V. Day 2, Panel 2 - Chairman Ben Bernanke  
   a. 1. Witness Bio  
      1. a. Witness Bio - Chairman Bernanke  
   b. 2. Transcripts or MFRs of Pre-Interviews  
      1. a. 2010-11-17 Summary of Closed Session with Chairman Bernanke  
      2. b. 2009-11-17 Transcript of Closed Session with Chairman Bernanke  
   c. 3. Documents of Selected Key Quotes  
      1. a. Key Quotes - Chairman Bernanke  
   d. 4. Significant Past Testimony  
      1. a. 2008-09-23 Chairman Bernanke Testimony Before the Senate Banking Committee  
      2. b. 2010-04-20 Chairman Bernanke Testimony Before the House Financial Services Committee  

6. H6 Background Binder Index  
   c. Hearing Briefing Binder  
      1. I. Agendas, Logistics, and Media Advisory  
         a. a. Agenda and Timeline for Briefing Session and Hearing on TBTF and Retreat Meetings  
   2. II. DAY ONE, WEDNESDAY, SEPTEMBER 1, 2010  
      a. SESSION 1 - Wachovia  
         1. 1. Draft of PSR on TBTF 8 26 10  
         2. 2. Summary of Preliminary Investigative Report on Wachovia  
         3. 3. Session 1 - Witness Bios  
         4. 4a. Robert Steel Testimony  
         5. 4b. John Corston Testimony  
         6. 4c. Scott Alvarez Testimony  
         7. 5. Session 1 - Wachovia Suggested TBTF Hearing Questions
3. III. DAY TWO, THURSDAY, SEPTEMBER 2, 2010
   a. SESSION 1 - Bernanke
      1. 2. Session 1 - Witness Bio
      2. 3. Session 1 - Bernanke. Suggested TBTF Hearing Questions
         3. Bernanke FCIC testimony embargoed until 9am 9-2-10 (2)
   b. SESSION 2 - Chairman Bair
      1. 1. Session 2 - Witness Bio
      2. 2b. Chairman Bair Testimony
      3. 3. Session 2 - Bair. Suggested TBTF Hearing Questions

4. IV. SUPPLEMENTAL INVESTIGATIVE DOCUMENTS

5. OUTLINE - H6 Briefing book for Commissioners
   d. Hearing Follow-Up
      1. Follow-Up Letters
         a. 2010-10-01 Follow-Up Letter to Alvarez
         b. 2010-10-01 Follow-Up Letter to Baxter
         c. 2010-10-01 Follow-Up Letter to Chairman Bair
         d. 2010-10-01 Follow-Up Letter to Chairman Bernanke
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         f. 2010-10-01 Follow-Up Letter to Miller
      2. Follow-Up Responses
         a. 2010-09-28 Harvey Miller Follow-Up Response
         b. 2010-10-14 Fuld Follow-Up Response
         c. 2010-10-15 Alvarez Follow-Up Response
         d. 2010-10-15 Baxter Follow-Up Response
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         f. 2010-11-14 Bernanke Follow-up response
      3. H6 Commissioner Follow-up Questions Grid
      4. H6 Commissioners Follow-Up Questions
         e. Hearing Transcripts
            1. FCIC Derivatives_hearing_day_one_transcript_FINAL
            2. FCIC Derivatives_hearing_day_two_transcript_FINAL
         f. MFRs Transcripts Hearing Notes
         g. Misc

I. ToplRs
IV. Data projects
   A. View chartbooks
   B. View CMLTI
   C. View Hedge Fund Survey
   D. View Market Risk Survey

V. FINAL RPT VERSIONS
   A. Old Versions
      1. 2010-12-15 - Full FCIC Report. Original
      2. 2010-12-19 - 2nd.Full FCIC Report - Redlined - REVISED - to Commission
      3. 2010-12-19 - Full FCIC Report - Redlined - REVISED - to Commission
      4. 2010-12-20 _1st_ - Full FCIC Report -REDLINED to COM. REVISED
      5. 2010-12-20 _2nd_ - Full FCIC Report -REDLINED to COM. REVISED
      6. 2010-12-21 _1st_ - Full FCIC Report -REDLINED to COM. REVISED
      7. 2010-12-22 _1st_ - Full FCIC Report -REDLINED to COM. REVISED
      8. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Angelides -1
      9. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Born -1
     10. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Georgiou -1
     11. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Graham -1
     14. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Murren -1
     15. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thomas -1
     16. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thompson -1
     17. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Wallison -1
     18. Wallison Dissent

   B. 2010-12-23 Full FCIC Report - FINAL for PRELIMINARY VOTE on 12-23-10 _CLEAN_
   C. 2010-12-23 Full FCIC Report - FINAL for PRELIMINARY VOTE on 12-23-10 _REDLINE_
   D. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION - B. Born
E. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - B. Geor
F. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - B. Grah
G. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - P. Ange
H. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - Hennes
I. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - Holtz-
J. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - Murren
K. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - Thomas
L. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - Thomps
M. 2011-01-06 Full FCIC Report -FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION
   - Wallis

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A. Directories
   1. FCIC Master Contact List
   2. FCIC_Roster_for Commissioners
B. Minutes
   1. Approved Minutes 09-08-19
   2. Approved Minutes 09-09-16
   3. Approved Minutes 09-10-20
   4. Approved Minutes 09-11-17
   5. Approved Minutes 09-12-14
   6. Approved Minutes 10-1-20
   7. Approved Minutes 10-2-17
   8. Approved Minutes 10-3-16
   9. Approved Minutes 10-4-20
   10. Approved Minutes 10-5-18
   11. Approved Minutes 10-6-15
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   1. Considerations with Respect of Commission Documents- Sent to Commissioners
   2. Document Production Tracker- As of 6-17-10
   3. Ethics Guidelines As Approved at the January 20, 2010 meeting of the Commissioners
   4. FCIC Records Management Policy
   5. Financial Crisis Inquiry Commission Rules of Procedure as of 11-17-2010
   6. Financial Crisis Inquiry Commission Rules of Procedure as of 11-4-2010
   7. Financial Crisis Inquiry Commission Rules of Procedure as of 4-20-2010
   8. Further Considerations with Respect of Commission Documents
   9. NetDocuments Directions for Commissioners
   10. Policy for the Solicitation and Acceptance of Gifts of Services or Property As Approved at the
       January
   11. Proposal re Editing of Additional or Dissenting Views (12-13-2010)
   12. Protocol for Media and Speaking Invitations As Approved at the December 14 2009 meeting of
       the Commi
13. RESOLUTION_ Process For Issuance, Execution and Enforcement of Subpoenas - 4-20-2010

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1. Future_FCIC_Meetings_Hearings_as of 2-23-2010
2. Future_FCIC_Meetings_Hearings_as of 6-14-2010
3. Future_FCIC_Meetings_Hearings_as of 8-10-2010

E. Revised Procedures for Additional or Dissenting Views

VII. Confidential Referrals
A. Confidential Originals as Given to Commissioners
   1. Addendum to Referral Memorandum Supplement
   2. Confidential Referral Memorandum Supplement
   3. FCIC_ Referral Memo to Commissioners
   4. FNMA Disclosure Memo

B. Confidential Redlines
   1. 2010-11-09 Redline Exhibit A- Fannie Mae
   2. 2010-11-09 Redline Exhibit B- Citi
   3. 2010-11-09 Redline Exhibit C- Moodys and UBS
   4. 2010-11-09 Redline Exhibit D- AIG
   5. 2010-11-09 Redline Exhibit E- Goldman Abacus
   6. 2010-11-09 Redline Exhibit G- Merrill
   7. 2010-11-09 Redline Exhibit H- Fannie Mae
   8. 2010-11-10 Redline Exhibit F- Clayton

C. Confidential To DOJ
   1. EXHIBIT A - Fannie Mae
   2. EXHIBIT B - Citigroup
   3. Exhibit C - Moody's & UBS Final
   4. Exhibit D- AIG Referral
   5. EXHIBIT E - Goldman Abacus
   6. EXHIBIT F- Clayton
   7. Exhibit G – Merrill
   8. Exhibit H- Fannie Mae

VIII. Field Hearings
A. Bakersfield - September 7, 2010
   1. I. Agenda, Logistics and Media Advisory
      a. 1. Bakersfield Hearing_Agenda_Commissioners_9 7 10
      b. 2. Bakersfield Hearing_Logistics
      c. 3. Bakersfield Hearing_Media Advisory
   2. II. Background Materials
      a. 1. Bakersfield Regional Chartbook
      b. 2. 2009-10-16_CNNMoney_FDIC Announces 99th Bank Failure
      c. 3. 2010-03-16_Bak Cal_Report Bakersfield Fifth Worst Residential Real Estate Market in Country
      d. 4. 2010-04-15_Bak Cal_Panelists Commerical Real Estate Market, Soft but getting better
      e. 5. Article_Bak Cal_Community Banking
   3. III. Session 1_Welcome
      a. 1. Bios_Session 1
   4. IV. Session 2_Local Banking
      a. 1. Bios_Session 2
      b. 2. Cattani_Testimony
      c. 3. Renock_Testimony
V. Session 3_Residential and Community Real Estate
a. 1. Bios_Session 3
b. 2. Bynum_Testimony
c. 3. Peterson_Testimony
d. 4. Suggested Questions_Session 3

VI. Session 4_Local Housing Market
a. 1. Bios_Session 4
b. 2. Crabtree_Testimony
c. 4. Crabtree_Testimony_Crabtree Report_July 2010
d. 5. Crabtree_Testimony_Market Conditions_April 2010
e. 6. Crabtree_Testimony_Market Conditions_August 2010
f. 7. Plank_Testimony
g. 8. Suggested Questions_Session 4

VII. Session 5_Foreclosures and Loan Modifications
a. 1. Bios_Session 5
b. 2. Amble_Testimony
c. 3. McCarty_Testimony
d. 4. McDermott_Testimony
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f. 6. Suggested Questions_Session 5

VIII. Forum for Public Comment

B. Las Vegas - September 8, 2010

1. I. Agenda, Media Advisory, Logistics
   a. 1. Las Vegas Hearing Outline 090310
   b. 2. FCIC hearing Las Vegas 9.8.10
   c. 3. Las Vegas_Logistics

2. II. Session 1_Economic Impact - Nevada
   a. 1. FCIC Las Vegas Regional Chartbook _rxb
   b. 2. LV Hearing Session 1 Bios
   c. 3a. Aguero _ Questions and Background (2)
   d. 3a. Aguero Testimony

3. III. Session 2_Impact on Businesses - Nevada
   a. 1. Revised LV Session 2 Bios
   b. 2a. Hill Testimony
c. 2a-i. Hill – Employment Statistics
d. 2b. Hill _ Questions and Background
e. 3a. Martin_ Questions and Background
f. 3b. Martin - Testimony
g. 4a. Satre_ Questions and Background
h. 4b. Satre Testimony
i. 4bi. Satre- Exhibit 1
j. 4bii. Satre- Exhibit 2
k. 4biii. Satre- Exhibit 3
I. 4biv. Satre - Exhibit 4
m. 5b. Wally Murray testimony

4. IV. Session 3_Real Estate - Nevada
   a. 1) LV Session 3 Bios
   b. 2b. Bogden_ Questions and Background
   c. 2b. USA Bogden statement for 9-8-10 FCIC hearing FINAL
   d. 2b-i. SUMMARY OF SIGNIFICANT CASES
   e. 2b-ii. Bogden. MORTGAGE FRAUD PRESS
   f. 3a. Gordon_ Questions and Background (2)
g. 3b. Gordon Testimony
   h. 4a. Burks. Questions and Background for Witness at Las Vegas Hearing
   i. 4b. Gail_Burks Testimony
   j. 5a. Jeffries. Questions and Background for Witnesses at Las Vegas Hearing
   k. 5b. Jay Jeffries Testimony FCIC
   l. 6a. Gail Burks CNNMoney Article
   m. 6b. Gail Burks Statement, COP 12.16.2008
   n. 6c. Fremont investigative report draft 9 05

5. V. Session 4_Public and Community Services - Nevad
   a. 1) LV Session 4 Bios
   b. 2a. Clinger _ Questions and Background (2)
c. 2b. Clinger Testimony
d. 3a. Fontaine _ Questions and Background (2)
e. 3b. Fontaine Testimony
   f. 4a. Morrison _ Questions and Background (2)
g. 4b. Morrison - Testimony - Las Vegas
   h. 5a. Fraser _ Questions and Background (2)
i. 5b. Fraser FCIC Testimony-Presentation 09 08 10

6. Las Vegas Field Hearing Transcript - FINAL
7. OUTLINE - LV Hearing Briefing Book

C. Miami, Florida -September 21, 2010
   1. Additional Testimony-Follow-Up
      a. FCICHearingTestimony_Oral_AFulmer_092810
      b. FCICHearingTestimony_Written_AFulmer_092810_REVISED_FNL
      c. Pontell response to question from Miami hearing
   2. I. Agenda, Logistics and Media Advisory
      a. 1. Miami Hearing Agenda 09172010
      b. 2. Miami_Logistics2
      c. 3. Media Advisory. Miami 9 16 10
      d. 4. Bio Alex Acosta. Welcoming Remarks
   3. II. Background Materials
      a. 1. FCIC Miami Regional Chartbook _ rxb
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   4. III. Session 1- Overview of the Sacramento Housing
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FCIC Staff Documents

NAID: 24823584
Extent: 59,355 data files

This series contains incoming and outgoing correspondence; some smaller-volume document productions from agencies and businesses; administrative files regarding the external document management system, Epiq; witness lists; records supporting hearings; chronologies of the financial crisis; risk model data; press releases, advisories, and other records relating to the FCIC interaction with the media; and other documents created by staff.

File list
I. Restored Items
   A. 000-00-00 Goldman Response to Hearing Follow Up GS MBS 0000039111
   B. 000-00-00 FRBNY Maiden Lane Transaction Overview
   C. 000-00-00 Goldman Sachs Info re Trades not in Maiden Lane GS MBS 0000038856
   D. 000-00-00 Goldman Sachs Breakdown of Revenues and Losses for Marco Proprietary Trading GS MBS 00000
   E. 000-00-00 Goldman Sachs Counterparties from 2004-2007 GS MBS 0000086981
   F. 000-00-00 Goldman Sachs Credit Index Names GS MBS 0000038855
   G. 000-00-00 Goldman Sachs Gross Notional Credit Default Swap Positions 2004-2009 GS MBS 0000086982
   H. 000-00-00 Goldman Sachs Info on Collateral and Payments to Maiden Lane Counterparties GS MBS 000003
   I. 000-00-00 Goldman Sachs Info re AIG Collateral Calls GS MBS 0000039095
   J. 000-00-00 Goldman Sachs Info re Proprietary Trading Units GS MBS 0000039110
   K. 000-00-00 Goldman Sachs Info re Revenue Associated with Derivatives GS MBS 0000040066-40067
   L. 000-00-00 Goldman Sachs Information re collateral calls to AIG GS MBS 0000087093
   M. 000-00-00 Goldman Sachs Marks Provided to BSAM March 31-June 20 2007 GS MBS 0000038055-58, 38984-89
   N. 000-00-00 Goldman Sachs Notional Amounts of Derivatives Trades GS MBS 0000086980
   O. 000-00-00 Goldman Sachs Prices Charged to Counterparties and AIG prices for Protection on Reference
   P. 000-00-00 Goldman Sachs re Collateral Calls to AIG GS MBS 0000039096
   Q. 000-00-00 Goldmans Sachs Derivatives Trades 2004-2009 GS MBS 0000039106
   R. 000-00-00 Moodys Global List of AAA Rated Corporations and Financial Institutions 1990-2010 MOODYS-
   S. 10-1013 FCIC transmittal letter June 7 risk pol June 23 2 3 8 wr (2)
   T. 10-20-09 Agenda for Closed Meeting
   U. 10-21-2010 MFR_Viral_Acharya
   V. 11.22.2010 G Cohen Letter to Gene Park Re Quotes
   W. 12-11-09 DOJ Holder Invitation letter
   X. 12-11-09 FDIC Invitation letter
   Y. 12-11-09 SEC Invitation Letter
   Z. 1995-08-00 HUD Urban Policy Bried
   AC. 1999 Report of Examination-FRE
   AD. 1999-08-29 HUD News Release
   AE. 2000 Report of Examination-FRE
   AF. 2001 Report of Examination-FRE
   AG. 2002 Report of Examination-FRE
   AH. 2002-00-00 Wilmarth The Transformation of the US Financial Services Industry, 1975-2000 - Competition
   AI. 2002-12-17 Minutes of CMAC meeting approving Liquidity Put
   AJ. 2003-01-10 Fannie Mae's Role in Affordable Housing Finance Presentation to HUD
   AK. 2004 Report of Examination-FRE
   AL. 2004-10-07 Swecker Testimony to House Financial Services
   AM. 2005 Report of Examination-FRE
   AN. 2005-03-10 Bernanke Savings Glut
   AO. 2005-08-03 Mozilo email to Dan Tarman cc Andy Bielanski BAC-FCIC-0000767819-22
   AP. 2006 Report of Examination-FRE
   AQ. 2006-01-12 Moody's William May Email to Gus Harris re BES and PEs
   AR. 2006-03-31 OCC Countrywide ROE
   AS. 2006-10-12 Moody's Investor Service Invoice to Susan Mills MOODYS-FCIC-0394813-0394814
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   AU. 2006-12-05 SEC Risk Management Review of Consolidated Supervised Entities
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F13 Possible Material Misstatements and Omissions
fact checking
fact checking roll
Fact Sheet - Government Housing Policy Not Primary Cause of the Crisis
Factsheet - Excessive borrowing, risky investments and lack of transparency
Factsheet - GSEs not primary cause of crisis
Fall07 Jackson Symposium
Fall07 Jackson Symposium
Fall07 Jackson Symposium
FCIC - final response to request 1.b. (copy)
FCIC 164834-164975
FCIC BOOK PART ONE.02
FCIC Master Contact List for 7-14-10
FCIC Roundtable - October 20 2009 (4)[1]
FCIC Staff Days-Duration of Employment
FCIC Staff Departures
FCIC-154731
FCIC-AIG0015389-390
FCIC-AIG0015409-10
FCIC-AIG0016236-39
FDIC Invitation letter v2
FDIC_ HSOB Bank & Thrift Failures 1934-1980
fettig job letter
Final Compiled Sched A - Market Risk Survey
Final Draft of PSR on TBTF 8.31.10
Flashy yellow Part A
Flashy yellow Part A
Flashy Yellow Section I, Ch. 1 - sent to C-VC(2)
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10. 2009-12-09. Letter from Steward, Donald. Product Suggestion
11. 2009-12-14 Invitation Ltr - Bair
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2. 2010-01-08 Citi (S Buergel) Ltr to B Bondi (Docs Produced, Request 1.2)
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36. 2010-02-09 G Cohen ltr to Goldman Sachs (G Palm)
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6. 2010-03-01 Goldman (G Palm) ltr Overview of residential mortgage-related products
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12. 2010-03-02 Barclays (D Braff) Fax to T Greene re Notice to Preserve Docs and Data
13. 2010-03-02 Deloitte (C Davidow) ltr to C Seefer re Doc Request
14. 2010-03-02 G Cohen ltr to Barclays (D Braff) re Doc Preservation
15. 2010-03-02 G Cohen ltr to NY AG (G Fishman) re Confidentiality of Shared Space and Information
16. 2010-03-02 G Cohen ltr to OCC (J Williams) re Doc Request
17. 2010-03-02 Lexis (A Gaines) ltr to G Cohen re Doc Request
18. 2010-03-02 OCC (L Bridgman) Ltr to G Cohen re OCC Response to FCIC Doc Request
19. 2010-03-03 BNY Mellon (R Dorado) ltr to G Cohen re Doc Request
20. 2010-03-03 C Seefer Email re NEW CENTURY
21. 2010-03-03 FHLMC (R Keiner) ltr to G Cohen re Doc Request
22. 2010-03-03 FNMA (J Kilduff) ltr to C Seefer re COGR production
23. 2010-03-03 FNMA (J Kilduff) ltr to C Seefer re CRAM et al
24. 2010-03-03 FRB (D Caperton) ltr to G Cohen re Doc Request
25. 2010-03-03 G Cohen ltr to Lexis (A Gaines) re Doc Request
26. 2010-03-04 JPMorgan (S Cutler) ltr to G Cohen re Doc Request
27. 2010-03-05 Citi (S Buergel) ltr to B Bondi re Doc Production
28. 2010-03-05 DOT (M Gordon) ltr to G Cohen re Doc Production
29. 2010-03-05 M Schapiro Response re Hearing One Follow-Up
30. 2010-03-05 T Greene Ltr to FRB (D Caperton) re Document Production
31. 2010-03-06 Congresswoman Emerson ltr to Angelides and Thomas re Investigation request - Lippman - Vi
32. 2010-03-08 Citi (S Buergel) ltr to B Bondi re Doc Production
33. 2010-03-08 Citi (S Buergel) ltr to B Bondi re Doc Production
34. 2010-03-08 FNMA (J Kilduff) ltr to C Seefer re Doc Production
35. 2010-03-08 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Request
36. 2010-03-08 FRBNY (M Patrick) ltr to B Bondi re Doc Request
37. 2010-03-08 G Cohen ltr to FDIC (P Nash) re Doc Request
38. 2010-03-08 Goldman (G Palm) ltr to T Greene re Doc Production
39. 2010-03-08 L Blankfein Response to Hearing One Follow-Up
40. 2010-03-09 Citi (S Buergel) ltr to B Bondi re Doc Production
41. 2010-03-09 LBI (S Cave) ltr to M Simhai re Doc Production
42. 2010-03-10 FRB (S Alvarez) ltr to G Cohen re Doc Request
43. 2010-03-10 G Cohen ltr to Federated (P Germaine) re Doc Production
44. 2010-03-10 G Cohen ltr to Fidelity (S Goebel) re Doc Production
45. 2010-03-10 G Cohen ltr to Moody's (S Ross) re Doc Production
46. 2010-03-10 G Cohen ltr to S&P (B Markley) re Doc Production
47. 2010-03-10 G Cohen to Citi (S Buergel) re Doc Production
48. 2010-03-10 Invitation Ltr - Greenspan
49. 2010-03-10 JPMorgan (B Karp) ltr to G Cohen re Doc Production
50. 2010-03-11 FDIC (P Nash) ltr to T Greene re Response to Questions
51. 2010-03-11 FHLMC (R Keiner) ltr to G Cohen re Doc Production
52. 2010-03-11 Hearing Invite to D Mudd
53. 2010-03-11 Hearing Invite to J Lockhart
54. 2010-03-12 BofA (R Brown) ltr to G Cohen and C Seefer re Feb 12 Doc Request
2010-03-12 Citi (S Buergel) ltr to B Bondi re Doc Production
2010-03-12 Federated (P Germaine) ltr to G Cohen re Doc Production
2010-03-12 FRBNY (M Patrick) ltr 2 to B Bondi Re Doc Production
2010-03-12 FRBNY (M Patrick) ltr to B Bondi Re Doc Production
2010-03-12 HUD (H Kanovsky) ltr to G Cohen re Doc Request
2010-03-15 BNYM (A Fajardo) ltr to M Simhai re Doc Production
2010-03-15 FDIC (L Bollea) Email to C Seefer re Doc Production
2010-03-15 Fidelity (S Goebel) ltr to G Cohen re Doc Request
2010-03-15 JPMorgan (B Karp) ltr to G Cohen re Doc Production
2010-03-15 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
2010-03-15 NYSBD (M Gross) Ltr to T Greene re Request to Disclose NYSBD Confidential Material to FCI
2010-03-15 S& P (B Markley) ltr to G Cohen re Doc Request
2010-03-16 FCIC Hearing Invitation to John C. Dugan
2010-03-16 FCIC Hearing Invitation to John D. Hawke
2010-03-16 FCIC Ltr to OCC (J Williams) re Document Production
2010-03-16 FRBNY (M Patrick) ltr to B Bondi re Doc Production
2010-03-16 G Cohen ltr to Barclays (D Braff) re Doc Request
2010-03-16 JPM (S Cutler) Ltr to T Greene re Response to Questions
2010-03-16 JPMorgan (B Karp) ltr to G Cohen re Doc Production
2010-03-17 FNMA (J Kilduff) ltr to C Seefer re Doc Production
2010-03-17 G Cohen ltr to OTS (D Dakin) re Document Request
2010-03-18 Citi (B Karp) Ltr to B Bondi re CRA Interrogatory Responses
2010-03-18 FNMA (J Kilduff) ltr to C Seefer re Doc Production
2010-03-18 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
2010-03-18 FRBNY (M Patrick) ltr to B Bondi re Doc Production
2010-03-18 G Cohen ltr to GE Capital (M Bopp) re Document Request
2010-03-19 Citi (B Karp) ltr 2 to B Bondi re Doc Production
2010-03-19 Citi (B Karp) ltr to B Bondi re Doc Production
2010-03-19 FNMA (J Kilduff) ltr to C Seefer re Doc production
2010-03-19 G Cohen ltr to SEC (S Forstein) re Doc Request
2010-03-19 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
2010-03-22 Citi (B Karp) ltr 2 to B Bondi re Doc Production
2010-03-22 Citi (B Karp) ltr to B Bondi re Doc Production
2010-03-22 FNMA (J Kilduff) ltr to C Seefer re Doc production
2010-03-22 G Cohen ltr to SEC (S Forstein) re Doc Request
2010-03-22 Morgan Stanley (R Yanes) ltr to T Greene re Response to FCIC Follow-up Questions
2010-03-23 Invitation Ltr to Charles Prince
2010-03-23 Invitation Ltr to David Bushnell
2010-03-23 Invitation Ltr to Murray Barnes
2010-03-23 Invitation Ltr to Nestor Domínguez
2010-03-23 Invitation Ltr to Patricia Lindsay
2010-03-23 Invitation Ltr to Richard Bitner
2010-03-23 Invitation Ltr to Richard Bowen
2010-03-23 Invitation Ltr to Robert Levin
2010-03-23 Invitation Ltr to Robert Rubin
2010-03-23 Invitation Ltr to Susan Mills
2010-03-23 Invitation Ltr to Thomas Lund
2010-03-23 Invitation Ltr to Thomas Maheras
2010-03-23 Invitation Ltr to Armando Falcon
2010-03-23 OCC (L Bridgman) ltr to G Cohen re Document Production
2010-03-24 FNMA (J Kilduff) ltr to C Seefer re Doc Production
2010-03-24 FNMA (M Walsh) email re Credit Related Expenses - By SF Loan Type
2010-03-24 FNMA (M Walsh) email re SDQ Volume for Select Quarters in 2007
2010-03-24 FNMA (M Walsh) eml re Lockhart presentation
2010-03-24 G Cohen ltr to GE Capital (M Bopp) re Document Request
2010-03-24 MBA (E Strunk) ltr to T Greene re Doc Production Response
2010-03-24 SEC (S Forstein) Ltr to T Greene re Doc Request related to Citigroup
2010-03-25 Federated (G Dulsii) ltr to G Cohen re Doc Request
2010-03-25 G Cohen ltr to DOT (G Madison) re Disclosure of Non-Public Information
2010-03-25 G Cohen ltr to FHFA (A Pollard) re Disclosure of Non-Public Information
2010-03-25 G Cohen ltr to OCC (J Williams) re Disclosure of Non-Public Information
2010-03-25 Lehman (C Zalka) ltr to M Simhai re Doc Production
2010-03-25 MBIA Fax to T Greene re Response to FCIC Feb 9 Ltr
2010-03-25 OCC (L Bridgman) ltr to G Cohen re Doc Production
2010-03-26 Fidelity (M Jensen) ltr to G Cohen re Doc Production
2010-03-26 FNMA (J Kilduff) ltr to G Cohen re Doc Production
2010-03-26 G Cohen ltr to JPMorgan (B Karp) re Doc Request & Bear Stearns
2010-03-26 MBA Ltr to T Greene re Response to FCIC Doc Production Request
2010-03-29 FNMA (J Kilduff) ltr to C Seefer re certain outstanding requests
2010-03-29 FRBNY (M Patrick) ltr to B Bondi re Doc Production
2010-03-29 GE (R Brown) ltr to G Cohen re Document Request
2010-03-29 JPMorgan (B Karp) ltr 2 to G Cohen re Doc Production
2010-03-29 JPMorgan (B Karp) ltr 3 to G Cohen re Doc Production
2010-03-29 OCC (L Bridgman) ltr to G Cohen re Doc Production
2010-03-30 BAC Response to 2-2-10 FCIC Request
2010-03-30 BofA (R Brown) ltr to T Greene re Hearing One Follow-Up
2010-03-30 Citi (S Buergel) ltr to B Bondi re Doc Production
2010-03-30 G Cohen ltr to Charles Prince re Hearing Two Testimony
2010-03-30 G Cohen ltr to FRB (D Caperton) re Disclosure of Non-Public Information
2010-03-30 G Cohen ltr to Murray Barnes re Hearing Two Testimony
2010-03-30 G Cohen ltr to Nestor Dominguez re Hearing Two Testimony
2010-03-30 G Cohen ltr to Robert Rubin re Hearing Two Testimony
2010-03-30 G Cohen ltr to Susan Mills re Hearing Two Testimony
2010-03-30 G Cohen ltr to Thomas Maheras re Hearing Two Testimony
2010-03-30 MBA (D Schwartz) ltr to G Wahl re Doc Production
2010-03-30 Merrill (R Brown) ltr to T Krebs re Bear Stearns Asset Management Report
2010-03-30 OCC (L Bridgman) ltr to G Cohen re Document Production
2010-03-31 B Bondi Ltr to S Buergel (Citi) re Fourth Supplemental Request
2010-03-31 B Karp (Citi) Ltr to B Bondi re 2d and 3d Interrogatories
2010-03-31 B Markley (S&P) Ltr to B Bondi re document production
2010-03-31 B Markley (S&P) ltr to B Bondi re FOIA Confidential Treatment
2010-03-31 G Cohen ltr to Citi (S Buergel) re Disclosure of Non-Public Info
2010-03-31 G Cohen ltr to Fannie (J Kilduff) re Disclosure of Non-Public Information
2010-03-31 G Cohen ltr to Treasury (G Madison) re Follow-Up to Disclosure ltr

E. 2010-04
1. 2010-04-01 Federated (S Keen) ltr to G Cohen re Doc Production
2. 2010-04-01 G Cohen ltr to FRB (D Caperton) re Document Request
3. 2010-04-01 JPMorgan (B Karp) ltr to G Cohen re Doc Production
4. 2010-04-01 OCC ltr to B Dzivi re Document Production
5. 2010-04-02 Email to D Caperton re Additional Document Per 3_30 Letter
6. 2010-04-02 Email to S buergel re Additional Document Per 3_30 Letter
7. 2010-04-02 FRB (D Caperton) Email to D Noonan re Doc Production
8. 2010-04-02 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
9. 2010-04-02 FRBNY (M Patrick) ltr to B Bondi re Doc Production
10. 2010-04-02 JPMorgan (B Karp) ltr to G Cohen re Doc Production
11. 2010-04-05 Email to FINRA re Doc For Use in Hearing
12. 2010-04-05 FNMA (J Kilduff) ltr to C Seefer re Doc Production

2010-04-30 AIG (S Nettelton) ltr to D Noonan re Doc Production
2010-04-30 Follow Up Email to Peter Germain re Federate Documents to Disclose at Hearin
2010-04-30 G Cohen ltr to 250 Capital (R Brown) re Doc Request
2010-04-30 G Cohen ltr to ACA (S Berkowitz) re Doc Request
2010-04-30 G Cohen ltr to Cohen & Company (R Fink) re Doc Request
2010-04-30 G Cohen ltr to GSC Group (E Rubenfeld) re Doc Request
2010-04-30 G Cohen ltr to Lehman (H Miller) re Doc Request
2010-04-30 G Cohen ltr to MKP (P Alcamo) re Doc Request
2010-04-30 G Cohen ltr to Putnam Investments (F McNamara) re Doc Request
2010-04-30 G Cohen ltr to State Street (P Gillespie) re Doc Request
2010-04-30 G Cohen ltr to Vero (G Barbaresi) re Doc Request
2010-04-30 G Cohen ltr to Vertical Capital (T Pearce) re Doc Request
2010-04-30 Letter to Frederick Sheehan
2010-04-30 Moody’s (S Ross) ltr to G Cohen re Doc Production
2010-05-01 Deutsche (W Ricciardi) ltr to G Cohen re Doc Production
2010-05-01 G Cohen ltr to BofA (R Brown) re Disclosure of NonPublic Documents
2010-05-01 G Cohen ltr to FRBNY (S Leventhal) re Disclosure of NonPublic Documents
2010-05-03 JPMorgan (E Goldstein) ltr 2 to G Cohen re Doc Request
2010-05-03 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
2010-05-04 Email re Goldman Sachs Documents to be Used in 5_5_10 & 5_6_10 Hearing
2010-05-04 Email RE_ Goldman Sachs Documents to be Used in 5_5_10 & 5_6_10 Hearing
2010-05-04 Goldman (T Edelman) ltr to M Simhai re Doc Production
2010-05-04 List of Bear Stearns FCIC Documents for 5_5_10-5_6_10 Hearing
2010-05-04 SEC (S Forstein) ltr to T Greene re Doc Production
2010-05-04 Vertical Capital (J Yoskowitz) ltr to G Cohen re Doc Request
2010-05-05 ACA (K Groskaufmanis) ltr to D Noonan re Doc Production
2010-05-05 AIG (S Nettleston) ltr to D Noonan re Doc Request
2010-05-05 B Bondi ltr to R Brown (Merrill) re Ricciardi emails
2010-05-05 B Bondi ltr to R Brown (Merrill) re Ricciardi emails
2010-05-05 MKP Capital Management (T DeVita) ltr to D Noonan re Doc Request
2010-05-05 M Simhai ltr to D Noonan re Doc Request
2010-05-06 Email re RE_ FCIC Letter re Disclosure of Treasury Non-Public Info- Follow-Up
2010-05-06 Vero (G Barbaresi) ltr to C Seefer re Doc Production
2010-05-07 B Markley (S&P) ltr to B Bondi re doc production
2010-05-07 G Cohen ltr to Harding Advisory (J Yoskowitz) re Document Request
2010-05-07 G Cohen ltr to PSI (E Bean) re Doc Request
2010-05-07 G Cohen to NY State Insurance (M Lees) re Doc Request
2010-05-07 NIBC (D Reisman) ltr to C Seefer re Doc Production
2010-05-07 Putnam (J Tucker) ltr to D Noonan re Doc Production
2010-05-07 SEC (S Forstein) ltr to T Greene re Doc Production
2010-05-07 State Street (M Levy) re Doc Request
2010-05-08 BofA (R Brown) ltr to FCIC re Supp Resp to Feb 2 Letter
36.
37. 2010-05-08 S Ross (Moody's) ltr to B Bondi re doc production 6
38. 2010-05-10 AIG (S Nettleton) ltr to D Noonan re Doc Production
39. 2010-05-10 FNMA (J Kilduff) ltr to C Seefer re Doc Production
40. 2010-05-10 GSC (A Lipman) ltr to G Cohen re Doc Production
41. 2010-05-10 Merrill Lynch (R Brown) ltr to FCIC re Doc Production
42. 2010-05-10 Merrill Lynch (R Brown) ltr to W Edelberg re Doc Request
43. 2010-05-10 Moody's (S Ross) ltr to G Cohen re Doc Production
44. 2010-05-10 R Cloutier ltr re Hearing One Follow Up
45. 2010-05-10 S Nelles (Moody's) ltr to B Bondi re doc production 7
46. 2010-05-11 AIG (S Nettleton) ltr to D Noonan re Doc Production
47. 2010-05-11 BofA (R Brown) ltr to B Bondi re Doc Production
48. 2010-05-11 Credit Suisse (N Radey) ltr to B Bondi re Doc Request
49. 2010-05-11 FRBNY (M Patrick) ltr to B Bondi re Doc Production
50. 2010-05-11 Goldman (T Edelman) ltr to C Seefer re Doc Production
51. 2010-05-11 Harding Advisory (S Molo) ltr to G Cohen re Doc Request
52. 2010-05-11 Morgan Stanley (J Morrill) ltr to K Shafer re Doc Production
53. 2010-05-11 S Nelles (Moody's) ltr to B Bondi re doc production 8
54. 2010-05-11 WF (R Keiner) ltr to C Seefer re Doc Production
55. 2010-05-12 AIG (S Nettleton) ltr to D Noonan re Doc Production
56. 2010-05-12 Deutsche Bank (W Ricciardi) ltr to B Bondi re Doc Production
57. 2010-05-12 Deutsche Bank (W Ricciardi) ltr to B Bondi re Doc Production (2)
58. 2010-05-12 G Cohen ltr to UBS (J Moon) re Doc Request
59. 2010-05-12 MKP (T DeVita) ltr to G Cohen re Doc Production
60. 2010-05-12 S Forstein (SEC) ltr to T Greene re Moody's doc production
61. 2010-05-13 250 Capital (R Brown) ltr to C Seefer re Doc Production
62. 2010-05-13 AIG (S Nettleton) ltr to D Noonan re Doc Prod
63. 2010-05-13 AIG (S Nettleton) ltr to D Noonan re Doc Prod
64. 2010-05-13 AIG (S Nettleton) ltr to D Noonan re Doc Production
65. 2010-05-13 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up
66. 2010-05-13 D Noonan ltr to B Rohde re Park Interview
67. 2010-05-13 FRB (D Caperton) Email to D Noonan re Doc Production
68. 2010-05-13 G Cohen ltr to Markit (A Kansler) re Doc Request
69. 2010-05-13 GSC (D Ross) ltr to G Cohen re Doc Request
70. 2010-05-13 Harding Advisory (S Molo) ltr to C Cohen re Doc Prod
71. 2010-05-13 Scion Capital (J Ware) ltr to Seefer re Doc Production
72. 2010-05-13 State Street (M Levy) ltr to Seefer re Doc Prod
73. 2010-05-14 ACA (K Groskaufmanis) ltr to D Noonan re Document Request
74. 2010-05-14 AIG (Nettleton) ltr to D Noonan re Doc Production
75. 2010-05-14 GS ltr re document request
76. 2010-05-14 GSC ltr re G Cohen re Document Production
77. 2010-05-14 S Nelles (Moody's) ltr to B Bondi re doc production 9
78. 2010-05-15 Lehman ltr re Document Production
79. 2010-05-17 Cohen ltr re document production
80. 2010-05-17 FRB ltr re application Nationsbank Merge BOA
81. 2010-05-17 Goldman (R Klapper) ltr to Seefer re doc prod
82. 2010-05-17 LBHI (L Sheridan) ltr to M Simhai re doc production
83. 2010-05-17 S Forstein (SEC) ltr to T Greene re Moody's doc production
84. 2010-05-17 S Nelles (Moody's) ltr to B Bondi re doc production 10
85. 2010-05-18 G Cohen ltr to CII re Survey
86. 2010-05-18 G Cohen ltr to TWC re doc request
87. 2010-05-18 Goldman (R Klapper) ltr to Seefer re Doc Prod
88. 2010-05-18 Lehman ltr re doc request
89. 2010-05-18 Morgan Stanley (J Morrill) ltr to Seefer
90. 2010-05-19 AIG (S Nettleton) ltr to D Noonan re Doc Prod
91. 2010-05-19 B Bondi ltr to B Karp (Bear) re 2d Moody's request
92. 2010-05-19 B Bondi ltr to B Karp (JPM) re Moody's request
93. 2010-05-19 B Bondi ltr to H Miller (Lehman) re 2d Moody's request
94. 2010-05-19 B Bondi ltr to N Radey (CS) re 2d Moody's request
95. 2010-05-19 B Bondi ltr to R Brown (BoFA) re Moody's request
96. 2010-05-19 B Bondi ltr to R Brown (Countrywide) re 2d Moody's request
97. 2010-05-19 B Bondi ltr to R Brown (Merrill) re 2d Moody's request
98. 2010-05-19 B Bondi ltr to S Buerger (Citi) re Moody's request
99. 2010-05-19 B Bondi ltr to W Ricciardi (DB) re 2d Moody's request
100. 2010-05-19 BofA (R Brown) ltr to FCIC re Hearing One Follow-Up
101. 2010-05-19 D Noonan ltr re C Gates Interview Request
102. 2010-05-19 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Request
103. 2010-05-19 FRBNY ltr to B Bondi re doc Production
104. 2010-05-19 JPM (B Karp) Ltr to G Cohen re Doc Request
105. 2010-05-19 S Nelles (Moody's) ltr to G Cohen re doc production
106. 2010-05-19 S Nelles (Moody's) ltr to G Cohen re doc production
107. 2010-05-20 ISDA (P Bresnan) ltr to C Seefer re Supp Production
108. 2010-05-20 LBHI (C Zalka) ltr to M Simhai
109. 2010-05-20 Magnetar (S Ross) ltr to C Seefer
110. 2010-05-20 Markit (M Davidson) re G Cohen Doct request and Confidentiality letter
111. 2010-05-20- UBS (J Chapman) to D Noonan re Doc request
112. 2010-05-21 BOA (R Brown) to B Bondi re Doc Production
113. 2010-05-21 C Seefer ltr to PwC (H Shapiro)
114. 2010-05-21 FMAC (R Kelner) ltr to G Cohen
115. 2010-05-21 Moodys (S Nelles) ltr to G Cohen re Subpoenas
116. 2010-05-21 S Forstein (SEC) ltr to G Cohen re doc production
117. 2010-05-21 S Nelles (Moody's) ltr to G Cohen re production
118. 2010-05-21 S Nelles (Moody's) ltr to G Cohen re production
119. 2010-05-21 SEC (S Forstein) to T Greene re AIG
120. 2010-05-21AIG (S Nettleton) ltr to D Noonan re Doc request
121. 2010-05-22 AIG (S Nettleton) ltr to D Noonan re doc production
122. 2010-05-23 Goldman (R Klapper) ltr to Seefer re Doc Prod
123. 2010-05-24 BAC (R Brown) ltr to B Bondi re may 5 ltr
124. 2010-05-24 BOA, CWIDE, ML (R Brown) ltr to B Bondi re Moodys Employees
125. 2010-05-24 BOA, CWIDE, ML (R Brown) ltr to G Cohen re SAR
126. 2010-05-24 FRBNY (M Patrick) ltr to B Bondi re doc production
127. 2010-05-24 Goldman (R Klapper) ltr to Seefer re Doc Prod
128. 2010-05-24 Moodys (S Nelles) ltr to G Cohen re Subpoenas
129. 2010-05-25 E Goldstein (JPM) ltr to B Bondi re Moody's employees
130. 2010-05-25 G Cohen ltr to Citi (S Buerger) re rogs
131. 2010-05-25 G Cohen ltr to JP Morgan (E Goldstein) re rogs
132. 2010-05-25 G Cohen ltr to BOA (R Brown) re rogs
133. 2010-05-25 G Cohen ltr to Deutsche Bank (W Ricciardi) re rogs
134. 2010-05-25 G Cohen Ltr to Moodys re disclosure of Non public info
135. 2010-05-25 G Cohen Ltr to SEC (S Forstein) re disclosure of Non public info
136. 2010-05-25 G Cohen ltr to Wells Fargo (R Kelner) re rogs
137. 2010-05-25 Goldman (R Klapper) ltr to Seefer re Doc Prod
138. 2010-05-25 MKP (T De Vita) ltr to G Cohen re doc request
139. 2010-05-25 Moodys (S Nelles) ltr to G Cohenre doc request
140. 2010-05-25 PwC (R Brown) ltr to Seefer re Doc Prod
141. 2010-05-25 W Edelberg ltr to W Buffett
142. 2010-05-26 ACA (K Groskaufmanis) ltr to D noonan re Interogs and Doc Production
143. 2010-05-26 AIG (S nettleton) ltr to D Noonan re Doc Production
144. 2010-05-26 B Karp (JPM) ltr to B Bondi re CRA
145. 2010-05-26 FRBNY (M Partick) Ltr to B Bondi re doc request
187. 2010-6-24 Letter to Roger Felter
188. Email - 2010-06-28 J Walden (Cassano lawyer) Email to Seefer - FW Subject to confidentiality agreeme

H. 2010-07
  1. 2010-07-01 FDIC (P Nash) ltr to G Cohen re Doc Production
  2. 2010-07-01 Merrill Lynch (R Brown) ltr to FCIC re Doc Production
  3. 2010-07-02 FDIC (C Watts) ltr to C Seefer re Doc Production
  4. 2010-07-02 G Cohen ltr to S Beach (American Home Mtg) re Mortgage Interrogatories
  5. 2010-07-02 Lusardi Response to Forum Follow-Up
  6. 2010-07-03 FRB (D Caperton) email to J Smith re Document Production
  7. 2010-07-06 B Bondi ltr to R Delmar (Treasury IG) re meeting request
  8. 2010-07-06 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
  9. 2010-07-06 FRBNY (M Patrick) ltr 3 to B Bondi re Doc Production
 10. 2010-07-07 B Bondi ltr to G Cohen re Doc Production
 11. 2010-07-07 B Bondi ltr to BofA (R Brown) re CRA Request
 12. 2010-07-07 Dugan Testimony Follow-Up (copy)
 13. 2010-07-07 S Flynn ltr to DTCC (L Thompson) re Document Request
 14. 2010-07-09 B Bondi ltr to BNP (B Whelchel) re Doc Production
 15. 2010-07-09 G Cohen ltr to Barclays (D Braff) re Document Request
 16. 2010-07-09 G Cohen ltr to Lehman (C Zalka) re Document Request
 17. 2010-07-09 G Cohen ltr to OCC (J Williams) re Document Request
 18. 2010-07-09 G Cohen ltr to OTS (M Hingston) re Document Request
 19. 2010-07-09 G Cohen ltr to Wachovia (R Kelner) re Document Request
 20. 2010-07-09 Magnetar (S Ross) ltr to C Seefer re Doc Production
 21. 2010-07-09 OCC (R Stearns) ltr to J Smith re Doc Production
 22. 2010-07-07 Thank You Letter to C Broderick
 23. 2010-07-07 Thank You Letter to G Cohn
 24. 2010-07-07 Thank You Letter to J Cassano
 25. 2010-07-07 Thank You Letter to M Greenberger
 26. 2010-07-07 Thank You Letter to M Masters
 27. 2010-07-07 Thank You Letter to M Sullivan
 28. 2010-07-07 Thank You Letter to P Kyle
 29. 2010-07-07 Thank You Letter to R Lewis
 30. 2010-07-07 Thank You Letter to S Kohlhagen
 31. 2010-07-08 B Karp (Citi) ltr to B Bondi re super senior requests
 32. 2010-07-08 BofA (R Brown) ltr to B Bondi re CRA Request
 33. 2010-07-08 BofA (R Brown) ltr to B Bondi re Doc Production
 34. 2010-07-08 Dugan Testimony Follow-Up (copy)
 35. 2010-07-08 S Flynn ltr to DTCC (L Thompson) re Document Request
 36. 2010-07-09 B Bondi ltr to FCIC ltr to Citi (B Karp) re Document Request
 37. 2010-07-09 B Bondi ltr to JPMorgan (B Karp) re Document Request
 38. 2010-07-09 E Goldstein (JPMorgan) ltr to B Bondi re AMENDED CRA response
 39. 2010-07-09 FCIC ltr to Mr. Bensinger
 40. 2010-07-09 FCIC ltr to Mr. Dinallo
 41. 2010-07-09 FCIC ltr to Mr. Forster
 42. 2010-07-09 FCIC ltr to Mr. Gensler
 43. 2010-07-09 FCIC ltr to Mr. Habayeb
 44. 2010-07-09 FCIC ltr to Mr. Lee
 45. 2010-07-09 FCIC ltr to Mr. Lehman
 46. 2010-07-09 FCIC ltr to Mr. Viniar
 47. 2010-07-09 FDIC (P Nash) ltr to G Cohen re Doc Production
 48. 2010-07-09 FRBNY (M Patrick) ltr to B Bondi re Doc Production
 49. 2010-07-09 G Cohen ltr to Barclays (D Braff) re Document Request
 50. 2010-07-09 G Cohen ltr to BNP (B Whelchel) re Document Request
 51. 2010-07-09 G Cohen ltr to BofA (R Brown) re Document Request
 52. 2010-07-09 G Cohen ltr to Credit Suisse (D Zinman) re Document Request
53. 2010-07-09 G Cohen ltr to Deutsche (W Ricciardi) re Document Request
54. 2010-07-09 G Cohen ltr to Lehman (C Zalka) re Document Request
55. 2010-07-09 G Cohen ltr to Merrill Lynch (R Brown) re Document Request
56. 2010-07-09 G Cohen ltr to Morgan Stanley (R Yanes) re Document Request
57. 2010-07-09 G Cohen ltr to RBS (S Goldfarb) re Document Request
58. 2010-07-09 G Cohen ltr to Soc Gen (S Heineman) re Document Request
59. 2010-07-09 G Cohen ltr to UBS (J Chapman) re Document Request
60. 2010-07-09 G Cohen ltr to Wachovia (R Kelner) re Document Request
61. 2010-07-09 Goldman (Klapper) ltr to Seefer re Replacement Doc Prod
62. 2010-07-12 C Seefer ltr to Citi (B Karp) re Document Request
63. 2010-07-12 C Seefer ltr to Goldman Sachs (R Klapper) re Document Request
64. 2010-07-12 C Seefer ltr to JPMorgan re Document Request
65. 2010-07-12 Citi (B Karp) ltr to B Bondi re Doc Production
66. 2010-07-12 FRBNY (M Patrick) ltr to B Bondi re Doc Production
67. 2010-07-12 G Cohen ltr to HSBC (M Emerson) re Document Request
68. 2010-07-12 G Cohen ltr to OTS (M Hingston) re Doc Request
69. 2010-07-13 Citi (B Karp) ltr to B Bondi re Doc Request
70. 2010-07-13 FRBNY (M Patrick) ltr to B Bondi re Doc Request
71. 2010-07-13 FRBNY (M Patrick) ltr to B Bondi re Doc Production
72. 2010-07-13 Seefer ltr to Countrywide (R Brown) re Doc Request
73. 2010-07-14 BofA (R Brown) ltr to G Cohen re Doc Request
74. 2010-07-14 Citi (B Karp) ltr to B Bondi re Doc Request
75. 2010-07-14 Citi (B Karp) ltr to Seefer re confirmation of receipt of doc request
76. 2010-07-14 Deutsche Bank (W Ricciardi) ltr to G Cohen re Doc Request
77. 2010-07-14 FDIC (J Angel) ltr to C Seefer re Doc Production
78. 2010-07-14 FNMA (Kilduff) ltr to Seefer re Responses to 2-15 4-1 and 6-7 requests
79. 2010-07-14 FRBNY (M Patrick) ltr to B Bondi re Doc Production
80. 2010-07-14 FRBNY (M Patrick) ltr to B Bondi re Doc Production
81. 2010-07-14 FRBNY (M Patrick) ltr to B Bondi re Doc Production
82. 2010-07-14 Goldman Sachs (R Klapper) ltr to C Seefer re Doc Production
83. 2010-07-14 Goldman Sachs (R Klapper) ltr to C Seefer re Hearing Requests
84. 2010-07-14 Merrill Lynch (R Brown) ltr to G Cohen re Doc Request
85. 2010-07-14 RBS (J Brenner) ltr to G Cohen re Doc Request
86. 2010-07-14 Soc Gen (A Sabrin) ltr to G Cohen re Doc Request
87. 2010-07-15 Citi (B Karp) ltr to C Seefer re Doc Production
88. 2010-07-15 Countrywide (R Brown) ltr to FCIC re Doc Production
89. 2010-07-15 Countrywide (R Brown) ltr to FCIC re Doc Request
90. 2010-07-15 FDIC (J Angel) ltr to C Seefer re Doc Production
91. 2010-07-15 FRB (D Caperton) Email 2 to B McWilliams re Doc Production
92. 2010-07-15 FRB (D Caperton) Email to B McWilliams re Doc Production
93. 2010-07-15 HSBC (M Emerson) ltr to G Cohen re Doc Request
94. 2010-07-16 Email - FW Response to FCIC Hearing requests (copy)
95. 2010-07-16 Goldman (S Michaels) Email to Seefer - FW Response to FCIC Hearing requests
96. 2010-07-16 SEC (S Forstein) ltr to W Edelberg re Doc Production
97. 2010-07-19 FCIC Letter to Paul Krugman
98. 2010-07-19 FDIC (P Nash) ltr to C Seefer re Doc Request
99. 2010-07-19 FRB (D Caperton) Email to G Cohen re Doc Production
100. 2010-07-19 Lehman (C Zalka) ltr to B Bondi re Doc Production
101. 2010-07-19 Ltr to FDIC (Paul Nash) re Doc Request
102. 2010-07-19 Ltr to FHFA (A Pollard) re Doc Request
103. 2010-07-19 Ltr to FRB (D Caperton) re Doc Request
104. 2010-07-19 Ltr to FRBNY (S Leventhal) re Doc Request
105. 2010-07-19 Ltr to OCC (J Williams) re Doc Request
106. 2010-07-19 Ltr to OTS (M Hingston) re Doc Request
107. 2010-07-19 Ltr to SEC (S Forstein) re Doc Request
17. 2010-08-05 Goldman (S Fredman) Email re Response to FCIC 6-26 Request (2)
18. 2010-08-05 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-26 Request
19. 2010-08-05 Goldman Sachs (R Klapper) ltr to C Seefer re Doc Production
20. 2010-08-05 Lehman (C Zalka) ltr to C Seefer re Doc Production
21. 2010-08-05 PIMCO (J Sopko) ltr to B McWilliams re Doc Production
22. 2010-08-05 SEC (Forstein) ltr to W Edelberg re Countrywide Production
23. 2010-08-05 State Street (R Brown) ltr to R Borzekowski re Doc Production
24. 2010-08-06 FRB (D Caperton) Email to B McWilliams re Doc Production
25. 2010-08-06 New Century (M Indelicato) ltr to G Cohen re Doc Production
26. 2010-08-06 OCC (L Bridgman) ltr to C Seefer re Doc Production
27. 2010-08-06 OCC (L Bridgman) ltr to C Seefer re Doc Production
28. 2010-08-09 Deutsche Bank (R Brown) ltr to R Borzekowski re Doc Production
29. 2010-08-09 FDIC (J Angel) ltr to C Seefer re Doc Production
30. 2010-08-09 HSBC (R Brown) ltr to R Borzekowski re Doc Production
31. 2010-08-09 Lehman Brothers (C Zalka) ltr to C Seefer re Doc Production
32. 2010-08-09 Letter to Chairman Christopher Cox
33. 2010-08-09 Letter to Henry M. Paulson
34. 2010-08-09 Letter to Paul Friedman
35. 2010-08-09 Letter to Samuel Molinaro
36. 2010-08-09 Letter to Warren Spector
37. 2010-08-09 Wachovia (R Kelner) ltr to C Seefer re Doc Production
38. 2010-08-10 Follow-up Letter to T Geithner (DOT)
39. 2010-08-10 Goldman (S. Fredman) Email to Seefer re Response to FCIC Hearing Request
40. 2010-08-10 Moodys (S Ehrenberg) lte to B McWilliams re Hearing Follow-Up
41. 2010-08-11 Countrywide (R Brown) ltr to C Seefer re Doc Production
42. 2010-08-11 Fannie Mae (Kilduff) ltr to Bondi re June 23 Rogs 2 3
43. 2010-08-11 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
44. 2010-08-11 SEC (S Forstein) ltr to W Edelberg re Countrywide documents
45. 2010-08-11 Wachovia (R Kelner) ltr to T Krebs re Doc Production
46. 2010-08-12 American Home Mortgage (M Greecher) ltr to T Krebs re Doc Production
47. 2010-08-12 FDIC (J Rymer) ltr to C Seefer re Doc Production
48. 2010-08-12 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-26 Request
49. 2010-08-12 JPMorgan (J Carey) ltr to B Bondi re Moody Employees at JPMorgan
50. 2010-08-12 MKP Capital (T DeVita) ltr to G Cohen re Doc Production
51. 2010-08-13 BofA (R Brown) ltr to M Simhai re Doc Production
52. 2010-08-13 FRBNY (M Patrick) ltr to B Bondi re Doc Production
53. 2010-08-13 Goldman (S Fredman) Email to Seefer re Response to FCIC Request (9 & 10)
54. 2010-08-13 M Charleson email to C Morain re - Countrywide, Eric Flamhol -- Transmittal IV and Final
55. 2010-08-13 M Charleson Email to C Morain re - Countrywide, Eric Flamholtz
56. 2010-08-13 M Charleson Email to C Morain re - Countrywide, Eric Flamholtz, Transmittal III
57. 2010-08-13 M Charleson Email to C Morain re Countrywide, Eric Flamholtz -- Second Transmittal
58. 2010-08-13 Magnetar (S Ross) ltr to C Seefer re Doc Production
59. 2010-08-13 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
60. 2010-08-13 OCC (L Bridgman) ltr to C Seefer re Doc Production
61. 2010-08-13 RBS (R Brown) ltr to T Krebs re Doc Production
62. 2010-08-13 T Stanton ltr to FDIC (P Nash) re Document Request
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66. 2010-08-13 T Stanton ltr to OTS (M Hingston) re Document Request
67. 2010-08-13 Wachovia (R Kelner) ltr to C Seefer re Doc Production
68. 2010-08-13 Wachovia (R Kelner) ltr to T Krebs re Doc Production
69. 2010-08-16 BofA (R Brown) ltr to FCIC re Doc Requests
70. 2010-08-16 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
71. 2010-08-16 FRBNY (M Patrick) ltr to B Bondi re Doc Production
2010-08-17 Citi (C Hammerman) ltr to C Seefer re Doc Production
2010-08-17 FRBNY (M Patrick) ltr to B Bondi re Doc Production
2010-08-17 Moody's (S Ehrenberg) ltr to B McWilliams re Doc Production
2010-08-17 PIMCO (J Sopko) ltr to B McWilliams re Doc Production
2010-08-17 Wachovia (R Kelner) ltr to C Seefer re Doc Production
2010-08-17 FRBNY (M Patrick) Email to B McWilliams re Doc Production
2010-08-17 FRBNY (M Patrick) ltr to B Bondi re Doc Production
2010-08-18 Invitation Ltr, LV Field Hearing - Aguero
2010-08-18 Invitation Ltr, LV Field Hearing - Burks
2010-08-18 Invitation Ltr, LV Field Hearing - Hill
2010-08-18 Invitation Ltr, LV Field Hearing - Martin
2010-08-18 Invitation Ltr, LV Field Hearing - Satre
2010-08-18 JPMorgan (E Goldstein) ltr to G Cohen re Doc Production
2010-08-18 Lehman (C Zalka) ltr to B Bondi re Doc Production
2010-08-18 Merrill Lynch (R Brown) ltr to D Noonan re Doc Production
2010-08-18 Morgan Stanley (R Yanes) ltr to G Cohen re Doc Production
2010-08-18 P Gourinchas ltr to FCIC re Forum Follow Up
2010-08-18 Request to Interview Mr. De Silva
2010-08-18 Request to Interview Mr. Kim (ML)
2010-08-18 Request to Interview Mr. Margolis (ML)
2010-08-18 Request to Interview Mr. Ricciardi (ML)
2010-08-19 Goldman (S Fredman) Email to Seefer re Response to FCIC 6-26 request
2010-08-20 BoA Ltr to V Cunicelli (R Brown) reg CRA
2010-08-20 Freddie Mac (R Kelner) ltr to G Cohen re Doc Production
2010-08-20 Freddie Mac (R Kelner) ltr to G Cohen re Doc Production
2010-08-20 G Cohen Ltr to B Karp(Citi) reg Portfolio Adjust
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2010-08-20 G Cohen Ltr to Barclays (D Braff) re Survey
2010-08-20 G Cohen Ltr to Cantor Fitzgerald (S Merkel) re Survey
2010-08-20 G Cohen Ltr to Credit Suisse (D Zinman) re Survey
2010-08-20 G Cohen Ltr to D Zinman(CS) reg Portfolio Adjust
2010-08-20 G Cohen Ltr to Daiwa (H Wise) re Survey
2010-08-20 G Cohen Ltr to HSBC (R Brown) re Survey
2010-08-20 G Cohen Ltr to J Chapman(UBS) Portfolio Adjust
2010-08-20 G Cohen Ltr to L Mitau(US Bancorp) reg Portfolio Adjust
2010-08-20 G Cohen Ltr to Mizuho (E Tarlow) re Survey
2010-08-20 G Cohen Ltr to Nomura (D Findlay) re Survey
2010-08-20 G Cohen Ltr to R Brown(ML & BoA) reg Portfolio Adjust
2010-08-20 G Cohen Ltr to R Brown(PNC) reg Portfolio Adjust
2010-08-20 G Cohen Ltr to R Dorado(BNY Mellon) reg Portfolio Adjust
2010-08-20 G Cohen Ltr to R Kapper(Goldman) reg Portfolio Adjust
2010-08-20 G Cohen Ltr to R Klapper(Goldman) reg Portfolio Adjust
2010-08-20 G Cohen Ltr to R Yanes(MS) reg Portfolio Adjust
2010-08-20 Goldman (S Fredman) email to Seefer re Response 6-12 Request
2010-08-20 JPMorgan (J Carey) ltr to G Cohen re Doc Production
2010-08-20 JPMorgan (M Cipolla) ltr to G Cohen re Doc Production
2010-08-20 OCC (L Bridgman) ltr to C Seefer re Doc Production
2010-08-20 RBS (J Brenner) ltr to R Dodd re Doc Production
2010-08-20 S&P (R Rendell) ltr to R Borzekowski re Doc Production
2010-08-20 UBS (J Chapman) ltr to G Cohen re Doc Production
2010-08-23 Citi (B Karp) ltr to G Cohen re Doc Request
2010-08-23 Follow-up Letter to Gary Gensler.docx
2010-08-23 Follow-up Letter to M Greenberger
2010-08-31 RBS (R Brown) ltr to R Dodd re Doc Production
2010-08-31 RBS (R Brown) ltr to T Krebs re Doc Production

J. Draft Letters

1. Hearing 1 Follow up Letters
   a. 2010-02-03 Blankfein Follow Up Ltr 2
   b. Bass Hearing One follow up letter
   c. Cloutier Hearing One follow up letter
   d. Crawford Hearing One follow up letter
   e. Dimon Hearing 1 follow up Letter
   f. Gordon Hearing One follow up letter
   g. Mack Hearing 1 follow up letter
   h. Madigan Hearing One follow up letter
   i. Mayo Hearing One follow up letter
   j. Rosen Hearing One follow up letter
   k. Solomon Hearing One Follow up letter
   l. Suthers Hearing One follow up letter
   m. Theobald Hearing One follow up letter

2. Hearing 2-3 Follow-Up Letters
   a. 2010-06-01 ltr to Kilduff obo FannieMae re H2-3 follow-up questions
   b. 2010-06-01 Rogs to Fannie Mae in Follow-Up to Hearings 2-3
   c. 2010-06-01_ltr to DMudd Re Follow-Up Questions to 4-9-10 hearing
   d. 2010-06-01_ltr to DMudd Re Follow-Up Questions to 4-9-10 hearing2
   e. 2010-06-01_ltr to RLevin Re Follow-Up Questions to 4-9-10 hearing
   f. 2010-06-01_ltr to RLevin Re Follow-Up Questions to 4-9-10 hearing2
   g. 2010-06-03_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
   h. 2010-06-03_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
   i. 2010-06-04 Ltr to J Lockhart Re Follow-up Questions to 4-9-10 Hearing
   j. 2010-06-07 Rogs to Fannie Mae in Follow-Up to Hearings 2-3
   k. 2010-06-09_Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing
   l. 2010-06-09_Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing
   m. 2010-06-09_Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing
   n. 2010-06-09_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing

3. Hearing 4 Correspondence
   a. 2010-03-18 G Cohen ltr to OTS (D Dakin) re Doc Production
   b. Document Request Bear Repo
   c. Document Request to BONY
   d. Document Request to Fidelity
   e. Document Request to JPM (triparty repo)
   f. Reserve Primary Fund Document Request Letter

4. Hearing 5 (Derivatives) Correspondence
   a. 2010-06-14 Hearing Invite to Martin Sullivan
   b. 2010-06-14 Hearing Invite to Robert Lewis
   c. 2010-06-15 Hearing Invite to Steven Bensinger
   d. 2010-06-18 Hearing Invite to Eric Dinallo
   e. 2010-06-18 Hearing Invite to Gary Gensler
   f. 2010-06-22 Hearing Invite to Andrew Forster
   g. 2010-06-22 Hearing Invite to Joseph Cassano
   h. 2010-06-22 Hearing Invite to Michael Greenberger
   i. 2010-06-22 Hearing Invite to Michael Masters
   j. 2010-06-22 Hearing Invite to Peter Kyle
   k. 2010-06-22 Hearing Invite to Steve Kohlhaugen
   l. 2010-06-23 Hearing Invite to Clarence Lee
   m. 2010-06-23 Hearing Invite to David Broderick
   n. 2010-06-23 Hearing Invite to David Lehman
   o. 2010-06-23 Hearing Invite to David Viniar
   p. 2010-06-23 Hearing Invite to Gary Cohn
5. Hearing Credit Rating Agency Follow up

6. Hearing Disclosure Letters
   a. 2010-03-25 G Cohen ltr to DOT (G Madison) re Disclosure of Non-Public Information
   b. 2010-03-25 G Cohen ltr to FHFA (A Pollard) re Disclosure of Non-Public Information
   c. 2010-03-25 G Cohen ltr to OCC (J Williams) re Disclosure of Non-Public Information
   d. 2010-03-30 G Cohen ltr to FRB (D Caperton) re Disclosure of Non-Public Information
   e. 2010-03-31 G Cohen ltr to Citi (S Buergel) re Disclosure of Non-Public Information
   f. 2010-03-31 G Cohen ltr to Fannie (J Kilduff) re Disclosure of Non-Public Information
   g. 2010-03-31 G Cohen ltr to Fannie (J Kilduff) re Disclosure of Non-Public Information
   h. 2010-03-31 G Cohen ltr to Treasury (G Madison) re Follow-Up to Disclosure ltr
   i. 2010-04-28 G Cohen ltr to Federated (P Germaine) re Disclosure of Non Public Information
   j. 2010-04-28 G Cohen ltr to GE (R Brown) re Disclosure of Non Public Information
   k. 2010-04-28 G Cohen ltr to JPMorgan (B Karp) re Disclosure of Non Public Information
   l. 2010-04-28 G Cohen ltr to SEC (S Forstein) re Disclosure of Non Public Information

   m. Disclosure FRB NY
   n. document disclosure FED FCIC
   o. FW Current FRB Documents for use at the hearings
   p. FW Follow-Up Email to Brad Karp re Disclosure of JPMorgan Bear Steams Documents- With Additions
   q. Re Additional Document Per 330 Letter
   r. RE FCIC Letter re Disclosure of Non-Public Info- Follow-Up
   s. RE Urgent--Disclosure of Documents
   t. Urgent--Disclosure of Documents

7. Jeff Smith Rogs to Banks Re Mort Fraud
   a. 2010-05-20 Draft B of A Interrogatories
   b. 2010-05-20 Draft Rogs to Citi
   c. 2010-05-20 Draft Rogs to Deutsche
   d. 2010-05-20 Draft Rogs to JPMorgan
   e. 2010-05-20 Draft Wells Fargo Rogs
   f. 5-24-10 Letter to Deutsche Bank to Rescind 5-18-10 Roggs
   g. 5-24-10 Letter to JP Morgan to Rescind 5-18-10 Roggs
   h. 5-24-10 Letter2 Recission of 5-18-10 Roggs to BoA
   i. 5-24-10 Letter2 to Deutsche Bank to Rescind 5-18-10 Roggs
   j. 5-24-10 Recission of 5-18-10 Roggs to BoA

8. Rogs to Bank Regulators
   a. 2010-05-26_Interviews_FINRA_Derivatives
   b. 2010-05-26_Interviews_OTS_Derivatives
   c. 2010-05-26_Interviews_SEC_Derivativesv2
   d. 2010-06-03_Interviews_CFTC_Derivatives
   e. 2010-06-03_Interviews_FDIC_Derivatives
   f. 2010-06-03_Interviews_FINRA_Derivatives
   g. 2010-06-03_Interviews_OCC_Derivatives
   h. 2010-06-03_Interviews_OTS_Derivatives
   i. 2010-06-03_Interviews_SEC_Derivativesv2
   j. OTS_5-18-10

9. Thank You Letters
   a. 2010-1-15 Thank you ltr to Bass
   b. 2010-1-15 Thank you ltr to Cloutier
   c. 2010-1-15 Thank you ltr to Gordon
   d. 2010-1-15 Thank you ltr to Madigan
   e. 2010-1-15 Thank you ltr to Mayo
   f. 2010-1-15 Thank you ltr to Rosen
   g. 2010-1-15 Thank you ltr to Solomon
   h. 2010-1-15 Thank you ltr to Suters
   i. 2010-1-15 Thank you ltr to Theobald
   j. 2010-1-15 Thank you ltr to Zandi
k. 2010-1-20- Thank you ltr to Bair
l. 2010-1-20- Thank you ltr to Blankfein
m. 2010-1-20- Thank you ltr to Breuer
n. 2010-1-20- Thank you ltr to Crawford
o. 2010-1-20- Thank you ltr to Dimon
p. 2010-1-20- Thank you ltr to Holder
q. 2010-1-20- Thank you ltr to Mack
r. 2010-1-20- Thank you ltr to Moynihan
s. 2010-1-20- Thank you ltr to Schapiro
10. 06-30-2010 Letter to Michael J. Halloran
11. 2008-03-26 Email from Paul Friedman to Wayne Buchan, et al. re Thornburg Mortgage Deal Pricing
12. 2009-12-15 Doc Request Ltr to FRBNY
13. 2009-12-18 Confirmation letter to L Madigan
14. 2009-12-18 Confirmation letter to M Whitney
15. 2009-12-21 Confirmation letter to G Theobald
16. 2009-12-21 Confirmation letter to G Theobald
17. 2009-12-21 Confirmation letter to K Bass
18. 2009-12-21 Confirmation letter to K Rosen
19. 2009-12-21 Confirmation letter to K Rosen
20. 2009-12-21 Confirmation letter to L. Madigan
21. 2009-12-21 Confirmation letter to L_ Madigan
22. 2009-12-21 Confirmation letter to M Whitney
23. 2009-12-21 Confirmation letter to M Whitney
24. 2009-12-21 Confirmation letter to C Whalen
25. 2009-12-23 Confirmation letter to K Bass
26. 2009-12-23 Confirmation letter to L Fink
27. 2009-12-23 Confirmation letter to L Fink - version names banks
28. 2009-12-23 Confirmation letter to P Fisher
29. 2009-12-23 Confirmation letter to P Fisher - version names banks
30. 2009-12-23 Confirmation letter to P Solomon
31. 2009-12-23 Confirmation letter to P Solomon - version names banks
32. 2009-12-23 Confirmation letter to W Ackman
33. 2009-12-23 Confirmation letter to W Ackman - version names banks
34. 2009-12-28 Crawford Letter
35. 2009-12-28 Rosen Letter
36. 2009-12-28 Theobald Letetr
37. 2009-12-28 Zandi Letter
38. 2009-12-29 Ltr to Bass
39. 2009-12-29 Ltr to Rosen
40. 2009-12-29 Ltr to Solomon
41. 2009-12-29 Ltr to Suthrs
42. 2009-12-31 Confirmation letter to M Mayo
43. 2010.02.12 B Bondi Ltr to NYFed re Document Production
44. 2010-02-01 Draft Doc Demand to FHFA
45. 2010-02-01 Draft Doc Demand to FRB
46. 2010-02-01 Draft Doc Demand to FRBNY
47. 2010-02-01 Draft Doc Demand to Treasury
48. 2010-02-02 Draft Doc Demand to DOJ
49. 2010-02-02 Draft Doc Demand to DOL (Greenfield)
50. 2010-02-02 Draft Doc Demand to SEC
51. 2010-02-02 T Greene Ltr to B Moynihan
52. 2010-02-02 T Greene Ltr to J Dimon
53. 2010-02-02 T Greene Ltr to Morgan Stanley (J Mack)
54. 2010-02-03 Draft Doc Demand to ISDA (Tew Darras)
55. 2010-02-03 Follow up letter to SEC
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g. 2010-11-08 G Cohen Letter to R Retsinas re Use of Interview Material
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j. 2010-11-08 G Cohen Letter to W Buell re Use of Interview Material

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b. 2010-11-09 G Cohen Letter to A Frost re Use of Interview Materials
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2010-11-09 G Cohen Letter to T Fontana re Use of Interview Materials
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14. 2010-12-01
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b. 2010-12-01 G Cohen Ltr to Calhoun re Use of Interview Materials
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b. 2010-12-02 G Cohen Ltr to Mudd re Use of Quotes
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a. 2010-12-03 G Cohen Letter to Alix re Use of Quotes
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j. 2010-12-17 G Cohen Letter to Palumbo re Use of Quotes

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l. 2010-12-17 G Cohen Letter to Wood re Use of Quotes

m. 2010-12-20 G Cohen email re Use of Bernanke Quote

n. 2010-12-29 C Waskowicz letter to J Reed re Use of Quotes

o. 2010-12-31 Email re Use of Governor Cuomo's Interview with the FCIC

p. 2011-01-03 C Waskowicz letter to G Gorton re Use of Quote

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s. 2011-01-04 C Waskowicz Ltr re Use of Maheras Quote

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u. 2011-01-13 C Waskowicz letter to Director Mueller

v. 2011-01-13 C Waskowicz letter to J Swartz

w. 2011-01-13 C Waskowicz letter to J Wood

x. 2011-01-13 C Waskowicz letter to R Diamond

y. 2011-01-13 C Waskowicz letter to R Marcus

25. Response Letters

a. 12-17-10 K Kramer Ltr re Use of Dallavecchia MFR

b. 2010-11-10 Letter from R Brown to G Cohen re Steven Meier Interview

c. 2010-11-11 S Hanna Ltr re Release of Chris Ricciardi Interview

d. 2010-11-12 W Moran Ltr to G Cohen re Use of Dow Kim Interview

e. 2010-11-15 B Rohde email re Use of Gene Park Interview

f. 2010-11-19 OCC Letter re Use of Interview Materials

g. 2010-11-22 JPM (E Goldstein) Ltr to G Cohen re Disclosure of Confidential Material

h. 2010-11-22 K Kramer Ltr re Use of Dallavecchia Interview Material

i. 2010-11-23 Weicher Ltr re Use of Interview Materials

j. 2010-11-26 B Rohde Email re Use of Gene Park Quotes

k. 2010-11-29 D Elbaum Ltr re Use of J Goodman Interview

l. 2010-11-29 D Gussman Email re Use of MFR

m. 2010-11-29 T Pritchard Ltr to G Cohen re Use of J Kronthal Interview

n. 2010-12-01 E Goldstein Ltr to G Cohen re Use of JPM Bear MFRS

o. 2010-12-02 D Heyl Ltr re Use of Interview Materials for Gorton Frost and Micottis

p. 2010-12-02 E Goldstein Ltr to G Cohen re Tom Morano Quote

q. 2010-12-02 J Wareham Ltr re Use of Dan Mudd Interview Materials

r. 2010-12-03 J Gallgher email re Use of Syron Audio

s. 2010-12-06 A Rutledge email re Use of Quotes

t. 2010-12-06 Bridgman Email re Use of Comptroller Dugan Quotes

u. 2010-12-06 J Gallgher email re Use of Syron Quotes

v. 2010-12-06 K Winer Ltr re Use of Marzol MFR

w. 2010-12-06 Nunley email re Use of Richard Westerkamp Quote

x. 2010-12-07 Bosset email re Use of Eugene Ludwig Quote

y. 2010-12-07 D Zinman Ltr re Use of Charles Gates MFR

z. 2010-12-07 E Bosset Ltr re Use of Ludwig Interview Material

aa. 2010-12-07 E Kitchen email re Use of Robert Levin Quotes

ab. 2010-12-07 M Hingston Ltr re Use of Solomon Quotes

ac. 2010-12-07 P Hynes Ltr re Use of Dick Fuld Quote

ad. 2010-12-07 R Yanes Ltr re Use of D Wong Quotes

ae. 2010-12-08 B Rohde email re Use of G Park quotes

af. 2010-12-08 Ceresney Ltr re Use of Mukasey quotes

ag. 2010-12-08 E Goldstein Ltr to G Cohen re A Schwartz Interview

ah. 2010-12-08 E Goldstein Ltr to G Cohen re J Cayne Interview
2010-09-28 Citi (B Karp) ltr to T Borgers re Doc Production
2010-09-28 Harvey Miller Follow-Up Response
2010-09-28 ICAP (E Smith) ltr to R Dodd re Doc Production
2010-09-28 JPMorgan (J Carey) ltr to B Hinkley re Doc Production
2010-09-29 250 Capital (R Brown) ltr to G Cohen re Doc Request
2010-09-29 250 Capital (R Brown) ltr to P Kavounas re Doc Production
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2010-09-29 250 Capital (R Brown) ltr to P Kavounas re Doc Production
2010-09-29 ACA (S Berkowitz) ltr to G Cohen re Doc Request
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2010-09-29 M Greenberger ltr to W Edelberg re Doc Production
2010-09-30 American Home Mortgage (M Greecher) ltr to T Krebs re Doc Production
2010-09-30 BofA (R Brown) ltr to G Feldberg re Doc Production
2010-09-30 Clayton (P Bossidy) ltr to FCIC re K Jonhson Testimony
2010-09-30 FDIC (J Angel) ltr to T Borgers re Doc Production
2010-09-30 FRBNY (M Patrick) ltr 2 to B Bondi re Doc Production
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2010-09-30 Goldman Sachs (R Klapper) ltr to B Hinkley ltr to B Hinkley re Doc Production
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2010-09-30 Moody's Letter to FCIC re Clayton & Sacramento Hearing
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2010-10-01 Deutsche (W Ricciardi) ltr to G Cohen re Doc Request
2010-10-01 FinCEN (D Wade) ltr to G Cohen re Doc Request
2010-10-01 Florida SBA (M Hazen) ltr to G Cohen re Doc Production
2010-10-01 Follow-Up Letter to Alvarez
2010-10-01 Follow-Up Letter to Baxter
2010-10-01 Follow-Up Letter to Chairman Bair
2010-10-01 Follow-Up Letter to Chairman Bernanke
2010-10-01 Follow-Up Letter to Fuld
2010-10-01 Follow-Up Letter to Miller
2010-12-22 Citi (B Karp) ltr to G Cohen re Use of Citi Material
2010-12-22 Merrill (R Brown) ltr to G Cohen re Merrill CDOs
2010-12-23 JPM (J Carey) ltr to G Cohen re Use of JPM Documents
2010-12-27 OCC (J Williams) ltr to G Cohen re Use of OCC Materials
2010-12-29 C Waskowicz letter to S Ormsby (FBI)
2010-12-30 J Chapman ltr to G Cohen re Use of UBS Material
2010-6-22 Thank you ltr to Kerrey - NYC Hearing
2011-01-03 R Brown ltr to G Cohen re Use of BofA Materials
2011-01-04 J Kilduff ltr to G Cohen re Use of Fannie Materials
2011-01-04 S Nelles ltr to FCIC re Use of Moodys Material
2011-01-07 E Murtha ltr to G Cohen re Use of BNYM Materials
2011-01-10 Morgan Stanley (R Yanes) ltr to G Cohen re February 2 Interrogatories
2011-01-11. Attachment to Letter from Goldman Sachs (Blankfein) to Angelides - BSC Report
2011-01-11. Letter from Goldman Sachs (Blankfein) to Angelides re BSC Report
2011-01-21 B Karp ltr to G Cohen re Use of JPMorgan Material
2011-01-21 R Brown ltr to G Cohen re Use of BofA Material
2011-01-21 S Nelles ltr to G Cohen re Use of Moodys Materials
2011-01-21 Sharon Nelles ltr to G Cohen re Use of Moodys Material
2011-01-22 R Yanes ltr to G Cohen re Use of Morgan Stanley Material
2011-01-24 Angelides Invitation to GWU leadership
2011-01-25 Letter from Darrell Issa
2011-01-31 R Brown ltr to C Waskowicz re Use of BofA Materials
2011-01-31. Angelides ltr of response to Issa_Bachus_McHenry re doc request
2011-02-03 Chairman Bachus Letter to Comm. Born
2011-02-09. House Committee on Financial Services -Bachus - Hearing Invite to Angelides, Phil
2011-02-09. Letter from Edelberg to Stanton
2011-02-10 FCIC Ltr to DOJ re Referrals
2011-02-10 FCIC SIGNED Archives Access Letter
2011-02-11 Third_Response_Letter_to_House
2011-11-01. Moody's letter from Raymond McDaniel to FCIC -1
2011-11-11 Lloyd Blankfein letter to Comm. Born
2011-11-11 Lloyd Blankfein letter to Comm. Georgiou
2011-11-11 Lloyd Blankfein letter to Comm. Graham
2011-11-11 Lloyd Blankfein letter to Comm. Murren
2011-11-11 Lloyd Blankfein letter to Comm. Thompson
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III. Press
A. Advisories, Press Releases
   1. Media Advisory 1.10.10 - Final
   2. news release - senior staff appointments 11-17-09
   3. news release - senior staff appointments 11-20-09
   4. news release - senior staff appointments 12-14-09
   5. news release Greene 9-14-09
B. Background Information
   1. Commissioner Bios
   2. FCIC Statute of Creation
C. Clips
   1. Commissioner Press Clips- Hearing One
   2. Commissioner Press Clips- Hearing One
3. Press Clippings- Hearing One
4. Press Clippings- Hearing One

D. H1
1. H1 Unofficial Transcript
2. Hearing 1 RSVPs
3. Press Packet - Day 1 Fact Sheet Final
4. Press Packet - Day 2 Fact Sheet Final

E. Interviews
1. Background Briefings
   a. Templates
      1. Gretchen Morgenson
      2. Template
   b. Week 1
      1. Greg Ip
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r. Coffee Jr - 2009 - What Went Wrong A Tragedy in Three Acts
s. Commissioner follow up requests Hearing 1
t. comp appendix
u. Comp appendix 2
v. comp write up bruce
w. compensation blurb table
x. Compensation Citi Marheras Bushnell
y. Compensation section
z. Compensation Spreadsheet => Moodys
aa. confessions
ab. Copy of Lehman exposure
ac. Corporate Governance and Risk Management
passwords
penny stock book cover0000
people who contact FCIC
Potential Hearing 2 Questions.Maheras, Dominguez, Barnes(3.26 draft) bruce
Prince compensation calcs
Prince salary
cSI Downgrades Moody's july 10 2007
PSR Shadow exec summary
PSR_-_Shadow_Banking_0421 exec summary
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psr_-_shadow_banking_exec summary
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Residential Lease Agreement
Review of Clayton notes
Risk Management at Citigroup bgm
RM pull apart bgm
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Takeaways from Research and Investigation Plan
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The Corporate Library Moody's 5.13.2010
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Witt response to hearing follow up 19-07-2010
Moodys
bruce's work
Buffet background
Buffett addendum v2
Compensation Spreadsheet -- Moodys
CRA RIR DRAFT Confidential - BJB edits[1]
Moodys
Moody's 2009_Selected_Financial_Information
Moodys financial analysis
Moodys financial summary
Moody's financials
Moody's official financial from website
Moody's write up about Corporate Library
Moodys_rating_volume
Moodys_rating_volume_2
PSI rating agency follow up questions
RIR Bruce
rir investigative report
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t. State Investment boards
u. Sullivan and cromwell teleconference over Moodys docs
v. Summary Compensation Table -- Moodys
w. Underwriters labs

10. National City Corp
   a. National City Compensation
   b. National City Corp Comp picture
   c. National City Corp compensation
   d. National City Corp news articles
   e. National City Corporation

11. Personal
   a. CVs
      1. book cover pictures
      2. Bruce McWilliams resume
      3. Bruce McWilliams FHFA OIC Resume
      4. Bruce McWilliams's CV 2
      5. Bruce McWilliams's CV 3
      6. Bruce McWilliams's CV 4
      7. Bruce McWilliams's CV 5
      8. Bruce McWilliams's CV 6
      9. resume
   b. GWU Press Conf photos
      1. Financial-Crisis_UP_JMC_2011-0018
      2. Financial-Crisis_UP_JMC_2011-0058
      3. Financial-Crisis_UP_JMC_2011-0074
      5. Financial-Crisis_UP_JMC_2011-0123
      7. Financial-Crisis_UP_JMC_2011-0205
     10. Financial-Crisis_UP_WLA_2011-9192
   c. press conference photos
      1. FCIC Press Conf 001
      2. FCIC Press Conf 002
      3. FCIC Press Conf 003
      4. FCIC Press Conf 004
      5. FCIC Press Conf 005
      6. FCIC Press Conf 006
      7. FCIC Press Conf 007
      8. FCIC Press Conf 008
      9. FCIC Press Conf 009
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     11. FCIC Press Conf 011
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     15. FCIC Press Conf 015
     16. FCIC Press Conf 016
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6. FCIC Wrap Party (13)
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19. FCIC Wrap Party (6)
20. FCIC Wrap Party (7)
21. FCIC Wrap Party (8)
22. FCIC Wrap Party (9)

e. Aug expenses
f. Bruce Apria bill dispute
g. Bruce process control failure fraud
h. Capital Group Job letter
i. CRS Shogan thank you note
j. David Katz letter
k. Dodd Frank Bill studies
l. Eating progress
m. FHFA job letter
n. Intelligent Finance transfer nov 10
o. Kirstin
p. Krebs krebsian krebsi-isms
q. Planter questions
r. TBTF investigative paths
s. Warren COP job letter

12. SHADOW BANKING HEARING 4 FOLLOW UP
   a. 2010-10-15 Shadow Banking Follow Up Memo
   b. 2010-10-20 Shadow Banking Follow-Up Memo[1]
   c. Shadow Testimony Requests

13. TBTF
   a. Wachovia
      1. 200 page of email about wachovia wells citi deals
      2. 2008-08-13 B McWilliams MFR with Rich Westerkamp Richmond Fed
      3. Breaking the buck april 08 fed memo
      4. Fed response to Wachovia
      5. Fed supervisory assessment Wachovia July 08
      6. Fed view on Wells over Citi
      7. Wachovia liabilities
      8. Wachovia Liquidity - Bruce to Complete
      9. Wachovia liquidity quotes
     10. Wachovia liquidity quotes
     11. Wachovia Liquidity summary
     12. Wachovia Public Filings Regulator Comments
     13. Wachovia sept 25 26 29
     14. Wachovia update
     15. Westerkamp 1 page
   b. $100 billion question Haldane
   c. FRBNY docs list
   d. Money market fund exposure to Lehman
Primary Reserve Fund 4 TBTF
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Reserve funds Lehman holding
Russel funds Lehman holdings
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2010-06-16 LTR MCWILLIAMS TO JEFF GATES
2010-07-22 Audio Interview of Vick Beal Part II (copy)
Audio Review
Bear Stearns summary 04-28-10
Identified Documents by Batestamp and Title-3-29-10
Jeff Gates resume
Lehman CDS spread charts
links to FCIC investigative and research reports
MFR - Tom Boemio - 9-21-2010

BSCHULTZE
CMAYO
1. 9-11 Commission
   a. Documents from Stephanie Kaplan
      1. 911 Commission_Meeting Minutes
      2. Kaplan
      3. Kaplan2
      4. MFR_Albright
      5. Zelikow Memo to Staff
   b. 911 Meeting Notes
   c. 911 Memo
   d. Meeting with Stephanie Kaplan
   e. Philip Zelikow_11 25 09
   f. Piloting a Bipartisan Ship_Kirsten Lundberg
   g. Stephanie Kaplan
   h. When Government Writes History_Ernest May
2. Administrative
   a. Interviews
      1. COM__Kiley_Tom
      2. COM__Merz_Melissa
      3. COMM. Schulte, Beneva[1]
      4. Foley Hoag-Insmed letter[1]
      5. GC. Ochs, Brian A[1]
      6. GC. Redway, James[1]
      7. GC. Rezneck, Dan[1]
      8. GC. Roberts, Matthew[1]
     10. OTHR. Zuckerman, Sarah
     11. OTHR. Ames Sandler, Regina[1]
     12. OTHR. Davidson, Samuel
     13. OTHR. McCarden, Carl
     14. OTHR. Mintz, Steve[1]
     15. OTHR. Morain, Clara
     16. RD. Bothwell, James. pdf[1]
     17. RD. Koppell, Jonathan[1]
     18. RD. Mendelowitz.Allan[1]
     19. RD. Parenteau, Robert[1]
     20. RD. Rives, Elizabeth[1]
     21. RD. White, Adam[1]
     22. SS__Goodgame__Dan (2)
     23. SS__Greenberger_Scott
3. Articles

a. 148278-ceo_pay_2002
d. Financial Crisis Inquiry Commission Names Executive Director (2)
e. House passes financial reform_Washington Post
f. Madrick_ny review regulation final 10-18-09[1][1]
h. Not all on the same page_Economist_ 2010-07-01
i. Pecora Hearings (Moss, Bolton, and Kintgen - Draft Case Study - 2.13.09)[1]

4. FCIC Commissioner Meetings

a. 01-2010
   1. 1-20-09_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission5
   2. Agenda item 13 Legislative Update for Jan 2010 meeting
   3. Draft Meeting Minutes_01 20 09
   4. H1_Panelist and Guests
b. 09-2009
   1. 9-16 Agenda for Closed Meeting of Financial Crisis Inquiry Commission[1]
c. 10-2009
   1. 10-20-09 Agenda for Closed Meeting
   2. Agenda Item 8. 10-20-09 Meeting _FCIC_Preliminary Work Plan[1]
   3. FCIC Roundtable - October 20 2009 (4)[1]
d. 11-2009
   1. Additional Responses from Panelists
      a. Additional Responses_November
      b. How to Avoid[1] Luigi Zingales
c. Martin Baily Response
d. Responses to additional questions from the Financial Crisis Inquiry Commission_Hal Scott
e. Responses to additional questions from the Financial Crisis Inquiry Commission_Luigi Zingales
f. Responses to FCIC questions John B Taylor[1]
g. Responses_Hal Scott
h. Responses_John Taylor
  i. Responses_Joseph Stiglitz
  j. Scott_Basel Memo
k. Scott_Basel Memo[1]
l. Stiglitz_responses[1]
m. Title Page
n. Zingales_response

2. 11-17-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
3. Draft Meeting Minutes_11 17 09
4. FCIC Commissioners w photos
5. Packet for Nov 16 Dinner
6. Packet for Nov 16 Dinner (2)
7. White Board Meeting_11 05 09
8. White Board Meeting_11 12 09

e. 12-2009
  1. FCIC_Hearing 1_Outline_12-8-09
  2. FCIC_Revised Approach to Hearings and Briefings_12-1-09
  3. HR 4173 Summary
  4. Legislative Update 12 9

f. February Research Forum
  1. Bios and CVs
     a. AKashyap_Bio
     b. AKashyap_CV
     c. AKashyap_photo
     d. ALusardi_Bio
     e. ALusardi_CV
     f. ALusardi_photo
     g. CMayer_Bio
     h. CMayer_CV 8 9 08
     i. CMayer_photo
     j. DJaffee_Bio
     k. DJaffee_CV
     l. DJaffee_photo
     m. Gary Gorton_Bio
     n. Gary Gorton_photo
     o. Gary Gorton_vita Nov 12 2008
     p. JGeanakoplos_Bio
     q. JGeanakoplos_CV
     r. JGeanakoplos_photo
     s. MBrunnermeier_Bio
     t. MBrunnermeier_CV
     u. MBrunnermeier_photo
     v. POG_Bio
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     x. POG_photo
     y. RKroszner_Bio
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  2. Invite Letters
     a. Baily
     b. Baily2
5. **Hearing 2 Background**
   a. 1. OCC Witness Biographies
   b. c. Transcript of Interview with Dick Bowen 2010-02-27
   c. d. MFR of Interview with Susan Mills (Citi) 2010-02-03
   d. e. MFR of Interview with Murray Barnes _2010-03-02
   e. f. MFR of Interview with Nestor Dominguez _2010-03-02
   f. h. Transcript of Interview with Tom Maheras (Condensed format) _2010-03-10
   g. H2_Hill Contacts
   h. i. Transcript of Interview with Charles Prince (condensed version) 2010-03-17
   i. j. Transcript of Interview with Robert Rubin (condensed format)_2010-03-11

6. **Hearing 2&3**
   a. **Citi Articles**
      1. Along With a Lender Is Citigroup Buying Trouble_NYT_10-22-00
      2. Articles_Coversheet
      3. As Home Owners Face Strains Market Bets on Loan Defaults_WSJ_10-31-06 (2)
      4. Banking On Misery_Southern Exposure_Summer 2003 (2)
      5. Banks Push Combine Mortgage, Home-equity lines_WSJ_2-7-03
      6. Best Interests How Big Lenders Sell A Pricier Refinancing to Poor Homeowners_WSJ_12-7-01
      7. Citi Board the Worst_Study Says_CFO
      9. Citigroup Chief Is Set to Exit Amid Losses_NYT_11-3-07
      10. Citigroup Saw No Red Flags Even as It Made Bolder Bets_NYT_11-23-08
      11. Fed Assesses Citigroup Unit $70 Million in Loan Abuses_NYT_5-28-04
      12. How Fed Will Monitor Citi In Subprime_American Banker_10-30-02
      13. Is the Dance Over_Citigroup Is Upbeat_NYT_8-3-07
      14. Lender Lobbying Blitz Abetted Mortgage Mess_WSJ_12-31-07
      15. Prince Shows It's Not So Good to Be the Prince_Bloomberg_11-7-07
      16. Robert Rubin on the job he never wanted_11-28-07
      17. Robert Rubin What Meltdown_1-31-08
      18. Robert Rubins Free Ride_1-9-09
   b. 10-8-09_Senate Banking_Future of the Mortgage Market and the Housing Enterprises
   c. 6-3-09_HFSC Subcmt Cap Markets_Present Condition and Future Status of Fannie and Freddie
   e. Alan Greenspan Congressional Testimony
   f. b. Fannie Mae's Last Stand_B. McLean__Vanity Fair_2009-02
   g. Capitol Host
   h. Capitol Host_Catering Form
   i. Charles Prince articles
   j. Charles Prince_quotes
   k. Fannie Mae and Freddie Mac Hearings
   l. H2 briefing book labels
   m. H2 briefing book labels2
   n. h2_binder cover, etc
   o. h2_binder cover, etc2
   p. h2_binder cover, etc3
   q. H2_Briefing Binder Tabs
   r. H2_Judiciary Room Request
   s. H2_Logistical Questions
   t. H2_logistics_Commissioners
   u. Hearing 2 Special Guest List
10. Hearing 7
   a. 1 Draft of PSR on TBTF 8 26 10 (4)
   b. Background Binder Cover
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   d. Background Binder Tabs_Sections
   e. Briefing Binder Cover
   f. Briefing Binder Cover_Day1
   g. Briefing Binder Cover_Day2
   h. Briefing Binder Cover_Supplemental Documents
   i. Briefing Binder Tabs
   j. Briefing Binder Tabs2
   k. Catering_ Tues Sept 1
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   m. H7_Hill Contacts
   n. H7_Name Cards_Commissioners
   o. H7_Witness Name Cards
   p. Media Advisory 8 30 10
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   r. Senate Catering Request
   s. Witness Contact Information
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11. Hearing_Bakersfield Field Hearing
   a. Binder
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         a. Bakersfield Field Hearing_Invitation Letters
         b. Invitation Letter_Amble
         c. Invitation Letter_Amble SG
         d. Invitation Letter_Bynum
         e. Invitation Letter_Bynum SG
         f. Invitation Letter_Cattani
         g. Invitation Letter_Cattani SG
         h. Invitation Letter_Crabtree
         i. Invitation Letter_Crabtree SG
         j. Invitation Letter_McCarty
         k. Invitation Letter_McCarty SG
         l. Invitation Letter_McDermott
         m. Invitation Letter_McDermott SG
         n. Invitation Letter_Peterson
         o. Invitation Letter_Peterson SG
         p. Invitation Letter_Plank
         q. Invitation Letter_Plank SG
         r. Invitation Letter_Renock
         s. Invitation Letter_Renock SG
         t. Invitation Letter_Wiley
         u. Invitation Letter_Wiley SG
      2. Testimony
         a. Gary Crabtree
            1. 04_10 Market Conditions
            2. 06_10 MONTHLY HOUSING REPORT
            3. 07_10 MONTHLY HOUSING REPORT
            4. 1_06 MONTHLY HOUSING REPORT
            5. 10_07 Market Conditions
            6. Crabtree_Bio
         b. Jeannie McDermott
1. Jeannie McDermott_Chronology

c. Lloyd Plank
   1. Article_Plank
   2. Lloyd Plank_Testimony
   3. Lloyd Plank_Testimony2
   4. Testimony_Plank

d. Steve Renock
   1. Renock Bio

e. Public Forum_Submitted Testimony
f. Public Forum_Submitted Testimony_Dean_Addl Information
g. Public Forum_Submitted Testimony_Urner_Addl
h. Testimony_Peterson
i. Testimony_Wiley

3. Testimony and Suggested Questions
   a. Session 2
      1. A Cattani Son (template) (3)
      2. Renock_Community Contribution Doc 082410
      3. Renock_Delinquent Loan Analysis Doc 082410
      4. Session 2_Questions and Answers for Witnesses at Bakersfield Hearing
      5. Testimony_Renock
   b. Session 3
      1. Session 3_Questions and Answers for Witnesses at Bakersfield Hearing
      2. Testimony_Bynum
      3. Testimony_Petereson
   c. Session 4
      1. Crabtree Report_July 2010
      2. Crabtree_Market Conditions_04 10
      3. Session 4_Questions and Answers for Witnesses at Bakersfield Hearing
      4. Testimony_Plank
   d. Session 5
      1. Jeannie McDermott_Chronology_9 3 10
      2. Jeannie McDermott_Chronology2
      3. Session5_Questions and Answers for Witnesses at Bakersfield Hearing
      4. Testimony_Amble
      5. Testimony_Jeannie

4. Agenda_Commissioners_9 7 10
5. Article_Bak Cal_Community Banking
6. Article_Bak Cal_Community Banking2
7. Article_Bak Cal_Panelists
8. Article_Bak Cal_Report
9. Article_FDIC announces 99th bank failure
10. Bakersfield Panelist Biographies.Session 1 Welcome
11. Bakersfield Panelist Biographies_Session 2 Local Banks
12. Bakersfield Panelist Biographies_Session 3 Residential and Community Real Estate
13. Bakersfield Panelist Biographies_Session 4 Local Housing Market
14. Bakersfield Panelist Biographies_Session 5 Foreclosures and Loan Modifications
15. Bakersfield Regional Chartbook_v1
16. Bakersfield_Briefing Binder Cover
17. Bakersfield_Briefing Binder Tabs
18. Bakersfield_Field Hearing Index
19. Bakersfield_Logistics
20. Jerry Jost
21. WMT_Field Hearing Timeline (2)

b. 08 11 10_Phone Call Notes

c. Addresses_Panilists

d. ADMIN BLDG boc
12. Hearing_Las Vegas Field Hearing
   a. 08-25-2010 Hearing Invite to A Clinger
   b. 08-25-2010 Hearing Invite to B Gordon
   c. 08-25-2010 Hearing Invite to J Fontaine
   d. 1-1. REVISED Las Vegas Hearing Outline 090310
   e. 1-2. Revised LV Session 2 Bios
   f. 2010-08-18 Invitation Ltr LV Field Hearing - Aguero
   g. 2010-08-18 Invitation Ltr LV Field Hearing - Burks
   h. 2010-08-18 Invitation Ltr LV Field Hearing - Hill
   i. 2010-08-18 Invitation Ltr LV Field Hearing - Martin
   j. 2010-08-18 Invitation Ltr LV Field Hearing - Satre
   k. Additional Contact Information
   l. Fremont investigative report draft 9 05-1
   m. Hotel Information
   n. Las Vegas 8 31 10
   o. Las Vegas_Briefing Binder Cover
   p. Las Vegas_Briefing Binder Tabs
   q. Las Vegas_Logistics
   r. Las Vegas_Panelist Name Cards

13. Hearing_Miami Field Hearing
   a. Bio_Bill Black
   b. Bio_R Alex Acosta
   c. Commissioner Travel
   d. Miami_Panelists Contact Information
   e. Miami_Briefing Binder Cover
   f. Miami_Briefing Binder Tabs
   g. Miami_Contact Information
   h. Miami_Hotel_Room List
   i. Miami_Hotel_Sofitel
   j. Miami_Logistics
   k. Miami_Witness Contacts

14. Hearing_Sacramento Field Hearing
   a. Bios_Sacramento Hearing
   b. Sacramento_Briefing Binder Cover
   c. Sacramento_Briefing Binder Tabs
   d. Sacramento_Hotel_Sheraton Grand
   e. Sacramento_Logistics
   f. Sacramento_Panelist Contact Information

15. Materials for FCIC Website
   a. Moss_Bank Failures and Suspensions - Three Charts (12 14 2009)
   c. Scott_08-09-09_FDIC_Comment_Letter_Committee_on_Capital_Markets_Regulation
   d. Scott_08-Sept-24 Let's Get the Bank Rescue Right1
   e. Scott_09-Aug-21_The_Fed's_independence_is_at_risk1
   f. Scott_09-Dec-11_Do_We_Really_Need_a_Systemic_Regulator_by_Hal_S_Scott(WSJ)
   g. Scott_09-Feb-7 From Awful to Merely Bad Reviewing the Bank Rescue Options (WSJ)
   h. Scott_09-July-24 The Fed Can Lead on Financial Supervision1
   i. Scott_09-June_Wash_Post_Regulatory Structure Blog
   j. Scott_Committee Derivatives Letter (3-4-10)33
   k. Scott_TGFC-CCMR_Report_(5-26-09)_compressed (2)
   l. Taylor_Responses to FCIC questions

16. October and November Roundtable
17. Section III
a. #2010-10-03 (1300) - Section III - OUTLINE in progress
b. 2010-10-25 (2000) - Section III, Ch. 5 - sent to commissioners.docx; AF ed
c. 2010-10-29 (1900) - Section III - Ch. 8 - to commissioners.docx; AF ed; GS check
d. 2010-10-29 (1700) - Section III, Ch. 4 - to commissioners.docx; ed by AF.docx; BK-Mina
e. 2010-11-01 (2100) - Section III, Ch. 2 - ed by AF.docx; RC factchecked
f. 2010-11-08 (1800) - Section III, Ch. 3 - sent to commissioners.docx; AF ed
g. 2010-11-10 (1800) - Section III, Ch. 3 - sent to commissioners.docx; AF ed
h. 2010-11-11 (2000) - Section III - Ch. 9 - AF edit
i. 2010-11-15 (900) - Section III, Ch. 6 - GSEs - to commissioners - AF ed
j. 2010-11-18 (1300) - Section III - to print
k. 2010-11-21 (0200) - Section III, Ch. 7 -AF ed, RC checked
l. 2010-11-27 - Section III, Intro - MB edit

18. TARP
a. TARP

19. Witness List
a. 2010-12-03_Witness List Follow Up
b. 2010-12-03_Witness List Follow Up_Audio Only
c. author list 7 19 10_mid August2
d. Copy of Book1 8 10 10_Karen and Sarah
e. Copy of Book1 8 10 10_Troy
f. FCIC Document Inventory for Archives_Audio
g. FCIC Document Inventory for Archives_MFR
h. FCIC Master Contact List 10 13 10_Audio
i. FCIC Master Contact List 10 13 10_Audio
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k. FCIC Master Contact List 10 13 10_Audio and nothing
l. FCIC Master Contact List 10 13 10_interview_No MFR
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n. FCIC Master Contact List 10 13 10_Not Interviewed
o. FCIC Master Contact List 10 13 10_Not Interviewed
p. FCIC Master Contact List 10 13 10_Something Else
q. FCIC Master Contact List 10 13 10_Something Else
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s. FCIC Master Contact List 10 13 10_Witnesses etc
t. FCIC Master Contact List for 02 02 2011
u. FCIC Master Contact List for 02 04 2011
v. FCIC Master Contact List for 02 08 2011
w. FCIC Master Contact List for 10 11 10
x. FCIC Master Contact List for 10 11 10
y. FCIC Master Contact List for 10 13 10_interview_Nothing
z. FCIC Master Contact List for 10 13 10_interview_Nothing
aa. FCIC Master Contact List for 10 18 10
ab. FCIC Master Contact List for 10 18 10_both
ac. FCIC Master Contact List for 10 18 10_MFR only
ad. FCIC Master Contact List for 10 18 10_nothing
ae. FCIC Master Contact List for 10 23 10
af. FCIC Master Contact List for 10 23 10_remaining 1s and 2s
ag. FCIC Master Contact List for 10 29 10
ah. **FCIC Master Contact List for 11 05 10**
ai. **FCIC Master Contact List for 11 12 10**
aj. **FCIC Master Contact List for 11 22 10**
ak. **FCIC Master Contact List for 11 29 10**
al. **FCIC Master Contact List for 12 06 10**
am. **FCIC Master Contact List for 12 12 10**
an. **FCIC Master Contact List for 12 19 10**
ao. **FCIC Master Contact List for October 10**
ap. **FCIC Master Contact List for October 10**
aq. **FCIC Master Contact List_10 04 10**
ar. **FCIC Master Contact List_10 04 10**
as. **FCIC Master Contact List_10 13 10_MFR**
at. **FCIC Master Contact List_10 13 10_MFR**
au. **FCIC Master Contact List_10 13 10_Nothing**
av. **FCIC Master Contact List_10 13 10_Nothing**
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ay. **FCIC Master Contact List_8 17 10_not interviewed**
az. **FCIC Master Contact List_8 26 10**
ba. **FCIC Master Contact List_8 9 10**
bb. **FCIC Master Contact List_9 10 10**
bc. **FCIC Master Contact List_August**
bd. **FCIC Master Contact List_Brad**
be. **FCIC Master Contact List_Brad**
bf. **FCIC Master Contact List_Dixie**
bg. **FCIC Master Contact List_Dixie**
bh. **FCIC Master Contact List_end of June-July**
bi. **FCIC Master Contact List_mid August**
bj. **FCIC Master Contact List_mid August2**
bk. **FCIC Master Contact List_mid August2 (Autosaved)**
bl. **FCIC Master Contact List_Week of 04 10**
bn. **FCIC Master Contact List_Week of 10 04 10**
bo. **FCIC Master Contact List_Week of 10 08 10**
bp. **FCIC Master Contact List_Week of 9 27 10**
bq. **Interview List Template**
br. **Interview List Template_July**
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bu. **Missing on Grid_8 5 10**
bv. **New Names_8 6 10**
bw. **Witness List_8 16 10_not interviewed**
bx. **Witness List_8 9 10_Team 2**
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ca. **Witness List_missing MFRs_team 1**

20. **Witness List Template**
   a. **Interview List Template_4 16 10**
      1. **Interview List Template_4 16 10**
   b. **Interview List Template**
   c. **Interview List Template_03 25 10**

N. **CMCCARDEN**
   1. **Countrywide**
      a. **CGR Hearing 03-07-08**
   2. **Fannie Mae**
      a. **Fannie Mae Aug 07- March 08**
b. Reasons for FNMA Increased Risk-03-23-10

3. Goldman Sachs
   a. GS Synthetic CDO Deal Info Sheet

4. 2010-03-04-Thomas Lund MFR (copy)

5. Freddie 2007 writeup

6. Suggested Lockhart Questions

O. CMORAIN

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ap. 2009-11-16. GSA Email re FCIC Budget - 1
aq. 2009-11-16. GSA Email re FCIC Budget - 2
ar. 2009-11-16. GSA FCIC Budget-1
as. 2009-11-16. GSA FCIC Budget-2
at. 2009-11-16. GSA GKN Email correspondence - revised budget
au. 2009-11-17. GSA FCIC Budget - FULL
av. 2009-11-17. GSA FCIC Budget. SUMMARY
aw. 2010-02-10. GSA. FCIC Budget
ax. 2010-02-12. GSA. FCIC Budget
ay. 2010-02-22. GSA. FCIC Budget
az. 2010-03-10. GSA. FCIC Budget
ba. 2010-03-26. GSA FCIC Budget
bb. 2010-03-28. GSA. FCIC Budget
bc. 2010-04-20. GKN to GSA. FCIC Budget Execution
bd. 2010-04-20. GSA. FCIC Budget Execution
be. 2010-04-22. GSA FCIC Expenses by category FY 09 FY 20101 SUMMARY
bf. 2010-04-27. GSA. Expense Report
bg. 2010-04-30. GSA. FCIC Expenses by category thru April 2010
bh. 2010-05-18. GKN to GSA. FCIC Budget - Supplemental Prep
bi. 2010-05-25. GSA FCIC Budget
bj. 2010-06-16. GKN to GSA. FCIC Budget
bk. 2010-07-13. GSA FCIC Budget Execution
bm. 2010-07-26. GKN Analysis. Hock to GSA.
bn. 2010-07-30. GSA FCIC Expenses by category thru JULY 2010
bo. 2010-08-11. GKN Notes. Revisions to FCIC Budget with draft staff raises
bp. 2010-08-12. GKN Notes. Revisions to FCIC Budget
bq. 2010-08-30. Hock. FCIC Report3
br. 2010-09-30. GSA. FCIC 2010 ALL MONTHS OBS
bs. 2010-10-03. GSA. FCIC Budget
bt. Salary and Start Date Calculation Sheet (3)
bu. Schedule for FCIC Staff Departures
bv. Schedule for FCIC Staff Departures Nov 8th
bw. Schedule for FCIC Staff Departures_NEW

2. 2011 Budget Docs
   a. 2011-02-02-a. GSA and GKN Email Exchange re FCIC deobligations and categorization corrections
   b. 2011-02-02-b. GSA and GKN Email Exchange re FCIC deobligations dated 1-21-2011
   c. 2011-02-02-c. FCIC deobligations dated 1-21-2011
   d. 2011-02-02-d. GSA and GKN Email Exchange re FCIC budget categorization corrections
e. 2011-02-02-e. GKN Corrections to Budget categorization re FCIC budget
f. 2011-02-02-f. GSA Email re Status of Funds for FCIC Report
g. 2011-02-02-g. GSA Status of Funds for FCIC Report
h. 2011-02-07-a. GKN-GSA-Thomas Email Exchange re FCIC Budget
i. 2011-02-07-b. GSA FCIC FINANCIAL SUMMARY as of 2 4 11 _2_
j. 2011-02-07-c. GSA FCIC Budget FY 2011 as of 2 4 2011a
k. 2011-02-07-d. Edelberg analysis of GSA FCIC Budget FY 2011 as of 2 4 2011 -showing actual expenses
l. 2011-02-08. GKN-Thomas Email Exchange re FCIC Budget
m. 2011-02-10. Hock FCIC Budget
n. 2011-02-10. Waskowicz email to Issas office - budget

Supplement

01-12-2010. Ltr to Obey and Lewis re_additional FCIC allocation
031610.CJD.to.Inouye.reFCIC-request
09-12-2010. Ltr to Kent and Isakson re_additional FCIC allocation
2-25-2010_ FCIC Budget Supplement_Price Memo
7-27-2010. Copy of House Roll Count Vote on Authorizing Supplement
Budget Supplement Actualization 6-17-2010 (1)
Budget Supplement Actualization 6-28-2010 (1)
Budget Supplement Actualization 7-14-2010 (1)
Budget Supplement Actualization 8-3-2010 (1)
price draft (5)

Compensation - 2009

August 29 2009 Commissioner Compensation
a. 8-29-09 FCIC - Claim for Compensation
b. Angelides. 8-29-09 FCIC - Claim for Compensation
c. Born. 8-29-09 FCIC - Claim for Compensation
d. Georgiou. 8-29-09 FCIC - Claim for Compensation
e. Graham 8-29-09 FCIC - Claim for Compensation
f. Hennessey 8-29-09 FCIC - Claim for Compensation
g. Holtz Eakin 8-29-09 FCIC - Claim for Compensation
h. Murren 8-29-09 FCIC - Claim for Compensation
i. Thomas. 8-29-09 FCIC - Claim for Compensation
j. Thompson 8-29-09 FCIC - Claim for Compensation
k. Thompson 8-29-09 FCIC - Claim for Compensation
l. Wallison. 8-29-09 FCIC - Claim for Compensation

Misc Compensation Docs
a. FCIC - Claim for Compensation
b. payroll-calendar-2009 pdf
c. PERFORMANCE AWARD MORAIN
d. SPECIAL ACT AWARD MORAIN

October 24 2009 Commissioner Compensation
a. Angelides. FCIC - Claim for Compensation Oct 24-09
b. Born. FCIC - Claim for Compensation Oct 24-09
c. Georgiou. FCIC - Claim for Compensation Oct 24-09
d. Graham. FCIC - Claim for Compensation Oct 24-09
e. Hennessey. FCIC - Claim for Compensation Oct 24-09
f. Holtz-Eakin. FCIC - Claim for Compensation Oct 24-09
g. Murren. FCIC - Claim for Compensation Oct 24-09
h. Thomas. FCIC - Claim for Compensation Oct 24-09
i. Thompson. FCIC - Claim for Compensation Oct 24-09
j. Wallison. FCIC - Claim for Compensation Oct 24-09

October 9 2009 Commissioner Compensation
a. Angelides.FCIC - Claim for Compensation
b. Georgiou. Copy of FCIC - Claim for Compensation 100909
c. Holtz-Eakin.FCIC - Claim for Compensation
Murren. FCIC - Claim for Compensation


Thompson. FCIC - Claim for Compensation


5. September 12 2009 Commissioner Compensation
   a. FCIC - Claim for Compensation-September 12
   b. FCIC Compensation - 9-12-09

6. September 25 2009 Commissioner Compensation
   a. FCIC Compensation - 9-25-09

7. Staff Compensation - 2009
   a. Bachmann. FCIC - Claim for Compensation 10 24
   b. Bachmann. FCIC - Claim for Compensation October 08
   c. Ganz. FCIC - Claim for Compensation 10 24
   d. Ganz. FCIC - Claim for Compensation October 08
   e. Greene. FCIC - Claim for Compensation 10 24
   f. Greene. FCIC - Claim for Compensation October 08
   g. Mayo. FCIC - Claim for Compensation 10 24
   h. Mayo. FCIC - Claim for Compensation October 08
   i. Newsom. FCIC - Claim for Compensation 10 24
   j. Newsom. FCIC - Claim for Compensation October 08

c. Contracts - Consultants
   1. 01-25-2011. Colonial Catering GWU - FCIC Contract
   2. 2010-11-24 Art Wilmarth SIGNED Consulting Contract
   3. 2010-11-24 Kim Shafer SIGNED Consulting Contract
   4. 2011-01-07. FCIC Engagement Letter with Dickstein Shapiro- SIGNED
   5. Agreement-_Aristotle_Intl._Inc.[1]
   6. Andrew_Robinson_Contract
   8. baltake contract draft 10-29-09 doc _3_
   9. baltake contract draft 10-29-09.doc.
   10. Bruce McWilliams. Short Term Contract. 01-03-2011
   11. Eli Attie Confi Agreement 12-14-2010
   13. FCIC Contract_Suleman Khan_2010-06-10
   14. FCIC_Confidentiality
   15. Hock Letter of Engagement - Executed. 6-16-2010
   16. Kirsten Ling's Contract
   17. Lori Goodwine - LV Hearing-08--5-2010
   18. Lori Goodwine - LV Hearing-08--5-2010_INVOICE 1
   19. Lori Goodwine - LV Hearing-09-13-2010_INVOICE 1
   20. Mike Bryan Contract
   21. NORQ Contract. Executed 10-7-2010
   22. Resume_J Balder_FCIC_Aug 2010
   23. Short Term Consulting Contract Mina Simhai
   24. Short Term Consulting Contract Peter Kavounas
   25. SIGNED Amendment to the Literary Agent Agreement
   26. SIGNED_AMENDED_FCIC_Kim_Shafer_Contract_2010-04-06

d. Database
   1. 9-27-2010_FCIC List of Codes_Database
   2. Copy of FCIC database of Contacts
   3. FCIC Database Parameters for Staff 3-5-2010
   4. Gretchen_Kinney_Newsom_20100302131827_Financial_Crisis_Inquiry_Commission(1)
e. Ethics Background Materials
   2. 2009-12-31. Ltr from House Ethics - Lofgren - Delegation of Authority for FCIC - ethics office_Appro
3. BRAC additional rules
4. BRAC Procedural Rules
5. CD Memo Congressional Commissions Rules of Procedure 12-18-08
6. code of ethics for government service
7. Hearing Procedures_USCC
8. NGIS comission rules
9. OCE Code
10. rules of the House
11. USCC rules

f. GSA Administrative Docs - Credit Cards_MOU_Lease
   1. Credit Card. cb005 - Travel CBT Card Setup
   2. Credit Card. cb006 - Program Administrator Setup
   3. Credit Card. cb016 - Billing Account Set Up
   4. Credit Card. Greene.cb005 - Travel CBT Card Setup
   5. Credit Card. GSA_P.Angelides__FCIC_Credit Card Application
   6. Credit Card. Newsom.cb005 - Travel CBT Card Setup
   7. Credit Card. PaymentMthdFormat022007
   8. Credit Card. Signed.PA Credit Card App_FCIC_9-8-09
   9. Credit Card. Statement of Work - FCIC
   10. MOU. AAS - IA Part B Financial Crisis Inquiry Commission (3)
   11. MOU. Angelides Signed GSA IA Agreement 8-25-09.
   13. MOU. Executed FCIC-GSA- Fed Acq-WITS-MOU. 8-20-09
   14. MOU. Executed Financial Crisis Inquiry Commission MOU.8-17-09
   15. MOU. Executed Financial Crisis Inquiry Commission WITS_DAR_DARA_docs.8-25-09
   16. MOU. Financial_Crisis_Inquiry_Commission_MOU_6_Aug_09
   17. MOU. OCFO_IA_Financial_Crisis_Inquiry_Commission_-_20090811[1]
   18. MOU. PA Signed GSA MOUs (2)8-14-09.
   19. Phones. 1717 Pokethru Quote
   23. Phones. DAR Letter Greene
   24. Phones. DAR Spreadsheet 10-7-2009
   26. Phones. Hosted IP Centrex _HIPC_ with 4xT1 Internet Order 9-24-2009 _2_
   27. Phones. Voice Mail 10-7-2009
   29. Phones. VOIP WITS3_HIPC_pricing5
   32. Phones. Wiring Quote 9-2-2009
   33. Phones. WITS3 analog sets 9-30-2009
   34. Phones. WITS3 HIPC pricing5_4xT1
   35. Space Requirements Questionnaire (Basic)
   36. Space Requirements Questionnaire2 8-7-09
   37. Space. Executed Lease Agreement_FCIC__.9-8-09
   38. Space. FCIC letter - Confirmation of Space as lowest bidder
   39. Space. FCIC_chair_& _ED_office[1]
   40. Space. Floorplan.1717 Penn Ave 8th Flr As-Blt rev 08-24-09
   41. Space. Punch List 10-29-09
   42. Website. Domain_Name_Letter[1]
   43. Website. FCIC URL Authorization Letter
   44. Website. FCIC URL Authorization Letter

g. Office Docs
   1. COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE
Potential Personnel

1. 10-19-09 FCIC Candidates (2) (2)
2. ACCOUNTANT. Lea, Gareth
3. ACCOUNTANT. Lea, Gareth.REF
4. ACCOUNTANT. Medina, Menjie
5. ACCOUNTANT. Robinson, Kirby
6. ACCOUNTANT. Robinson, Kirby.COVER
7. Appendix. Binder2
8. Binder1
9. Binder1
10. Bothwell Reports & Publications
11. CAO. Lehmann, Chris
12. CAO. Lehmann, Chris.COVER
13. COMM. Alderson, Rhodes
14. COMM. Alderson, Rhodes. REF
15. COMM. Alderson, Rhodes.COVER
16. COMM. Alderson, Rhodes.references
17. COMM. Carlson, Dale
18. COMM. Carlson, Dale.COVER
19. COMM. Crow, Matt
20. COMM. Czechowski, Halle
21. COMM. Czechowski, Halle.COVER
22. COMM. Fezenberg, Alvin
23. COMM. Fishman, Michael
24. COMM. Folmar, Kate
25. COMM. Folmar, Kate.COVER
26. COMM. Hunter, Christopher
27. COMM. Hunter, Christopher.COVER
28. COMM. Kelleher, Linda
29. COMM. Kelleher, Linda.COVER
30. COMM. Kelleher, Linda.REF
31. COMM. Kiley, Tom
32. COMM. Kiley, Tom.BACKGROUND
33. COMM. Kiley, Tom.COVER
34. COMM. Kiley, Tom.REF
35. COMM. Merz, Melissa
36. COMM. Merz, Melissa. REF
37. COMM. Merz, Melissa.COVER
38. COMM. Merz, Melissa.REF
39. COMM. Metaxas, John
40. COMM. Metaxas, John.COVER
41. COMM. Miller, Katherine
42. COMM. Miller, Katherine.COVER
43. COMM. Murphy, Daniel
44. COMM. Murphy, Daniel.COVER
45. COMM. Owens, Alejandra
46. COMM. Owens, Alejandra.COVER
47. COMM. Prewett, Cecilia
48. COMM. Prewett, Cecilia.COVER
49. COMM. Prewett, Cecilia.references
INT. Driscoe, Lara

INT. Kellerman, Brooke

List - FCIC Potential Personnel

Master Resume Binder_9-12-09

MCAS_Globe

Other.Binder1

OTH R. PA. David Z Seide. 7-17-09

OTH R. PA. Grant Vinik. 7-19-09

OTH R. PA. Jonathan Feigelson. 7-17-09

OTH R. PA. Leonard Shambon. 7-17-09

OTH R. PA. Scott Rafferty. 7-22-09

OTH R. PA. Thomas Van Wazer. 7-17-09

OTH R. PA. Victor Mandel. 7-17-09

OTH R. Abraham, Tessie

OTH R. Adams, Kathleen

OTH R. Aitken, Lee

OTH R. Allen, Hilary Jane

OTH R. Alley, Hilary

OTH R. Alley, Hilary.COVER. Refs

OTH R. Ames Sandler, Regina

OTH R. Ames Sandler, Regina.COVER

OTH R. Bachman, Rob

OTH R. Bachman, Rob.COVER

OTH R. Barshay, Jill

OTH R. Barshay, Jill. COVER

OTH R. Barshay, Jill. COVER.REF

OTH R. Bennett, David

OTH R. Berger, James

OTH R. Berger, James.COVER

OTH R. Biddick, Kenneth

OTH R. Bingham, Suzanne

OTH R. Bingham, Suzanne.COVER

OTH R. Bock, Jerry T

OTH R. Bock, Jerry T.COVER

OTH R. Boone, Sylvia

OTH R. Boone, Sylvia.COVER

OTH R. Boone, Sylvia.REF

OTH R. Booros, Kristen

OTH R. Booros, Kristen, COVER

OTH R. Bosland, Chris

OTH R. Bosland, Chris.COVER

OTH R. Britton, Katherine

OTH R. Britton, Katherine.COVER

OTH R. Browne, Andrews

OTH R. Buhler, Roman

OTH R. Buhler, Roman.COVER

OTH R. Burk, Darcie

OTH R. Burk, Darcie.COVER

OTH R. Busette, Camille

OTH R. Busette, Camille.COVER

OTH R. Canizares, Elizabeth Keller

OTH R. Canizares, Elizabeth Keller.COVER

OTH R. Canova, Travis

OTH R. Chase, Christopher
Rejected. Ford, Joseph L.COVER
Rejected. Harshbarger, Scott
Rejected. Harshbarger, Scott.COVER
Rejected. Jackson, Howell
Rejected. Jackson, Howell.COVER
Rejected. Lynch, David
Rejected. Lynch, David.COVER
Rejected. Marlais, Don
Rejected. Marlais, Don.COVER
Rejected. McNicoll, Brian
Rejected. McNicoll, Brian.COVER
Rejected. Patriarca, Michael.ARTICLE
Rejected. Patriarca, Michael.COVER
Rejected. Rhinesmith.Alan.COVER
Rejected. Shambon, Leonard M
Rejected. Shambon, Leonard M.COVER
Rejected. Slaughter- Matthew
Rejected. Slaughter- Matthew.COVER
Rejected. Wood, Christy. COVER
Revised List - FCIC Potential Personnel
Revised List - FCIC Potential Personnel - INTERVIEW TO BE SET
Revised List - FCIC Potential Personnel
Romney_often_casts_himself_
Sources for Applicants
SS. Anderson, Ben
SS. Anderson, Ben.COVER
SS. Andrews, Edmund
SS. Auer, Lance William
SS. Barber, Philip
SS. Barber, Philip.REF
SS. Barber, PhilipCOVER.
SS. Baron, Neil
SS. Baron, Neil.COVER
SS. Bergman, William
SS. Bergman, William.COVER
SS. Biegelman, Martin
SS. Biegelman, Martin.COVER
SS. Biegelman, Martin.REF
SS. Blustein, Paul
SS. Blustein, Paul.COVER. pdf
SS. Bogos, Nicholas
SS. Bogos, Nicholas.COVER
SS. Bondi, Bradley
SS. Bondi, Bradley.COVER
SS. Bondi, Bradley.REF
SS. Borgers, Tom
SS. Borgers, Tom.COVER
SS. Borgers, Tom.REF
SS. Borgers, Tom.REF
SS. Brady, Bruce
SS. Brady, Bruce.COVER
SS. Brooks, Michelle
SS. Bryce, Benjamin
SS. Bryce, Benjamin.COVER
SS. Choharis, Peter
SS. Choharis, Peter
546. SS. Cohen, Gary
547. SS. Cohen, Joel
548. SS. Cumming, Isabel
549. SS. Cumming, Isabel
550. SS. Cwiklinski, Joseph Philip
551. SS. Cwiklinski, Joseph Philip
552. SS. DeWaal, Gary Alan
553. SS. DeWaal, Gary Alan
554. SS. Diermeier, Jeffrey
555. SS. Ebrahim, Margaret
556. SS. Fisher, Keith
557. SS. Forde, Timothy
558. SS. Frank, TA
559. SS. Frank, TA
560. SS. Frank, TA
561. SS. Goldberg, Ronald
562. SS. Goldman, Eugene L
563. SS. Goldman, Eugene L
564. SS. Goldman, Eugene L
565. SS. Goodgame, Dan
566. SS. Goodgame, Dan
567. SS. Greenberger, Scott
568. SS. Greenberger, Scott
569. SS. Hand, Lesly
570. SS. Hargett, Joe A
571. SS. Hargett, Joe A
572. SS. Harris, Peter
573. SS. Harris, Peter
574. SS. Hartnett, Paul J
575. SS. Hartnett, Paul J
576. SS. Hasler, Julie
577. SS. Hasler, Julie
578. SS. Hayn
579. SS. Haynes, Elizabeth
580. SS. Haynes, Elizabeth
581. SS. Haynes, Elizabeth
582. SS. Haynes, Elizabeth
583. SS. Heath, Wesley
584. SS. Heath, Wesley
585. SS. Heavens Jr., James
586. SS. Hill, Chuck
587. SS. Hill, Chuck
588. SS. Hinkley, Robert
589. SS. Hinkley, Robert
590. SS. Hinkley, Robert
591. SS. Hoffman, Peter
592. SS. Hollowell, Doug
593. SS. Hollowell, Doug
594. SS. Hosp, Edward - Ted
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597. SS. Howes, G. Paul
598. SS. Howes, G. Paul
599. SS. Innelli, John
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SS. Scott, Stephen J. COVER
SS. Scott, Stephen J. REF
SS. Seefer, Christopher
SS. Seefer, Christopher. COVER
SS. Seefer, Christopher. REF
SS. Seide, David Z
SS. Seide, David Z. COVER
SS. Seller, Robert
SS. Seller, Robert. COVER
SS. Shafer, Kim
SS. Shafer, Kim Leslie
SS. Shapiro, Richard
SS. Shapiro, Richard. COVER
SS. Shepard, Stephen B
SS. Shepard, Stephen B. COVER
SS. Simhai, Mina
SS. Simhai, Mina REF
SS. Simhai, Mina. COVER
SS. Stafford, Rebecca
SS. Stanton, Martin
SS. Stemp, Kevin
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SS. Tafara, Ethiopis
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SS. Teachout, Zephyr
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SS. Toohey, Frank
SS. Toohey, Frank. COVER
SS. Trissell, Teresa
SS. Trissell, Teresa. COVER
SS. Tyler, Peter
SS. Tyler, Peter. COVER
SS. Van Wazer, Tom
SS. Van Wazer, Tom. COVER
SS. Van Wazer, Tom. REF
SS. Vinik, Grant R.
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SS. Von Furstenberg, George
SS. Von Furstenberg, George. COVER
SS. Wahl, George
SS. Wahl, George. COVER
SS. Weinkrantz, Daniel
SS. Weinkrantz, Daniel. COVER
SS. Weisbrod, Stephen
SS. Weisbrod, Stephen. COVER
SS. Wessel, David
SS. Wessel, David. COVER
SS. Wheeler, Donald
SS. White, Adam
SS. White, Adam. COVER
SS. Wolff, Paul M
SS. Wolff, Paul M. COVER
8. Announcements - 2009
   a. Angelides FCIC announcement joint
   b. Angelides FCIC talking points
   c. ANNOUNCEMENT CONTACT ROSTER
   d. MEDIA OUTREACH
   e. Phil Angelides. Bio. 7-6-09
   f. Phil Angelides. Fact Sheet for Commission Announcement
   g. Phil Angelides. Long for Commission Announcement
   h. Phil Angelides. Short Bio for Commission Announcement
   i. Phil Angelides. Newspaper Quotes for Commission Announcement
   j. Press Release - DEM. Appointments
   k. Press Release - REP. Appointments to FCIC

3. Audio Recordings - Meetings, Forums, Hearings
   a. 090916_FCIC Closed Session Meeting
4. Business Meeting and Retreat Agendas
   a. 2009_08 (August 19 Business Meeting)
      1. 2009-08-19. Archive - Final FCIC Business Meeting Agenda with attachments
      2. Agenda Item 10 - Future Meetings
      4. Agenda Item 5. Delegation to Execute Agreements
      5. Agenda Item 7. Proposed Motion
      6. Agenda Item 8 - Travel etc memo
      7. Agenda Item 8. Travel, Per Diem, Compensation
      8. Agenda Item 9 - Sept Meeting
         Telecon
      10. FCIC Agenda and Attachments - Telecon on 8-19-09
      11. Item 7 - Draft Motion and Ethics Memo
      12. payroll-calendar-2009 pdf
      13. Roster and Vote Count
   b. 2009_09 (Sept 16-17 - Business Mtg & Public Mtg)
      1. 2009-09-16. Archives. FCIC Business Mtg Agenda
      2. 2009-09-17. Archives. FCIC Agenda for Public Meeting
      3. 8-19-09_FCIC Minutes
      4. 9-16_draft ethics rules.memo.Blodgett
      5. 9-16 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
      6. 9-17 Agenda for Meeting of Financial Crisis Inquiry Commission
      7. Commissioner Statements_ 9-17-09.fcic
      8. Email Background - Federal Register notice.9-17-09 mtg
      9. FCIC Rules of Procedure.8-26-09
      10. FCIC_Minutes_Dinner_9-16-09 TG 1
      11. FCIC_Public Notice in Fed Reg_Spetember
      12. Federal Register notice.9-17-09 mtg
      13. Financial Crisis Inquiry Commission Notice of Public Hearing
      14. Transcript_ 9-17-09.fcic
   c. 2009_10 (October 20 Business Mtg and Roundtables)
      1. 10-20-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
      2. 10-20-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
      3. 2009-10-20. Archives. FCIC Agenda for Closed Meeting of Financial Crisis Inquiry Commission
      4. Action Items from FCIC Meeting of 10-20-2009
      5. Agenda Item 8. 10-20-09 Meeting _FCIC_Preliminary Work Plan
      7. FCIC Roundtable - October 20 2009 (4)
      8. GKn notes
      9. Minutes for FCIC Hearing Sept 17
      10. notes. 10-20-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
      11. Roster and Vote Count
   d. 2009_11 (Nov 16 Dinner and Nov 17 Meeting-Sessions)
      1. 10. Transcript_ Closed Session Meeting with Bernanke_nov 17 2009
      2. 11-17-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
      3. 11-17-09 Agenda for Closed Meeting of Financial Crisis Inquiry Commission
      4. 9. Transcript_ Closed Session Meeting with Geithner_Nov 17 2009
      5. geithner_-_treasury
      6. Packet for Nov 16 Dinner
   e. 2009_12 (December 14 FCIC Business Meeting)
      1. 12-14-09_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]
f. 2010_01 (January)
   1. 1-20-09_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]
   6. Agenda item 13. Legislative Update for Jan 2010 meeting
   7. Agenda item 9. Revised Ethics Guidelines for Commissioners for Jan 2010 meeting
   8. Agenda item 9. Revised Ethics Guidelines for Commissioners for Jan 2010 meeting
   9. Ltr from House Ethics - Delegation of Authority for FCIC Approved. 12-31-2009
   10. Notes. Agenda for 1-20-10 Financial Crisis Inquiry Commission Telephonic Business Meeting of

h. 2010_02 (February)
   1. 2-17-09_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]
   2. Feb_Leg_Update[1]

i. 2010_03 (March)
   1. 3-16-09_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]
   2. Delegation of Authority - renew in March 2010

j. 2010_04 (April)
   1. 4-20-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]
   2. GKN NOTES.4-20-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]

k. 2010_05 (May)
   1. 5-18-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]
   2. GKN Notes. 5-18-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]

l. 2010_06 (June 3-4 RETREAT)
   1. 6-15-10. Agenda Items 8 and 9 - Outline and Process for Review and Calendar

m. 2010_07 (July)
   2. Addendum. FCIC Process for Review and Approval of the Report by the Commission June 28 redline to Ju
   3. Addendum. FCIC Report outline 6 28 redline to 6 14
   4. Davis Polk Financial Reform Summary
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n. 2010_07 (REPEAT)
   1. Agenda for Financial Crisis Inquiry Commission Retreat - 7-28 and 7-29
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   3. DHE_BB_PW_HM_WMT_PA_KH. ByronG
   4. GKN Notes - Agenda for Financial Crisis Inquiry Commission Retreat - 7-28 and 7-29
   5. GKN Notes. Level 1 outline
   6. GKN Notes.DHE_BB_PW_HM_WMT_PA_KH. ByronG

o. 2010_08 (August)
   1. 8-17-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission[1]

p. 2010_09 (September 1-3) (REPEAT)
   1. Agenda for Financial Crisis Inquiry Commission Hearing on TBTF and Retreat (3)

q. 2010_09 (September 28-29) (REPEAT)
   1. Agenda for Financial Crisis Inquiry Commission Retreat 9-28 and 9-29
   2. Attachment 1. Proposed Framework for Discussion for Commissioners
   3. Attachment 2. Outline for September 1-3
   4. Attachment 3. 2010-09-01 Commissioners Meeting - Comments on Draft Areas of Discussion
   5. Attachment 4. 2010-9-4 Derivatives Takeaways
6. Attachment 5. 2010-09-26 section III through SIVs to commissioners
8. GKN Notes. Agenda for Financial Crisis Inquiry Commission Retreat 9-28 and 9-29
9. Notes Taken at 9-28-10 Retreat Meeting by Wendy
r. 2010_09 (September)
  1. 9-14-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission (1)
  2. FCIC Delegation to Execute Agreements and Contracts - Exp Sept. 30th
  3. GKN Notes. 9-14-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission (1)
s. 2010_10 (October 26-27 RETREAT)
  1. Agenda for Financial Crisis Inquiry Commission Retreat 10-26 and 10-27
  2. Agenda Item 3 - Minutes of 10-12-2010 Meeting
t. 2010_10 (October)
  1. 10-12-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission
  2. Agenda Item 9 - Clayton and Moodys Letters -2
  3. GKN Notes. 10-12-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission
u. 2010_11 (November 17th Business Meeting)
  1. Agenda for Financial Crisis Inquiry Commission Retreat 11-17
  2. Agenda Item 3 - Attachment - Approved Amendment to Commission Rules_1
  3. GKN Notes. Revised Agenda for Financial Crisis Inquiry Commission Meeting 11-17-2010
  4. GKN Notes. Revised Agenda for Financial Crisis Inquiry Commission Meeting 11-17-2010
  6. Revised Agenda for Financial Crisis Inquiry Commission Meeting 11-17-2010
v. 2010_11 (November 3-4 RETREAT and Business Mtg)
  1. 10-26-2010. Chairman Angelides Preliminary Outline - Findings
  2. Agenda for Financial Crisis Inquiry Commission Retreat 11-3 thru 11-5
  3. Agenda Item 3 - Minutes of 10-12-2010 Meeting
  4. Agenda Item 4 - Amendment to Commission Rules
  5. GKN Notes. 10-26-2010. Chairman Angelides Preliminary Outline - Findings
  6. GKN Notes. REVISED Agenda for Financial Crisis Inquiry Commission Retreat 11-3
  7. GKN Notes. REVISED Agenda for Financial Crisis Inquiry Commission Retreat 11-3 thru 11-5
  8. GKN Notes. REVISED Agenda for Financial Crisis Inquiry Commission. Business Meeting.11-4
  9. GKN Notes. Vice Chairman's Mark Discussion Draft 11 02 2010 final
  10. REVISED Agenda for Financial Crisis Inquiry Commission Retreat 11-3 thru 11-5
  11. Vice Chairman's Mark Discussion Draft 11 02 2010 final
w. 2010_12 (December 15 and 16)
  1. Agenda for Financial Crisis Inquiry Commission Meeting 12-15 and 12-16-2010 (1)
  2. Agenda Item 3 for FCIC Meeting 12-15-2010. Minutes for Approval
  3. Agenda Item 4 for FCIC Meeting 12-15-2010. Minutes for Approval
  4. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 12-15 and 12-16-2010 (1)
x. 2010_12 (December 22 and 23)
  1. 2010-12-15 - Full FCIC Report
  2. Agenda for Financial Crisis Inquiry Commission Meeting 12-22-2010
  3. Agenda for Financial Crisis Inquiry Commission Meeting 12-23-2010
  4. Agenda for Financial Crisis Inquiry Commission Meeting 12-23-2010- OLD
  5. Agenda item 7 for FCIC Mtg on 12-22-2010. Memorandum Regarding Quotation and Interview Objections(2)
  6. GKN NOTES. Agenda for Financial Crisis Inquiry Commission Meeting 12-23-2010
y. 2010_12 (December 6 and 7)
  1. Agenda for Financial Crisis Inquiry Commission Meeting 12-6 and 12-7-2010
  2. Agenda Item 4 - Materials for Chairman-Vice Chairs Report
  3. Agenda Item 6. Revised Procedures for Additional or Dissenting Views (2)
  4. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 12-6 and 12-7-2010
z. 2011_01 (January 24, 2011 - Business Mtg)
  1. Agenda for Financial Crisis Inquiry Commission Meeting 1-24-2011
  2. Agenda Item 7a. FCIC Beta Site 1 Abridged
  3. Agenda Item 7b. FCIC Beta Site 2 Abridged
  4. GKN NOTES. Agenda for Financial Crisis Inquiry Commission Meeting 1-24-2011
aa. 2011_01 (January 29, 2011 - Business Mtg)
1. 2010-07-27 DEI to Angelides-FCIC - request info due 8-10
2. 2011-01-25 DEI to Angelides-FCIC - Document Request due 1-31
3. Agenda for Financial Crisis Inquiry Commission Meeting 1-29-2011
4. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 1-29-2011
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ab. 2011_01 (January 6, 2011 - Business-Report Mtg)
1. Agenda for Financial Crisis Inquiry Commission Meeting 1-6-2011
2. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 1-6-2011

ac. 2011_02 (Feb 9th Business-Concluding Meeting)
1. Agenda for Financial Crisis Inquiry Commission Meeting 2-9-2011
2. Agenda Item 3 - Attachment 3. FCIC Beta Site 1 Abridged
3. Agenda Item 3 - Attachment 4. FCIC Beta Site 2 Abridged
4. GKN Notes. Agenda for Financial Crisis Inquiry Commission Meeting 2-9-2011
5. Hennessey vote on Action Items of 2-9-2011 Concluding Meeting
6. Holtz-Eakin vote on Action Items of 2-9-2011 Concluding Meeting
7. Supplemental Background. 2010-03-19 K Dubas memo re interview with...
8. Supplemental Background. Transcript of Interview with Dick Bowen 2010-02-27
9. Wallison vote on Action Items of 2-9-2011 Concluding Meeting

ad. Attendance

5. Commission Docs
   a. Financial Disclosure
   b. Commissioner Working Groups as of 2-11-2010
   c. Commissioner_Working_Groups_with_staff_point_person[1]
   d. FCIC_Email_11-17-09
   e. FCIC_omnibus_2-16-10
   f. Future_FCIC_Meetings_Hearings_Original v New_2010-05-11 (GKN)
   g. Proposed_Commissioner_Working_Groups[1]

6. Correspondence
   a. Correspondence Received
   1. 2010-01-21_Congressmembers Sterns and Israel.FCIC request - pre-report on June 15
   2. 2010-01-27_Congressmember Delahunt. Rec of A.Leahy
   b. 2010-1-27 Thank you ltr to Rangle - Hearing Room
   c. 2010-1-29_Authorization_Ltr for GSA_furniture_&_equipment[1]
   d. 2010-2-04 Thank you ltr to Delahunt - Rec of Aidan Leahy
   e. 2010-2-19 Thank you ltr to Jonathan Johnson.Overstock.com
   f. 2010-4-14 Thank you ltr to Waxman - Hearing Room
   g. Ltr to Chair Rangel. TY for 1100 Longworth
   h. Ltr to Cong. Delahunt. TY for rec of Aidan Leheay
   i. TY Letter to Dean Grossman - WCL - Forum_ 3-2-2010

7. GKN Notes
   a. Retreat
   b. 12-15-2010. Republican FCIC Primer
   c. 2010-09-29. Meeting Notes
   d. 2010-11-01. Meeting Notes
   e. 2010-11-03. Meeting Notes
   f. 2010-11-30. Meeting Notes
   g. 2011-01-13. Rollout Meeting
   h. 6-25-2010. Excess Risk Working Group Mtg. ERS Research and Investigative Plan_2010-06-24 (2)
   i. 7-21-2010. GKN Notes. section I outline mtg with PA and BT
   j. 7-21-2010. GKN Notes. section I outline mtg with PA and BT
   k. Book Highlights
   l. Book Highlights Doc (2)
   m. Book Outline Meeting Notes 6-8-2010
   n. Draft Table of Contents - All
8. Hearings
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      1. 2010-9-4 Derivatives Takeaways
      2. takeaway from housing research and investigative plan (8-25)
      3. takeaway_from_credit_rating_agencies_research_and_investigation_plan_8_25
      4. takeaway_from_shadow_banking_research_and_investigative_plan_7_22
   b. Derivatives - June 2 and 3
      1. Misc Docs - Derivatives
         a. 6-27-2010 Working Group Mtg - GKN Notes. 2010-06-27 Derivatives Hearing Questions
         b. AIG CDO Portfolio Concentrations
         c. AIG Executive Compensation
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         e. Collateral Call Timeline - for the record
         f. Collateral Calls by GS on AIGFP CDS - Totals
         g. CONFIDENTIAL Collateral Call Timeline for internal use only
         h. Derivatives Hearing Briefing Session
         i. FOR HEARING AIG Risk Management
         j. FOR HEARING Summary of AIG 12-5-07 Statements
         k. Goldmans use of Derivatives
         l. List of AIG executives who did know AIG contracts contained a mark
         m. Notes - Working Group Meeting Derivatives _2010-06-11
         n. Notes - Working Group Meeting Derivatives _2010-06-23
         o. TIMELINE - Minute by Minute Agenda for Financial Crisis Inquiry Commission Hearing on
         p. Winners and Losers in Abacus 2004 v2
      2. derivatives_hearing_day_one_transcripts- MARK UP
      3. derivatives_hearing_day_two_transcript- MARK UP
      4. FCIC Derivatives_hearing_day_one_transcript_FINAL
      5. FCIC Derivatives_hearing_day_two_transcript_FINAL
   c. February 2010 Forum
      1. Old Versions - Forum Materials
         a. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis[1]
         b. 2-26-27-2010_Agenda_for_Forum_to_Explore_Causes_of_Financial_Crisis_v3[1]
         c. Media_Advisory_2.9.10[1]
      2. PowerPoint Presentations
         a. 1. Kroszner FCIC Feb 2010
         b. 2. Gouinachs
         c. 3. Geanakoplos.financial crisis inquiry committee congress feb 2010
         d. 4. Lusardi
         e. 5. Mayer-FCIC-LessonsLearned-02-27-2010-Mayer
         f. 6. Jaffee.DJFCICFeb25
         g. 7. Brunnermeier_FCIC_Brunnermeier
         h. 8.Kashyap.fcic
      3. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis[final]
      4. forum_binder (appendix) _distributed to Commission
      5. forum_binder_distributed to Commissioners
      6. Media_Advisory_2.10.10
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   d. Field Hearings
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         a. Highlights from the Bakersfield Field Hearing
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   a. FACILITIES AV. Equipment for Las Vegas Hearing
   b. FCIC Las Vegas Field Hearing 9-8-2010-TIMELINE
   c. Georgiou and Murren - field hearing - conf call notes 7-12 2010-
   d. Highlights from the Nevada Field Hearing
   e. Las Vegas Field Hearing 090810 invite list (2)
   f. Las Vegas Hearing Outline 071410
   g. Las Vegas Hearing Outline 080510
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Miami Field Hearing - Graham
   a. Graham - field hearing - conf call notes _7-9 2010-
   b. Miami Field Hearing Follow-Up Questions
   c. Miami Field Hearing Interesting Quotes
   d. Miami Field Hearing Transcript with Notations
   e. Mortgage Fraud Report as of May 12 2010
   f. Mortgage Fraud Report _7-22-2010

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   a. ~$kersfield Field hearing Transcript FINAL
   b. ~$financial Crisis Inquiry Commission field hearings memo _7-2.2010-
   c. Bakersfield Field Hearing Follow-Up Questions and Items for the Record
   d. Bakersfield Field hearing Transcript FINAL
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   f. FCIC - WORKING EAA TIMELINE (2)
   g. Financial Crisis Inquiry Commission field hearings memo _6.15.2010-
   h. Financial Crisis Inquiry Commission field hearings memo _7-2.2010-
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   j. Las Vegas Field Hearing Follow-Up Questions
   k. Las Vegas Field Hearing Interesting Quotes
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   1. 1-10-2009 DRAFT Q & A
   2. 1-10-2009 DRAFT Q & A
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   4. 2010-1-4_Hearing_One_Briefing_Agenda
   5. Blankfein Mack Quotes
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4. TBTF Hearing Transcript - Day One-MARK UP
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         b. 090717 FCIC Interview with Bloomberg
         c. 090720 FCIC Interview with Julie Kosterlitz - National Journal
         d. 090721 FCIC Interview with Michael Hiltzik. LA Times Memo
         e. 090721 FCIC Interview with Michael Hiltzik. LA Times Memo
         f. 090721 FCIC Interview with Talking Points Memo
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         j. 090724 FCIC Interview with Capitol Public Radio
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         l. 090724 FCIC Interview with Las Vegas Sun
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         o. 090728 FCIC Interview with New York Times. Teresa Twitch
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cv. 100317 FCIC Interview with Fortune Magazine
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10. Office Admin
   a. Budget
      1. FCIC Travel Calculations_as of 1-21-2010
   b. ORG Charts (Organizational Charts)
      1. 2009-11-10. FCIC_Org Chart_DRAFT from T Greene
      2. 2009-11-10. FCIC_Org Chart_DRAFT from T Greene
      3. 2009-12-03. FCIC Org Chart. Draft from S. Boone
      4. 2009-12-09. FCIC Org Chart. Draft from S. Boone
      5. 2009-12-14. FCIC Org Chart. Draft from S. Boone
      6. 2010-01-16. FCIC Organizational_Chart_Chairman Angelides to Commissioners
      7. 2010-01-16. RE_FCIC Organizational_Chart_EMAIL from Chairman Angelides to Commissioners
      8. 2010-02-02. FCIC Org Chart. Draft from S. Ganz
      9. 2010-02-03. FCIC Org Chart_Chairman Angelides to Commissioners
      10. 2010-02-03. RE FCIC Org Chart_EMAIL from Chairman Angelides to Commissioners
      11. 2010-02-23. FCIC Org Chart_G. Newsom to Commissioners
      12. 2010-06-09. FCIC Org Chart DRAFT- INTERNAL_S. Ganz
      13. 2010-06-23. FCIC Org Chart. Draft from S. Ganz
      14. 2010-06-24. FCIC Org Chart DRAFT_S. Ganz
      15. 2010-06-26. FCIC Org Chart_No Additional Funds_S. Ganz
      16. 2010-06-26. FCIC Org Chart_With Additional Funds_S. Ganz
      17. 2010-07-07. FCIC Org Chart. Draft from G. Newsom to Chairman Angelides
      18. 2010-07-07. RE FCIC Org Chart_EMAIL. Draft from G. Newsom to Chairman Angelides
      19. 2010-08-09. FCIC Org Chart_Draft_S. Ganz
      20. 2010-08-17. FCIC Org Chart_Draft_S. Ganz
      21. 2010-11-03 FCIC Org Chart_Internal Draft for Archives_S Ganz

11. Research
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      2. 2. Staff Statement 10
      3. 3. 9-11 Commission Staff Org Chart
      4. 4. Zelikow Workplan Memo
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         c. 3. 9-11 Commission Staff Org Chart
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      9. 9. 911_Memo[1]
      10. 10. 911CommissionerPaymentDocs[1]
      11. 11. 911CommissionInterviewHandbook
      12. 12. Interview Guidelines
      13. 13. Memo from Andrew Card, Chief of Staff to Executive Agency Heads re 911 Commission
      14. 14. MFR - Madeline Albright
      15. 15. Piloting a Bipartisan Ship_Kirsten Lundberg
      16. 16. Staff Statement 11
      17. 17. Staff Statement 12
      18. 18. Staff Statement 2
      19. 19. Team 6 Sample Work Plan
      20. 20. When Government Writes History_Ernest May
   b. Pecora Commission
      1. Fletcher. Pecora Committee Continue. 3-14-1933
2. NYT - Financial Inquiries and the Pecora Legacy. 5-6-09
3. Pecora Appointment Announcement. NYT.104291918
4. Pecora Commission Report - 19340606_sensep_rpt
5. PECORA QUOTES
6. Pecora.JP Morgan. NYT100887310

c. 12-1-2010. Packet - Unnamed Quotes - FCIC - Negativity
d. 12-19-2010. CEO culpability
e. Commission Reports - Examples of Dissents
f. Examples of Commissions Not Meeting Statutory Deadline for Issuance of Report
g. Examples of Commissions Not Meeting Statutory Deadline for Issuance of Report - PA
h. List of FCIC Investigative Efforts Still Underway
i. TW - Newsworthy Witness Remarks
j. Unnamed Quotes - Negativity

12. The Report - Versions Sent to Commission and Notes
   a. 1-6-2011 Components for MASTER FINAL to COMMISSION
      1. Chapter 1. 2011-01-02 - (Part A) - Sent to commissioners
      2. Chapter 10. 2010-01-02 - (Section II, Ch. 5) - Sent to Commissioners
      3. Chapter 11. 2011-01-03 - (Section II, Ch. 6) - sent to Commissioners
      4. Chapter 12. 2011-01-03 (1600) - (Section III, Ch. 1) - Sent to Commissioners
      5. Chapter 13. 2011-01-03 - (1700) (Section III, Ch. 2) - Sent to Commissioners
      6. Chapter 14. 2011-01-04 - (Section III, Ch. 3 - Sent to Commissioners(3)
      7. Chapter 15. - _2011-01-04_(2300) - (Section III, Ch. 4 - Sent to Commissioners
      8. Chapter 16. 2011-1-4 - (Section III, Ch. 5 - Sent to Commissioners(2)
      9. Chapter 17. 2011-01-04 - (Section III, Ch. 6) - Sent to Commissioners
     10. Chapter 18. 2011-01-05 (0900) - (Section III, Ch. 7) - Sent to Commissioners
     11. Chapter 19. 2011-01-04 (2300) - (Section III - Ch. 8) - Sent to Commissioners
     12. Chapter 2. 2011-1-1 - (Section I, Ch. 1) - to commissioners
     13. Chapter 20. 2011-01-05 - Section III - Ch. 9 - Sent to Commissioners
     14. Chapter 21. 2011-01-05 (1700) - Section IV, Ch. 1 - Sent to Commissioners
     15. Chapter 22. 2011-01-05 (2100) - Section IV, Ch. 2 - sent to Commissioners
     16. Chapter 3. 2011-1-1 - (Section I, Ch. 2) - to commissioners
     17. Chapter 4. 2011-1-2 - (Section I, Ch. 3) - to commissioners
     18. Chapter 5. 2011-1-2 - (Section I, Ch. 4) - to commissioners
     19. Chapter 6. 2011-1-1 - (Section II, Ch. 1) - to commissioners
     20. Chapter 7. 2010-01-03 (1100) - (Section II, Ch. 2) - sent to Commissioners
     21. Chapter 8. 2011-01-04 - (Section II, Ch. 3) - sent to Commissioners
     22. Chapter 9. 2011-01-06 (0100) - (Section II, Ch. 4) - sent to commissioners
     23. Glossary
     25. REVISED 1-5-2011 - Revised Main Findings - (Version without footnotes - sent to Commissioners)

b. 2011-01-06. Reports Sent to Commissioners for Vote
   1. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION_ - B. Born
   5. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION_ - Hennessey
   7. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23 VERSION_ - Murren

**c. Final Redlined Revisions Sent to Commissioners**
1. Binder of Revisions - Sent to Commissioners - Redlined against 1-6 version.
2. Full Set of Post 1-6-2011 Edits as provided by Maryann and Wendy to Gretchen
3. Original Binder of Revisions - Redlined against 1-6 version.

**d. OLD Versions**
1. Original Chapters to Commissioners
   a. 12 10 2010- Full Draft Report - All Pages
   b. 12 3 2010 Section A
   c. 2010-11-23 Section 1 Chapter 3
   d. 2010-11-23 Section 1 Ch 2
   e. 2010-11-23 section I intro
   f. 2010-11-30 Section II Chapter 1
   g. 2010-12-03 - Section II Chapter 2
   h. 2010-12-06 Section II Chapter 3
   i. 2010-12-09 - Section II - Chapter 5
   j. 2010-12-09 - Section II chapter 4
   k. 2010-12-12 - Section II chapter 6
   l. Section 1 Chapter 1 11 23
   m. Section I chapter 4 11 24
   n. Section III Chapter 1 and 2 to Commissioners
   o. Section III Chapter 3 to commissioners
   p. Section III Chapter 4 to commissioners. 2010-10-19 __ __
   q. Section III Chapter 5 to Commissioners 2010-10-24 __
   r. Section III Chapter 6 to Commissioners. REVISED 2010-11-12
   s. Section III Chapter 6 to commissioners. 2010 10 24
   t. Section III Chapter 7 to Commissioners. 2010-10-29
   u. Section III Chapter 8 to commissioners 2010-10-21
   v. Section III Chapter 9 to Commissioners. 2010-11-09

2. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - ANGELIDES
3. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Angelides -1
4. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Born -1
5. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Georgiou -1
6. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Graham -1
7. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Hennessey -1
8. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Holtz-Eakin -1
9. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Murren -1
10. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thomas -1
11. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Thompson -1
12. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version - Wallison -1
13. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version. MASTER
14. 2011-01-03. Full FCIC Report REDLINED against 12-23-10 version. MASTER 2
15. Back_Cover_-_For_Commission_Distribution
16. Front_Cover_-_For_Commission_Distribution

**e. 01-18-2011 - Blue Draft**

**f. 1-19-2011 to 12-23**

**g. 1-19-2011 version redlined against 12-23-2010 version**

**h. 2010-12-23 Full FCIC Report - FINAL for PRELIMINARY VOTE on 12-23-10 (CLEAN)**

**i. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (CLEAN)**

**j. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (REDLINE AGAINST 12-23 VERSION)**

**k. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (REDLINE AGAINST 12-23 VERSION) - Edelberg**

**l. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE (REDLINE AGAINST 12-23 VERSION) 1**
m. 2011-01-06 Full FCIC Report - FINAL for Reconfirmation VOTE _REDLINE AGAINST 12-23
VERSION_EDELBERG
n. 2011-01-07 Full FCIC Report - FINAL for Publisher (CLEAN)
o. 2011-01-07 Full FCIC Report - FINAL for Publisher (REDLINED against 1-6 Commission Approved Version)
p. 2011-01-07 Full FCIC Report - FINAL for Publisher _REDLINED against 1-6 Commission Approved Version_Edelberg
q. 2011-01-07 Full FCIC Report - FINAL for Publisher _REDLINED against 1-6 Commission Approved Version
r. 2011-01-07 Full FCIC Report - for Publisher _CLEAN_STAFF COPY
s. 2011-01-19 Full FCIC Report - FINAL for Publisher with revisions redlined against 1-6 version (CLEAN)
t. Chapter Conclusions.
u. Footnotes Master
v. Main Conclusions.

13. Transcripts
   a. REVIEW_HRG_TRANSCRIPT_SHEET[1]
   b. Transcript__Closed Session Meeting with Geithner_Nov 17 2009

14. Work Groups
   a. Derivatives
      1. GKN Notes - derivatives_takeaways_packet - 7-28
   b. H4 - Shadow Banking
      1. 5-26-2010 H4 Working Group Meeting - TakeAways
      2. Shadow_Banking_RIP_and_hearing_draft[1]
      3. Staff_PA_DHE_BB Takeaways from Shadow Banking R and I plan and Mtg Notes form 5-26-2010
   c. Housing_GSEs
      1. PA Housing and GSE Takeaways from Housing R and I plan (4)
   d. Too Big Too Fail
      1. GKN Notes. TBTF update 7 28 (2)
      2. TBTF_RI Plan_2010-05-28 (2)
   e. Commissioner_Working_Groups_with_staff_point_person_and staff team_UPDATED

15. Work Plan
   a. 10-16-2009. Draft Work Plan
   b. 11-13-2009. Implementing Work Plan Memo
   c. 11-6-2009. Revised Workplan
   d. 12-1-2009. Revised approach to Hearings
   e. FCIC_omnibus_2-16-10
   f. FCIC_Work_Plan_Omni_12-13-09[1]
   g. Timeline of Omnibus Work Plan
   h. Timeline of Omnibus Work Plan_Hearing Plan_K
   i. Work Plan 11-18-09

16. FCIC Production Calendar
    Z. GSHEMIN
       1. 2007 ML Docs Requested Not Produced
       2. 2010-07-15 Goldman Email
       3. 2010-11-11-MFR of FCIC Interview with Wing Chau
       4. 23 Ann Rev Banking & Fin L 225 Art Wilmarth Article
       5. 57 Fed Reg 62890-01 OCC Fed FDIC OTS 1992 Final Rule
       6. AIG-FCIC535403-54
       7. August 24 Inserts for Wachovia Summary PIR
       8. August 25 2010 Response Chairman Angelides Comments re Wachovia Summary PIR
       9. BAC-FCIC-0000008121
      10. BAC-FCIC-0000073451
      11. Chris August 26 Wachovia Summary PIR Inserts
      12. Draft Hearing Questions for Corston 8 27 2010
      14. Draft Hearing Questions for Steel
      16. Figure 2 Inserts for Wachovia Summary PIR August 26 2010
      17. Freddie Goal Qualifying Rates for PLS Purchases 2003 to 2006
March 5 2007 Letter from the Fed to DOJ
Mass Fraud Complaint

McLean Indictment_0810201014465100

Miami-Dade Mortgage Fraud

Mortgage Bankers Assn. Comments

Mortgage Fraud Interview Questions

mortgagefraudnews0809

Mtg_Fraud_wp_Feb2010

Mukasey Declines to Create a U.S. Task Force to Investigate Mortgage Fraud -

Mukasey outline (10-20-10 REVISED)

Mukasey outline (10-20-10)

NatFrtrndsQ406_FNL

Natl Assn. of Federal Credit Unions Comments

Natl Assn. of Realtors Comments

NGUYEN AND PONTELL

Orson Benn

PIO News Release - Mortgage Company Fraud - Draft (2) - with quotes FINAL (4)

PIO News Release - Mortgage Company Fraud - Facts Sheet FINAL

Proponents of mortgage fraud may also adhere to strain theory during down

Questions for Judge Mukasey

Questions for Rachel Dollar

R. Weich to G. Cohen FCIC Resp 10.21.10

Responses to October 18 2010 FCIC request

Responses to October 18 2010 FCIC request

SelfHelp2004AnnualReport-1

Silencing the Whistleblowers _ The Big Money

Subpoena to Mr. Chris Swecker

Tripp v. IndyMAC (3rd Amended Complaint 6-6-08)

TRIVIALIZATION PAPER with Bill Gil CPP OCTOBER 5th--formatted final

US homes lost to foreclosure up 25 pct on year - wtop.com

VITA PONTELL 8-10

WaPo Article on mortgage fraud case

Washington Post Article, November 2009

Wells Fargo FinCEN Report, 8-10

Wells Fargo Rogs (2) CS

Wells Fargo suggest that there is 50% ot 75% change that GSEs will be liquidated

Wilcox Bio

Center for Responsible Lending

Darcy Parmer (Wells Fargo)

Ed Parker (Ameriquest)

Edward Gallagher - Miami Dade Police Dept.

Ellen Wilcox - FDLE

FBI-DOJ

Jonathan Kempner (MBA)

MF Report and Charts
a. CW 2005 originations versus referrals for M Fraud
b. DRAFT 2010-09-17
c. DRAFT 2010-09-23 Mortgage Fraud Report
d. DRAFT 2010-10-08 with Chairman's comments and additions
e. DRAFT 2010-10-15
f. DRAFT 2010-10-18
g. DRAFT 2010-10-22
h. DRAFT 2010-10-25 bad origination (Wendy Edelberg edit)
i. DRAFT 2010-10-26 bad origination
j. DRAFT 2010-10-29 bad origination
k. DRAFT 2010-11-09 bad origination
l. DRAFT 2010-11-12 bad origination
m. DRAFT 2010-11-16 bad origination
n. MF Charts
o. MF Timeline
p. MF Timeline
q. Mortgage Fraud Charts
r. Mortgage Fraud Losses FY 2004 - March 2009

11. Miami Field Hearing
   a. 2007SWPAnnualReport
   b. 2008SWPAnnualReport
   c. AnnualReport2005
d. Bill Black testimony
e. Dennis J. Black FCIC testimony
f. FCIC Written Testimony of R Scott Palmer Final
g. FCICHearing_Supplemental_AFulmer_092910
h. FCICHearingTestimony-AnnFulmer-FINAL
   i. Miami Field Hearing Follow
   j. Miami Field Hearing Questions
   k. Miami Field Hearing Transcript
   l. Pontell response to question from Miami hearing
m. Pontell Testimony FLA 9-21-10
   n. Questions for Ann Fulmer-Bill Black-Henry Pontell
   o. Questions for Ann Fulmer-Bill Black-Henry Pontell
   q. Questions for Tom Cardwell - Florida Office of Financial Regulation
   r. Questions for USA Ferrer and Scott Palmer
   s. Questions for USA Ferrer and Scott Palmer
t. Questions for Wilcox, Gallagher, Dennis Black and Rubin
   u. Questions for Wilcox, Gallagher, Dennis Black and Rubin
   v. SWP2006Report

12. Misc.
   a. 2010-04-09 MFR with Tom LaMalfa & Ed Pinto (G. Wahl edit)
b. CM_ECF - vaed
c. FCIC Logo
d. Gramm-Leach-Bliley Act Disclosures
e. The CAQ - Center for Audit Quality - Newsroom
   f. The CAQ - Center for Audit Quality - Newsroom (2)

13. Mortgage Asset Research Institute
   a. 2010-02-24 G Cohen ltr to Lexis (K Hinrichs) re Treatment of Non-Public Informaiton
   b. 2010-02-25 Doc Request to MARI
c. 2-22-10 Doc Request to LexisNexis (MARI)
d. FCIC to LexisNexis request for info re MARI 2-24-10
e. MARI Doc Request Schedule A
   f. MARI mortgage fraud report 2008Q1
g. MARI mortgage fraud report 2008Q2
h. MARI mortgage fraud report 2008Q4
i. MARI Report to MBA January 2001
j. MARI Report to MBA January 2002
k. MARI Report to MBA January 2003
l. MARI Report to MBA June 2004
m. MARI Report to MBA March 1999
n. MARI report to MBA March 2000
o. MARI Report to MBA March 2008
p. MARI Report to MBA March 2009
q. MARI Report to MBA May 2005
r. MARI report to MBA May 2006
s. MARI Report to MBA May 2007

   a. 2010-03-19 FCIC Doc Request to Mortgage Bankers Assn (A Lawrence)
   b. 3-16-10 Doc Request to Mortgage Bankers Assn (MBA)
   c. MBAA - Mortgage Fraud Strengthening Federal and State Mortgage Fraud Prevention Efforts

15. Mortgage Fraud Report and Exec. Summary
   a. Mortgage Fraud Executive Summary (Gary Cohen Edit)
   b. Mortgage Fraud Report
   c. Mortgage Fraud Report - SUMMARY (copy)
   d. Mortgage Fraud Report (copy)

16. Nevada MF

17. OCC
   a. OCC MF Memo 2008-11-25

18. OFHEO-FHFA
   a. OFHEO Final Rule on Mortgage Fraud - August 2005
   b. OFHEOPARNovember2005
   c. OFHEOPARNovember2006
   d. OFHEOPARNovember2007508

19. Rachel Dollar
   a. 2010-05-19 Rachel Dollar MFR FINAL

20. Rachel Steinmetz
   a. 2010-10-14 MFR of Rachel Steinmetz (FINAL)

21. SAFE Act
   a. Mandates-SAFE
   b. MLO-Requirements-SAFE
   c. SAFE-Act
   d. SummaryofSAFEAct

22. Tim Doyle (Mortgage Bankers Assn.)
   a. 2010-05-27 REVIEWED Tim Doyle, Mortgage Bankers Association

23. Tony Accetta (Bear Stearns)
   a. 2010-05-28 Tony Accetta MFR FINAL

24. Whistleblowers

25. William Black
   a. William Black - UMKC School of Law
   b. William Black bio short
   c. William Black Lehman,House Fin hearing,final WKB test.
   d. William Black Senate crime subcomm testimony

26. World Outlook Management
   a. Lobbying Guide
   b. WOM #1
   c. WOM #2
   d. WOM #3
   e. WOM #4
   f. WOM #5
   g. WOM #6
   h. WOM #7
27. 2006 FBI MF report
28. 2007 FBI MF report
29. 2008 FBI MF report
30. 2009 FBI MF report
31. Chris Swecker Interview Questions
32. Fannie Mae MF stats
33. FBI Las Vegas MF press release
34. FBI MF press release
35. FBI MF Task Force press release
36. MF news articles
37. Miami Field Hearing
38. Nevada MF cases

AB. JArmstrong
   1. 2010-09-24 Bubba Grimsley
   2. 2010-11-12 Section III Quotes Pulled (JA)
   3. fact checking
   4. Goldman Sachs 2008 10K
   5. Objections

AC. JCOLLINS
   1. 2010-10-29 (1800) - Section III, Ch. 3 - sent to commissioners; AF ed(1)
   2. 2010-10-29 (1800) - Section III, Ch. 3 Collins fact check
   3. 2010-12-01 (1900) - Section II, Ch. 1 - AF ed.(1)
   4. 2010-12-01 (1900) - Section II, Ch. 1 - AF ed.(4)
   5. 2010-12-07 (2200) - Section II, Ch.3 - AF edits
   6. 2010-12-19 (2300) - Section I Ch 3- sent to commissioners
   7. 2010-12-19 (2300) - Section I, Ch. 3- sent to commissioners
   8. 2010-12-19 (2300) - Section I, Ch. 3- sent to commissioners(4)
   9. Appendix III
   10. Compensation Abbreviated
   11. Countrywide 2Q06
   12. Countrywide 3Q06
   13. Countrywide compensation structure
   14. Draft Compensation TOPIR13
   15. Draft Compensation TOPIR15
   16. Draft Compensation TOPIR7 (2)
   17. Long Beach Mortgage
   18. Rainmaker
   19. Section I Ch 2 fact check

AD. jdanganan

AE. JMARCACCI
   1. Bear Stearns Segment
   2. Common Wealth Bank of Australia MFR
   3. Compensation Charts Excel
   4. Compensation Charts Word
   5. IB Segment Charts v2
   6. IB Segment Charts v3
   7. Morgan Stanley Segments
   8. SEC Actions on Abusive Short Selling

AF. JMiller
   1. Shadow Banking
   2. Shadow banking products 07 02 10.doc

AG. JPOULIN
   1. Audio files
      a. R. Golden 2 - accounting
2. MFRs
3. misc
   a. FV questions
   b. misc
   c. SEC and FDIC responses on MTM
4. Submitted data
   a. BHC Taxes report
5. AIG FP segment
6. Citi retained interests

AH. JSmith
1. Bear Stearns Paul Friedman Statements Summary
   a. Summary Outline of Paul Friedman Statements
2. 2010-04-13 Draft Doc Demand to OTS (2)
3. 2010-05-05 HEARING 4 TRANSCRIPT DAY ONE
4. 2010-05-06 HEARING 4 TRANSCRIPT DAY TWO
5. 2010-05-18 Draft B of A Interrogatories
6. 2010-06-10 Draft Exec Summary of AIG Investigative Reporterver 719pm june 15 GN edits
7. 2010-06-17_JHawke Response to H2-3 Follow-Up
8. Addresses for Regulatory Agencies
9. Amy Stroupe Interview Prep
10. Bear Stearns Draft Investigative Report 05-01-10
11. Bear Stearns Liquidity Chart through Collapse
12. Compensation for Bear Execs Page 1
13. Cont. Research for DNorman For Commissioner Report_4-17-10
14. CSE Exam Liquidity and Risk Management Deficiencies
15. cse timeline
16. Derivative narrative Bear
17. Draft for Derivatives Interrogatory
18. Filename List for CSE_SEC&_Bear
19. Follow-Up Commissioner Rogs from Hearing 4
20. Hearing 2-3 Follow-Up Summary Memo
21. June 3 doc request to FRBNY
22. Leverage Ration for Bear and other IBs
23. MFR for Interview with Amy Stroupe
24. Mortgage Fraud Memo
25. Mortgage Fraud Report_GWahl
26. Notes from discussions with Counsel regarding Responses to H2_3
27. PIMCO
28. PIMCO and State Street Company Overview
29. Press Release Regarding Tim Doyle
30. Questions for Schwartz
31. REPO Market Risk Masking Between Quarters
32. Research project
33. Review of ROGs for Goldman
34. SAR Disclosure Legal Research
35. Scanned Capital Ratio Graph
36. SEC Explanation of Bear Collapse as a Liquidity Crisis
37. SEC Interview Questions
38. Spreadsheet for H2-3 Follow-up
39. Straddles BNA
40. Summary of Bear Stearns_JP Morgan Acquisition
41. Summary of FRB Document Production 6-21-10
42. Summary of Mortgage Fraud Interview Findings
43. Wachovia Document Production Follow-Up Requests5-14-10
44. Wachovia Follow-Up to Document Requests
45. Wyman Report Analysis for Commissioner Report
AI.  kdowney
   1.  Kirstin Downey preliminary workplan
   2.  test
   3.  test
AJ.  KDUBAS
   1.  Book
      a.  Latest Version Drafts
         1.  2010-09-30--Section III Chap 3 for Karen - KMD response to Chair comments
         2.  2010-10-05 (1730) Section III Chap 3--staff comments merged wme ghf
         3.  2010-10-05 Chairman comments on III.3 (Citigroup)
         4.  2010-10-05 Donna comments on III.3 (Citigroup)
         5.  2010-10-05 Scott comments on III.3 (Citigroup)
         6.  2010-10-08 (1500)- Section III - Ch. 9 (sans WaMu)--combined KMD
         7.  2010-10-13 (1700)- Section III - Ch. 9 (sans WaMu)--combined-notes fixed
         8.  2010-10-14 (1600)- Section III - Ch. 9 (sans WaMu)--combined-notes fixed - wme
         9.  2010-10-14 Sec III Ch 3 to Chair Vice Chair
        10.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD
         11.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD (BoFA)
         12.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD (Citi)
         13.  2010-10-26 - Section III Ch 9 - MB 2nd edit KMD (TARP)
        14.  2010-10-27 (2000)- Section III - Ch 9 - WME editing 1 pm (KMD - Citi)
        15.  2010-10-28 (1830) - Section III - Ch 9 - to C-VC, Scott [KMD - BoFA, Citi]
        16.  2010-10-28 (1830) - Section III - Ch 9 - to C-VC, Scott [KMD - BoFA]
        17.  2010-10-28 (1830) - Section III - Ch 9 - to C-VC, Scott [KMD - Citi]
        18.  2010-10-29 (1800) - Section III Ch 3 - sent to commissioners AF ed [KMD - Citi]
        19.  2010-11-11 Moody's for Section II-2-2 - Bryan edits (KMD OLD)
        20.  2010-11-12 Moody's for Section II-2-1 (KMD)
        21.  2010-11-12 Moodys for Section II-2-2 - Bryan edits (KMD Updated)
        22.  2010-11-16 (530 pm) - Section II Ch 2 1 [KMD - Moodys]
        23.  2010-11-16 Moody's for Section II-2-1 - Edited (KMD)
        24.  2010-11-19 II-3 Moody's (MB 3rd edit) - KMD
        25.  2010-11-22 II-5 Moodys's (MB 3rd edit) - KMD
        26.  2010-11-26 Section II Chapter 3 citi (KMD - Citi)
        27.  2010-11-29 Section 2-2 - Moody (KMD)
        28.  2010-11-30 Section 2-2 - Moody (KMD)
        29.  2010-11-30 Section 2-2 - Moody (KMD) (GF)
        30.  2010-12-02 Section II Chapter 4b (KMD)
        31.  2010-12-03 Moodys - II-3 (KMD)
        32.  2010-12-03 section ii chapter 4b (KMD - Moody's)
        33.  2010-12-09 - Section II - Chapter 5 (KMD)
        34.  2010-12-26 section 4-1 - 6 00 pm version [KMD factchecking]
        35.  2010-12-27 (1500) - Section IV Ch 2 - sent to commissioners (KMD factchecking 12-27)
   b.  Section III
      1.  Data
         a.  4 Week Treasury Rate
         b.  ABX Index Data
         c.  AIG Stock Prices
         d.  Bank Leverage Table
         e.  BLS Nonfarm Payroll Employment
         f.  Citigroup Stock Prices
         g.  Commercial Paper Outstanding (Historical)
         h.  CP Compilation Data
         i.  DJIA Closing Values
         j.  Effects of Financial Constraints (Campello, Graham, Harvey) - Table 3
         k.  FDIC Failed Bank List (2011-01-03)
         l.  FDIC List of Failed Banks
m. Federal Funds Rate
n. Flow of Funds H4.1 - Federal Reserve Balance Sheet
o. Foreign Money Inflows
p. FRB CPFF Facility Usage
q. FRB G19 - Revolving Consumer Credit
r. FRB Z-1 Table B-100 Balance Sheet of Households and Nonprofit Organizations - Net Worth
s. MMF and CP data
t. S&P 500 & MSCI Index
u. State HPI Data (from Adam)
v. Stock Price Benchmarks for Financial Institutions
w. TARP Summary Chart
x. TARP Table of Allocations

2. Drafts
   a. 2010-08-05 Joel Section III
   b. 2010-08-09 Reserve Primary Fund Insert
   c. 2010-08-09 Section III in progress
   d. 2010-08-10 Section III in progress
   e. 2010-08-11 Section III in progress
   f. 2010-08-12 Section III in progress
   g. 2010-08-13 Section III in progress
   h. 2010-08-16 Section III in progress
   i. 2010-08-20 Section III in progress
   j. 2010-08-22 Section III in progress
   k. 2010-08-27 Section III in progress
   l. 2010-08-27 Section III in progress
   m. 2010-09-12 Section III in progress
   n. 2010-09-16 AIG draft
   o. 2010-09-17 AIG draft
   p. 2010-09-19 - Section III.1 draft KMD comments
   q. 2010-09-19 BSAM - Donna original version
   r. 2010-09-19 BSAM Section (with edits and comments)
   s. 2010-09-22 - Section III.3 draft KMD
   t. 2010-09-22 Section III in progress
   u. 2010-09-27 - Bear Stearns - Donna original version
   v. 2010-09-27 TARP Insert
   w. 2010-09-30 GSE 2008 Insert
   x. 2010-10-05 Chapter 3 Citigroup Insert
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5. 2007-06-22 The Bear Stearns Cos. Inc. Rating Unaffected by Hedge Fund Difficulties (S&P)
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8. 2010-05-26 Transcript of interview with Roger Stein - KMD Notes
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21. The Global and Economic Financial Crisis - A Timeline (Wharton)
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  e. 2003-10-08 The Future of Financial Services -- Revisited (Roger Ferguson remarks)
f. 2004-02-20 The Great Moderation (Bernanke remarks to Eastern Economic Association)
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h. Bank of America Charts
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v. JCHS - Subprime Lending and the CRA
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aj. Pinto - Yes, the CRA Is Toxic
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3. 2002.10.30 Laura Mandaro - How Fed Will Monitor Citi In Subprime (AB)
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11. 2007.08.03 Eric Dash - Is the Dance Over, Citigroup Is Upbeat (NYT)
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15. 2007.12.31 Glenn Simpson - Lender Lobbying Blitz Abetted Mortgage Mess (WSJ)
16. 2008.01.31 Katie Benner - Robert Rubin, What Meltdown (Fortune)
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19. 2008.11.23 Eric Dash - The Reckoning, Citigroup Saw No Red Flags Even as It Made Bolder Bets
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17. Glossary
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      1. 2010-09-26 Section III - Chapter 1 - sent to commissioners
      2. 2010-10-01 (1400) - Section III - Ch. 4 - Bear incl staff comments
      3. 2010-10-01 (1400) - Section III - Chapter 7 - AIG incl staff comments
      4. 2010-10-01 (1900)- Section III - Ch. 8 (sans WaMu, Wach), MBryan Edit
      5. 2010-10-04 (1800) Section III - Ch. 2 (WME, BBorn)
      6. 2010-10-04 (1800) Section III - Ch. 2 (WME, BBorn, Brook)
      7. 2010-10-04 (1900)- Section III - Ch. 9 (sans WaMu, Wach)--combined
      8. 2010-10-05 (1500) - Section III, Ch. 3
      9. 2010-10-06 (1600) - Section III - Chapter 7 - AIG incl staff comments wme
      10. 2010-10-06 (1800) - Section III, Ch. 5 - Landon-Clara-Al
      11. 2010-10-06 (1900) - Section III - Chapter 7 - AIG incl staff comments wme-cm
      12. 2010-10-06 (1900) - Section III, Ch. 7 (Lehman)
      13. 2010-10-08 (1000) - Section III, Ch 1. (WME, BBorn, Brook)
      14. 2010-10-1 (1730) Section III Chap 3--staff comments merged wme ghf
      15. 2010-10-1 (1745) Section III - Chapter 2 - most sent to commissioners
      16. 2010-10-1 (2030) - Section III - Chapter 9 - Wachovia - staff comments
      17. 2010-10-11 (900) Section III Ch. 3--staff wme ghf cm--some fact checks
      18. 2010-10-3 (1730) Section III Chap 3--staff comments merged wme ghf
      19. 2010-10-3 (1745) Section III - Chapter 2
      20. 2010-10-4 (1300) - Section III, Ch. 6 (Lehman)
      21. 2010-10-4 (1600) - Section III, Ch. 1. (WME, BBorn)
      22. 2010-10-4 (1600) - Section III, Ch. 1. (WME, BBorn, Brook)
      23. 2010-10-4 (2000) - Section III, Ch. 6 (Lehman)
      24. 2010-10-5 (1800) - Section III, Ch. 6 (Lehman)
      25. 2010-10-6 (1900) Section III Chap 3--staff wme ghf cm--some fact checks
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4. 2010-10-30 Section IV draft
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6. Base for bonus materials spreadsheet
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1. Bear Stearns
   a. 10-15 Sec III Ch 4 Mike 2nd edit (MS)
   b. 12.2 BSAM Section 3, ch.1 MS edits
   c. 2007-04-09 The Bear Stearns Cos Inc (S P)
   d. 2010-10-19 Interview with Ralph Cioffi reviewed
   e. 2010-11-12 (1300) - Section III, Ch. 1. - MS response to BK can't finds
   f. 2010-12-13 - Section III Ch 1 -wme MS edits
   g. 2010-12-3 (1700) - Section III, Ch. 4 - MS CSE Edits
   h. 4.26 riders
   i. 4.28 riders to investigative report
   j. 4.29 riders2
   k. Bear for Ron
   l. Bear Stearns for II-2-2 ms edits 11.8
   m. Bear Stearns Write Up
   n. BSAM Ch 2
   o. BSAM for Chapter 2
   p. CSE materials from PIR
   q. Email - FW Repo
   r. goldman novation rider
   s. Notes on Warren Spector
   t. Notes on Warren Spector2
   u. Prep for Cioffi interview
   v. Riders for Investigative Report
   w. Witnesses to Interview; notes
   x. WSComparison_2010-03-20 MER Warren Spector-#1700151v5_WLRK_ - FCIC Spector Interview Memo

2. BNY Mellon
   a. Questions for Call with BNY Mellon

3. Referrals
   a. AIG Referral CONFIDENTIAL
      1. D1 PWC Meeting Notes
      2. D2 Cassano Email
      3. D3
      4. D4 Sullivan Interview Transcript
      5. Exhibit D AIG Referral - do not use
      6. Redline- Exhibit D AIG do not use
   b. Referral
      1. Referral
   c. Citi Mortgage Referral
      1. 2010-02-27 Transcript of Interview with Dick Bowen
      2. 2010-03-19 Citi Counsel Letter to the FCIC
      3. 2010-04-07 Richard Bowen Written Testimony
      4. CITI-FCIC-E 00743982 D Bowen to R Rubin and D Bushnell re concerns (2007-11-03)
      5. CitIMortgage Reps and Warranties Referral
   d. Citi Referral CONFIDENTIAL
      4. B 4 Fed Letter
      5. B 5 Prince Transcript
      7. B 7 Rubin Transcript
      8. B 8 CITI 00007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-
9. B 9 Citi HEARING TRANSCRIPT [DAY ONE]
10. B10 Finra Report
11. B11 Conference Call Transcript
13. EXHIBIT B - Citigroup do not use
14. Redline- Exhibit B Citigroup do not use

Clayton Referral CONFIDENTIAL
1. EXHIBIT F - Clayton
2. EXHIBIT F - Clayton -- UPDATED 10-27-2010
3. F 1 Hearing Transcript
4. F 2 All Clayton Trending Report 06-07
5. F 3 Client Trending Breakdown
6. F 4 MFR of Interview with Keith Johnson 2010-6-8
7. F 5 MFR of Interview with Keith Johnson 2010-9-2
8. F 6 Hearing Transcript of Keith Johnson
9. F 7 MFR of Interview with Vicki Beal
10. F 8 Audio Transcript of Vicki Beal
11. F 9 MFR of Interview with Kerry O'Neil
12. F10 Audio Transcript of Kerry O'Neil
13. F11 Audio transcript of Mark Hughes
14. F12 Audio Transcript of Frank Fillips
15. F13 Clayton Letter to FCIC 10-14-2010
16. F14 Testimony of Vicki Beal 9-23-2010
17. F15 Testimony of Keith Johnson 9-23-2010
18. Redline- Exhibit F Clayton

Fannie Referral (First one) CONFIDENTIAL
1. A 1 Thomas eml to Steel
2. A 2 excerpted Fed MFR
3. A 3 Fannie Conservator Memo
4. A 4 Observations-Allowance Process and Methodology
5. A 5 FHFA Interview MFR March 12, 2010
6. A 7 2010-02-19 OCC DRAFT Meeting Notes
7. A 8 2010-03-01 Draft OCC call notes
10. A11 2007 OFHEO Report of Examination on Fannie Mae
11. A12 Dellavecchia eml to Williams
12. A13 2007-07-17 Dallavecchia eml to Mudd
13. A14 Fannie 10-Q
14. EXHIBIT A - Fannie Mae do not use
15. New Redline- Exhibit A Fannie do not use
16. Redline- Exhibit A Fannie do not use

Goldman Abacus
1. E1 - Confidential Informant MFR (copy)
3. EXHIBIT E - Goldman Abacus - do not use
4. Redline- Exhibit E Goldman.wdf do not use

Moody’s UBS Referral
1. C1
2. Exhibit C - Moody’s & UBS Final
3. Redline- Exhibit C Moodys

A11 2010-02-23 DRAFT FRB call notes
BAD Exhibit H Fannie Mae
do not use
E1 - Steve Eisman MFR (copy)
Entire Fed MFR
n. excerpt Fed MFR
o. Exhibit C - Moody's & UBS draft
p. Exhibit D - AIG Referral 10.8.10
q. F1 All Clayton Trending Report 06-07
r. FCIC_Referral Memo to Commissioners
s. MS notes on Sacramento hearing transcript
t. Referral12th (3)

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   a. Bullets for Sirri and IG letters
   b. Questions for Mike Macciaroli
   c. Sirri Qs

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8. 2010-10-31 (1300) - Section III, Ch. 4 MS edits
10. 4.25 riders for BS IR
11. Bear Witness Questions
12. Bullets for Regulator invitation letters
13. delete
14. Documents for Geithner
15. Mina's notes
16. Paula Rush - Mina's Notes
17. Paula Rush - Mina's Notes

AU. mvickers
   1. citi
   2. citi
   3. Scan - Regulators- Ooops

AV. RBACHMANN
   1. Crisis Timeline
      a. FCIC Crisis Timeline [1]
      b. Shadow Banking Timeline
   2. FCIC
      a. Legislative Reports
         1. SEC Leg. Updates
            a. SEC - Dark Pools
            b. SEC - Money Market Fund Reform
            c. SEC - short selling
         2. Legislative Report - Reg. Agencies
         3. Legislative Update 12 7
      b. Memoranda
         1. Basel Memo - Scott Ganz
         2. White House final cooperation letter
      c. Newsweek Articles
         1. Converting the Preachers
         2. The Education of Tim Geithner
         3. The Reeducation of Larry Summers
         4. Wall Street Digs In
      d. Q & A to Panelists
         1. 17 Nov 2009 Closed Session Bernanke FINAL
         2. 17 Nov 2009 Closed Session Geithner FINAL
         3. How to Avoid[1]_Luigi Zingales
         4. Responses_Hal Scott
         5. Responses_John Taylor
         6. Responses_Joseph Stiglitz
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      e. Reinharte

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1. Fishbein Testimony - Cmte on Banking, March 21, 1997

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1. GSA Travel Form 87
2. Memo. Travel, Per Diem, Compensation

Allen Fishbein

Brian Clarkson - background

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Cover Page for Documents Requiring an FCIC Officials Signature

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FCIC_Roster_w_contact

Facts on Big 4

FCIC_Roster_w_contact

Fishbein - articles

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House Hearing on Credit Rating Agencies - 10.22.2008

John Rutherfurd - background

Michael Kanef - background

Moody's '06-'07 Forecasts

Moody's Forecasts, 2006-07

Quarterly Earnings

Richard Cantor - background

Scott McCleskey Testimony (Sept. 2009)

Senate Hearing on Rating Agencies - 4.24.2010

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1. The End - Michael Lewis

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1. Courts Examine Credit Raters 'Intimate' Relationship with Bankers - Huff Post
2. How Credit Raters Fended Off Oversight from Congress and SEC - Huff Post
3. Under Attack, Credit Raters Turn to First Amendment - Huff Post

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1. AIG
   a. AIG’s Rescue Bedevils U.S., WSJ - Nov. 24
   c. Freddie Mac Trying to minimize exposure, Nov. 24 - WashPo

2. Bank of America
   a. Bair says FDIC questioned BofA assistance

3. Bear Stearns
   a. Goldman Sachs Rumor that Helped Destroy Bear - Mitchell

4. Fannie Mae
   a. Fannie Mae Eases Credit To Aid Mortgage Lending, Sept. 30, 1999

5. Federal Reserve
   a. On the Outlook for the Economy & Policy - Bernanke Speech (Nov. 16, 2009)

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7. Goldman Sachs
   a. McClatchy Series
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2. Goldman Takes on New Role - Gordon
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4. How Goldman Secretly Bet on the Housing Crash - Gordon
   b. A Lost Glister - FT (Nov. 19, 2009)

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   a. Regulator's torch to light up dark pools, Nov. 23 - FT
      i. Liquidity
      j. Miscellaneous
      k. Naked Short Selling
      l. Pecora
     m. Shadow Banking
        2. The shadow banking system is unravelling - Roubini (Sept. 21, 2008)
      n. Subprime
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   1. Housing_outline_orig_2003
   2. housing_outline_v1
   3. housing_thinking
   4. This Time It Was Different draft 02-2010

AX. RBUBB
   1. notes on role of government policy

AY. RCheng
   1. 2010-10-18 Interview Michael Mukasey (DN)
      a. Email - Michael Mukasey
   2. 2010-10-18 SECTION III CHAPTER 2 (MH)
      a. Sec III Ch 2 Supporting Docs
         1. 2010-08-27 Krebs Interview of IKB
         2. craneindexes
         3. FN01_2007-07-26_Bloomberg_article
         4. FN02_2005-08-04_Interagency_Guidance_ABCP_Capital_Treatment
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         12. FN08_2007-08-02_TheStreet_article
         13. FN08a_2007-08-06_Countrywide_10Q
         14. FN09_2007-08-03_Forbes_article
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         17. FN15_2007-08-14_Countrywide_Press_Rele
         18. FN15_2007-08-15_LAT_E_Scott_Reckard_Countrywide (copy)
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         22. FN18_2007-08-16_Countrywide_BK_Ext99.3
         24. FN19_2007-08-15_MarketWatch_article_Merrill_Lynch_downgrade
         25. FN19_2007-08-15_Merrill_Lynch_Analyst_Report_(Liquidity_Achilles_Heel) (copy)
         26. FN19_2007-08-16_LAT_Credit Crunch_imperils_lender (copy)
27. FN19_2007-08-16_Reuters_article
28. FN19_2007-08-17_WSJ_Merrill_Analyst_Behind_Surprise_Call
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33. FN21_2007-08-17_LAT_The_Mortgage_Meltdown (copy)
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35. FN22_2007-08-23_FT_article
36. FN22_2007-08-23_PBS_Gharib_Mozilo_transcript
37. FN23_2007-08-23_CNBC_Martiromo_Mozillo_transcript
38. FN24_2008-10-26_Countrywide_8K_Ex99
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40. FN25_2008-01-11_BofA_press_rel
41. FN26_2007-08-09_BNP_Paribas_press_rel
42. FN27_2007-08-09_Pittman_Bloomberg_article
43. FN28_2009-08-24_Covitz_Liang_Suarez_paper
44. FN31_2007-08-10_FED_press_rel
45. FN32_2007-08-09_Bloomberg_article
46. FN32_2007-10-11_CEB_speech
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48. FN34_2007-08-17_FED_act_as_needed_press_rel
49. FN35_2007-08-15_S&P_SIV_Weathering_Current_Market
50. FN35a_2007-07-20_Moodys_SIV_report
51. FN35b_2007-09-05_Moodys_Global_Risk_Perspectives
52. FN36_2007-11-13_Bloomberg_article
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54. FN36_2007-12-15_Newsweek_article
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59. FN39_2009-03-31_OPPAGAResearchMemo
60. FN40_2005-11-15_Bloomberg_article
61. FN42_2007-11-28_Bloomberg_article
62. icimmmfs
63. iciportcomp0110
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c. 2010-10-25 (2300) - Section III, Ch. 2 - ed by AF.docx; RC factchecks
d. 2010-10-26 (1700) - Section III, Ch. 2 - ed by AF.docx; RC factchecks
e. 2010-10-29 (1200) - Section III, Ch. 2 - ed by AF; RC factchecks
f. 2010-10-29 (1730) - Section III, Ch. 2 - ed by AF; RC factchecks
g. 2010-10-29 (2100) - Section III, Ch. 2 - ed by AF; RC factchecks
h. 2010-11-01 (2100) - Section III, Ch. 2 - ed by AF; RC factchecks

3. 2010-10-20 SEC Cites to Track Down (DN)
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b. 69_Fed_Reg_34428
c. Ltr_NY_Clearing_House_to_SEC

4. 2010-10-21 Interview Viral Acharya (SS)
a. 2010-10-21 - Viral Acharya - interview - ABCP and Repo
b. 2010-10-21 - Viral Acharya - steve sanderford notes
c. 2010-10-21_MFR_Viral_Acharya

5. 2010-10-22 Interview Jeff Lebowitz (TS)
a. 2010-10-22 Interview with Jeff Lebowitz
b. 2010-10-22_Jeff_Lebowitz_interview_notes (RCheng)
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6. 2010-10-26 Interview Alberto Gonzales (DN)
   a. DRAFT 2010-11-01 MFR of Alberto Gonzalez (GW)
   b. Email - Chris Swecker
   c. Email - RE Alberto Gonzales

7. 2010-10-28 SEC Proposed Rule - Risk Retention (DN)
   a. Email - SEC's Proposed Rule on Risk Retention

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   a. Sec III Ch 7 Supporting Docs
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4. FCIC-OTCO018134-0018244
5. FN001_2008-07-13_email_Sommer_to_FRBNY (FCIC-155510-12, FRB to LEH Examiner 001859-61) (copy)
6. FN002_2010-10-20 FCIC Interview with Jamie Dimon (copy)
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10. FN004a_2010-09-02_TBTF2_Transcript
11. FN005_2010-10-22_Interview_Ken_Lewis (Part 2 of 2) (Audio) (copy)
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15. FN014 2010-08-05 C Seefer Interview with Harvey Miller (Weil Gotshal)
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27. FN021 2008-04-04_Lehman_8-K
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92. FN118 2010-08-05 C Seefer Interview with Rodgin Cohen (Sullivan Cromwell) 
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94. FN120_2010-01-20_FSA_Statement_to_Examiner 
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98. FN127_2010-09-17 Interview with John Thain (ML) 
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117. FN188_2008-12_BIS_Quarterley_Rev_article 
118. FN200_2008-09-14_email_Bernanke_to_Warsh (FCIC-155000, FRB to LEH Examiner 001349) (copy) 
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123. LBEX-AM 003932-39 
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125. LBHI_FCIC_0133322-572 
126. Lehman Mortgage and Asset Backed Securities v2 (JAM) 
127. PIR - Lehman Brothers 
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c. 2010-11-02 (1800) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC factcheck 
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e. 2010-11-04 (1930) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking 
f. 2010-11-06 (0100) - Section III, Ch. 7 (Lehman)-to commissioners-AF, JGD, JAM eds., RC checking 
g. 2010-11-08 (1830) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking 
h. 2010-11-10 (1830) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking 
i. 2010-11-11 (1400) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking 
j. 2010-11-11 (2300) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking 
k. 2010-11-12 (2030) - Section III, Ch. 7 (Lehman)-to commissioners-AF ed, RC checking
20. 2010-12-16 Regulations T and U (DN)
   a. 12 CFR 220.12
   b. Email - Regs T & U
   c. NSMIA Fed interpretation
   d. NSMIA Fed press rel
   e. NSMIA Fed proposed rule in response
   g. Reg U Guideline
   h. Section 7 of 34 Act

21. 2010-12-19 BSC bonus (GF)
   a. 2010-04-29 JPMorgan (E Goldstein) ltr to T Krebs re Doc Production
   b. Bebchuk-Cohen-Spamann - The Wages of Failure
   c. Email - RE Fact check please
   d. PIR - Bear Stearns
   e. PIR chart on page 36 - BSC top 5 compensation
   f. SEC Budget 1995-2009
   g. SNL - BSC executive compensation 2004-2007
   h. SNL - BSC executive compensation 2007
   i. SNL - BSC executive compensation 2007 2

22. 2010-12-21 SECTION II CHAPTER 4 (ME)
   a. cite from SECTION II CHAPTER 4 (RRC-SM)
   b. FM-FCIC_00030201-09
   c. FM-FCIC-00030202
   d. FM-FCIC-00030210
   e. Freddie Mac - Characteristics of Single-Family Total Mortgage Portfolio
   f. Section II Chapter 4 Fact-Checks - SEC filings (RRC)
   g. Section II Chapter 4 Fact-Checks - SEC filings (RRC)

23. 2010-12-27 SECTION II CHAPTER 2 (SA)
   a. 168_F3rd_331
   b. 175_F_3d_848
   c. 2005-Oct Options Group Compensation Report
   d. 2006-08-29 Loan sale to Citi corr p1 (New Century deal)
   e. 2006-Nov Options Group Compensation Report
   g. 2008-Oct - EV - Quigley - Compensation and Incentives in the Mortgage Business
   h. 2010-04-13 Senate Committee on Homeland Security and Govt Affairs
   i. 2010-11-16 JPM-FCIC00005916
   j. 2010-11-16 JPMorgan (E Goldstein) ltr to C Seefer re Doc Production
   k. 2010-12-05 (1200) - Section II Ch 2 - AF edits, RC fact-checking
   l. 2010-12-28 Email Ron B. to Rich C. - RE Questions on II 2
   m. 245_B_R__.151
   n. Copy of FCIC PLS RMBS purchases with Deal Name and Impairments
   o. Countrywide Volume of Loans Originated 2000-2005
   p. Draft Compensation TOPIR15 (JD)
   q. Options Group Compensation Chart (RRC)

AZ. RDODO
   1. Test

BA. RSCHULTE
   1. Confidential
   2. Gary's project
      a. Investment banks by
      b. Top IB firms
   3. SDAVIDSON
   4. Copy of GS Securitizations Jan 06 to present
   5. FCIC Phone Roster 7-10-2010
   6. Goldman CDO's
Goldman Data and Charts
GS DEals numbers CDO
Interview of Ed Grieb
Lenders Funding

BB. SAHMED
1. additional_docs
   a. (z1) 2010-12-22 (2000) - Section IV, Ch. 1 - sent to commissioners
   b. (z2) 4.1
   c. $10-01-11 Commissioners Briefing Book
   d. $10-01-13 factsheet
   e. $10-07-27 ltr to Friedman re H4 follow-up questions
   f. $10-07-27 ltr to Geithner re H4 follow-up questions
   g. $10-07-27 ltr to Paulson re H4 follow-up questions
   h. $10-09-09 ltr to Cassano re H5 follow-up questions
   i. $10-09-15 Hearing 2-3 Follow-up Memo
   j. $10-09-17 Blinder Interview
   k. $10-09-20 Draft Compensation TOPIR4
   l. $10-09-21 Hearing 5 Follow-up Memo
   m. $10-10-16 Option Arm - IndyMac
   n. $10-10-6 Hearing 5 Follow-up Memo
   o. $10-10-6 Hearing 5 Follow-up Memo - 3pm
   p. $aring_1_memo_followup_8_18
   q. $bs_case_study (cmtli_2006_nc2) 5 28 10 SIA
   r. $cruting_outline
   s. $cruting_packet
   t. $plification
   u. $rivatives_packet
   v. $tion_arm_dump
   w. $using_results_pinto_2010_07_28
   x. ~WRL0070
   y. ~WRL0774
   z. ~WRL1673
   aa. ~WRL1742
   ab. ~WRL1869
   ac. ~WRL1871
   ad. ~WRL1929
   ae. ~WRL1968
   af. ~WRL2042
   ag. ~WRL2640
   ah. ~WRL2849
   ai. ~WRL3227
   aj. ~WRL3421
   ak. ~WRL3644
   al. ~WRL3852
   am. ~WRL3917
   an. ~WRL3995
   ao. ~WRL4080
   ap. 01a_FCIC_Brunnermeier
   aq. 1 25 draft Master Contact List
   ar. 12-21-2010 section 4.1 (adam)
   as. 2010-01-11 Commissioners Briefing Book
   at. 2010-01-13 factsheet
   au. 2010-01-19 GSEs not the primary cause the crisis
   av. 2010-03-08 L Blankfein Response to Hearing One Follow-Up
   aw. 2010-05-10 Born Requests - Randall 8-19 responses
   ax. 2010-05-14 Chairman Gary Gensler Interview Transcript
2010-09-13 Hearing 2-3 Memo
dc. 2010-09-14 Commissioners Telephonic Business Meeting
dd. 2010-09-14 Hearing 2-3 Follow-up Memo_
de. 2010-09-14 Hearing 2-3 Follow-up Question Grid
df. 2010-09-14 Hearing 2-3 Memo_am
dg. 2010-09-15 Hearing 2-3 Follow-up Memo_
dh. 2010-09-17 Blinder Interview
di. 2010-09-17 FCIC Interview with Alan Blinder Interview
dk. 2010-09-17 ltr to Citi re H2-3 follow-up questions
dl. 2010-09-17 Mortgage Fraud Report
dm. 2010-09-17 Mortgage Fraud Report - redlined
dn. 2010-09-18 Process_for_Review_and_Approval_of_the_Report_by_the_Commission
do. 2010-09-19 - Section III draft - Clara comments
dp. 2010-09-19 - Section III draftedit ac
dq. 2010-09-20 Draft Compensation TOPIR4
dr. 2010-09-20 Larry Lindsey Interview
ds. 2010-09-21 Hearing 5 Follow-up Memo
dt. 2010-09-22 - UNREVIEWED transcript of Herb Sandler interview
du. 2010-09-23 next_steps
dv. 2010-09-24 ltr to Alvarez re H6 follow-up questions
dw. 2010-09-24 ltr to Bair re H6 follow-up questions
dx. 2010-09-24 ltr to Baxter re H6 follow-up questions
dy. 2010-09-24 ltr to Bernanke re H6 follow-up questions
dz. 2010-09-24 ltr to Fuld re H6 follow-up questions
ea. 2010-09-24 ltr to Miller re H6 follow-up questions
eb. 2010-09-26 Draft_timeline_-_2008 to commissioners
eb. 2010-09-26 section III through SIVs to commissioners
ed. 2010-09-27 Fact-checking Procedures
ee. 2010-09-28 TBTF followup questions (compiled by EDR)
ef. 2010-10-01 (1400) - Section III - Chapter 4 - Bear incl staff comments1 (chairman version)
eg. 2010-10-01 (2100) - Section III, Ch. 2 - ed by AF; RC factchecked
eh. 2010-10-04 Wallison response
ei. 2010-10-07 History of Option Arms
ej. 2010-10-11 (2100) - Section III, Ch. 2 - ed by AF; RC factchecked
ek. 2010-10-11 FCIC Interview with Paul Volcker
el. 2010-10-11 Volcker Interview
em. 2010-10-11 Volcker Interview (original)
en. 2010-10-11 Volcker MFR
eo. 2010-10-12 Hearing 5 Follow-up Memo
ep. 2010-10-13 Hearing 5 Follow-up Memo
eq. 2010-10-13 Hearing 5 Follow-up Memo (pm)
er. 2010-10-14 Hearing 5 Follow-up Memo
es. 2010-10-14 Moody07 (SA)
et. 2010-10-14 Staff Takeaways from TBTF Hearing
eu. 2010-10-15 Compensation
ev. 2010-10-16 History of Option ARMs
ew. 2010-10-16 Option Arm - IndyMac
ex. 2010-10-17 - Section III - Chapter 4 (staff version)
ey. 2010-10-17 - Section III - Chapter3 only missing AIG
ez. 2010-10-17 Option ARMs - Countrywide
fa. 2010-10-17 Option ARMs - Countrywide - 2
fb. 2010-10-18 Section III Ch 5 (staff version)
fc. 2010-10-20 Shadow Banking Follow-Up Memo
fd. 2010-10-20 TopIR Inventory
2011-01-14 Risk management failures
hi. 2011-01-17 Collapse mortgage standards and failures in securitization
hj. 2011-01-17 Crisis was avoidable
hk. 2011-01-17 Examples of OTC Derivatives Role in Crisis
hl. 2011-01-17 Examples of OTC Derivatives Role in Crisis_RXB
hm. 2011-01-17 Excessive borrowing, risky investments and lack of transparency
hn. 2011-01-17 Failures at rating agencies
ho. 2011-01-17 Government III Prepared
hp. 2011-01-17 Why the Governent Housing Policy not primary causes of the crisis
hq. 2011-01-17 Why the Governent Housing Policy not primary causes of the crisis_RXB
hr. 2011-01-18 Excess liquidity did not need to cause the crisis
hs. 2011-01-18 Failures at Rating Agencies Fact Sheet
ht. 2011-01-18 GSEs not primary cause of crisis
hu. 2011-01-19 Excessive borrowing risky investments and lack of transparency
hv. 2011-01-19 Failures at Rating Agencies Fact Sheet
hw. 2011-01-19 Risk management failures
hx. 2011-01-20 Examples of OTC Derivatives Role in Crisis
hy. 2011-01-20 Excessive borrowing risky investments and lack of transparency
hz. 2011-01-20 Why the Governent Housing Policy not primary causes of the crisis
ia. 2011-01-21 - AHG Document 1.21.11
ib. 2011-01-21 - CRA Document 1.21.11
ic. 2011-01-21 - Discussion of dissenting statement by three commissioners
id. 2011-01-21 - Key Press Questions 1.21.11 v2
ie. 2011-01-21 - Majority and Dissent Commonground
if. 2011-01-21 - Short Summary
ig. 2011-01-24 - Commission Fact Sheet
ih. 2011-01-24 - Discussion of the dissent by Commissioner Wallison
ii. 2011-02-02 FINAL BOOK
ij. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis v3
ik. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis_confidential
il. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis1
im. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis1.v2
in. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisisfinal
io. 300px-Trors_schema_english.svg
ip. 8 26 10 Draft of PSR on TBTF
iq. 8 31 10 Draft of PSR on TBTF _ 5pm
ir. 8 31 10 PSR on TBTF _ 6pm
is. 8 31 10 PSR on TBTF _ 6pm
it. 8-9-2010 Letter to Henry M Paulson
iu. 9-14-2010_Agenda_for_Business_Meeting_of_Financial_Crisis_Inquiry_Commission
iv. a_fcic_invitation_letter_symposium_kroszner2
iw. a_RKroszner_CV
ix. a1Kroszner_Bio
iy. a2Kroszner_paper
iz. a3Kroszner_updated_table1 (042010)
ja. a4Kroszner_slides
jb. a5Kroszner_followup_questions
jc. a6Kroszner_followup_responses
jd. Adam_Paul_Confidentiality_Agreement
je. Adam_Paul_Ethics_Policy
jf. additional_misc
jg. adelson_questions
jh. AEW Changes to 8 21 10 Draft of PSR on TBTF_8.27
ji. AEW Changes to 8 21 10 Draft of PSR on TBTF_8.27
jj. AEW Draft of TOPIR on Regulatory Inaction and Preemption_Version 2

Fact Sheet - Examples of OTC Derivatives Role in Crisis

Fact Sheet 01 -- The Crisis was Avoidable
Fact Sheet 02 -- Failure of Regulation
2. correspondence
   a. resources
      1. sloan_letter
   b. bailey
   c. Johnson v3
   d. moss
   e. Reinhart
   f. scott
   g. stiglitz
   h. taylor
   i. zingales

3. feb_forum
   a. agenda
      1. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis v3
      2. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis wme
      3. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis1
      4. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis1.v2
   b. binder
      1. agenda
         a. 2-26-27-2010_Agenda_for_Forum to Explore Causes of Financial Crisis final
         b. FCIC_Media_Advisory_Forum_Final_2.10.10
         c. Financial Crisis InquiryCommission_Forum_Feb 26 and 27_Public_Agenda
      2. bios
         a. shortened_bios
         b. AKashyap_Bio (copy)
         c. Annamaria Lusardi_Bio
         d. Annamaria Lusardi_Bio
         e. CMayer_Bio (copy)
         f. CMayer_Bio (copy)
         g. DJaffee_Bio (copy)
         h. Gary Gorton_Bio
         i. JGeanakoplos_Bio (copy)
         j. MBrunnermeier_Bio
         k. MBrunnermeier_Bio
         l. POG_Bio
         m. POG_Bio
         n. RKroszner_Bio (copy)
   3. contact_info
      a. Forum Contact Information
   4. cv
      a. AKashyap.CV
      b. ALusardi.CV
      c. CMayer.CV 8 9 08
      d. DJaffee.CV
      e. Gary Gorton_vita Nov 12 2008
      f. JGeanakoplos.CV
      g. MBrunnermeier.CV
      h. POG.CV
      i. RKroszner.CV
   5. logistics
      a. Email - logistics
      b. Symposium_logistics
   6. misc
      a. follow_up_forum_questions
      b. forum_binder_cover_sheet
      c. forum_packet
      d. forum_packet(2)
7. papers
   a. Gorton Q and A on the Crisis Feb 2010 (copy)
   b. GSEs and Housing Policy221
   c. Housing-Subprime-Securitization-FCIC-Mayer-v4 (copy)
   d. Kashyap Lessonforfcic (copy)
   e. Kroszner Too Interconnected to Fail Draft Feb 19 (copy)
   f. Lusardi_Report_FCIC (copy)

c. correspondence
   1. symposium_email (1)
   2. thank_you_letter

d. invitation_letters
   1. COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE
   2. COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE_
   3. Email - FW- FCIC_Symposium_Invitation_Ltr_Caballero
   4. Email - FW- FCIC_Symposium_Invitation_Ltr_Gourinchas
   5. Email - FW- FCIC_Symposium_Invitation_Ltr_Gourinchas
   6. Email - FW- FCIC_Symposium_Invitation_Ltr_Gourinchas
   7. fcic_invitation_letter_kroszner
   8. fcic_invitation_letter_lusardi
   9. fcic_symposium_invitation_letter
10. fcic_symposium_invitation_letter (sia edits)
11. fcic_symposium_invitation_letter 1.20.10
12. FCIC_Symposium_Invitation_Ltr_Brunnermeier
13. FCIC_Symposium_Invitation_Ltr_Caballero
14. FCIC_Symposium_Invitation_Ltr_Geanakoplos
15. FCIC_Symposium_Invitation_Ltr_Gorton
16. FCIC_Symposium_Invitation_Ltr_Gourinchas
17. FCIC_Symposium_Invitation_Ltr_Gourinchas
18. FCIC_Symposium_Invitation_Ltr_Gourinchas
19. FCIC_Symposium_Invitation_Ltr_Jaffee
20. FCIC_Symposium_Invitation_Ltr_Kashyap
21. FCIC_Symposium_Invitation_Ltr_Mayer
22. feb_conference_invitation_letter
23. feb_conference_invitation_letter - 1.20.10
24. feb_conference_invitation_letter - 1.20.10 (final copy)
25. invitation_letter_misc

e. misc
   1. 1-9-2010_Briefing_packet (template)
   2. forum_database
   3. research_symposium_database
   4. research_symposium_preliminary_description

f. papers
   1. Brunnermeier 01f FCIC Crisis Report
   2. FCIC_Gourinchas_0221
   3. geanakoplos leverage cycle solution j35
   4. Gorton Q and A on the Crisis Feb 2010
   5. GSEs and Housing Policy225
   6. Housing-Subprime-Securitization-FCIC-Mayer-v4
   7. Jaffee GSEs and Housing Policy221
   8. Kashyap Lessonforfcic
   9. Kroszner Too Interconnected to Fail Draft Feb 19
10. Lessonforfickashyap
11. Lusardi_Report_FCIC

g. participants
   1. pictures
      a. AKashyap_photo
b. derivatives
   1. cds_diagram
   2. Derivatives plan staff report and research - 0429
   3. derivatives psr (part I & II)
   4. derivatives psr (part I & II) 6.6.10 version
   5. derivatives_4.19
   6. derivatives_psr
   7. derivatives_psr_6.19
   8. derivatives_regulations
   9. dodd questions apr 22 - derivatives
  10. hearing_questions
  11. info_on_derivatives
  12. PSR - Derivatives (part 1)
  13. PSR derivatives overview_psr_6.21
  14. psr_part1&2
  15. Reading List - Derivatives
  16. redlined_derivatives_overview_psr_6.25
  17. test_doc
  18. test_graph
  19. TRS_explanation
  20. uses_derivatives
  21. working_group_mtg_6.27

c. macro

d. shadow_banking
   1. cds_4.17
   2. cds_only_4.18
   3. derivatives_4.18
   4. derivatives_background
   5. derivatives_background_4.16
   6. derivatives_reference_docs
   7. derivatives_background2
   8. misc_derivatives_4.17
   9. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)
  10. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)
  11. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_1
  12. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_2
  13. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_3
  14. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_4
  15. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_5
  16. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_6
  17. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_7
  18. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_8
  19. Promise of Credit Derivatives in Nonfinancial Corporations (JACF 2006)_Page_9
  20. types_of_derivatives_figure

e. subprime_lending_and_securitization
   1. heopa_history

5. library
   a. articles
   b. contacts
      1. resources
         a. Resource_List(Wendy)
      2. witness_list
         a. 1 25 draft Master Contact List_SUPERSEDED
         b. addional_misc
         c. ASF2010DetailedAgenda
         d. B (rank)
e. contact_witness_list_dump
f. contacts_witness_list_dump (1.26.10)
g. H (actual)
h. H (rank)
i. Key Word Coding for Contact Chart (2)
j. Master Contact List_2.4.10_SUPERSEDED
k. names to add (from wendy 2.1.2010)
l. org
m. org - plus extra info
n. Template for Contact List
o. title
p. Witness Interview Tracker_1-28-10
q. witness_consultant_contact_list
r. witness_list
s. witness_list_additions (2.2.10)
t. witness_list_gft
c. data
   1. csnational_value_(from s&p)
   2. fannie_freddie_total_share_of_originations
   3. fhfa_graph_2.22.2010(2)
   4. FHFADATA2
   5. gse_psrCharts
   6. Mortgages_outstanding_10s1155[1]
6. misc
   a. additional_subprime_lending_history
   b. cra_info
   c. FCIC BUSINESS CARD TEMPLATE
d. fcic_bios
e. geithner_list
f. geithner_list2
g. gf_business_card
h. gf_business_card
   i. h2-3_grid
   j. hearing_followup_process
   k. history_of_subprime_lending
   l. jon_netdocs_instructions (library + resources)
m. mills_response
n. missy_gse_psr_factchecked
o. mort_crisis_compared
p. netbinder_test
   1. fcic_staff_roster
   2. correspondence
q. PSR - Mortgage Crisis (with track changes)
r. PSR - Template (5)
s. telephonic_business mtg
t. test
u. topir_inventory
7. STANG
   a. Shirley Tang
      1. 911 Commission
         a. 911 Lessons Learned Binder
            1. 1. 911 Commission Interview Handbook
            2. 2. Staff Statement 10
            3. 3. 9-11 Commission Staff Org Chart
            4. 4. Zelikow Workplan Memo
            5. 5. Cover and Contents Pg
2. Admin
   a. Binder Spines
      1. 1 Inch Binder Spine TEMPLATE
   b. Business Card
      1. Business Card_ST
      2. FCIC BUSINESS CARD TEMPLATE
      3. FCIC BUSINESS CARD TEMPLATE
      4. FCIC BUSINESS CARD TEMPLATE
   c. Citrix
      1. Citrix Access Info
   d. CompleteGov
      1. 3-2-2010_FCIC List of Codes_Database
      2. Completegov Login Info
      3. FCIC Database Parameters for Staff_3-2-2010
   e. Conference Call Info
      1. Instant Meeting Web Moderator Tutorial
      2. Instant_Meeting_Service_Value-Added_Feat1
      3. Online Account - Verizon Conference Line
      4. PA Conference Line
      5. Tom's Conferencing Info
   f. Contact Lists
      1. Witnesses
         a. Master Contact List_1-12-10_SUPERSEDED
      2. FCIC Office Staff Roster_4-23-10
      3. FCIC Roster of Commissioners_CONFIDENTIAL
      4. FCIC_Master_Roster_1-20-10
      5. FCIC_Master_Roster_2-12-10
      6. FCIC_Office_Roster_1-20-10
      7. FCIC_Office_Roster_2-12-10
      8. FCIC_Office_Roster_3-3-10
      9. FCIC_Roster_Commissioners and FO Staff_2-24-2010
      10. FCIC_Roster_for COMMISSIONERS
      11. FCIC_Staff_Roster_2-24-10
      12. FCIC_Staff_Roster_3-3-10
      13. INCOMPLETE_FCIC_Office_Staff_Roster_2-12-10
   g. Cover for Official Signature
      1. COVER PAGE FOR DOCUMENTS REQUIRING FCIC OFFICIALs SIGNATURE
      2. Cover Page for Official's Signature
   h. Credit Card
      1. FCIC MasterCard
   i. DocuMatrix
      1. DocuMatrix Access Info
   j. Executive Assistant Duties
      1. EA_Duties
   k. Factiva
      1. Factiva Instructions
      2. Factiva_FCIC_Users_Trial_1-11-10
   l. FCIC Info
      1. FCIC Bios
      2. FCIC Expenses by category thru April 2010 per GSA
      3. FCIC Glossary
      4. FCIC POCs
      5. FCIC Rules of Procedure
      6. FCIC Staff List & Financial Summary_2010-06-11_CONFIDENTIAL
      7. FCIC Travel
      8. FCIC_Budget_Execution
9. FCIC_Organizational_Chart_1-19-10
10. Financial Summary --CONFIDENTIAL
11. Future_FCIC_Meetings_Hearings_as of 2010-06-11
12. Future_FCIC_Meetings_Hearings_as of 2-23-2010
13. Organizational Chart 1-22-10
14. Organizational Chart 2-16-10
15. Organizational Chart 2-23-10
16. Organizational Chart 1-26-10
17. PL 111-21
18. Staff List Updated 2010-03-31
19. Staff List Updated 2010-03-31
20. FCIC Offer Letters and Contracts
    1. 2010-04-15 FCIC Detailee Letter to Brian Sylvester
    2. 2010-06-15 FCIC_Lippincott-Spieler Agreement
    3. AMENDED_FCIC_Bruce McWilliams_Contract_2010-04-05
    4. AMENDED_FCIC_George Hodak_Contract_2010-04-05
    5. AMENDED_FCIC_George Hodak_Contract_2010-04-05
    6. AMENDED_FCIC_Kathleen Adams_Contract_2010-04-05
    7. AMENDED_FCIC_Kathleen Adams_Contract_2010-04-05
    8. AMENDED_FCIC_Kim Shafer_Contract_2010-04-05
    9. AMENDED_FCIC_Morton Rosenberg_Contract_2010-04-05
   10. AMENDED_FCIC_Morton Rosenberg_Contract_2010-04-05
   11. Contract_Richard A Galen_2-1-10
   12. FCIC Contract_Suleman Khan_2010-06-10
   13. FCIC Detailee Ltr to DOJ Jay Lerner_1-29-10
   14. FCIC Letter to USDA re Extension of B Sylvester Detail_2010-06-11
   15. FCIC Ltr to Jay Lerner
   16. FCIC_Bruce_McWilliams_Contract_2-23-10
   17. FCIC_Contract_Kim_Shafer_2-17-10
   18. FCIC_Detailee_Ltr_to_DOJ_Jay_Lerner_1-29-10
   19. FCIC_Employment_Ltr_Thomas_Stanton_1-27-10
   20. FCIC_Employment_Confirmation_Ltr_Bruce_McWilliams
   21. FCIC_Employment_Confirmation_Ltr_Bruce_McWilliams
   22. FCIC_Fred Terrell contract 1-20-10
   23. FCIC_Fred Terrell contract 12-09
   24. FCIC_George Hodak contract 3-26-2010
   25. FCIC_Kathleen Adams contract 3-26-2010
   26. FCIC_Kim_Shafer_Contract_2-15-10
   27. FCIC_Morton Rosenberg contract 3-25-2010
   28. FCIC_Offer_Ltr_Jonathan_Armstrong
   29. FCIC_Offer_Ltr_Jonathan_Armstrong
   30. FCIC_Offer_Ltr_Michael_Easterly
   31. FCIC_Offer_Ltr_Michael_Easterly
   32. FCIC_Offer_Ltr_Sarah_Knaus
   33. FCIC_Offer_Ltr_Sarah_Knaus
   34. FCIC_Rich Galen contract 1-11-2010
   35. George Hodak_Vendor Enrollment Form
   36. SIGNED_AMENDED_FCIC_Bruce McWilliams_Contract_2010-04-06
   37. SIGNED_AMENDED_FCIC_George Hodak_Contract_2010-04-06
   38. SIGNED_AMENDED_FCIC_Kathleen Adams_Contract_2010-04-07
   39. SIGNED_AMENDED_FCIC_Kim_Shafer_Contract_2010-04-06
   40. SIGNED_AMENDED_FCIC_Morton Rosenberg_Contract_2010-04-07
   41. SIGNED_Contract_Richard A Galen_2010-03-25
   42. SIGNED_FCIC Contract_Randall Dodd_2010-05-20
   43. SIGNED_FCIC_Contract_Fred_Terrell_2-4-10
44. SIGNED_FCIC_Contract_George_Hodak_3-31-10
45. SIGNED_FCIC_Contract_Kathleen_Adams_3-31-10
46. SIGNED_FCIC_Morton_Rosenberg_contract_3-26-2010
47. SIGNED_MOA_Brian_Sylvester_Detail
48. Wilmarth_Contract

n. FCIC Seal
   1. FCIC Seal
   2. FCIC Seal
   3. FCIC Seal

o. FedEx
   1. FCIC_FedEx_Account_Info

p. LexisNexis
   1. CONTRACT_INFORMATION
   2. LexisNexis_CaseMap_TimeMap_Letter_of_Intent
   3. LexisNexis_Database_Subscription_Letter_of_Intent

q. Memo_Fax_Template
   1. Fax_Cover_Sheet
      a. FAX_Coversheet_Informal
      b. FCIC_FAX_COVER_SHEET
      c. Generic_Fax_Coversheet
   2. Meeting_Agenda
      a. AGENDA
   3. Memo
      a. FCIC_MEMORANDUM
   4. Messages
      a. Messages
   5. Action_Cover_Sheet

r. NetDocuments
   1. NetDocuments_Service_Agreement_SIGNED_Signature_Pg_1-25-10
   2. NetDocuments_Service_Agreement_1-25-10

s. Personnel_Tang
   1. Annual_and_Sick_Leave_Requests
      a. Requested_Annual_Leave
         1. Holiday_Vacation_Request_12-17-09
      b. Requested_Sick_Leave
         1. Sick_Leave_03-08-2010
         2. Sick_Leave_03-08-2010
      c. COPY_of_Approval_Sheet_for_Annual_Sick_Leave
   2. Pay_Compensation_Forms_2009
      a. Tang_Copy_of_FCIC_Employee_Claim_for_Compensation_Form_26
   3. Pay_Compensation_Forms_2010
      a. FCIC_Employee_Claim_for_Compensation_FormPP2
      b. FCIC_Employee_Claim_for_Compensation_FormPP3_ST
      c. FCIC_Employee_Claim_for_Compensation_FormPP5_ST
   4. Reimbursements
      a. Taxi_Eastern_Market_to_FCIC_Coffee_and_Gum_for_Comm_Reimbursements_2010-05-10
      b. Taxi_FCIC_to_W._Wilson_Bldg_Reimbursements_2010-06-03
   5. GSA_2010_Pay_Period_Calendar
   6. Staff_Confidentiality_Agreement_12-23-09

t. Rackspace_Distribution_List
   1. Rackspace_Distribution_List_Login
   2. Rackspace_Distribution_List_Login

u. Reimbursement_Form
   1. sf1164--REIMBURSEMENT

v. Reject_and_Hold_Notices_to_Job_Candidates
   1. Hold_Letter
   2. Reject_Letter_Other_Positions
3. Reject Letter_Research Directors

w.

Resumes
1. Bio_Fred Terrell
2. Bio_Joe Pichirallo
3. Bio_Michael Pomerleano
4. Cover Ltr_Donna Norman
5. Cover Ltr_Kim Shafer
6. Cover Ltr_Michael Flagg
7. Cover Ltr_Ravi Ramchandani
8. CV_Adam Paul
9. CV_Neil Mehrotra
10. Info_Ron Borzekowski
12. Presentation_Michael Flagg_Shifting Media Landscape in Washington_2008-12-04
13. Refs frm Clara_Fereshteh Vahdati-Kinney
14. Resume_Abelerto Crego
15. Resume_Alexander Maasry
16. Resume_Anthony Ingolia
17. Resume_Asha Mathew
18. Resume_Bhanu Frueh
19. Resume_Brian Wolohan
20. Resume_Brooke Kellerman
21. Resume_Bruce McWilliams
22. Resume_Carl McCarden
23. Resume_Chris Seefer
24. Resume_Claro Morain
25. Resume_Claudia Hong
26. Resume_Danny Michlewicz
27. Resume_Darryl Getter
28. Resume_David Gillers
29. Resume_Debra Miller
30. Resume_Donna Norman
31. Resume_Elizabeth Rives
32. Resume_Emmy Berning
33. Resume_Fereshteh Vahdati-Kinney
34. Resume_Gary Cohen
35. Resume_George Hodak
36. Resume_George Wahl
37. Resume_George Wahl_w contact info
38. Resume_Georgene Palacky
39. Resume_Hilary Allen
40. Resume_Holly Holland
41. Resume_Ira Wagner
42. Resume_Jay Lerner
43. Resume_Jill Drew
44. Resume_Jobe Danganan
45. Resume_Joel Miller
46. Resume_Jonathan Armstrong
47. Resume_Karen Dubas
48. Resume_Kathleen Adams
49. Resume_Kathryn Judge
50. Resume_Kenneth Fick
51. Resume_Kim Shafer
52. Resume_Leighton Dellinger
53. Resume_Lorretto Scott
3. Book
   a. Chapter 2 DRAFT
   b. fannie chapter
   c. FCIC_Book_AltOutline_for_Report_6_msc_2010-04-27
   d. Outline for Chapter One
   e. Outline for Report 03_04
   f. Outline for Report 2
   g. Outline for Report 4 msc
   h. Production Schedule for FCIC Report
   i. Production Schedule for FCIC Report 3 - msc

4. Commissioner Working Groups
   a. Comm. Definitions of Financial Crisis
   1. Comm. Definitions of Financial Crisis
   b. Comm. Hypotheses
   1. Commissioners Hypotheses With Author Disclosure -- -- Highly Confidential-Password Protected
   2. Hypotheses on the Causes of the Financial Crisis-Commissioners
   c. Commissioner_Working_Groups_with_staff_point_person_and_staff_team_UPDATED

5. Conf_Ethics_Employee Manual_Record Mgmt (Staff)
   a. SIGNED Staff Confidentiality Agreements
      1. Adam_Paul_Confidentiality_Agreement
      2. Albert_Crego_FCIC_Confidentiality_Agreement
      3. Alex_Maasry_FCIC_Confidentiality_Agreement
      4. Alexis Simendiger Confidentiality Agreement
      5. Alice Falk Confidentiality Agreement
      6. Andrew_Robinson_Confidentiality_Agreement
      7. Anthony_Inogolia_FCIC_Confidentiality_Agreement
      8. Antonio Vargas Confidentiality Agreement
      9. Art_Wilmarth_Confidentiality_Agreement
      10. Bart_Dzivi_FCIC_Confidentiality_Agreement
      11. Ben Jacobs Confidentiality Agreement
      12. Beneva_Schulte.FCIC_Confidentiality_Agreement
      13. Brad_Bondi_FCIC_Confidentiality_Agreement
      14. Brian_Sylvester.FCIC_Confidentiality_Agreement
      15. Brook_Kellerman.FCIC_Confidentiality_Agreement
      16. Bruce_McWilliams.FCIC_Confidentiality_Agreement
      17. Carl_McCarden.FCIC_Confidentiality_Agreement
      18. Cassidy Waskowicz Confidentiality Agreement
      19. Chris_Seefer.FCIC_Confidentiality_Agreement
      20. Clara_Morain.FCIC_Confidentiality_Agreement
      21. Courtney_Mayo.FCIC_Confidentiality_Agreement
      22. Danny_Michlewicz.FCIC_Confidentiality_Agreement
      23. Desmond_Dunker.FCIC_Confidentiality_Agreement
      24. Dixie_Noonan.FCIC_Confidentiality_Agreement
      25. Donna_Norman.FCIC_Confidentiality_Agreement
      26. Elizabeth Del Real Confidentiality Agreement
      27. Ernesto Ramirez Confidentiality Agreement
      28. Fereshteh_Vahdati.FCIC_Confidentiality_Agreement
      29. Fred_Terrell.FCIC_Confidentiality_Agreement
      30. Gary_Cohen.FCIC_Confidentiality_Agreement
      31. George Hodak Confidentiality Agreement
      32. George_Wahl.FCIC_Confidentiality_Agreement
      33. Girija Natarajan Confidentiality Agreement
      34. Gordon Shemin Confidentiality Agreement
      35. Greg_Feldberg.FCIC_Confidentiality_Agreement
91. Sylvia_Boone_FCIC_Confidentiality_Agreement
92. Thomas_Keegan_FCIC_Confidentiality_Agreement
93. Tom_Borgers_FCIC_Confidentiality_Agreement
94. Tom_Greene_FCIC_Confidentiality_Agreement
95. Tom_Krebs_FCIC_Confidentiality_Agreement
96. Tom_Stanley_FCIC_Confidentiality_Agreement
97. Troy_Burrus_FCIC_Confidentiality_Agreement
98. Tucker_Warren_FCIC_Confidentiality_Agreement
99. Victor_Cunicelli_FCIC_Confidentiality_Agreement
100. Wendy_McNiel_Confidentiality_Agreement
101. Wendy_Edelberg_FCIC_Confidentiality_Agreement
102. Xiaoqi_Zhu_FCIC_Confidentiality_Agreement

b. SIGNED Staff Ethics Policy
   1. Adam_Paul_Ethics_Policy
   2. Adam_Paul_Ethics_Policy
   3. Albert_Crego_FCIC_Ethics_Policy
   4. Alexander_Maasry_Ethics_Policy
   5. Alexis_Simendiger_Ethics_Policy
   6. Alice_Falk_Ethics_Policy
   7. Andrew_Robinson_Ethics_Policy
   8. Anthony_Ingoglia_FCIC_Ethics_Policy
   9. Antonio_Vargas_Ethics_Policy
  10. Art_Wilmarth_Ethics_Policy
  11. Ben_Jacobs_Ethics_Policy
  12. Beneva_Schulte_FCIC_Ethics_Policy
  13. Brad_Bondi_FCIC_Ethics_Policy
  14. Brian_Sylvestre_FCIC_Ethics_Policy
  15. Brook_Kellerman_FCIC_Ethics_Policy
  16. Bruce_McWilliams_FCIC_Ethics_Policy
  17. Carl_McCarden_FCIC_Ethics_Policy
  18. Cassidy_Waskowicz_Ethics_Policy
  19. Chris_Seefer_FCIC_Ethics_Policy
  20. Clara_Morain_FCIC_Ethics_Policy
  21. Courtney_Mayo_FCIC_Ethics_Policy
  22. Danny_Michlewicz_FCIC_Ethics_Policy
  23. Desmond_Duncker_FCIC_Ethics_Policy
  24. Dixie_Noonan_FCIC_Ethics_Policy
  25. Donna_Norman_FCIC_Ethics_Policy
  26. Elizabeth_Del_REAL_Ethics_Policy
  27. Ernesto_Ramirez_Ethics_Policy
  28. Fereshteh_Vahdati_FCIC_Ethics_Policy
  29. Fred_Terreil_FCIC_Ethics_Policy
  30. Gary_Cohen_FCIC_Ethics_Policy
  31. George_Hodak_Ethics_Policy
  32. George_Wahl_FCIC_Ethics_Policy
  33. Girija_Natarajan_Ethics_Policy
  34. Gordon_Shemin_Ethics_Policy
  35. Greg_Feldberg_FCIC_Ethics_Policy
  36. Gretchen_Newsom_FCIC_Ethics_Policy
  37. Hilary_Allen_FCIC_Ethics_Policy
  38. Jane_Lewin_Contract-Confidentiality-Ethics
  39. Jane_Poulin_FCIC_Ethics_Policy
  40. Jay_Lerner_FCIC_Ethics_Policy
  41. Jeffrey_Smith_FCIC_Ethics_Policy
  42. Jennifer_Collins_Ethics_Policy
6. Confidentiality of NP Info Agreements (Agencies)
   a. CFTC
      1. Confidentiality_of_Non-Public_Info_Dan_Berkovitz_CFTC_1-26-10
      2. Confidentiality_of_Non-Public_Info_Dan_Berkovitz_CFTC_1-26-10
      3. SIGNED_Confidentiality_of_Non-Public_Info_Dan_Berkovitz_CFTC
   b. DOJ
      1. Confidentiality_of_Non-Public_Info_Ronald_Weich_DOJ_1-29-10
      2. Confidentiality_of_Non-Public_Info_Ronald_Weich_DOJ_1-29-10
      3. SIGNED_Confidentiality_of_Non-Public_Info_Ronald_Weich_DOJ
   c. DOL
      1. Confidentiality_of_Non-Public_Info_DOL_Deborah_Greenfield
      2. Confidentiality_of_Non-Public_Info_DOL_Deborah_Greenfield
      3. SIGNED_Confidentiality_of_Non-Public_Info_DOL_Deborah_Greenfield
   d. FDIC
      1. Confidentiality_of_Non-Public_Info_Michael_Bradfield_FDIC
      2. Letter_Confidentiality_of_Non-Public_Info_Michael_Bradfield_FDIC
      3. SIGNED_Confidentiality_of_Non-Public_Info_Michael_Bradfield_FDIC
   e. Federal Reserve
      1. SIGNED_Confidentiality_of_Non-Public_Info_Ben_Bernanke_Federal_Reserve
   f. FHFA
      1. Confidentiality_of_Non-Public_Info_Alfred_Pollard_FHFA_1-21-10
      2. Confidentiality_of_Non-Public_Info_FHFA_Alfred_Pollard
      3. Confidentiality_of_Non-Public_Info_FHFA_Alfred_Pollard
      4. Confidentiality_of_Non-Public_Info_FHFA_Alfred_Pollard_1-21-10
      5. SIGNED_Confidentiality_of_Non-Public_Info_Alfred_Pollard_FHFA
   g. FTC
      1. Ltr to GCohen frm FTC re_ Citigroup and Countrywide Investigations
   h. OCC
      1. Confidentiality_of_Non-Public_Info_Julie_Williams_Comptroller_of_the_Currency
      2. SIGNED_Confidentiality_of_Non-Public_Info_Julie_Williams_OCC
   i. OTS
      1. Confidentiality_of_Non-Public_Info_Deborah_DakinOTS
      2. Confidentiality_of_Non-Public_Info_Deborah_DakinOTS
      3. Confidentiality_of_Non-Public_Info_Deborah_DakinOTS_1-26-10
      4. Confidentiality_of_Non-Public_Info_Deborah_DakinOTS_1-26-10
      5. SIGNED_Confidentiality_of_Non-Public_Info_Deborah_DakinOffice_of_Thrift_Supervision
      6. SIGNED_Confidentiality_of_Non-Public_Info_Deborah_DakinOffice_of_Thrift_Supervision_1-28-10
   j. SEC
      1. Confidentiality_of_Non-Public_Info_Agreement_Mark_Cahn_SEC
      2. Confidentiality_of_Non-Public_Info_Mark_Cahn_SEC_1-21-10
      3. Letter_Confidentiality_of_Non-Public_Info_Mark_Cahn_SEC
      4. SIGNED_Confidentiality_of_Non-Public_Info_Mark_Cahn_SEC_1-21-10
   k. Treasury
      1. Confidentiality_of_Non-Public_Info_George_Madison_Treasury_2-4-10
      2. Confidentiality_of_Non-Public_Info_George_Madison_Treasury_2-4-10
      3. Confidentiality_of_Non-Public_Info_George_Madison_Treasury_2-5-10
      4. Confidentiality_of_Non-Public_Info_George_Madison_Treasury_2-5-10
      5. FCIC_Madison letter 1-7-10
6. Letter_George_Madison
7. Letter_George_Madison_1.7.10.doc
8. SIGNED_Confidentiality_of_Non-Public_Info_George_Madison_Treasury_2-5-10
   a. Confidentiality of Non-Public Info Agreements
7. Correspondence
   a. 2010-01-06 Citi Doc Request 1 (B Bondi to M Helfer) (copy)
   b. 2010-01-20 Ltr to TGreene re Blankfein Testimony
   c. 2010-02-05 B Bondi Ltr to B Karp re Citi Treatment of Non-Public Info
   d. 2010-02-05 B Bondi Ltr to B Karp re Citi Treatment of Non-Public Info
   e. 2010-02-18 Gibson Dunn (M Bopp) Ltr to T Greene re Correction to Hearing Record (General Electric Co)
   f. 2010-02-18_SEC (S Forstein) Ltr to T Greene re Doc Request (Citi_Fannie_Freddie)
   g. 2010-02-19_Goldman Sachs (R Klapper) Ltr to T Greene re Doc Preservation
   h. 2010-02-22_S McCleskey Ltr to T Greene re Credit Rating Agencies
   i. 2010-02-23_T Greene Ltr to K Bass re Ltr on Behalf of GE
   j. 2010-02-23_T Greene Ltr to K Bass re Ltr on Behalf of GE
   k. 2010-02-24_G Cohen Ltr to FSA re Citigroup
   l. 2010-02-24_G Cohen Ltr to FSA re Citigroup
   m. 2010-05-10 Sloan Ltr (Daniel Goroff)
   n. 2010-05-10 Sloan Ltr (Daniel Goroff)
   o. 2010-05-12 Ltr to Warren Buffett
   p. 2010-05-12 Ltr to Warren Buffett
   q. 2010-06-01_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
   r. 2010-06-01_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
   s. 2010-06-03_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
   t. 2010-06-03_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
   u. 2010-06-22 B Bondi Ltr to G Madison (Treasury) re Request for Electronic Mail
8. Document Demand Letters
   a. FNMA_1-22-10
      1. Attachment to FCIC Doc Production FNMA_1-22-10
      2. FCIC_Document_Production_FNMA_1-22-10
   b. FCIC_Document_Demand_Letter_-_Governmental_EntitiesV2_1-24-10
   c. FCIC_Document_Demand_Letter_-_Non_Governmental_Entities_1-24-10
9. Document Preservation Letters (Companies)
   a. Drafts
      1. Doc Preservation Ltrs Tracker
      2. Preservation_Notice_Barclays_Mark_Harding_1-22-10
      3. Preservation_Notice_BofA_Ed_O'Keefe_1-22-10
      4. Preservation_Notice_BofA_Ed_O'Keefe_1-22-10_SEC_Filing_Address
      5. Preservation_Notice_Citigroup_Michael_Helfer_1-22-10
      6. Preservation_Notice_Fitch_Ratings_Charles_Brown_1-22-10
      7. Preservation_Notice_Goldman_Sachs_Gregory_Palm_1-22-10
      8. Preservation_Notice_JPMorgan_Chase_Stephen_Cutler_1-22-10
      9. Preservation_Notice_JPMorgan_Chase_Stephen_Cutler_1-22-10_SEC_Filing_Address
     10. Preservation_Notice_Moodys_John_Goggins_1-22-10
     11. Preservation_Notice_Morgan_Stanley_Gary_Lynch_1-22-10
     12. Preservation_Notice_S&P_Rita_Bolger_1-22-10
     13. Preservation_Notice_Wells_Fargo_James_Strother_1-22-10
     14. Preservation_Notice_Wells_Fargo_James_Strother_1-22-10_SEC_Filing_Address
   b. FINAL
      1. Doc Preservation Ltrs Tracker_1-22-10
      2. Doc_Retention_Notice_AIG_KShannon
      3. Doc_Retention_Notice_Barclays_MHarding
      4. Doc_Retention_Notice_BofA_EO'Keefe
      5. Doc_Retention_Notice_Citigroup_MHelfer
      6. Doc_Retention_Notice_FitchRatings_CBrown
7. Doc_Retention_Notice_GoldmanSachs_GPalm
8. Doc_Retention_Notice_JPMorganChase_SCutler
9. Doc_Retention_Notice_Moodys_JGoggins
10. Doc_Retention_Notice_MorganStanley_GLynch
11. Doc_Retention_Notice_OneWestBank_JVogel
12. Doc_Retention_Notice_S&P_ASchuman
13. Doc_Retention_Notice_WellsFargo_JStrother
14. FINAL_Preservation_Notice_AIG_Kathleen_Shannon_1-22-10
15. FINAL_Preservation_Notice_Barclays_Mark_Harding_1-22-10
16. FINAL_Preservation_Notice_BofA_Ed_O'Keefe_1-22-10
17. FINAL_Preservation_Notice_Citigroup_Michael_Helfer_1-22-10
18. FINAL_Preservation_Notice_Fitch_Ratings_Charles_Brown_1-22-10
19. FINAL_Preservation_Notice_Goldman_Sachs_Gregory_Palm_1-22-10
20. FINAL_Preservation_Notice_JPMorgan_Chase_Stephen_Cutler_1-22-10
21. FINAL_Preservation_Notice_Moodys_John_Goggins_1-22-10
22. FINAL_Preservation_Notice_Morgan_Stanley_Gary_Lynch_1-22-10
23. FINAL_Preservation_Notice_OneWest_Bank_Jules_Vogel_1-22-10
24. FINAL_Preservation_Notice_S&P_Adam_Schuman_1-22-10
25. FINAL_Preservation_Notice_Wells_Fargo_James_Strother_1-22-10

10. Document Production Letters
   a. FNMA_1-22-10
      1. Attachment to FCIC Doc Production FNMA_1-22-10
      2. FCIC_Document_Production_FNMA_1-22-10
   b. Reserve Management Corp
      1. FCIC_Document_Production_Reserve_Management_Corp_2-5-10
      2. FCIC_Document_Production_Reserve_Management_Corp_2-5-10
   c. FCIC_Document_Demand_Letter_-_Governmental_EntitiesV2_1-24-10
   d. FCIC_Document_Demand_Letter_-_Non_Governmental_Entities_1-24-10

11. FCIC Staff Meetings
   a. Big Picture Meeting 2010-02-17
      1. 2010-02-17 Agenda_Big_Picture_Meeting
      2. 2010-02-17 DRAFT_Minutes_of_Big_Picture_Meeting
   b. Full Staff Meetings
      1. 2010-01-15
         a. 2010-01-15 Agenda_Full_Staff_Meeting
         b. 2010-01-15 DRAFT_MINUTES_Full_Staff_Meeting
         c. 2010-01-15 EDITS_to_MINUTES_Full_Staff_Meeting
         d. 2010-01-15 FINAL_MINUTES_Full_Staff_Meeting
      2. 2010-01-26
         a. 2010-01-26 Agenda_Full_Staff_Meeting
   c. H1 Lesson Learned Mtg 2010-01-19
      1. 2010-01-19 DRAFT_of_Minutes_H1_Lessons_Learned_Meeting
      2. 2010-01-19 FINAL_of_Minutes_H1_Lessons_Learned_Meeting
      3. 2010-01-19 FINAL_of_Minutes_H1_Lessons_Learned_Meeting
   d. iTeam Leaders Meetings
      1. 2010-02-12 Agenda_iTeam_Leaders_Meeting
      2. 2010-02-25 Agenda_iTeam_Leaders_Meeting
      3. 2010-03-04 Agenda_iTeam_Leaders_Meeting
      4. 2010-03-12 Agenda_iTeam_Leaders_Meeting
      5. 2010-03-18 Agenda_iTeam_Leaders_Meeting
      6. 2010-03-25 Agenda_iTeam_Leaders_Meeting
      7. 2010-04-15 Agenda_iTeam_Leaders_Meeting
      8. 2010-04-22 Agenda_iTeam_Leaders_Meeting
   e. Senior Staff Meetings
      1. 2010-01-20
         a. 2010-01-20 Agenda_Senior_Staff_Meeting
         b. 2010-01-20 DRAFT_MINUTES_Senior_Staff_Meeting
2. 2010-01-27
   a. 2010-01-27 Agenda_Senior_Staff_Meeting
   f. Symposium Take Aways & Hypotheses 2010-03-01
1. 2010-03-01 DRAFT_of_Minutes_Take_Aways_and_Hypotheses_Meeting

12. FCIC Workplan
    a. FCIC_omnibus_2-16-10
    b. FCIC_Work Plan_Omni 12-13-09
    c. macroeconomic research plan
    d. Omnibus Work Plan_1-28-10
    e. omnibus_draft_2-16-10
    f. Work Plan Revision_1-20-10

13. Full Commission Business Meetings
    a. 06-15-2010 Process_for_Review_and_Approval_of_the_Report_by_the_Commission
    b. 12-14-09 Agenda for Business Meeting of Financial Crisis Inquiry Commission
    c. 3-16-10 Agenda for Business Meeting of Financial Crisis Inquiry Commission
    d. 5-18-2010 Agenda for Business Meeting of Financial Crisis Inquiry Commission
    e. 6-15-2010 Report Outline
    f. 6-15-2010 Agenda for Business Meeting of Financial Crisis Inquiry Commission
    g. Attachment to 3-16-10 Meeting_Mar_Leg_Update sg tg
    h. Attachment to 3-16-10 Meeting_Records Management Policy 2-17-2010 revised
    i. Leg Report 5 17 10

14. H1
    a. Briefing Binders H1
    1. Fed Govt Briefing Books
       a. Eric Holder (DOJ)
          i. Bio
             a. Bio_Eric Holder
             b. E_Holder_Photo
          2. PR
             a. PR_Creation of Financial Fraud Enforcement Task Force_11-17-09
             b. PR_Foreclosure Rescue Scams Loan Modification Fraud_4-6-09
          3. Speech
             a. Speech_Eric_Holder_Financial_Fraud_Enforcement_TF_PC_11-17-09
             b. Speech_Foreclosure Rescue Scams and Loan Modification Fraud_PC_4-6-09
          4. Testimony
             a. Testimony_E_Holder_Senate_Judiciary_11-18-09
             b. Testimony_Holder_House_Judiciary_5-14-09
          5. Eric Holder Briefing Binder
          6. Eric Holder Briefing Binder
       b. Mary Schapiro (SEC)
          i. Bio
             a. Bio_M_Schapiro
          2. Cox
             a. Op-Ed_Cox_Reinventing a Markets Watchdog_11-4-08
             b. Op-Ed_Cox_We need a Bailout Exit Strategy_12-11-08
             c. PR_Cox_Blueprint for Financial Regulatory Reform_3-29-08
             d. PR_Meeting With Major Financial Institutions to Discuss Recent Market Conditions_9-12-08
             e. PR_SEC Alerts Compliance Officers About Deficiencies and Weaknesses Found During Recent Compliance E
             f. PR_SEC Announces August 4 Roundtable on Performance of IFRS and U.S. GAAP During Subprime Crisis_7-2
             g. PR_SEC Announces Panelists, Agenda for August 4 Roundtable on Performance of IFRS, U.S. GAAP During
             h. PR_SEC Examinations Find Shortcomings in Credit Rating Agencies’ Practices and Disclosure to Investo
             i. PR_SEC Expands Sweeping Investigation of Market Manipulation_9-19-08
j. PR_SEC Warns Public Pension Funds About Inadequate Compliance Procedures_3-6-08
k. PR_Statement From SEC Chairman Christopher Cox_9-18-08
l. Speech_Cox_Address to 2008 CCOoutreach National Seminar_11-13-08
m. Speech_Cox_Building on Strengths in Designing the New Regulatory Structure_11-12-08
n. Speech_Lessons from the Financial Crisis for Financial Reporting_Securities Exchange_11-12-08
o. Statement_Chairman Cox_Proposal to Increase Protection by Reducing Reliance on Credit Ratings_6-25-09
p. Statement_Proposal to Increase Protection by Reducing Reliance on Credit Ratings_6-25-09

3. Media Coverage
   a. Media_Financial Times_SEC strengthens pay disclosure rules_12-16-09
   b. Media_Investment Executive_12-13-09
   c. Media_WP_SEC tightens rules on investment advisers_12-17-09

4. PR
   a. PR_SEC Approves Enhanced Disclosure About Risk Compensation and Corporate Governance_12-16-09
   b. PR_SEC Charges Hedge Fund Adviser and Principals in $60 Million Investment Fraud_3-4-08
   c. PR_SEC Proposes Comprehensive Reforms to Bring Increased Transparency to Credit Rating Process_6-11-09

5. Speeches
   a. Speech_Address Before the Solutions Forum on Fraud_10-22-09
   b. Speech_Applying the Lessons_11-5-09
   c. Speech_Building a Stable and Efficient Financial System_5-8-09
   d. Speech_Improving the Role of the Securities Regulators in a Changing Global Financial System_6-11-09
   e. Speech_Road to Investor Confidence_10-27-09
   f. Speech_U of Rochester_Future of Financial Regulation_10-10-09

6. Testimony
   a. Testimony_House Appropriations_Subcommittee on Financial Services & General Government_3-11-09
   b. Testimony_House_Agriculture Committee_re Over-the-Counter Derivatives Markets Act of 2009_9-22-09
   c. Testimony_House_Financial Services Subcommittee_Current State and Agenda_7-14-09
   d. Testimony_Senate Appropriations_Subcommittee on Financial Services and General Govt_6-2-09
   e. Testimony_Senate_Banking Housing and Urban Affairs_re Enhancing Investor Protection & Regulation of
   f. Testimony_Senate_Banking_Housing_and Urban Affairs_re_Regulation of Systemic Risk_7-23-09

7. Mary Schapiro Briefing Binder
8. Mary Schapiro Briefing Binder
9. Post-Madoff Reforms
   c. Sheila Bair (FDIC)
      1. Bio
         a. Bio_Shiela Bair
      2. Media Coverage
         a. Media_CBS 60 Minutes Special_A Rare Look at How the FDIC Takes Over Banks and Reassures Depositors_5
         b. Op-Ed_Bair- Back to Housing Basics_A Stable Housing Market is Vital to Our Economic Recovery_5-19-09
         c. Op-Ed_How the State can Stabilise Housing Market_4-29-08
         d. Op-Ed_The Case Against a Super-Regulator_9-1-09
      3. PR
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b. PR_FDIC Launches Foreclosure Prevention Initiative_9-16-09
c. PR_FDIC-Insured Institutions Earned $2.8 Billion in the Third Quarter of 2009_Loan Balances Decline
d. PR_FDIC-Insured Institutions Lost $3.7 Billion in the Second Quarter of 2009_8-27-09
e. PR_FDIC's New Advisory Committee on Community Banking Discusses Impact of Financial Crisis on Commun
f. PR_Insured Banks and Thrifts Lost $26.2 Billion in the Fourth Quarter_2-26-09]

4. Speeches
a. Speech_45th Annual Bank Structure and Competition Conference, Chicago Federal Reserve Bank_5-7-09
b. Speech_American Bankers Association Government Relations Summit_4-1-09
c. Speech_American Banker's Banker of the Year Awards Keynote Speech_12-4-08
d. Speech_Bear Stearns Mortgage and Structured Product Conference_1-17-08
f. Speech_Consumer Federation of America_12-4-09
g. Speech_Economic Club of New York_4-27-09
h. Speech_Exchequer Club of Washington D.C._6-18-08
i. Speech_FDIC Joint Press Conference_10-14-08
j. Speech_Florida Bankers Association_9-4-08
k. Speech_Future of Global Finance Conference, Georgetown University's McDonough School of Business_9-1
l. Speech_Global Association of Risk Professionals_2-25-08
m. Speech_Independent Community Bankers of America, 2009 National Convention and Techworld_3-20-09
n. Speech_Institute of International Bankers Annual Washington Conference_3-2-09
o. Speech_Institute of International Bankers Conference_11-10-09
p. Speech_International Institute of Finance_10-4-09
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5. Testimonies
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b. Testimony_Modernizing Bank Supervision And Regulation before the Senate Committee on Banking, Housin
c. Testimony_The State of the Banking Industry before the Committee on Banking, Housing and Urban Affai

2. Sheila Bair
   a. Bio
   b. Editorial_Mortgage Meltdown_10-24-07
   c. Media_CBS 60 Minutes Special_A Rare Look at How the FDIC Takes OVer Banks and Reassures Depositors_5
   d. Media_FDIC chairwoman is right on the money; Sheila Bair recognized the sub-prime crisis early and h
   e. Media_FDIC chairwoman is right on the money; Sheila Bair recognized the sub-prime crisis early and h
   f. Op-Ed_Bair- Back to Housing Basics_A Stable Housing Market is Vital to Our Economic Recovery_5-19-09
   g. Op-Ed_Fix Rates to Save Loans_10-19-07
   h. Op-Ed_How the State can Stabilise Housing Market_4-29-08
   i. Op-Ed_The Case Against a Super-Regulator_9-1-09
   j. PR_FDIC Chairman Bair Comments on Enactment of Financial Rescue Package_10-3-08
   k. PR_FDIC Launches Foreclosure Prevention Initiative_9-16-09
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   m. PR_FDIC-Insured Institutions Lost $3.7 Billion in the Second Quarter of 2009_8-27-09
   n. PR_FDIC's New Advisory Committee on Community Banking Discusses Impact of Financial Crisis on Commun
   o. PR_Insured Banks and Thrifts Earned $19.3 Billion in the First Quarter_Troubled Real Estate Loans Tr
   p. PR_Insured Banks and Thrifts Lost $26.2 Billion in the Fourth Quarter_2-26-09
   q. Speech_45th Annual Bank Structure and Competition Conference, Chicago Federal Reserve Bank_5-7-09
   r. Speech_American Bankers Association Government Relations Summit_4-1-09
   s. Speech_American Banker's Banker of the Year Awards Keynote Speech_12-4-08
   t. Speech_Bear Stearns Mortgage and Structured Product Conference_1-17-08
   v. Speech_Consumer Federation of America_12-4-09
   w. Speech_Economic Club of New York_4-27-09
   x. Speech_Exchequer Club of Washington D.C._6-18-08
   y. Speech_FDIC Joint Press Conference_10-14-08
   z. Speech_Florida Bankers Association_9-4-08
   aa. Speech_Future of Global Finance Conference, Georgetown University's McDonough School of Business_9-1
   ab. Speech_Global Association of Risk Professionals_2-25-08
   ac. Speech_Independent Community Bankers of America, 2009 National Convention and Techworld_3-20-09
   ad. Speech_IndyMac Loan Modification Announcement_8-20-08
   ae. Speech_Institute of International Bankers Annual Washington Conference_3-2-09
   af. Speech_Institute of International Bankers Conference_11-10-09
   ag. Speech_International Institute of Finance_10-4-09
   ah. Speech_Johns Hopkins University Carey Business School Leaders & Legends Lecture Series_11-20-08
   ai. Speech_Kansas State University Alfred M. Landon Lecture Series_11-2-09
   aj. Speech_National Association of Attorneys General_3-3-09
   ak. Speech_National Association of Realtors Midyear Legislative Meeting and Trade Expo_5-12-09
   al. Speech_Operation Hope Global Financial Literacy Summit_6-17-09
4. Letter_H1_Mike_Mayo
5. Letter_H1_Rusty_Cloutier
6. Letter_H1_Rusty_Cloutier
7. Letter_H1_Rusty_Cloutier_New_Address
8. Letter_Hearing1_Honorable_L. Madigan
9. Letter_Hearing1_M. Whitney

10. Commissioners Press Clippings- Hearing One
11. H1_Hearing_Room_Map
12. Hearing 1 Outline
13. Subprime Hearing Task List
14. ZANDI Final

15. H2 and H3--Subprime Lending, Securitization, GSEs
   a. Correspondence
      1. Hawke Dugan Greenspan Thank You Letters
   b. HEARING 2 & 3 FOLLOW-UP
      1. Follow-up Letters
         a. 2010-06-03_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
         b. 2010-06-03_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
         c. 2010-06-04_Ltr to J Lockhart Re follow-up Questions to 4-9-10 Hearing
         d. 2010-06-09_Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing
         e. 2010-06-09_Ltr to Cit Re Follow-Up Questions to April 7-9 2010 Hearing
         f. 2010-06-09_Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing
         g. 2010-06-09_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing
      2. Responses to Follow-up
         a. 2010-06-17_D Mudd Response to 4-9-10 Hearing Follow-up Questions
         b. 2010-06-17_J Hawke Response to 4-8-10 Hearing Follow-up Questions
         c. 2010-06-17_J Hawke Response to 4-8-10 Hearing Follow-up Questions
         d. 2010-06-17_R Levin Response to 4-9-10 Hearing Follow-up Questions
         e. 2010-06-23_A Greenspan Response to 4-7-10 Hearing Follow-up Questions
         f. 2010-06-23_Citi Response to April 7-9, 2010 Hearing Follow-up Questions
      3. DO NOT USE Spreadsheet for H2-3 Follow-Up_2010-06-17
      4. H2& 3 Follow-up Questions to Fannie Mae & OFHEO
      5. Spreadsheet for H2-3 Follow-up
      6. Spreadsheet for H2-3 Follow-up
   c. Hearing Invitations
      1. 2010-03-02 Invitation Letter to Greenspan
      2. 2010-03-09 Draft Invitation Letter to Greenspan
      3. 2010-03-10 Invitation Ltr - Greenspan
      4. 2010-03-11 DRAFT Hearing Invite to D Mudd
      5. 2010-03-11 DRAFT Hearing Invite to J Lockhart
      6. 2010-03-11 Hearing Invite to D Mudd
      7. 2010-03-11 Hearing Invite to J Lockhart
      8. 2010-03-15 Invitation Ltr to Former OCC Comptroller John D. Hawke
      9. 2010-03-15 Invitation Ltr to OCC Comptroller John C. Dugan
     10. 2010-03-16 FCIC Hearing Invitation to John C. Dugan
     11. 2010-03-16 FCIC Hearing Invitation to John D. Hawke
     12. 2010-03-22 Hearing Invitation to Enrico Dallavecchia--NOT INVITED
     13. 2010-03-22 Invitation Ltr to Charles Prince
     14. 2010-03-22 Invitation Ltr to Charles Prince
     15. 2010-03-22 Invitation Ltr to David Bushnell
     16. 2010-03-22 Invitation Ltr to David Bushnell
     17. 2010-03-22 Invitation Ltr to Murray Barnes
     18. 2010-03-22 Invitation Ltr to Murray Barnes
     19. 2010-03-22 Invitation Ltr to Nestor Dominguez
     20. 2010-03-22 Invitation Ltr to Nestor Dominguez
     21. 2010-03-22 Invitation Ltr to Patricia Lindsay
22. 2010-03-22 Invitation Ltr to Patricia Lindsay
23. 2010-03-22 Invitation Ltr to Richard Bitner
24. 2010-03-22 Invitation Ltr to Richard Bitner
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27. 2010-03-22 Invitation Ltr to Robert Levin
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31. 2010-03-22 Invitation Ltr to Susan Mills
32. 2010-03-22 Invitation Ltr to Susan Mills
33. 2010-03-22 Invitation Ltr to Thomas Lund
34. 2010-03-22 Invitation Ltr to Thomas Maheras
35. 2010-03-22 Invitation Ltr to Thomas Maheras
36. 2010-03-22 Invitation Ltr to Thomas Lund
37. 2010-03-23 Invitation Ltr to Armando Falcon
38. 2010-03-23 Invitation Ltr to Armando Falcon
d. Transcripts
   1. FINAL H 2&3 Transcript
      a. 2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE] FINAL
      b. 2010-04-08 HEARING 2 AND 3 TRANSCRIPT [DAY TWO] FINAL
      c. 2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE] FINAL
      d. 4-21-2010 FCIC Statement for the record FINAL
      e. FCIC Response Ltr to Congressman Turner
      f. Housing_Report_Part_1
      g. Housing_Report_Part_2
   2. Unrevised H 2&3 Transcript
      a. 2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]
      b. 2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]
      c. 2010-04-08 HEARING 2 & 3 TRANSCRIPT [DAY TWO]
      d. 2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]
      e. Transcript Errors
e. Agenda for Briefing Meeting - Tuesday April 6
f. Discussion draft lines of investigation_2-26-10
g. Fannie Mae 6 theories_2-26-10
h. FCIC_H-2-3_Commissioner Briefing 4-5-10
i. For the Record
j. H 2 3 Participant Contact Info
k. Jan 10 Summary of H2
l. Jan 10 Summary of H3
m. Jan_20_draft_summary_of_combined_H2_and_H3_version_2_clean
n. OUTLINE and LINKS - H-2 3 Briefing Binder for Commissioners
o. Witness List for H 2 3 --DRAFT AND CONFIDENTIAL
16. H4--Shadow Banking
   a. Testimonies
      1. Christopher Cox Testimony
      2. H. David Kotz Testimony
      3. Steven R. Meier Testimony
   b. Transcripts
      1. FINAL H4 Transcript
         a. 2010-05-05 FCIC HEARING 4 TRANSCRIPT [DAY ONE] FINAL
         b. 2010-05-05 FCIC HEARING 4 TRANSCRIPT [DAY ONE] FINAL
         c. 2010-05-06 HEARING 4 TRANSCRIPT [DAY TWO] FINAL
         d. 2010-05-06 HEARING 4 TRANSCRIPT [DAY TWO] FINAL
      2. Unrevised H4 Transcripts
         a. 2010-05-05 HEARING 4 TRANSCRIPT [DAY ONE]
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c. Witness Questions for Hearing
   1. Questions Sent To Commissioners
      a. Day 1 Shadow Banking Hearing Questions
      b. Day 2 Shadow Banking Hearing Questions
   2. Shadow Banking Hearing questions 2010-05-03
   3. Shadow Banking Hearing questions 2010-05-03 [DAY ONE]
   4. Shadow Banking Hearing questions 2010-05-03 [DAY TWO]

d. Follow-Up Commissioner Rog from Hearing 4_2010-06-17

e. Hearing 4 Participants

f. Shadow Banking H4 Follow-up Items_Questions_2010-06-07

g. Shadow_Banking_RIP_and_hearing_draft 03-04

h. Shadow_Banking_RIP_and_hearing_draft 03-11

i. TENTATIVE Hearing 4 Outline

17. H5a--Credit Rating Agencies
   a. H5a Follow-up
      1. Credit Rating Agencies H5a Follow-up Questions_DRAFT_2010-06-21_ST
      2. Credit Rating Agencies H5a Follow-up Questions_FINAL_2010-06-21

b. Transcript
   1. FINAL H5a Transcript
      a. 2010-06-02 HEARING 5A TRANSCRIPT_FINAL
      b. 2010-06-02 HEARING 5A TRANSCRIPT_FINAL
   2. Unrevised 5a Transcript
      a. 2010-06-02 HEARING 5A TRANSCRIPT
      b. 2010-06-02 Hearing 5a Transcript_UNREVISED

c. 2010-05-28 Investigative Report Rating Agencies - Redline

d. b-ii. Mark Froeba Testimony

e. Credit Rating Agencies RIR Outline KLS

f. Credit rating agencies R and I plan 3 03

g. Credit Rating Agencies Reading List_2010-04-30

h. Credit Rating Agencies RIR Outline RB

i. Credit Rating Agencies RIR Outline_2010-05-11

j. d-iv. Jay Siegel Testimony

k. FCIC_Hearings_H5A_Credit Rating Agencies RIP 2 24

l. H5 Background Briefing Binder Index

m. OUTLINE - H5 Briefing book for Commissioners (5-27-2010)

n. Production Schedule for Hearing 5a on Credit Rating Agencies

o. PSR Rating Agencies 5 28 2010_UPDATED

p. PSR Rating Agencies REDLINE_2010-05-28

q. PSR Rating Agencies to commissioners 5-28-2010 (gkn)

r. Schedule

s. Status of WE_PA_Comments on Investigative Report on Rating Agencies_2010-05-28

18. H5--Derivatives
   a. Hearing Invitations
      1. 2010-06-14 Hearing Invite to Martin Sullivan
      2. 2010-06-14 Hearing Invite to Martin Sullivan
      3. 2010-06-14 Hearing Invite to Robert Lewis
      4. 2010-06-14 Hearing Invite to Robert Lewis
      5. 2010-06-15 Hearing Invite to Steven Bensinger
      6. 2010-06-15 Hearing Invite to Steven Bensinger
      7. 2010-06-18 Hearing Invite to Eric Dinallo
      8. 2010-06-18 Hearing Invite to Eric Dinallo
      9. 2010-06-18 Hearing Invite to Gary Gensler
     10. 2010-06-18 Hearing Invite to Gary Gensler
     11. 2010-06-22 Hearing Invite to Andrew Forster
     12. 2010-06-22 Hearing Invite to Andrew Forster
     13. 2010-06-22 Hearing Invite to Joseph Cassano
14. 2010-06-22 Hearing Invite to Joseph Cassano
15. 2010-06-22 Hearing Invite to Michael Greenberger
16. 2010-06-22 Hearing Invite to Michael Greenberger
17. 2010-06-22 Hearing Invite to Michael Masters
18. 2010-06-22 Hearing Invite to Michael Masters
19. 2010-06-22 Hearing Invite to Peter Kyle
20. 2010-06-22 Hearing Invite to Peter Kyle
21. 2010-06-22 Hearing Invite to Steve Kohlhagen
22. 2010-06-22 Hearing Invite to Steve Kohlhagen
23. 2010-06-23 Hearing Invite to Clarence Lee
24. 2010-06-23 Hearing Invite to Clarence Lee
25. 2010-06-23 Hearing Invite to David Broderick
26. 2010-06-23 Hearing Invite to David Broderick
27. 2010-06-23 Hearing Invite to David Lehman
28. 2010-06-23 Hearing Invite to David Lehman
29. 2010-06-23 Hearing Invite to David Viniar
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31. 2010-06-23 Hearing Invite to Gary Cohn
32. 2010-06-23 Hearing Invite to Gary Cohn
33. 2010-06-23 Hearing Invite to John Reich
34. 2010-06-23 Hearing Invite to John Reich
35. 2010-06-24 Hearing Invite to Elias Habayeb
36. 2010-06-24 Hearing Invite to Elias Habayeb

b. MRFs_Transcripts_Interview Notes
   1. 2010-02-22 MFR re Interview with Frank Partnoy
   2. 2010-03-10 Interview notes - IMF Randall Dodd - Background - Derivatives
   3. 2010-03-16 Interview notes - FRBNY Theo Lubke - Background sources
   4. 2010-03-30 Transcript - Interview of Patrick Parkinson
   5. 2010-04-09 Interview notes - Michael Greenberger - Derivatives
   6. 2010-04-20 Interview notes - FRBNY Theo Lubke - Background - Derivatives
   7. 2010-05-04 MFR re Interview with Andrew Feldstein
   8. 2010-05-04 MFR re interview with Laurie Goodman
   9. 2010-05-07 Interview notes - Satyajit Das - Derivatives
  10. 2010-05-07 Transcript - Interview of Satyajit Das
  11. 2010-05-11 MFR re Interview with Adam White
  12. 2010-05-11 MFR re Interview with Bob Litan
  13. 2010-05-11 MFR re Interview with Richard Bookstaber
  14. 2010-05-12 MFR re Interview with Emanuel Derman
  15. 2010-05-13 MFR re Interview with Henry Hu
  16. 2010-05-14 MFR re Interview with Darrell Duffie
  17. 2010-05-14 Transcript - Interview of Gary Gensler
  18. 2010-05-17 MFR re Interview with Anthony Sanders
  19. 2010-05-19 G Feldberg MFR re interview with Martin Mayer
  20. 2010-05-20 Interview notes - FRB Van der Weide - Background sources
  21. 2010-05-24 Interview notes - Kohlhagen - Derivatives
  22. 2010-05-27 G Feldberg MFR re interview with Bob Pickel
  23. 2010-05-27 G Feldberg MFR re interview with Gary Gensler
  24. 2010-06-10 MFR re Interview with Darryl Hendricks

c. Questions for Witnesses
   1. 2010-06-27 Suggested Hearing Questions by Panel

d. 2010-05-17 Agenda_CFDerivatives_WG_Mtg

e. Complex Financial Derivatives Reading List_2010-05-11
f. Complex Financial Derivatives Reading List_2010-05-11_SA

g. derivatives draft R and I plan 3 03
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j. Derivatives Hearing Outline_2010-06-11
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l. FCIC_Hearings_H5_Derivatives RIP 2 24
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n. Media Advisory_2010-06-25
o. Media Advisory_2010-06-29
p. Production Schedule for Hearing 5 on Complex Financial Derivatives
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19. H6--ERS
   a. ERS Research and Investigative Plan_2010-06-24
   b. R and I plan excess risk and leverage_2010-04-26
20. H7--TBTF
   a. TBTF Research and Investigative Plan_2010-06-24
   b. TBTF_R& I Plan_2010-05-28
21. Interview Matrix
   a. 1 15 draft Master Contact List_SUPERSEDED
   b. Asst. Secretaries_Treasury_Bush & Obama Administration
   c. Copy of 1 26 draft Master Contact List (2) (2)_SUPERSEDED
   d. Copy of 1 26 draft Master Contact List (2) (2)_SUPERSEDED
   e. Copy of Master Contact List_2-4-10_ST SG_SUPERSEDED
   f. Copy of Master Contact List_2-5-10 FINAL_SUPERSEDED
   g. Federal Reserve Board Governors 2000-Present
   h. Interview Contact List 1-27-10
   i. Key Word Coding for Contact Chart
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   k. Master Contact List_1-29-10_PRINT_SUPERSEDED
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   r. Research for Mina re Master Interview List_1-26-10
   s. Witness Interview Tracker_1-28-10
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   u. WORKING COPY of 1 26 draft Master Contact List (2) (2)_SUPERSEDED
22. Interviews
   a. 2010-03-30 Dugan
      1. 2010-03-30 AUDIO FCIC Interview of John Dugan
      2. MFR_Dugan_2010-03-30
   b. 2010-04-02 Paulson
      1. DRAFT_MRF_Paulson_2010-04-02 Edited 04-06 803pm
   c. 2010-04-23 SEC_re GE Capital Investments
      1. 2010-04-23 S Tang_DRAFT MFR_SEC Phone Interview re GE Capital Investments
      2. 2010-04-23 S Tang_DRAFT MFR_SEC Phone Interview re GE Capital Investments_Dd
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      3. 2010-04-23 S Tang_MFR_SEC Phone Interview re GE Capital Investments
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      1. 2010-04-27 S Tang_DRAFT_MFR OTS Interview re GE Capital
      2. 2010-04-27 S Tang_DRAFT_MFR OTS Interview re GE Capital_Dd
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   a. Invitation Letters
      1. FCIC Symposium Invitation Tracker
      2. fcic_invitation_letter_brunnermeier
3. fcic_invitation_letter_caballero
4. fcic_invitation_letter_duffie
5. fcic_invitation_letter_geanakoplos
6. fcic_invitation_letter_gorton
7. fcic_invitation_letter_gourinchas
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9. fcic_invitation_letter_kashyap
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11. FCIC_Symposium_Invitation_Ltr_Brunnermeier
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13. FCIC_Symposium_Invitation_Ltr_Duffie
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15. FCIC_Symposium_Invitation_Ltr_Gorton
16. FCIC_Symposium_Invitation_Ltr_Gourinchas
17. FCIC_Symposium_Invitation_Ltr_Jaffee
18. FCIC_Symposium_Invitation_Ltr_Kashyap
19. FCIC_Symposium_Invitation_Ltr_Mayer

b. Dinner_2-26-10

c. FCIC_Forum_public_agenda1

24. TOM GREENE

a. Articles
   1. Along With a Lender Is Citigroup Buying Trouble_NYT_10-22-00
   2. Ameriquest's Ties to Watchdog Group Are Tested_LATimes_5-22-05
   3. As Home Owners Face Strains, Market Bets on Loan Defaults_WSJ_10-31-06
   4. At SEC Scholar Who Saw It Coming_WSJ_1-25-10
   5. Banking On Misery_Southern Exposure_Summer 2003
   6. Banking On Misery_Southern Exposure_Summer 2003
   7. Best Interests How Big Lenders Sell A Pricier Refinancing to Poor Homeowners_WSJ_12-7-01
   8. Doubt is Cast on Loan Papers_LATimes_3-28-05
   9. Fed Assesses Citigroup Unit $70 Million in Loan Abuses_NYT_5-28-04
   10. Financial Fraud is Focus of Attack by Prosecutors_NYT_3-12-09
   11. Friendly Watchdog Federal Reg Often Helps Banks Fighting Consumers_WSJ_1-28-02.doc
   12. Friendly Watchdog_WSJ_1-28-02
   13. Lender Lobbying Blitz Abetted Mortgage Mess_WSJ_12-31-07
   14. More Homeowners With Good Credit Getting Stuck With Higher-Rate Loans_LATimes_10-24-05
   15. Mortgaged Lives_NYT_3-15-00
   16. Rubin Leaving Citigroup Smith Barney for Sale_NYT_1-10-09
   17. Rubin's Detail Deficit_Newsweek_12-8-08
   18. Sex Lies and Subprime Mortgages_BW_11-13-08
   19. Slices of Risk_WSJ_9-12-05
   20. Spitzer's Ghost_CJR_10-14-08
   21. Taking Risk To Extremes_BW_5-23-05
   22. The President's Bank Reforms Don't Add Up_Opinion_Peter Wallison_WSJ_10-25-10
   23. They Warned Us About the Mortgage Crisis_BW_10-9-08
   24. Where Was The Wise Man_NYT_4-27-08
   25. Workers Say Lender Ran Boiler Rooms_LATimes_2-4-05

b. BIO
   1. Thomas Greene BIO_1-25-10
   2. Thomas Greene SHORT BIO_1-25-10

c. Document Requests
   1. Document Request_Citigroup
   2. Document Request_Citigroup
   3. Letter_and_PROOF_Document_Request_Citigroup
af. LABELS for TG Filing
ag. Ltr to TGreene re Blankfein Testimony_1-20-10
ah. Mount St. Mary's College Financial Obligation Agreement_Spring 2010_Rebecca Greene
ai. Mount St. Mary's College Financial Obligation Agreement_Spring 2010_Rebecca Greene
aj. Proposed Commissioner Working Groups_2-4-10
ak. Rubin - Quotes (preliminary)
al. Work Plan Revision_1-20-10

25. WENDY
   a. Added to NetDocs_2010-05-28
      1. Acorn's Nutty Regime For Cities_City Journal_Spring 2003
      3. Citigroup Saw No Red Flags Even as it Made Bolder Bets_NY Times_November 23, 2008
      4. Cuomo Announces Action to Provide $2.4 Trillion in Mortgages for Affordable Housing_HUD_July 1999
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     12. Implications of the New Fannie Mae and Freddie Mac Risk-Based Capital Standard_Fannie Mae Papers_Mar
     14. Lockhart, James _Reforming the Regulation of the Government Sponsored Enterprises_Statement before t
     17. Pressured to take more risk- Fannie Reached a Tipping Point_New York Times_October 2008
   b. Book Outline
      1. Outline for Report 6 msc sg WME
      2. Outline for Report 6 msc sg WME GJC
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29. FRB of St. Louis CrisisTimeline
30. From Midwest to M.T.A., Pain From Global Gamble_NYT_11-2-08
31. Interview Contact List 1-27-10
32. Kathleen Adams - FCIC Ethics and Confidentiality Agreement emails
33. Records Management Policy 2-17-2010
34. Research for Mina re Master Interview List_1-26-10
35. Resume_Hueston Middleton
36. S Tang_Exit Memo
37. Staff Ethics Guidelines as approved January 20, 2010
38. Treatment_of_Non-Public_Info_Citi_2-5-10.
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8. 4) Investigative Report on GSEs_Fannie Mae_03-30-2010 (copy)
9. fully_footnoted_book
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  a. Impairment
    1. Aaaimpair2004MerrillcompareCitilist
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   e. GS MBS 0000087048
   f. gs_dealnames
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6. IMF
   a. 2009 Mortgage Market Annual Volume II
   b. 2009 Mortgage Market Statistical Annual V1
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   a. explore_magic_part2
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1. a.
   b. 01 20 2011 - Letter to Chairman Angelides
   c. 07_10 PRELIMINARY REPORT
   d. 0809 Angelides to Issa
   e. 0809 Washington Post Article
   f. 09-12-2010 Ltr to Kent and Isakson re_additional FCIC allocation
   g. 1994-00-00 Fair lending pressure builds
   h. 2001-01-00 Roubini and Capone
   i. 2010 - 08 - 24 Hearing Invite B Bernanke
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   n. 2010 10 24 Section III Chapter 6 to commissioners
   o. 2010 7 17 Legislative Update
   p. 2010.11.17. FCIC Letter to Congress re Report Submission
   q. 2010.11.17. FCIC Letter to President Obama re Report Submission
   r. 2010-0408-Dugan
   s. 2010-06-04 Goldman Sachs Subpoena
   t. 2010-06-10 Draft Exec Summary of AIG Investigative Report SG
   u. 2010-06-20 Draft Summary of Investigative Report on Goldman Sachs
   v. 2010-06-27 Derivatives Hearing Questions
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   aa. 2010-07-13 (730 pm) - Section IV draft c_vc SG
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Copy of prices_intl_House price indices (nominal and real+average)
Copy of Wallison Footnotes sg
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f. Hearings Drafts
   1. FCIC_Hearing 1_Draft 12-3-09
   2. FCIC_Hearing 1_Outline_12-8-09
   3. FCIC_Revised Approach to Hearings and Briefings_12-1-09.
   4. FCIC_topical hearings_final_11-30-09
   5. FCIC_topical hearings-final_11-25-09

g. Work Plan Drafts
   1. FCIC_Preliminary DRAFT Work Plan (Clean)
   2. FCIC_Preliminary DRAFT Work Plan 11-6-09
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p. Dec 22 Ltr to Fed re Commitment on Sharing Nonpublic information
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w. FCIC Interview Protocol (2)
x. FCIC_Letter_to_Chairman_Bernanke_re_Sharing_Nonpublic_Information_1-6-10- final
y. FCIC_Gantt_11-12-09
z. FCIC_Implementation_TG_11-12-09
aa. FCIC_Inteconnections memo_Bondi_11-10-09
ab. FCIC_Visio_org chart 10-26-09
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ae. Hearing One Briefing Packet Outline
af. Housing_Briefing_Plan
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ai. Investigative Plan for Housing_1_10_10
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   h. MFR Glenn Snajder
   i. MFR Randolph Brown
   j. Randolph Brown 4 26 2010
   k. Steven Mirsky 4 26 2010
   l. William Hallacy 4 23 2010

8. Macroeconomic Review
   a. Bernanke - Monetary Policy and the Housing Bubble - 2009
   b. Blanchard et al - Rethinking Macroeconomic Policy - 2010
   c. Borio - Whither monetary and financial stability - 2004
   d. Caballero - The Other Imbalance and the Financial Crisis - 2010
   e. Caballero et al - Financial Crash, Commodity Prices and Global Imbalances - 2008
   f. Dokko et al - Monetary Policy and the Housing Bubble - 2009
   g. Gourinchas - From World Banker to World Venture Capitalist - 2005
   h. Greenspan - The Crisis - 2010
   i. Neal and Weidenmier - Crises in the Global Economy from Tulips to Today - 2005
   j. Obstfeld and Rogoff - Global Imbalances and the Financial Crisis - 2009
   k. Reinhart and Rogoff - From Financial Crash to Debt Crisis - 2010
   l. Reinhart and Rogoff - This Time is Different (short version)- April 2008
   m. Taylor - Housing and Monetary Policy - 2007

9. OLD DOCS
10. '10
11. 01 20 2011 - Letter to Chairman Angelides
12. 1994-00-00 Fair lending pressure builds
13. 2001-01-00 Roubini and Capone
14. 2007 OTS Goals
15. 2010-04-16 G Cohen ltr to Goldman (R Klapper) re Doc Request (copy)
16. 2010-06-19 Scott Polakoff Interview
17. 2010-12-14 (2100) - Section II, Ch. 5 - AF edits sg
18. 2011-01-20_Letter to PA
19. 30-page dissent final-PublicAffairs version-text
20. Agenda for Financial Crisis Inquiry Commission Meeting 1-6-2011
76. International home prices (3)
77. Introduction for book 12-24-Final with inserts
78. Kroszner Bibliography
79. Letter to Majority Leader Reid
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    a. Draft MFRs
      1. 2010-03-10 REVIEWED Mike Quinn MFR DRAFT
      2. 2010-03-12 FHFA MFR DRAFT
      3. 2010-03-12 Treasury MFR DRAFT
      4. 2010-03-19 FHFA Interview DRAFT
      5. 2010-03-23 REVIEWED MFR Todd Hempstead, Fannie Mae
      6. 2010-03-24 REVIEWED Eric Rosenblatt, Fannie Mae
      7. 2010-03-29 REVIEWED Armando Falcon, OFHEO
    b. Fed MFRs
      1. 2010-03-09 Interview S Meyer DRAFT MFR
      2. 2010-03-19 DRAFT FRB MFR
    c. Notes
      1. 2010-03-12 REVIEWED MFR Austin Kelly
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ax. Part One Take 05
ay. Part One Take 06
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bh. Part One Version.02
bi. Paul Volcker REVIEWED
bj. PENNSY mee
bk. Prelude 23 August
bl. Presentation36
bm. Presentation36
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bo. PSR Notes
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bx. RTC Securitization
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cc. Sharpe
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ce. Staff meeting notes
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cg. Strands01
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cj. Two Pager
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cl. Two Pager Chapter I
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cn. UPI report
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cq. Wilmarth Notes.2

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   a. Angelides_2329838
   b. Binder Index
   c. BulkTemplate_Jan2008
   d. Commission Solicitation Policy Dec 7, 2009_
   e. Commission Solicitation Policy Dec 8, 2009
f. Confidentiality Agreement Received

g. Confidentiality Agreement FCIC Employees

h. Copy of FCIC Office Staff Roster

i. DC Parking

j. Draft_Preservation_Notice_AMBAC_Kevin_Doyle_2-8-2010

k. Draft_Preservation_Notice_Assured_Guaranty_James_Michener_2-8-2010

l. Draft_Preservation_Notice_MBIA_Ram_Wertheim_2-8-2010

m. Draft_Preservation_Notice_Syncora_Susan_Comparto_2-8-2010

n. E-mail re Confidentiality

o. Ethics Guidelines Dec 7, 2009

p. Ethics Guidelines Dec 9, 2009

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r. FCIC Commissioners w photos

s. FCIC FHFA Commitment re Sharing Nonpublic Information

t. FCIC Interview Protocol

u. FCIC Roster w contact2

v. FCIC_Graham waiver

w. FCIC_Roster_w_contact

x. FCIC_Thomas waiver

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z. FINAL_Preservation_Notice_S&P_Adam_Schuman_1-22-10

aa. FINAL_Preservation_Notice_SP_Adam_Schuman_1-22-10

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ac. How to Use the Fax and Scanner

da. Master Contact List_2-3-10_ST_SG_SUPERSEDED

eae. Name Plates

af. Public Input Draft Memo

ag. Public Law 111 -- Financial Crisis Inquiry Commission _2_

ah. Restaurants Open Late

ai. Short Form Preservation Notice 1-21-10-2

aj. Witness Info

ak. Witness Info- Lewis Ranieri

2. Personal

a. Duties

b. FCIC Employee Claim for Compensation Form25

c. FCIC Employee Claim for Compensation Form26

d. FCIC Employee Claim for Compensation FormPP1

e. FCIC Employee Claim for Compensation FormPP2

f. FCIC Employee Claim for Compensation FormPP3

g. FCIC Employee Claim for Compensation FormPP5

3. Referral Docs

a. AIG

1. 2007-11-29 PwC Meeting Notes PWC-FCIC 000381-383 (copy)

2. 2007-12-01 email from Cassano re November change in value for SS multisector CDO AIG-SEC5981397 (copy)

b. Citi

1. CITI 0007657 Presentation to the SEC Regarding Overall CDO Business and Subprime Exposure (2007-06)

2. CITI-FCIC 00000444 BOD Minutes (2007-10-15)

3. CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)


5. CITI-FCIC-E 00036374 C Prince to R Rubin re Maheras and Super Seniors (2007-09-09)


c. Exhibit H Fannie Mae Referral

1. Exhibit H Fannie Mae

2. Exhibit H Redline 10-25
d. Fannie Mae
   1. 2010-03-12 FHFA MFR DRAFT (copy)
   2. FM-COGR_00011806-00011900
   3. FM-COGR_00051915-928
   4. FM-COGR_00156147
   5. FM-COGR_00156148
   6. FM-COGR00088741-7866.

e. Goldman-Abacus
   1. 2010-04-22 Steve Eisman MFR (copy)

f. Goldman-AIG
   1. 2007-08-02 Email from Forster to Cassano & Micottis re GS Collateral marks AIG-SEC2035262 (copy)
   2. 2010-06-25 Draft Transcript of Joe Cassano Interview (copy)
   3. AIG SEC2152433
   4. PSI Exhibit 84- GS MBS-E-009976918

g. Merrill Lynch
   1. Exhibit G – Merrill
   2. G 1 Presentation to Risk Oversight Committee
   3. G 2 FICC Overview
   4. G 3 Interview of Dow Kim
   5. G 4 OSPRA Memo
   6. G 5 Presentation to Merrill Board of Directors
   7. G 6 Interview of Stanley O’Neal
   8. G 7 U.S. Residential Mortgage Update
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  13. G12 Document with Magnetar Investments in Norma
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  17. G-16 Document of Merrill Risk Transformation Strategies
  18. G17 Merrill Information Related to its 44 CDOs
  19. G-18 Documents from Moody’s and S&P Relating to Merrill CDOs
  20. Redline- Exhibit G Merrill

4. TK
   a. 12.17 Draft Memo
   b. About Sheila Bair
   c. Anatomy of a Hybrid CDO Deal
   d. David Gillers Memo
   e. Outline of Transcript of David Andrukomis Nov. 21, 2008
   f. Questions for Lanny Breuer
   g. Questions for Lanny Breuer-Chair and V-Chair
   h. Questions for Lanny Breuer-General
   i. Roel Campos Interview Memo
   j. Shadow Banking
   k. Shadow Banking Memo 1.25.2010
   l. Shadow Banking Memo to file
   m. Sheila Bair
   n. Taylor Bean & Whittaker Memo
   o. Tom Krebs 12.17 Draft Memo

5. 2010-03-15 G Cohen ltr to Morgan Stanley (R Yanes) re Research Inquiry
6. 2010-03-15 G Cohen ltr to Morgan Stanley (R Yanes) re Research Inquiry
7. 2010-03-15 G Cohen ltr to Prof M Ragoff
8. 2010-03-15 G Cohen ltr to Prof M Ragoff
9. Copy of FCIC Office Staff Roster
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**BL. tborgers**

1. Ackman
2. House Oversight
3. Monoline
4. PMI & Put Back Section
5. Richard Cantor
   a. Cantor lexis articles
   b. DmxNtve0
   d. Ideas on models Cantor Kirnon Mety Weil
   e. Moodys Business Conduct Post 2004
   f. Moodys Business Conduct pre 2004
   g. Moody's Notes
   h. Richard Cantor Notes
   i. Risk Management October 11 2007
   j. Subprime working group June 13 2008 Cantor
   k. tborgers_ (Part 1)
   l. tborgers_ (Part 2)
   m. tborgers_ (Part 3)

6. Securitization
   a. 20070508st
   b. 20070619st
   c. 20070717st
   d. A Predatory Lending Primer HOPEA RL34259_20080107
   e. A Primer SSRN-id1324349[1]
   f. An Analysis of the Subprime & Financial CrisisSSRN-id1309442[1]
   g. anatomy of a credit crisis 2009
   h. Basel II in the United States May 2008 RL34485_20080514
   i. Bear Stearns Crisis and Rescue for a Major Provider of Mortgage-Related ProductsRL34420_20080319
   j. Causes of the Financial Crisis R40173_20100409
   k. CFR_SS_2008_Calem Freddie and Fed analysis Securitization
   l. Covered Bonds An Alternative to Securitization RS22925_20080801
   m. Credit Default Swaps Frequently Asked Questions RS22932_20080730
   n. CRSeport Securitization and Federal Regulation of Mortgages for Safety and Soundness September 17,
   o. Did Securitization Lead to Lax Screening SSRN-id1093137[1]
   p. fha
   q. Final_Report_New_Century
   r. Financial Crisis The Liquidity Crunch RL34182_20070921
   s. GAO Characteristics and Performance of Nonprime Mortgages July 2009
   t. Lessons from the subprime meltdown SSRN-id1070833[1]
   u. Mason_Rosner MBS and CDO problem February 2007
   v. Prime or not so prime 2006
3. Bear Stearns Memo 3-11-2010
4. Bear Stearns timeline
5. Breach of Representatives - Countrywide Notes
6. CDS International
7. Confidential Work Plan - Securitization - 6-17-10
8. Goldman Sachs Notes - T. Krebs
9. Notes regarding engagements by issuers
10. Securities Lending - Tom K's Notes
11. Shadow Banking Memo 1 25 2010

BO. TSTANTON
1. GSE readings
   a. Committee Documents 1
   b. Committee documents 2
   c. Committee documents 3
   d. Committee documents 4
   e. Fannie, Freddie Influence 2006
   f. FNMA bylaws 01-2009 -- director indemnification highlighted
   g. FRE ignored warnings NYT 08-05-2008
   h. Freddie CEO -- strain of twin missions 08-06-2008
   i. GAO report on future of the GSEs 09-2009 d09782
   j. OFHEO staffing 1993
   k. PAR -- GSEs 07-2009
   l. PAR -- life cycle of GSEs 09-2007
   m. partnership offices 1996
   n. The Failure of Fannie Mae and Freddie Mac -- prepublication draft 09-2009
   o. Title12-Chapter46
2. Subprime docs
   a. GSE readings
   b. Demyanyk and Van Hemmert on subprime 2008
   c. FRBNY -- subprime mortgage securitization 2008
   d. Gramlich speech on predatory lending 10-09-2003
   e. keys mukherjee 2008 securitization and subprime
   f. Margot Saunders NCLC 2003
   g. Mian and Sufi -- consequences of mortgage credit expansion 2008
   h. Mudd testimony on subprime 2007
   i. Sherlund on subprime FRB 2008

BP. VCunicelli
1. FCIC
   a. ABA TF
      1. ABA Task Force on Causes of Financial Crisis
      2. ABA Task Force on Reg Reform - Recommendations re Banking Organization Support for Affiliated Funds
      3. ABA Task Force on Reg Reform - Recommendations re Procyclical Acting Policies
      4. ABA Task Force on Reg Reform - Recommendations re Resolutions of Financial Institutions
      5. ABA Task Force on Reg Reform - Recommendations to Mitigate Systemic Risks
   b. Citi
      1. Chron
         a. Brook's Timeline Submission - Corporate Audit and Risk Management Committee
         b. Citi Chron 2.17 updates[1]
         c. Citi Chron Current
         d. Citi Chronology
         e. Citi Timeline Current
      2. Interview memos-transcripts
         a. 2010 02 01 Telecon with Federal Reserve re Citigroup
         b. 2010 02 01 Telecon with OCC re Citigroup
         c. 2010.01.20 Memo re Bondi call (briefing by Citi counsel) KD
13. Questions for Dr. Witt
14. Quotes re Clarkson
15. Quotes re R. McDaniel
16. R & R Recommended questions for Moody's
17. RIR Draft Market Share
18. RIR salient quotes
19. Siegel-Stein Interview Summary
20. Summary of PSI Docs
21. Witt Bullet Points

d. 
1. Securitization
   1. 2010-06-16 MFR for Josh Silver (NCRC)
   2. 2010-06-30 MFR of Interview with Allen Fishbein FRB
   3. 2010-07-19 MFR Ed Pinto DRAFT
   4. 2010-08-17 MFR of Interview with Mary Haggerty JPM
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   6. 2010-09-09 MFR of Interview with Michael Brennan FDIC
   7. 2010-09-09 MFR of Interview with Sonia Garcia FDIC
   8. 2010-09-23 MFR with MGIC personnel
   9. 2010-09-29 MFR with John Mongelluzzo
  10. 2010-11-02 MFR with K. Porter
  11. Acquisitions reference mats
  12. Acquisitions source doc
  13. Article How HUD Mortgage Policy Fed the Crisis
  14. Citation outline
  15. Clayton Doc Summary
  16. Corruption at Bottom (brokers)
  17. CRA reference mats
  18. Doc Request NY AG
  19. Fannie Doc Review Summary
  20. Follow-up letter B of A
  21. Follow-up Request JPM
  22. GS Doc Review
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  26. Questions Clayton Personnel
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  28. Relaxation of UW
  29. Request for Interview - former Fremont officials via email
  30. Subprime Orig Stats
  31. TOPIR securitization (Revised) (2) TLK Changes

e. 
1. Economists Roundtable with FCIC- October 20 2009_background material
f. 
11. Omnibus_Work_Plan

g. 
2. Martin_Baily_Response
h. 
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   B. FCIC Master Contact List for 02 02 2011
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VII. Witness Audio-MFR-Transcript
   A. chris swecker
   B. from kim
      1. edited
   C. January - June 2010
      1. 2009 12 28_Notes_Mark Calabria Interview
      2. 2009-11-23 REVIEWED Bob Gulian & Joe Gentili, Florida AG's office
      3. 2009-12-28 MFR for Interview of Mark Calabria
      4. 2010 01 21_MFR_Christine Napolitano
      5. 2010 01 26_MFR_James Burkeen
      6. 2010 02 01_MFR_Notes_Mike Halloran
      7. 2010 02 01_Notes_James Burkeen
      8. 2010 03 24_MFR_Bill DeLeon
      9. 2010-01-22 K Dubas Memo re Bondi Call with M Macchioroli (SEC) (copy)
      10. 2010-01-22 MFR_NCRC
      11. 2010-01-29 REVIEWED MFR Adolfo Marzol
      12. 2010-02-01 D Noonan memo re Telecon with OCC re Citigroup (copy)
      13. 2010-02-01 MFR for Interview of James Burkeen
      14. 2010-02-02 K Dubas memo re Interview with Bill Arnold (Citi) REVIEWED
      15. 2010-02-02 K Dubas memo re Interview with Janice Warne (Citi) REVIEWED
      16. 2010-02-02 K Dubas memo re Interview with Kevin Murray and Joe Forlenza (Citi) REVIEWED
      17. 2010-02-02 K Dubas memo re Interview with Matt Bollo (Citi) REVIEWED
      18. 2010-02-02 K Dubas MFR re Interview with Pete Steinmetz (Citi) REVIEWED
183. 2010-05-13 interview Vanguard Bob Behal MFR
184. 2010-05-13 MFR for Follow-up Interview Gary Witt
185. 2010-05-14 C Seefer interview of Gary Gensler (3)
186. 2010-05-14 Chairman Gary Gensler Interview Transcript
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199. 2010-05-18 MFR of Interview with Dr. Michael Burry
200. 2010-05-19 G Feldberg MFR re interview with Martin Mayer
201. 2010-05-20 MFR of interview with Eugene Xu of DB
202. 2010-05-20 MFR of interview with Greg Lippmann of DB
203. 2010-05-20 D Noonan Interview of E Xu
204. 2010-05-20 Greg Lippmann, Deutsche Bank transcript
205. 2010-05-20 Interview notes - FRB Van der Weide - Background sources
206. 2010-05-20 Transcript of B Bondi Interview with Brian Clarkson
207. 2010-05-21 Bane
208. 2010-05-21 FCIC Interview Highlights of Ray McDaniel
209. 2010-05-24 Interview notes - Kohlhagen - Derivatives
210. 2010-05-25 Fcic interview of Jay Siegel
211. 2010-05-25 FCIC staff interview of Amy Heinz and Joan Ferenczy_Additional Documents
212. 2010-05-26 FCIC interview of Warren Buffett
213. 2010-05-26 Transcript of Interview with Warren Buffett
214. 2010-05-26 Transcript of R Bubb interview with Roger Stein
215. 2010-05-26 FCIC Interview of John Rutherfurd
216. 2010-05-27 G Feldberg MFR re interview with Bob Pickel
217. 2010-05-27 G Feldberg MFR re interview with Gary Gensler
218. 2010-05-27 MFR for Interview with Mike Schloessmann (Countrywide)
219. 2010-05-28 Transcript Lawrence Summers - Confidential
220. 2010-05-28 Transcript of FCIC Interview with Dr. Summers
221. 2010-05-29 MFR Interview with Eric Dinallo
222. 2010-06-04 MFR of SEC Briefing re AIG
223. 2010-06-07 REVIEWED MFR Charles Gates
224. 2010-06-08 FCIC memo of staff interview with D. Keith Johnson, Clayton Holdings LLC
225. 2010-06-08 K Dubas MFR of Interview with Keith Johnson (Clayton Holdings)
226. 2010-06-11 MFR Jeff Gates
227. 2010-06-15 MFR of Interview with Robert Lewis
228. 2010-06-16 B Bondi Interview with Josh Silver (NCRC)
229. 2010-06-16 Dan Sparks Interview KLS handwritten notes
230. 2010-06-16 K Dubas MFR for Josh Silver (NCRC)
231. 2010-06-16 MFR of C Seefer Interview with Lloyd Blankfein
232. 2010-06-16 Transcript of Lloyd Blankfein Interview
233. 2010-06-17 FCIC staff audiotape of interview with Craig Broderick, Goldman Sachs (Part 1)
234. 2010-06-17 MFR of Interview with C Broderick
235. 2010-06-17 MFR of Jonathan Egol Interview
236. 2010-06-17 of Transcript Martin Sullivan [AIG] Interview
237. 2010-06-18 MFR of Interview with OCC
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2010-10-15 Notes from Interview of Prentice Cox
2010-10-15 Notes from Interview of Steve Friedman
2010-10-19 Notes from Interview of David Jones
2010-10-20 Interview_Additional Documents_Michael Mukasey ACLR Essay Spring 2009
2010-10-28 Interview of Gillian Tett_Additional Materials_Fool's Gold hb preface
2010-10-29 Additional Documents_Interview of Andrew Davidson_SixDegreesofSeparationAug07
2010-10-29 Additional Documents_Interview with Andrew Davidson_Credit_Feb09
2010-11-09 Responses from Franklin D Raines
2010-4-8 John Chrin MFR
2010-04-01 MFR of Interview with Eric Sirri (SEC)
2010-04-15 MFR_Notes_Ray Romano
2010-04-15 MFR_Notes_Robin Seiler
2010-04-15 MFR_Notes_Robin Seiler2
2010-04-15 MFR_Notes_Steven Ashley
2010-03-02 REVIEWED Jana Lujan, Clayton Holdings
2010-03-15 MFR INTERVIEW OF RICHARD BITNER
2010-03-16 REVIEWED Douglas Lipshaw, New Century Financial
2010-03-29 REVIEWED John Steele Gordon, historian
2010-05-25 FCIC staff audiotape of interview with Ed Parker, Ameriquest
2010-07-07 REVIEWED MFR Interview of Steven Cohen, Clayton Services Inc. counsel
2010-07-08 MFR Bondi Interview of C. Cain(SEC) reg FNMA & CW
2010-07-14 Interview with Madelyn Antoncic
2010-07-14 MFR of Bondi Interview of A. Plepler - BoA(CRA)
2010-07-15 MFR of Judiciary Committee Meeting
2010-07-16 MFR of Interview with FDIC (Cave, Wigand, Warren, Corston) re Wachovia
2010-07-20 MFR of Interview with Jon Rymer and Fred Gibson (FDIC OIG)
2010-07-20 REVIEWED Ken Dost, whistleblower
2010-07-21 MFR of Interview with Vicki Beal (Clayton)
2010-07-22 MFR of Interview with Ronald Marcus (OTS Examiner for Lehman)
2010-07-23 Michael Lewis MFR
2010-07-23--MFR--McDonald, Duff
2010-07-27 REVIEWED Bram Smith, Meredith Coffey, Elliot Ganz (LSTA)
2010-07-28 MFR- Arnold Kling
2010-07-28 MFR of C Seefer Interview with L Carter (OTS)
2010-07-28--MFR--Jeff Madrick
2010-07-29 - MFR of kls interview with Michael Swenson
2010-07-29 - REVIEWED transcript of interview with Michael Swenson
2010-07-29 - UNREVIEWED transcript of interview with Michael Swenson
2010-07-30 - REVIEWED transcript of Lewis Ranieri interview
2010-07-30 Lewis Ranieri MFR Draft
2010-08-02 FCIC Interview of Barry Zubrow
2010-08-02 FCIC Interview of Patrick McKenna
2010-08-02 FCIC Interview of Roger Cole
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2010-08-04 MFR of Interview with FRB OIG (Elizabeth Coleman, Anthony Castaldo, staff)
2010-08-05 Kerry O'Neil MFR
2010-08-05 MFR for Interview of FRBNY (A Angulo, B Rutledge, D Dobbeck) REVIEWED by Dubas
2010-08-05 MFR of Interview with Harvey Miller (Lehmann bankruptcy lawyer, Weil Gotshal)
2010-08-05 MFR of Interview with Rodgin Cohen (Sullivan Cromwell)
2010-08-06 REVIEWED Darryll Hendricks, UBS and Tri-Party Repo Task Force
Summary of Your Rights Under the Fair Credit Reporting Act

B. Cleared Documents
   1. H 2-3
      a. FM-FCIC-N_00003
         1. FCIC PLS CMBS purchases with Deal Name and Impairments
      b. FM-FCIC-N_00004
         1. FCIC 2003-2004 PLS purchases with Deal Names and Impairments
      c. 1999 Report of Examination-FNM
      d. 1999-00-00 OFHEO Freddie Mac Report of Examination
      e. 2000 Report of Examination-FNM
      f. 2000-00-00 OFHEO Freddie Mac Report of Examination
      g. 2000-08-31 memo fr Dolores Smith and Glenn Loney to Gov Edward Gramlich, re Compliance Inspections
      h. 2000-10-05 Letter to Citi
      i. 2000-11-15 Memo re Citigroup's Acquisition of Associates
      j. 2000-12-05 FRB Memo
      k. 2001 Report of Examination-FNM
      l. 2001-00-00 OFHEO Freddie Mac Report of Examination
      m. 2001-03-05 Board Minutes
      n. 2002 Report of Examination-FNM
      o. 2002-00-00 OFHEO Freddie Mac Report of Examination
      p. 2002-09-30 BOG Meeting Minutes re Citigroup and Enron
      q. 2002-10-28 BOG Meeting Minutes re Citigroup's acquisition of CalFed (copy)
      r. 2002-12-13 Draft Proposal to CMAC to establish a Liquidity Put
      s. 2002-12-17 Minutes of CMAC meeting approving Liquidity Put
      t. 2003 Report of Examination_Memo-FNM
      u. 2003-00-00 OFHEO Freddie Mac Special Report of Annual Examination
      v. 2003-02-19 Joint Letter from OCC & FRBNY to Citi Risk Managers
      w. 2003-07-28 Formal Agreement between Citi and the OCC
      x. 2004 OFHEO Report of Examination- Freddie Mac
      y. 2004 Report of Examination-FNM
      z. 2004-03-29 OCC Findings on Fixed Income Derivatives Examination
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      ab. 2004-09-27 Memo to File from OCC Examiner re Meeting with Citibank
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<td>Countrywide Mozilo email to Sambol and Kurland</td>
<td>BAC-FCIC-E-0000673435</td>
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<td>2005-11-30</td>
<td>Moody's Email from O'Driscoll to Yoshizawa re Magnolia 2006-5 Class D</td>
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<td>2005-11-30</td>
<td>Countrywide Mozilo email to Sambol Bartlett Sieracki cc Kurland</td>
<td>BAC-FCIC-E-0000675537-38</td>
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<tr>
<td>2005-11-30</td>
<td>Moody's E Kolchinsky email to Y Fu, Y Yoshizawa</td>
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<td>2005-11-30</td>
<td>Bear Stearns High Grade Structured Credit Strategies Enhanced Leverage Fund Private Placement Memo</td>
<td>BSC-FCIC-e00599463-536</td>
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<td>2005-11-30</td>
<td>Countrywide McMurray email to Mozilo cc Sambol Bartlett Sieracki</td>
<td>BAC-FCIC-E-0000675537-38</td>
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<td>2005-11-30</td>
<td>Moody's E Kolchinsky email to Y Fu, Y Yoshizawa</td>
<td></td>
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<td>2005-11-30</td>
<td>Bear Stearns Matt Tannin email diary entry</td>
<td>SEC_ENF_FCIC_019572-73</td>
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<td>2005-11-30</td>
<td>Bear Stearns High Grade Structured Credit Strategies Master Fund Financial Statements</td>
<td>BSAMFCIC00000268-297</td>
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<td>2005-11-30</td>
<td>Bear Stearns High Grade Structured Credit Strategies Master Fund Financial Statements</td>
<td>BSAMFCIC00000221-253</td>
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<td>2005-11-30</td>
<td>UBS Global CDO Group Presentation on Product Series</td>
<td>UBSSFCIC00063871-922- REDACTED PER UBS</td>
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<td>2005-11-30</td>
<td>SEC monthly cse memo SEC_TM_FCIC_002442</td>
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<td>2005-11-30</td>
<td>Countrywide Mozilo email BAC-FCIC-E-0000669612-16</td>
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<td>2005-11-30</td>
<td>Freddie Mac Board of Directors Presentation</td>
<td>FMAC-FCIC 00004685-766</td>
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<td>2005-11-30</td>
<td>Bear Stearns Email from Matthew Tannin to Chavanne Klaus MEAG New York Corporation</td>
<td>SEC_ENF_FCIC_019185</td>
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<td>2005-11-30</td>
<td>Bear Stearns Asset Management Transcript of Conference Call</td>
<td>SEC_ENF_FCIC_019194-219</td>
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<td>2005-11-30</td>
<td>Fannie Mae Business Review Briefing</td>
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<td>2005-11-30</td>
<td>Bear Stearns Email from Matt Tannin to Bella Borg-Brenner</td>
<td>SEC_ENF_FCIC_019253-54</td>
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<td>2005-11-30</td>
<td>Lehman Board presentation on Subprime Mortgage Origination Business</td>
<td>LBHI-FCIC-0001272-82</td>
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<td>2005-11-30</td>
<td>Bear Stearns Email from Jim Crystal to Ralph Cioffi and others</td>
<td>SEC_ENF_FCIC_019269</td>
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<tr>
<td>2005-11-30</td>
<td>Bear Stearns Email from Ralph Cioffi to Ken Mak</td>
<td>SEC_ENF_FCIC_019274-77</td>
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<tr>
<td>2005-11-30</td>
<td>Moodys Yoshizawa email to Kirn, McDaniel, Kolchinsky re CSFB Pipeline Info</td>
<td></td>
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<td>2005-11-30</td>
<td>Bear Stearns Email from Matthew Tannin to Steven Van Solkema</td>
<td>SEC_ENF_FCIC_019314</td>
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287. 2010-08-13 Bank of America Counsel letter to the FCIC
288. 2010-08-18 Morgan Stanley Counsel letter to the FCIC and MS0002685-739
289. 2010-08-20 Bank of America Counsel Letter to the FCIC re the Community Reinvestment Act
290. 2010-08-27 Steven Black Responses to FCIC Interrogatories
291. 2010-09-17 FCIC Staff Memo re Goldman Sachs Exposure to AIG
292. 2010-09-21 Fannie Mae Counsel letter to the FCIC and FM-FCIC-2_00004700-4759 and FM-FCIC_00025866-25953
293. 2010-09-21 Freddie Mac Counsel letter to the FCIC
294. 2010-09-30 Moody's Letter to FCIC re Clayton & Sacramento Hearing
295. 2010-09-30 Moody's Letter to FCIC re Clayton & Sacramento Hearing
296. 2010-10-13 Fannie Mae Counsel letter to the FCIC
297. 2010-10-14 Citi Counsel letters to the FCIC
298. 2010-10-14 FinCEN Response to Interrogatories
299. 2010-10-15 FDIC Budget and Staff Information Produced for the FCIC
300. 2010-10-15 FinCEN Response to Interrogatories
301. 2010-10-15 Thomas Baxter Response to Hearing Follow-up Questions
302. 2010-10-19 Fannie Mae Counsel letter to the FCIC
303. 2010-10-19 Freddie Mac Counsel letter to FCIC
304. 2010-10-21 Department Of Justice letter to the FCIC
305. 2010-10-28 Sheila Bair Response to Hearing Follow-Up Questions
306. 2010-11-01 Richard Bowen Response to Follow-Up Questions
307. 2010-11-02 FRB Email to FCIC re Richard Westerkamp Question
308. 2010-11-02 Merrill Lynch Counsel letter to FCIC re CDOs
309. 2010-11-04 Citi Counsel letter to FCIC
310. 2010-11-16 FCIC emails with Ann Rutledge (R& R Consulting) re CDO ratings [Redacted]
311. 2010-11-16 JPMorgan Counsel letter to the FCIC
312. 2010-12-16 JPMorgan Counsel letter to FCIC and JPM-FCIC 00005918-5981
313. 2010-12-21 Magnetar Counsel Email to FCIC
314. 2010-12-22 Merrill Lynch Counsel Letter to the FCIC re Merrill CDOs
315. 2010-12-22 William Black Email to the FCIC
316. Email from Vertical Capital re Rent a Manager quote
317. MOODYS-FCIC-0003712 - Moody's Investor Service Securities Trading Policy
318. PW1997
319. PWC-FCIC 000217
320. PWC-FCIC 000220-223
321. Uncleared Docs

E. FCIC Contacts
   1. Master Contact List_SUPERSEDED
   2. Master Contact List_SUPERSEDED
   3. Potential Witnesses and Investigation Contacts
   4. Principal Point of Contacts
   5. Witness Interview Tracker_1-29-10

F. FCIC Forms
   1. COVER_PAGE_FOR_DOCUMENTS_REQUIRING_FCIC_OFFICIALs_SIGNATURE[1]

G. FCIC Roster
   1. Copy of FCIC Office Staff Roster
   2. FCIC Phone Roster
   3. FCIC_Roster_for Commissioners
   4. FCIC_Roster_w_contact
   5. Organizational_Chart_1-26-10
   6. Organizational_Chart_2-16-10[1]

H. Front Desk
   1. Comment General Correspondence
   2. FCIC Phone Roster 7-10-2010 (copy)
   3. FCIC_Roster_w_contact(3)
   4. How To
   5. Mail
6. mail merge
7. seeking personal assistance
8. tip suggestion

I. Office Closure
   1. FCIC Records Management Policy
   2. Handbook_on_Staff_and_Consultant_Departures - 11-1-2010

J. Office Correspondence
   1. Memo re Napolitano Meeting 1 28 - DRAFT-edits
   2. Shadow banking Time Line and the repo market-edits

K. Recruiting
   1. 0508fmo
   2. 0508fmo
   3. 0608fmo
   4. 0608fmo
   5. 0708fmo
   6. 0708fmo
   7. 0908fmo
   8. 0908fmo
   9. 1108fmo
   10. 1108fmo
   11. 2010-0630-psr-derivative-overview
   12. 2010-0630-psr-derivative-overview
   13. 2010-0630-psr-derivative-overview
   14. 2010-0630-psr-derivative-overview
   15. 2010-0630-psr-derivative-overview
   16. 2010-0630-psr-derivative-overview
   17. 2010-0630-psr-derivative-overview
   18. 2010-0630-psr-derivative-overview
   19. 2010-0630-psr-derivative-overview
   20. 2010-0630-psr-derivative-overview
   21. 2010-0630-psr-derivative-overview
   22. 2010-0630-psr-derivative-overview
   23. 2010-0630-psr-derivative-overview
   24. 2010-0630-psr-derivative-overview
   25. 2010-0630-psr-derivative-overview
   26. 2010-0630-psr-derivative-overview
   27. 2010-0630-psr-derivative-overview
   28. 4.11.09_Why it all Collapsed
   29. 4.11.09_Why it all Collapsed
   30. AMHP-100400-DEPRESSION-dg_copy_Layer 1
   31. AMHP-100400-DEPRESSION-dg_copy_Layer 1
   32. AMHP-100400-DEPRESSION-dg_copy_Layer 1
   33. AMHP-100400-DEPRESSION-dg_copy_Layer 1
   34. AMHP-100400-DEPRESSION-dg_copy_Layer 1
   35. ATT00001
   36. ATT00001.
   37. ATT00001.
   38. ATT00001.
   39. ATT00001.
   40. ATT00001.
   41. ATT00001.
   42. ATT00001.
   43. ATT00001.
   44. ATT00001.
   45. ATT00001.
   46. ATT00001.
Email - Sofia Mendoza said to contact you

Email - Sofia Mendoza said to contact you

Email - Sofia Mendoza said to contact you

Email - Sofia Mendoza said to contact you

Email - Sofia Mendoza said to contact you

Email - Sofia Mendoza said to contact you MR. Cohen BR BR M

Email - Some possible names for senior editor writer

Email - Stephanie Kaplan (9 11 coordinator)

Email - TG - FCIC Candidates (2).doc

Email - The commission's book project

Email - The commission's book project

Email - thoughts on writers -- resending to CanyonPartners and FCIC addres

Email - thoughts on writers -- resending to CanyonPartners and FCIC addres

Email - thoughts on writers -- resending to CanyonPartners and FCIC addres

Email - thoughts on writers -- resending to CanyonPartners and FCIC addres

Email - thoughts on writers -- resending to CanyonPartners and FCIC addres

Email - Undeliverable Hi Liz

Email - Undeliverable Hi Liz

Email - Undeliverable hi Richard

Email - update

Email - when can we talk

Email - when can we talk

Email - Writer Candidate

Email - writer editor

Email - writer editor

Email - Writer Editor candidate

Email - Writer Editor candidate

Email - Writer Editor Researcher Resumes

Email - Writer Editor Researcher Resumes

Email - Writer Editor Researcher Resumes

Email - writer_editor_researcher list(1).xlsx

Email - writer_editor_researcher list(1).xlsx

Email - writer_editor_researcher list(1).xlsx

Email - writer_editor_researcher list.xlsx

Email - writer_editor_researcher list.xlsx

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Email - writer_editor_researcher list.xlsx

Email - writer_editor_researcher list.xlsx

Email - writer_editor_researcher list.xlsx

Email - writer_editor_researcher list.xlsx

Email - writers suggestion

Email - writers suggestion

Email - writers suggestion

Email - writers suggestion

Email - writers suggestion

Email - writers suggestion

Email - your inquiry

Email - your inquiry

Email - your inquiry

Email - your inquiry

Email - Z. Teachout and Contact info for Lakritz

Email - Falk resume, 2010
Subpoenas

1. 2010-03-25 FCIC Subpoena - Michael Raynes
2. 2010-04-16 Ann Rutledge Subpoena
3. 2010-04-16 Moody's Subpoena
4. 2010-04-27 Joseph St. Denis Subpoena
5. 2010-05-05 Moody's Subpoena
6. 2010-05-07 Noel Kirnon Subpoena
7. 2010-05-07 Richard Michalek Subpoena
8. 2010-05-13 Brian Clarkson Subpoena
10. 2010-05-25 Warren Buffett subpoena
11. 2010-05-27 Darcy Parmer Subpoena
12. 2010-06-04 Goldman Sachs Subpoena
13. 2010-06-04 Goldman Subpoena
14. 2010-06-07 D. Keith Johnson Subpoena
15. 2010-06-08 Goldman Sachs Subpoena
16. 2010-06-08 Goldman Sachs Subpoena
17. 2010-10-08 FCIC Subpoena of Rachel Steinmetz
18. 2010-10-13 FCIC Subpoena of Sonia Garcia
19. AIG Subpoena
20. AIG Subpoena
21. Angela Judd Subpoena
22. Chris Swecker subpoena
23. Darcy Parmer subpoena
24. Eric Kolchinsky Subpoena
25. form subpoena
26. Keith Johnson Subpoena
27. Michael Raynes Subpoena
28. Ms. Amy Stroupe subpoena
29. Overstock Subpoena
30. Reich Subpoena
31. Robert Levin Subpoena
32. Subpoena Tracker
33. Thomas Lund Subpoena

Templates

1. Binder Cover & Spine Template
2. Envelope Template
3. Epiq Document Request Specs
4. Index_-_Background Materials Binder for Hearing 5 (Complex Derivatives)
5. Label Template
6. Label Template - Binder Tabs
7. Label Template - File Folders
8. Letter template [formatted for letterhead]
Little Brown Countersigned Contract with the FCIC
Memo re Document Disclosure (2)
Memo to Chair and Vice Chair re Referrals
Michael Keegan Contract
Mike Bryan New Contract
Mike Bryan Second Contract Amendment
Mike Michael Bryan Contract
Miscellaneous timeline items
Monday letter to Darrell Issa re his letter of November 22, 2010
OLD FCIC Glossary
PublicAffairs Contract
Publisher Confidentiality Agreement
Records Management Policy Do Not Use 2-11-2010
Referral letter to the attorney general
Reporting to the President Revisions Nov 12
RESOLUTION FOR THE ISSUANCE OF SUBPOENAS
Review checklist for audiotapes
Richard DeKaser Contract Amendment
S Baltake Contract Amendment
Sam's to do list
Sharing Nonpublic Information and Space With NY City
Short form letter re Commission Document request, confidentiality
Short Term Consulting Contract - Kim Shafer
Short Term Consulting Contract Art Wilmarth
Short Term Consulting Contract Peter Kavounas
Short Term Consulting Contract Ryan Bubb
SIGNED FCIC Confidentiality Agreement with Little Brown
Special Confidentiality Agreement for Ed Pinto
Special Consultant Confi Agreement
Susan Baltake contract Second Amendment
writer_editor_researcher list

HomeFront Data Projects
A. CDO Document Library Files
   1. 2004-01-00_Independence V_CDO Offering Circular
   2. 2004-01-00_Independence V_CDO Pitchbook
   3. 2004-01-00_Independence V_CDO Term Sheet
   4. 2004-03-00_Glacier Funding I_CDO Offering Circular
   5. 2004-03-00_Glacier Funding I_CDO Pitchbook
   6. 2004-03-00_Glacier Funding I_CDO Term Sheet
   7. 2004-03-00_Lakeside II_CDO Offering Circular
   8. 2004-03-00_Lakeside II_CDO Pitchbook
   9. 2004-03-00_Lakeside II_CDO Term Sheet
  10. 2004-05-00_ACA ABS 2004-1_CDO Pitchbook
  11. 2004-07-00_Cascade Funding 1_CDO Offering Circular
  12. 2004-07-00_Cascade Funding 1_CDO Pitchbook
  13. 2004-07-00_Cascade Funding 1_CDO Term Sheet
  14. 2004-07-00_Crystal Cove_CDO Offering Circular
  15. 2004-07-00_Crystal Cove_CDO Pitchbook
  16. 2004-07-00_Sierra Madre Funding_CDO Offering Circular
  17. 2004-09-00_Abacus 2004-2_CDO Term Sheet
  18. 2004-10-00_Davis Square Funding III_CDO Offering Circular
  19. 2004-10-00_Glacier Funding II_CDO Offering Circular
  20. 2004-10-00_Glacier Funding II_CDO Pitchbook
  21. 2004-10-00_Glacier Funding II_CDO Term Sheet
D. Hedge Fund Survey Files
   1. 0000-00-00_FCIC_Hedge_Fund_Survey_Blank_Form
   2. 0000-00-00_FCIC_Hedge_Fund_Survey_Chartbook
   3. 0000-00-00_FCIC_Hedge_Fund_Survey_Database
   4. 0000-00-00_FCIC_Hedge_Fund_Survey_Methodology

E. Market Risk Survey Files
   1. 0000-00-00_FCIC_Market_Risk_Survey_Blank_Form
   2. 0000-00-00_FCIC_Market_Risk_Survey_Chartbook
   3. 0000-00-00_FCIC_Market_Risk_Survey_Database
   4. 0000-00-00_FCIC_Market_Risk_Survey_Participants

F. Data Webpage v2

XII. Hearing Field Bakersfield
   A. Bakersfield Transcripts
      1. Bakers_Notes_All
      2. Best of Bakersfield

XIII. Hearing Field Las Vegas
   A. Hearing Invite Letters
      1. 08-25-2010 Hearing Invite to A Clinger
      2. 08-25-2010 Hearing Invite to B Gordon
      3. 08-25-2010 Hearing Invite to D Bogden
      4. 08-25-2010 Hearing Invite to J Fontaine
      5. 08-26-2010 Hearing Invite to D Fraser
      6. 08-26-2010 Hearing Invite to H Morrison
      7. 2010-08-18 Invitation Ltr, LV Field Hearing - Satre (copy)
      8. 2nd Batch - Invite Letters - Las Vegas Hearing
      9. 3rd Batch - Invite Letters - Las Vegas Hearing
     10. Invite Letters - Las Vegas Hearing
     11. Jeffries. FCIC Invite Letter - Las Vegas Hearing
     12. Walker FCIC Invite Letter - Las Vegas Hearing

   B. Hearing Logistics
      1. 1. Las Vegas Hearing Outline 090310
      2. FCIC LV Non Registered Guest Sign in Sheetdoc
      3. Las Vegas 8.31.10
      4. OUTLINE - LV Hearing Briefing Book
      5. Thank You
      6. The Ballroom Measurements2 (4)
      7. TIMELINE. Las Vegas Hearing Outline 090310
      8. VIP Invite

   C. Las Vegas Hearing Transcripts
      1. Best of the Nevada Field Hearing
      2. Vegas_all_notes

   D. Las Vegas Witness Interviews and MFRs
      1. 2010-08-31 Interview Jeffries Fremont
      2. 2010-09-03 Kellerman MFR Walker Fremont
      3. FCIC Interview Walker Fremont
      4. Fremont General confidentiality letter
      5. Fremont McIntyre interview questions
      6. LEXUS MCINTYRE FREMONT

   E. Staff Prepared documents
      1. Fremont General Corporation
      2. Fremont investigative report 9 05 ver 2
      3. Fremont investigative report draft ver 1

   F. stock chart data
1. Fremont Stock chart
2. FREMONT STOCK CHART
G. subprime loan data
   1. Nevada_Fre_Fix_1
H. Witness Bios
   1. LV Hearing Session 1 Bios
   2. LV Session 3 Bios
   3. LV Session 4 Bios
   4. LV Session 4 Bios
   5. LV Session 4 Bios
   6. LV Session 4 Bios
   7. Session 2 Bios
   8. Session 2 Bios
I. FCIC - Aguero Testimony
J. FCIC - Gordon Testimony
K. FCIC Exhibits
L. Fremont lawsuits
M. Fremont Nevada Loans
N. Hill DETR Employment Statistics
O. Las Vegas Hearing Outline 082910
P. Panelist quotes, testimonies
Q. Testimony of Steven D Hill (2)
XIV. Hearing Field Miami
   A. Miami Transcripts
      1. Miami Transcript-Formatted
      2. Miami Transcript-Rough
      3. Miami_133-185
      4. Miami_1-86
      5. Miami_87-132
   B. FCIC Miami Field Hearing VIP Invite
   C. Invite Letters - Miami Hearing-Batch 1
   D. Miami Thank you
   E. Miami_Name Cards_Witness
XV. Hearing Field Sacramento
   A. Long Beach Mortgage WAMU
      1. OC Register - 2007 - How subprime started
      2. OC Register - 2007 - How subprime started
      3. PSI findings on WaMu Long Beach Mortgage
      4. PSI findings on WaMu Long Beach Mortgage
   B. Sacramento Transcripts
      1. 09-23-2010hearing-ROUGH
      2. Sacra_1-92
      3. Sacra2
      4. Sacra3
      5. Sacramento_Formatted
   C. Sacto Background documents
      1. PSI WaMu Long Beach Exhibits
      2. United States Senate Committee on Homeland Security and Government Affairs Hearings
   D. Sacto binder items
      1. 1-i. Eggert Bio Topics Questions
      2. 1-iii. Keith Johnson Bio Topics Questions
      3. 2-iii. Clayton-Vicki Beal FCIC Written Testimony
      4. eggert article Great Collapse
      5. Long Beach Mortgage document compendium
      6. Long Beach Mortgage timeline and PSI findings
      7. Long Beach Mortgage WaMu articles
      8. Long Beach Mortgage Washington Mutual facts
9. OC Register - 2007 - How subprime started
10. PSI findings on WaMu Long Beach Mortgage
11. Sac Panel 3 document links
12. TOPIR securitization
13. Vicki Beal Bio topics questions

E. Sacto Invite Letters
1. Invite Letters - Sacramento Hearing - Batch 2
2. Invite Letters - Sacramento Hearing-Batch 1
3. Invite Letters - Sacramento Hearing-Batch 3

F. Sacto Logistics
1. Commissioner_Name Cards
2. FCIC Sacramento Field Hearing VIP Invite
3. Sacramento Field Hearing 9-16-2010
4. Sacramento Thank you
5. Sacramento_Media Advisory
6. Sacramento_Name Cards_Witness
7. TIMELINE Sacramento Field Hearing Agenda 9-20-2010

G. Sacto TY Letters
1. Thank you Letters - Sacramento Hearing
2. TY Letter - Beal
3. TY Letter - Canada
4. TY Letter - Carpenter
5. TY Letter - Eggert
6. TY Letter - Fleming
7. TY Letter - Hollis
8. TY Letter - Johnson
9. TY Letter - Lavulo & Moses
10. TY Letter - Mann
11. TY Letter - Putnam
12. TY Letter - Stein
13. TY Letter - Tawatao
14. TY Letter - Wagner
15. TY Letter - Wagstaff
16. TY Letter - Williams
17. TY Letter - Wirz

H. Sacto Witness Info
1. 2010 09 16 Berliner countrywide interview request 2 b witness
2. 2010 09 16 Berliner Countrywide interview with Cohen Edelberg
3. 2010-09-15 Hearing ltr invitation Johnson Clayton
4. Keith Johnson background topics questions OLD
5. Sacramento hearing witness list

I. 2010-09-15 McWilliams MFR Nancy DiLaban Countrywide

J. beal johnson bullet points

K. Long Beach Mortgage articles

L. Long Beach Mortgage articles

M. potential witnesses for sacramento hearing

N. sacramento hearing detail

XVI. Book
A. Part I
1. Part One Expanded Outline
2. 2010-07-27 - Section I draft
3. 2010-07-29 - Section I draft
4. Part One - draft
5. Part One Version NEW

B. Part III
1. 2010-08-11 Section III in progress
2. 2010-09-24 - Section III - Chapter 1 - cites added
3. 2010-09-24 - Section III - Chapter 11 post Phil
C. 2010-07-16 (2 pm) - Section IV draft
D. 2010-07-18 - Section IV draft - for editing
E. 2010-07-18 (pm) - Section IV chair vice chair
F. 2010-07-18 (pm) - Section IV draft
G. 2010-07-20 (pm) - Section IV chair vice chair CHAIR - Draft #1
H. 2010-07-20 (pm) - Section IV chair vice chair CHAIR edited
I. August 7, 2007 Meeting
J. foreclosure summary
K. Merrill Insert for Book RE CDOs and Collateral Managers

XVII. Discovery
A. AIG-FRBNY-BlackRock
   1. Email - FW MLIII -- Fair Value
B. Confidentiality Agreements (Gov)
   1. 2010-03-08 NYSBD (M Gross) Letter to Thomas Greene re confidentiality (signed copy)
   2. 2010-04-13 G Cohen ltr to CT AG re Confidentiality Agreement
   3. 2010-04-13 G Cohen ltr to OH AG re Confidentiality Agreement
   4. 2010-09-08 G Cohen ltr to NCUA re Treatment of Non Public Information
   5. 2010-09-13 G Cohen ltr to MIA re Treatment of Non Public Information
   6. DOJ Confidentiality Agreement
   7. SIGNED Confidentiality of Non-Public Info Heather Kanovsky HUD
   8. SIGNED_Confidentiality_of_Non-Public_Info_Alfred_Pollard_FHFA
   9. SIGNED_Confidentiality_of_Non-Public_Info_Ben_Bernanke_Federal_Reserve
   10. SIGNED_Confidentiality_of_Non-Public_Info_Dan_Berkovitz_CFTC
   11. SIGNED_Confidentiality_of_Non-Public_Info_Dehor_Dakin_Office_of_Thrift_Supervision_1-28-10
   12. SIGNED_Confidentiality_of_Non-Public_Info_DOL_Deborah_Greenfield
   13. SIGNED_Confidentiality_of_Non-Public_Info_George_Madison_Treasury_2-5-10
   14. SIGNED_Confidentiality_of_Non-Public_Info_Julie_Williams_OCC
   15. SIGNED_Confidentiality_of_Non-Public_Info_Mark_Cahn_SEC_1-21-10
   16. SIGNED_Confidentiality_of_Non-Public_Info_Michael_Bradfield_FDIC
   17. SIGNED_Confidentiality_of_Non-Public_Info_Ronald_Weich_DOJ
C. Confidentiality Agreements (NonGov)
   1. 2010-02-08 B Bondi ltr to Citi (S Buergel & B Karp) re non-public info
   2. 2010-02-24 G Cohen ltr to Lexis (K Hinrichs) re Treatment of Non-Public Information
   3. 2010-03-02 G Cohen ltr to FINRA (J Shorris) re Treatment of NonPublic Info and Doc Request
   4. 2010-03-04 T Krebs ltr to State Street (D Phelan) re Treatment of Non-Public Info
   5. 2010-03-08 G Cohen ltr to Goldman (R Klapper) re Treatment of NonPublic Info
   6. 2010-03-08 G Cohen ltr to Goldman re Treatment of NonPublic Info
   7. 2010-03-08 G Cohen ltr to Morgan Stanley (R Yanes) re Treatment of NonPublic Info
   8. 2010-03-09 G Cohen ltr to Morgan Stanley re Treatment of NonPublic Info
   9. 2010-03-10 T Krebs letter to PIMCO (J Sopko) re Treatment of NonPublic Info
10. 2010-03-11 G Cohen ltr to Vanguard (N Will) re Treatment of Non-Public Info
11. 2010-03-15 G Cohen ltr to Fannie (J Kilduff) re Treatment of Non-Public Info
12. 2010-03-15 G Cohen ltr to Fannie (J Kilduff) re Treatment of Non-Public Info
13. 2010-03-17 G Cohen ltr to UBS (J Moon) re Treatment of NonPublic Info
14. 2010-03-25 G Cohen ltr to Barclays (C deOnis) re Treatment of Non-Public Information
15. 2010-03-30 G Cohen ltr to S&P (B Markley) re Treatment of Non-Public Info
16. 2010-04-14 G Cohen ltr to J Cassano (J Walden) re Treatment on Non-Public Info
17. 2010-04-21 G Cohen ltr to AIG (M Berger) re Treatment of Non Public Information
18. 2010-04-27 G Cohen ltr to Cornwall (W Gussman) re Treatment of NonPublic Info
19. 2010-04-27 G Cohen ltr to Magnetar (S Ross) re Treatment of NonPublic Info
20. 2010-05-03 G Cohen ltr to Moody's (S Ross) re Treatment of Non Public Information
21. 2010-05-04 G Cohen ltr to MKP (P Alcamo) re Treatment of Non Public Information
22. 2010-05-07 G Cohen ltr to GSC (D Ross) re Treatment of Non Public Information
23. 2010-05-07 G Cohen ltr to NIBC (A Lambert) re Treatment of Non Public Information
24. 2010-05-11 G Cohen Ltr to Scion Capital (J Ware) re Treatment of Non Public Information
25. 2010-05-12 G Cohen Ltr to L Schwartz (L Aperstein) re Treatment of Non Public Info
26. 2010-05-12 G Cohen Ltr to Scion Capital (J Ware) re Treatment of NonPublic Information
27. 2010-05-13 G Cohen Ltr to ACA (N Erickson) re Treatment of Non Public Info
28. 2010-05-14 G Cohen Ltr to UBS (M Aquino) re Treatment of Non Public Information
29. 2010-05-17 G Cohen Ltr to A Roseman (R Bennett) re Treatment of Non Public Info (2).docx
30. 2010-05-19 G Cohen confi Ltr to Markit
31. 2010-05-19 G Cohen Ltr re C Gates Confidential Information
32. 2010-06-03 G Cohen Confi to E Dinallo
33. 2010-06-03 G Cohen Confi to TCW
34. 2010-06-10 G Cohen Ltr to A Forster (D Brodsky) re Treatment of Non-Public Info
35. 2010-06-14 G Cohen Ltr to J Cassano (J Walden) re Interview Confidentiality
36. 2010-06-14 G Cohen Ltr to Joe Cassano (J Walden) re Confidentiality of Interview
37. 2010-06-23 G Cohen Ltr to Credit Suisse (D Zinman) re Treatment of NonPublic Info
38. 2010-07-14 G Cohen Ltr to Wachovia (R Kelner) re Treatment of Non Public Information
39. 2010-07-15 G Cohen Ltr to NCRC (J Silver) re Treatment of Non Public Information
40. 2010-07-16 G Cohen Ltr to Ally Financial (J Hromy) re Treatment of Non Public Info
41. 2010-07-23 G Cohen Ltr to S&P (B Markley) re Treatment of Non Public Database
42. 2010-08-02 G Cohen Ltr to PIMCO (J Sopko) re Treatment of Non Public Information
43. 2010-08-16 G Cohen Ltr to Moody's (S Nelles) re Treatment of Non Public Database
44. 2010-08-25 G Cohen Ltr to W Ruberti re Treatment of Non Public Information
45. 2010-08-27 G Cohen Ltr to Maryland Insurance Administration re Treatment of Non Public Information
46. 2010-08-31 G Cohen Ltr to Fremont General (J Carroll) re Treatment of Non Public Information
47. 2010-09-23 G Cohen Ltr to D Sambol re Treatment of Non Public Information
48. 2010-09-24 G Cohen Ltr to ICAP re Treatment of Non Public Information
49. 2010-09-27 G Cohen Ltr to RMIC (J Heitkamp) re Treatment of Non Public Info
50. 2010-09-28 G Cohen Ltr to G Levine re Treatment of Non Public Information
51. 2010-09-29 G Cohen Ltr to Stone Tower Capital re Treatment of Non Public Information
52. 2010-09-30 G Cohen Ltr to Aladdin Capital re Treatment of Non Public Information
53. 2010-09-30 G Cohen Ltr to Vanderbilt Capital re Treatment of Non Public Information
54. 2010-10-04 G Cohen Ltr to PMI (A Cameron) re Treatment of Non Public Information
55. 2010-10-05 G Cohen Ltr to AllianceBernstein re Treatment of Non Public Information
56. 2010-10-08 G Cohen Ltr to BlackRock re Treatment of Non Public Information
57. 2010-10-12 G Cohen Ltr to Deerfield Capital re Treatment of Non Public Information
58. 2010-10-12 G Cohen Ltr to ING Investment re Treatment of Non Public Information
59. 2010-10-12 G Cohen Ltr to MGIC (J Lane) re Treatment of Non Public Information
60. Treatment_of_Non-Public_Info_BNYMellon_2-18-10.

D. DocuMatrix Materials
1. 01_DocuMatrix Quick Reference Guide
2. DMX - Welcome Packet
3. DocuMatrix Categorization Overview
4. Epiq Document Request Specs_20100126
5. FC0001 - ProjectTeam

E. Document Preservation Letters
1. 2010-01-22 Doc_Retention_Notice_AIG_KShannon
2. 2010-01-22 Doc_Retention_Notice_Barclays_MHarding
3. 2010-01-22 Doc_Retention_Notice_BofA_EO'Keefe
4. 2010-01-22 Doc_Retention_Notice_Citigroup_MHelfer
5. 2010-01-22 Doc_Retention_Notice_FitchRatings_CBrown
6. 2010-01-22 Doc_Retention_Notice_GoldmanSachs_GPalm
7. 2010-01-22 Doc_Retention_Notice_JPMorganChase_SCutler
8. 2010-01-22 Doc_Retention_Notice_Moodys_JGoggins
10. 2010-01-22 Doc_Retention_Notice_OneWestBank_JVogel
11. 2010-01-22 Doc_Retention_Notice_S&P_ASchuman
12. 2010-01-22 Doc_Retention_Notice_WellsFargo_JStrother
13. 2010-02-02 S&P (B Markley) ltr to G Cohen re Doc Preservation (copy)
14. FINAL_Preservation_Notice_AMBAC_Kevin_Doyle_2-9-10.doc
15. FINAL_Preservation_Notice_Assured_James_Michener_2-9-10.doc
16. FINAL_Preservation_Notice_MBIA_Ram_Wertheim_2-9-10.doc
17. FINAL_Preservation_Notice_Syncora_Guarantee_Susan_Comparto_2-9-10.doc
18. FINAL_Preservation_Notice_Syncora_Jim_Ludy_2-9-10
19. FINAL_Preservation_Notice_Syncora_Susan_Comparato_2-9-2010

**F. Produced Docs**

1. **AIG**
   a. 2010-05-11
      1. AIG-FCIC00403124
   b. 2010-05-26 Production - Disk 339
      1. AIG-FCIC00519934-956
      2. AIG-FCIC00519957-977
      3. AIG-FCIC00519978-994
      4. AIG-FCIC00519995-00520017
      5. AIG-FCIC00520018-044
      6. AIG-FCIC00520045-067
      7. AIG-FCIC00520068-094
      8. AIG-FCIC00520095-109
      9. AIG-FCIC00520110-132
     10. AIG-FCIC00520133-159
     11. AIG-FCIC00520160-182
     12. AIG-FCIC00520183-209
     13. AIG-FCIC00520210-229
     14. AIG-FCIC00520230-249
     15. AIG-FCIC00520250-269
     16. AIG-FCIC00520270-293
     17. AIG-FCIC00520294-321
     18. AIG-FCIC00520322-345
     19. AIG-FCIC00520346-373
     20. AIG-FCIC00520374-395
     21. AIG-FCIC00520396-421
     22. AIG-FCIC00520422-451
     23. AIG-FCIC00520452-478
     24. AIG-FCIC00520479-502
     25. AIG-FCIC00520503-530
     26. AIG-FCIC00520531-547
     27. AIG-FCIC00520548-568
     28. AIG-FCIC00520569-589
     29. AIG-FCIC00520590-609
     30. AIG-FCIC00520610-630
     31. AIG-FCIC00520631-650
     32. AIG-FCIC00520651-670
     33. AIG-FCIC00520671-690
     34. AIG-FCIC00520691-711
     35. AIG-FCIC00520712-733
     36. AIG-FCIC00520734-769
     37. AIG-FCIC00520770-780
     38. AIG-FCIC00520781-800
     39. AIG-FCIC00520801-820
     40. AIG-FCIC00520821-844
     41. AIG-FCIC00520845-871
     42. AIG-FCIC00520872-953
   c. 2010-06-04 Production
      1. Disk # 351
2. Disk # 352
   a. AIG-FCIC00521604-00521686
   b. AIG-FCIC00521687-00521704
   c. AIG-FCIC00521705-00521707
   d. AIG-FCIC00521708-00521760
   e. AIG-FCIC00521761-00521763
   f. AIG-FCIC00521764-00521767
   g. AIG-FCIC00521768-00521793
   h. AIG-FCIC00521794-00521853
   i. AIG-FCIC00521854-00521889
   j. AIG-FCIC00521890-00521895
   k. AIG-FCIC00521896-00521913
   l. AIG-FCIC00521914-00521918
   m. AIG-FCIC00521919-00521939
   n. AIG-FCIC00521940-00521946
   o. AIG-FCIC00521947-00521958

d. 2010-06-07 Production
1. Disk # 368
   a. AIG-FCIC00521959 - AIG-FCIC00522053
   b. AIG-FCIC00522054 - AIG-FCIC00522068
   c. AIG-FCIC00522069 - AIG-FCIC00522182
   d. AIG-FCIC00522183 - AIG-FCIC00522234
   e. AIG-FCIC00522235 - AIG-FCIC00522251
   f. AIG-FCIC00522252 - AIG-FCIC00522253
   g. AIG-FCIC00522254 - AIG-FCIC00522258
   h. AIG-FCIC00522259 - AIG-FCIC00522283

2. Disk # 369
h. 2010-06-15 Production
1. Disk # 388
   a. AIG-FCIC 00547409-00547878
   b. AIG-FCIC 00547879-00548378
   c. AIG-FCIC 00548379-0054881
   d. AIG-FCIC 00548882-00549381
   e. AIG-FCIC 00549382-00549883
   f. AIG-FCIC 00549884-00549963
2. Disk # 389
   a. AIG-FCIC 00549964-00549987
   b. AIG-FCIC 00550011-00550064
   c. AIG-FCIC 00550065-00550118
   d. AIG-FCIC 00550119-00550133
   e. AIG-FCIC 00550134-00550156
   g. AIG-FCIC 00550157-00550170
   h. AIG-FCIC 00550171-00550175
   i. AIG-FCIC 00550176-00550200
   j. AIG-FCIC 00550201-00550228
   k. AIG-FCIC 00550229-00550230
   l. AIG-FCIC 00550231-00550342
   m. AIG-FCIC 00550343-00550355
   n. AIG-FCIC 00550356-00550388
   o. AIG-FCIC 00550389
   p. AIG-FCIC 00550390-00550391
   q. AIG-FCIC 00550392-00550404
   r. AIG-FCIC 00550405-00550415
   s. AIG-FCIC 00550416-00550428
   t. AIG-FCIC 00550429-00550435
   u. AIG-FCIC 00550436-00550440

i. 2010-06-17 Production
1. Disk # 404
   a. AIG-FCIC 00550563-00551444

2. Disk # 405
   a. AIG-FCIC 00551445-00551946
   b. AIG-FCIC 00551947-00552450
   c. AIG-FCIC 00552451-00552952
   d. AIG-FCIC 00552953-00553453
   e. AIG-FCIC 00553454-00553583

j. 2010-06-19 Production
1. Disk # 400
   a. AIG-FCIC 00553611-00554097
   b. AIG-FCIC 00554098-00554598
   c. AIG-FCIC 00554599-00554797
   d. AIG-FCIC 00554798-00555207
   e. AIG-FCIC 00555208-00555608
   f. AIG-FCIC 00555609-00556143
   g. AIG-FCIC 00556144-00556206

2. Disk # 401
   a. AIG-FCIC 00556207-00556208
   b. AIG-FCIC 00556209-00556210
   c. AIG-FCIC 00556211-00556213
   d. AIG-FCIC 00556214-00556215
   e. AIG-FCIC 00556216-00556218
   f. AIG-FCIC 00556219-00556221
k. 2010-06-21 Production
   1. Disk # 406
      a. AIG-FCIC 00559391-00559802
2. [AllianceBernstein]

a. [2010-10-22 Production- Disk # 715]
   1. II.2-00000001
   2. II.2-0000298
   3. II.2-0000318
   4. II.2-0000406
   5. II.2-0000689
   6. II.2-0000783
   7. II.2-0001118
   8. II.2-0001134
   9. II.2-0001211
  10. II.2-0001482
  11. II.2-0001676
  12. II.2-0001696
  13. II.2-0001897
  14. II.2-0002050
  15. II.2-0002082
  16. II.2-0002096
  17. II.2-0002097
  18. II.2-0002145
  19. II.2-0002190
  20. II.2-0002269
  21. II.2-0002355
  22. II.2-0002487
  23. II.2-0002579
  24. II.2-0002723
  25. II.2-0003057
  26. II.2-0003089
  27. II.2-0003129
  28. II.2-0003198
  29. II.2-0003272
  30. II.2-0003476
  31. III.12-0000001

b. [2010-10-26 Production- Disk # 723]
   1. Interrogatory 10
      a. ABCDS Buy Transactions
      b. ASAP - Purchase Journal
      c. List of broker codes for data provided as part of Interrogatory 10
      d. Long Hill Buy Transactions
      e. MCDO1 Buy Transactions
      f. MCDOII Buy Transactions
      g. MPF Limited - Purchase Journal
      h. MPF Limited - Purchase Journal - Part II
         i. MPF Three Purchase Log SSB
         j. MPF Two Purchase Log SSB
      k. PASA Buy Transactions
      l. Pegasus 2 Buy Transactions SSB
      m. Pegasus 3 Buy Transactions SSB
      n. Pegasus 4 Buy Transactions SSB
      o. Pegasus Five Series No. 1 - Purchase Journal
      p. Pegasus Five Series No. 2 - Purchase Journal
      q. Pegasus One Buy Transactions SSB
      r. Pegasus Seven Series No. 1 - Purchase Journal
      s. Pegasus Six Series No. 1 - Purchase Journal
      t. Pinstripe Buy Transactions
      u. Revelstoke Buy Transactions
2. Interrogatory 3
   a. ABCDS Buy Protection Sold
   b. Long Hill Buy Protection Sold

3. American Home Mortgage
   a. 2010-08-02 Production - Disk # 523
      1. FCIC Responses for #7 & 9_08-02-10
   b. 2010-08-12 Production - Disk # 545
      1. (10029168_1)_AHM Interrogatory #4_2007.xls (Sent 8-12-10) (2)
      2. (10029211_1)_AHM Interrogatory #11 (2).xls (Sent 8-12-10) (2)
      3. (10029213_1)_AHM Interrogatory #4(t) 2003 - 2007 (2).xls (Sent 8-12-10) (2)
      4. (10029215_1)_AHM Interrogatory #10 (2).xls (Sent 8-12-10) (2)
   c. 2010-08-27 Production - Disk # 587
      1. (10096092_1)_AHM Interrogatory #3_2007 (2).xls (Sent 8-27-10)
      2. (10096101_1)_AHM Interrogatory #4_2006.xls (Sent 8-27-10)
   d. 2010-09-30 Production - Disk # 665
      1. (10218481_1)_AHM Interrogatory #4_2003.xls (Sent 9-30-10)
      2. (10218498_1)_AHM Interrogatory #4_2004.xls (Sent 9-30-10)
      3. (10218508_1)_AHM Interrogatory #4(r)(i) 2003-2007.xls (Sent 9-30-10)

4. Amy Stroupe
   a. 2010-06-25 Production - Disk # 418
      1. Deposition Exhibits 1-49
      2. Deposition Exhibits 50-97
      3. Deposition Minuscript - Amy Stroupe
      4. Deposition Minuscript - Brian Prater
      5. Deposition Minuscript - Bryan Drum
      6. Deposition Minuscript - Carlos Goodrich
      7. Deposition Minuscript - Charles Mattox
      8. Deposition Minuscript - Daniel Loftis
      9. Deposition Minuscript - Jason Harrill
     10. Deposition Minuscript - Karen Price-Crowder
     11. Deposition Minuscript - Leo Hill
     12. Deposition Minuscript - Sherri Harper
     13. Deposition Transcript - Francis C. Clark
     14. Deposition Traninvert - George Wilson
     15. Deposition Transcript - Walter Pitt
     16. Depositions Exhibits 98-150
     17. Documents produced by BB& T in DOL Case- pt.1
     18. Documents produced by BB& T in DOL Case- pt.2
     19. Documents produced by BB& T in DOL Case- pt.3
     20. Documents produced by BB& T in DOL Case- pt.4
     21. Documents produced by Stroupe in DOL Case
     22. Errata Sheet - Amy Stroupe
     23. Errata Sheet - Brian Prater
     24. Errata Sheet - Daniel Loftis
     25. Errata Sheet - Jason Harrill
     26. Errata Sheet - Karen Price-Crowder
     27. Errata Sheet - Leo Hill
     28. Errata Sheet - Sherri Harper
     29. Hearing Minuscript

5. Babson Capital
   a. BQM 20101007164826_001
   b. BQM babson_mktval_mtgcdcd
   c. BQM babson_mktval_mtgcdco oct2006_ubs
   d. BQM Mass Mutual Engagement Letter re EMBS VI Nov 16 Execution
   e. BQM OM
   f. EMBS 5 - Private Placement Memorandum_v1_0
   g. EMBS Fund II Pres to Nationwide 02.11.00 b
2. BAC-FCIC 0000081080-0000081098
3. BAC-FCIC 0000081099-0000081231
4. BAC-FCIC 0000081232-0000081631
5. BAC-FCIC 0000081632-0000081667

i. 2010-10-04 Production
   1. Disk # 666
      a. BAC-FCIC-0000080557
      b. BAC-FCIC-0000080769

2. Disk # 667
   a. BAC-FCIC-0000081668
   b. BAC-FCIC-0000081669-0000081755
   c. BAC-FCIC-0000081756-0000082203
   d. BAC-FCIC-0000082004-0000082248
   e. BAC-FCIC-0000082249-0000082264
   f. BAC-FCIC-0000082265
   g. BAC-FCIC-0000082266-0000082535
   h. BAC-FCIC-0000082536-0000082765
   i. BAC-FCIC-0000082766-0000082841
   j. BAC-FCIC-0000082842-0000082848
   k. BAC-FCIC-0000082849-0000082900
   l. BAC-FCIC-0000082901-0000083086
   m. BAC-FCIC-0000083087-0000083276
   n. BAC-FCIC-0000083277-0000083301
   o. BAC-FCIC-0000083302-0000083541
   p. BAC-FCIC-0000083542-0000083781
   q. BAC-FCIC-0000083782-0000083861
   r. BAC-FCIC-0000083862-0000083872
   s. BAC-FCIC-0000083873-0000084179
   t. BAC-FCIC-0000084180-0000084198
   u. BAC-FCIC-0000084199-0000084269
   v. BAC-FCIC-0000084270-0000084452
   w. BAC-FCIC-0000084453-0000084636
   x. BAC-FCIC-0000084637-0000084640
   y. BAC-FCIC-0000084641-0000084654
   z. BAC-FCIC-0000084655-0000084657
   aa. BAC-FCIC-0000084658-0000084722
   ab. BAC-FCIC-0000084723-0000084911
   ac. BAC-FCIC-0000084912-0000084933
   ad. BAC-FCIC-0000084934-0000084943
   ae. BAC-FCIC-0000084944-0000085037
   af. BAC-FCIC-0000085038-0000085301
   ag. BAC-FCIC-0000085302-0000085584
   ah. BAC-FCIC-0000085585-0000085598
   ai. BAC-FCIC-0000085599
   aj. BAC-FCIC-0000085600-0000085604
   ak. BAC-FCIC-0000085605-0000085689
   al. BAC-FCIC-0000085690-0000086002
   am. BAC-FCIC-0000086003-0000086325
   an. BAC-FCIC-0000086326-0000086349
   ao. BAC-FCIC-0000086350-0000086523
   ap. BAC-FCIC-0000086524-0000086688
   aq. BAC-FCIC-0000086689-0000086764
   ar. BAC-FCIC-0000086765
   as. BAC-FCIC-0000086766-0000086784

j. 2010-11-08 Production - Disk # 732
   1. BAC-FCIC-000008820
k. 2010-12-07 Production - Disk # 744
   1. 2010-06-16 BAC Production Letter to FCIC re_ 4-21-10 CRA request
   2. BAC CRA Press Releases
   3. Mortgage Spreadsheet produced 2010.09.02

l. 2010-12-15 Production
   1. Disk # 746
      a. BAC-FCIC-0000089275-76
      b. BAC-FCIC-0000089277-79
      c. BAC-FCIC-0000089280-81
      d. BAC-FCIC-0000089282-83
      e. BAC-FCIC-0000089284
      f. BAC-FCIC-0000089285-90
      g. BAC-FCIC-0000089291
      h. BAC-FCIC-0000089292-93
      i. BAC-FCIC-0000089294-95
      j. BAC-FCIC-0000089296
      k. BAC-FCIC-0000089297-303
      l. BAC-FCIC-0000089304
      m. BAC-FCIC-0000089305-306
      n. BAC-FCIC-0000089307
      o. BAC-FCIC-0000089309-312
      p. BAC-FCIC-0000089313
      q. BAC-FCIC-0000089314
      r. BAC-FCIC-0000089315-316
      s. BAC-FCIC-0000089317-318
      t. BAC-FCIC-0000089319
      u. BAC-FCIC-0000089320
      v. BAC-FCIC-0000089321-22
      w. BAC-FCIC-0000089323-24
      x. BAC-FCIC-0000089325-27
      y. BAC-FCIC-0000089328-30
      z. BAC-FCIC-0000089331-36
      aa. BAC-FCIC-0000089337-405
      ab. BAC-FCIC-0000089406-407
      ac. BAC-FCIC-0000089408-410
      ad. BAC-FCIC-0000089411-13
      ae. BAC-FCIC-0000089414
      af. BAC-FCIC-0000089415-18
   2. Disk # 747
      a. BAC-FCIC-0000089419
      b. BAC-FCIC-0000089420
      c. BAC-FCIC-0000089421-23
      d. BAC-FCIC-0000089424-50
      e. BAC-FCIC-0000089451-63

m. 2010-12-20 Production - Disk # 749
   1. BAC-FCIC-0000089464-0000089714

7. Barclays
   a. 2010-08-26 Production - Disk # 579
      1. FCIC-SURVEY 0001
      2. FCIC-SURVEY 0002

d. 2010-09-22 Production
   1. FCIC-Subprime 001
   2. FCIC-Subprime 002
   3. FCIC-Subprime 003
   4. FCIC-Subprime 004
   5. FCIC-Subprime 005
6. FCIC-Subprime 006
7. FCIC-Subprime 007
8. FCIC-Subprime 008
9. FCIC-Subprime 009
10. FCIC-Subprime 010
11. FCIC-Subprime 011
12. FCIC-Subprime 012
13. FCIC-Subprime 013
14. FCIC-Subprime 014
15. FCIC-Subprime 015
c. 2010-10-19 Production- Disk # 707
   1. FCIC-SURVEY 0004
d. 2010-10-25 Production- Disk #721
   1. FCIC-SURVEY 0005
8. BNY Mellon
   a. BNYM FCIC 000099 - 000121
9. CFTC
   a. 2010-06-28 Production
      1. 09. 05. 26. Swearing-In Ceremony
      2. 09. 06. 02. Testimony-Senate Subcommittee on Financial Services
      3. 09. 06. 04. Senate Committee on Ag, Nutrition, and Forestry
      4. 09. 06. 22. Testimony-Senate Banking Subcommittee
      5. 09. 06. 24. Managed Funds Association
      6. 09. 07. 07. Speculative Position Limits and Transparency Initiatives
      7. 09. 07. 21. Testimony-Senate Permanent Subcommittee on Investigations
      8. 09. 07. 22. Oral Testimony-House Financial Services
      9. 09. 07. 22. Testimony-House Financial Services
     10. 09. 07. 28. Opening Statement-Hearing of the CFTC
     11. 09. 07. 29. Opening Statement-Hearing of the CFTC
     12. 09. 08. 05. Opening Statement-Hearing of the CFTC
     13. 09. 08. 11. Treasury Dept's Derivative Plan
     14. 09. 09. 02. Opening Statement-Joint Meeting with SEC
     15. 09. 09. 03. Opening Statement-Joint meeting with SEC
     16. 09. 09. 09. Senate committee on Agriculture, Nutrition, and Forestry
     18. 09. 09. 16. Energy and Environmental Markets Advisory Committee
     19. 09. 09. 22. Testimony-House Ag. committee
     21. 09. 09. 25. European Commission
     22. 09. 10. 07. Testimony-House committee on Financial Services
     23. 09. 10. 15. Passage of OTC Derivatives Regulatory Reform
     24. 09. 10. 21. Futures Industry Association Annual Expo
     25. 09. 10. 23. GW Law School Symposium-OTC regulation
     26. 09. 10. 27. natural Gas Roundtable Luncheon
     27. 09. 10. 29. Agriculture Advisory Committee
     28. 09. 11. 03. IETA 2009 Fall Symposium-Cap and Trade
     29. 09. 11. 17. Testimony-House Ag. committee
     30. 09. 11. 18. Exchequer Club of Washington-OTC reform
     31. 09. 11. 18. Testimony-Senate Ag. committee
     32. 09. 12. 02. Testimony-House committee on Energy and Commerce
     33. 09. 12. 03. Consumer Federation of America Financial Services Conference
     34. 09. 12. 09. Global Markets Advisory Committee
     35. 09. 12. 11. Passage of HR 4173
     36. 10. 01. 06. Council on Foreign Relations
     37. 10. 01. 14. Closing Statement-Meeting of the CFTC
     38. 10. 01. 14. Opening Statement-Meeting of the CFTC
10. 01. 27. Fordham University-OTC reform
10. 01. 29. American Bar Association-Committee on Derivatives and Futures Law
10. 02. 01. FY2011 Budget Request
10. 02. 24. Energy Bar Association
10. 03. 01. Institute of International Bankers conference
10. 03. 02. Women in Housing and Finance-OTC reform
10. 03. 03. Testimony-House Ag. committee
10. 03. 09. Markit's OTC Derivatives Markets Conference
10. 03. 09. Testimony-Senate committee on Energy and Nat. Resources
10. 03. 11 FIA Annual Futures Industry Conference
10. 03. 16. European Parliament-Economic and Monetary Affairs Committee
10. 03. 18. Chatham House-Derivatives Reform
10. 03. 22. Senate Banking Committee Passage of OTC reform
10. 03. 24. U.S. Chamber of Commerce
10. 03. 25. Precious and Base Metals Markets
10. 04. 12 Columbia University
10. 04. 13. Council of Institutional Investors
10. 04. 16. Wall St. Transparency and Accountability Act
10. 04. 27. Significant Price Discovery Contracts
10. 04. 28 Appendix for Testimony-Senate Appropriations
10. 04. 28. Oral Testimony-Senate Appropriations
10. 04. 28. Testimony-Senate Appropriations
10. 05. 10. Meeting with Exchange Leaders
10. 05. 11. Testimony-House Financial Services
10. 05. 14. American Cotton Shippers Association
10. 05. 18. Global Corporate Treasurer's Forum
10. 05. 19. Box Office Receipts
10. 05. 20. Passage of Senate Financial Reform
10. 05. 20. Testimony-Senate Committee on Banking, Housing, and Urban Affairs
10. 05. 24. Joint CFTC-SEC Advisory Committee
10. 06. 03. Sandler O'Neill Global Exchange and Brokerage Conference
10. 06. 10. IOSCO
10. 06. 22. Second CFTC-SEC Advisory Committee meeting
10. 06. 22. Status of Wall St. Reform
10. radhakrishnansenate_ag_cds101
10. radhakrishnansenate_ag_cds1120

Citigroup
10. 2010.03.19 Production
a. 2010.03.19 CITI-FCIC 99767-78
b. 2010.03.19 CITI-FCIC 99779-90
c. 2010.03.31 Production
   1. 2010.03.31 CITI-FCIC 00099801 - 00099862 - I-16, 17, 23 - RMBS Cash, Synthetic, Squared CDOs
   2. 2010.03.31 CITI-FCIC 00103221 - 00103246 CMB Risk Mgmt Cmte Minutes
   3. 2010.03.31 CITI-FCIC 00103259 - 00103758 - Falcon, MAT, ASTA Funds
   4. 2010.03.31 CITI-FCIC 00104259 - 00104758 - Falcon, MAT, ASTA Funds
   5. 2010.03.31 CITI-FCIC 00104759 - 00105258 - Falcon, MAT, ASTA Funds
   6. 2010.03.31 CITI-FCIC 00105259 - 00105758 - Falcon, MAT, ASTA Funds
   7. 2010.03.31 CITI-FCIC 00105759 - 00106258 - Falcon, MAT, ASTA Funds
   8. 2010.03.31 CITI-FCIC 00106259 - 00106758 - Falcon, MAT, ASTA Funds
   9. 2010.03.31 CITI-FCIC 00106759 - 00107258 - Falcon, MAT, ASTA Funds
  10. 2010.03.31 CITI-FCIC 00107259 - 00107758 - Falcon, MAT, ASTA Funds
  11. 2010.03.31 CITI-FCIC 00107759 - 00108258 - Falcon, MAT, ASTA Funds

10. 2010.04.06 Production
   1. 2010.04.06 CITI-FCIC 103192-103220

10. 2010.06.09 Production
   1. 2010.06.09 CITI-FCIC 00103221 - 00103246 CMB Risk Mgmt Cmte Minutes
   2. 2010.06.09 CITI-FCIC 00103259 - 00103758 - Falcon, MAT, ASTA Funds
   3. 2010.06.09 CITI-FCIC 00103759 - 00104258 - Falcon, MAT, ASTA Funds
   4. 2010.06.09 CITI-FCIC 00104259 - 00104758 - Falcon, MAT, ASTA Funds
   5. 2010.06.09 CITI-FCIC 00104759 - 00105258 - Falcon, MAT, ASTA Funds
   6. 2010.06.09 CITI-FCIC 00105259 - 00105758 - Falcon, MAT, ASTA Funds
   7. 2010.06.09 CITI-FCIC 00105759 - 00106258 - Falcon, MAT, ASTA Funds
   8. 2010.06.09 CITI-FCIC 00106259 - 00106758 - Falcon, MAT, ASTA Funds
   9. 2010.06.09 CITI-FCIC 00106759 - 00107258 - Falcon, MAT, ASTA Funds
  10. 2010.06.09 CITI-FCIC 00107259 - 00107758 - Falcon, MAT, ASTA Funds
  11. 2010.06.09 CITI-FCIC 00107759 - 00108258 - Falcon, MAT, ASTA Funds
CITI-FCIC 00108259 - 00108733 - Falcon, MAT, ASTA Funds
CITI-FCIC 00108734 - Liquidity Puts Summary Sheet
CITI-FCIC 00108735 - 00108747 - CMB Policy
CITI-FCIC 00108748 - Warehouse Lines Additions
CITI-FCIC 00108749 - 00108756 - Moody's CDO Fee Schedule

2010-06-11 Production
  1. CITI-FCIC 00108757 - 00108767 - Synthetic CDO Data

2010-07-08 Production
  1. CITI-FCIC 00108757 - 00108768 - Super Senior Data

2010-07-26 Production - HD # 53
  1. Excel Files part 1
      a. 00000416
      b. 00000725
      c. 00000753
      d. 00001023
      e. 00001027
      f. 00001044
      g. 00001061
      h. 00001074
      i. 00001075
      j. 00001452
      k. 00001478
      l. 00001479
      m. 00001495
      n. 00001600
      o. 00002269
      p. 00002544
      q. 00002601
      r. 00002822
      s. 00003103
      t. 00003406
      u. 00003956
      v. 00004518
      w. 00004603
      x. 00004613
      y. 00004616
      z. 00004722
      aa. 00005201
      ab. 00005360
      ac. 00005637
      ad. 00006234
      ae. 00006936
      af. 00007320
      ag. 00011575
      ah. 00011876
      ai. 00011877
      aj. 00012631
      ak. 00012635
      al. 00012948
      am. 00013171
      an. 00013780
      ao. 00013829
      ap. 00014038
      aq. 00014069
      ar. 00014070
      as. 00014354
Excel Files part 2

2. Excel Files part 2
   a. 00060338
   b. 00060366
   c. 00060633
   d. 00060660
   e. 00060706
   f. 00060763
   g. 00061176
   h. 00061330
   i. 00061332
   j. 00061482
   k. 00061510
   l. 00061537
   m. 00061601
   n. 00061665
   o. 00061724
   p. 00061970
   q. 00062275
   r. 00062446
   s. 00062508
   t. 00062986
   u. 00062988
   v. 00062992
   w. 00063021
   x. 00063114
   y. 00063121
   z. 00063169
   aa. 00063211
   ab. 00063224
h. 2010-07-29 Production- Disk # 499
   1. CITI-FCIC-e 1144583
   2. CITI-FCIC-e 1144594
   3. CITI-FCIC-e 1144595

i. 2010-08-17 Production- Disk # 554
   1. CITI-FCIC-LEH 00151888-00151983

j. 2010-09-26 Production- Disk # 653
   1. CITI-FCIC 00110379-00112160

k. 2010-10-14 Production- Disk # 701
   1. CITI-FCIC 00112161
   2. CITI-FCIC 00122231
   3. CITI-FCIC 00122232

l. 2010-12-13 Production- Disk # 745
   1. CITI-FCIC 00122233-00122331
   2. CITI-FCIC 00122332-00122825
   3. CITI-FCIC 00122826-00123433

11. Clayton Holdings
   a. 2010-07-07 Production
      1. Disk # 443
         a. CLAY 0083909- CLAY 0085512
            1. CBA- CSC 359
               a. CLAY-0083909_1ExceptionDetail04-04
               b. CLAY-0083910_APR_ORIGINATOR04-04
               c. CLAY-0083911_DTI Variance Report
bg. CLAY-0083967_The Ivan Report 04-14
bh. CLAY-0083968_ExceptionDetail
bi. CLAY-0083969_CBA CSC359 Exception Detail 4-18-06A
bj. CLAY-0083970_CBA CSC359 Exception Detail 4-18-06A VLOOKUP2
bk. CLAY-0083971_CBA CSC359 Exception Detail 4-18-06B REPORT TO CLIENT 615PM
bl. CLAY-0083972_CSC259 overrides
bm. CLAY-0083973_ExceptionDetail client overrides CSC359 4-18-06
bn. CLAY-0083974_CSC359 ExceptionDetail 4-20-06
bo. CLAY-0083975_CSC359 MAC_UPLOAD 4-20-06
bp. CLAY-0083976_ExceptionDetail
bq. CLAY-0083977_MAC_UPLOAD
br. CLAY-0083978_CSC359 Exception Detail Report 4-21-06 130PM
bs. CLAY-0083979_MAC_UPLOAD
bt. CLAY-0083980_CSC359 Exception Detail Report 4-21-06 615PM
bu. CLAY-0083981_DTI Variance Report CSC359 4-21-06
bv. CLAY-0083982_MAC_UPLOAD
bw. CLAY-0083983_CSC359 Exception Detail Report 10AM 4-26-06
bx. CLAY-0083984_MAC_UPLOAD
by. CLAY-0083985_CSC359 Exception Detail Report 11AM 4-26-06
bz. CLAY-0083986_MAC_UPLOAD
c. CLAY-0083987_CSC359 Exception Detail Report 615PM 4-27-06
cb. CLAY-0083988_MAC_UPLOAD
c. CLAY-0083989_CSC359 Exception Detail Report 5-1-06 530PM
cd. CLAY-0083990_CSC359 Exception Detail Report 5-4-06 930AM
ce. CLAY-0083991_CSC359 Exception Detail Report 5-9-06
cf. CLAY-0083992_MAC_UPLOAD
cg. CLAY-0083993_CBA359 Exception Detail Report 5-10-06
ch. CLAY-0083994_CSC359 Exception Detail Report 4PM 5-10-06
ci. CLAY-0083995_CSC359 Exception Detail Report 5-11-06
cj. CLAY-0083996_CSC359 Exception Detail Report 5-12-06
ck. CLAY-0083997_CSC359 MAC Upload 5-12-06
c. CLAY-0083998_CSC359 Exception Detail Report 5-15-06
cm. CLAY-0083999_CSC359 Exception Detail Report 5-16-06
cn. CLAY-0084000_CSC359 MAC Upload 5-16-06
c. CLAY-0084001_CSC359_Reconciliation_SecondFunding_Revised_051606
cp. CLAY-0084002_CSC359 Exception Detail Report 5-16-06 PM
cq. CLAY-0084003_CSC359 MAC Upload 5-16-06 PM
cr. CLAY-0084004_CSC359 Exception Detail Report 71 Loans 5-18-06
cs. CLAY-0084005_CSC359 Exception Detail Report Full Pool 5-18-06
c. CLAY-0084006_CSC359 MAC Upload 5-18-06
cu. CLAY-0084007_CSC359 Exception Detail Report 5-19-06
cv. CLAY-0084008_CSC359 MAC Upload 5-19-06
cw. CLAY-0084009_CSC359 Exception Detail Report 5-19-06 Updated
cx. CLAY-0084010_CSC359 MAC Upload 5-19-06 Updated
cy. CLAY-0084011_ExceptionDetail
cz. CLAY-0084012_CSC359 Full Data Upload - Part A
da. CLAY-0084013_CSC359 Full Data Upload - Part B
db. CLAY-0084014_CSC359 Full Data Upload - Part C
dc. CLAY-0084015_CSC359 Full Data Upload
dd. CLAY-0084016_ExceptionDetail
de. CLAY-0084017_ExceptionDetail20061116
df. CLAY-0084018_CSC359 Exception Detail Report 20061130
2. CLAY-0084019_CSC359 Exception Detail Report 20061130
    a. CLAY-0085253_ExceptionDetail_4_11_06
    b. CLAY-0085254_ExceptionDetail_4_11b_06
j. CLAY-0086454_8.16.05_AMQ 2nds 0508_Event 3 Loans
k. CLAY-0086519_8.16.05_AMQ 2nds 0508_Exception Detail
l. CLAY-0086520_8.16.05_AMQ 2nds 0508_Non-Event 3 Loans
m. CLAY-0086521_8.17.05_AMQ 2nds 0508_Event 3 Loans
n. CLAY-0086575_8.17.05_AMQ 2nds 0508_Exception Detail
o. CLAY-0086576_8.17.05_AMQ 2nds 0508_Non-Event 3 Loans
p. CLAY-0086577_8.19.05_AMQ 2nds 0508_Event 3 Loans
q. CLAY-0086627_8.19.05_AMQ 2nds 0508_Exception Detail
r. CLAY-0086628_8.19.05_AMQ 2nds 0508_Non-Event 3 Loans
s. CLAY-0086629_8.2.05_AMQ 2nds 0508_Preliminary Event 3 Loans
t. CLAY-0086704_8.2.05_AMQ 2nds 0508_Preliminary Exception Detail
u. CLAY-0086705_8.2.05_AMQ 2nds 0508_Preliminary Non-Event 3 Loans
v. CLAY-0086706_8.22.05_AMQ 2nds 0508_Event 3 Loans
w. CLAY-0086746_8.22.05_AMQ 2nds 0508_Exception Detail
x. CLAY-0086747_8.22.05_AMQ 2nds 0508_Non-Event 3 Loans
y. CLAY-0086748_8.23.05_AMQ 2nds 0508_Event 3 Loans
z. CLAY-0086778_8.23.05_AMQ 2nds 0508_Exception Detail
aa. CLAY-0086779_8.23.05_AMQ 2nds 0508_Non-Event 3 Loans
ab. CLAY-0086780_8.3.05_AMQ 2nds 0508_Preliminary Event 3 Loans
ac. CLAY-0086912_8.3.05_AMQ 2nds 0508_Preliminary Exception Detail
ad. CLAY-0086913_8.3.05_AMQ 2nds 0508_Preliminary Non-Event 3 Loans
ae. CLAY-0086914_8.4.05_AMQ 2nds 0508_Event 3 Loans
af. CLAY-0087112_8.4.05_AMQ 2nds 0508_Exception Detail
ag. CLAY-0087113_8.4.05_AMQ 2nds 0508_Non-Event 3 Loans
ah. CLAY-0087114_8.5.05_AMQ 2nds 0508_Event 3 Loans
ai. CLAY-0087312_8.5.05_AMQ 2nds 0508_Exception Detail
aj. CLAY-0087313_8.8.05_AMQ 2nds 0508_Event 3 Loans
ak. CLAY-0087478_8.8.05_AMQ 2nds 0508_Exception Detail
al. CLAY-0087479_8.8.05_AMQ 2nds 0508_Non-Event 3 Loans
am. CLAY-0087480_20050818_EventStatus
an. CLAY-0087481_ExceptionDetail20050818

2. GREW AMQ 0508 B
a. CLAY-0085513_08-30-05 AMQ WL 0508 B Event 3 Loans
b. CLAY-0085591_08-30-05 AMQ WL 0508 B Exception Detail
c. CLAY-0085592_08-30-05 AMQ WL 0508 B Non-Event 3 DetList
d. CLAY-0085593_8.23.05_AMQ WL 0508 B_Preliminary Event 3 Loans
e. CLAY-0085672_8.23.05_AMQ WL 0508 B_Preliminary Exception Detail
f. CLAY-0085673_8.23.05_AMQ WL 0508 B_Preliminary Non-Event 3 Loans
g. CLAY-0085674_8.24.05_AMQ WL 0508 B_Preliminary Event 3 Loans
h. CLAY-0085809_8.24.05_AMQ WL 0508 B_Preliminary Exception Detail
i. CLAY-0085810_8.24.05_AMQ WL 0508 B_Preliminary Non-Event 3 Loans
j. CLAY-0085811_8.26.05_AMQ WL 0508 B_Event 3 Loans
k. CLAY-0085966_8.26.05_AMQ WL 0508 B_Exception Detail
l. CLAY-0085967_8.26.05_AMQ WL 0508 B_Non-Event 3 Loans
m. CLAY-0085968_8.31.05_AMQ WL 0508 B_Event 3 Loans
n. CLAY-0086019_8.31.05_AMQ WL 0508 B_Exception Detail
o. CLAY-0086020_8.31.05_AMQ WL 0508 B_Non-Event 3 Loans
p. CLAY-0086021_9.1.05_AMQ WL 0508 B_Event 3 Loans
q. CLAY-0086064_9.1.05_AMQ WL 0508 B_Exception Detail
r. CLAY-0086065_9.1.05_AMQ WL 0508 B_Non-Event 3 Loans
s. CLAY-0086066_9.6.05_AMQ WL 0508 B_Event 3 Loans
t. CLAY-0086096_9.6.05_AMQ WL 0508 B_Exception Detail
u. CLAY-0086097_9.6.05_AMQ WL 0508 B_Final Event 3 Loans
v. CLAY-0086120_9.6.05_AMQ WL 0508 B_Final Exception Detail
w. CLAY-0086121_9.6.05_AMQ WL 0508 B_Final Non-Event 3 Loans
3. GREW FREMONT 0508
   a. CLAY-0087482_9.12.05_FRE 2nds 0508_Event 3 Loans
   b. CLAY-0087569_9.12.05_FRE 2nds 0508_ExceptionDetail
   c. CLAY-0087570_9.13.05_FRE 2nds 0508_Event 3 Loans
   d. CLAY-0087649_9.13.05_FRE 2nds 0508_ExceptionDetail
   e. CLAY-0087650_9.6.05_FRE 2nds 0508_Event 3 Loans
   f. CLAY-0087747_9.6.05_FRE 2nds 0508_ExceptionDetail
   g. CLAY-0087748_9.7.05_FRE 2nds 0508_Event 3 Loans
   h. CLAY-0087840_9.8.05_FRE 2nds 0508_Event 3 Loans
   i. CLAY-0087928_9.8.05_FRE 2nds 0508_ExceptionDetail
   j. CLAY-0087929_20050830_EventStatus
   k. CLAY-0087930_ExceptionDetail20050830
   l. CLAY-0087931_IASCRCM320050830

4. GREW NC 0509
   a. CLAY-0088605_10.17.05_NCEN 2nds 0509_ExceptionDetail
   b. CLAY-0088606_10.19.05_NCEN 2nd Liens 0509_Event 3 Loans
   c. CLAY-0088654_10.3.05_NCEN 2nd Liens 0509_Event 3 Loans
   d. CLAY-0088713_9.13.05_NCEN 2nd Liens 0509_Event 3 Loans
   e. CLAY-0088808_9.13.05_NCEN 2nds 0509_ExceptionDetail
   f. CLAY-0088809_9.14.05_NCEN 2nd Liens 0509_Event 3 Loans
   g. CLAY-0088877_9.14.05_NCEN 2nds 0509_ExceptionDetail
   h. CLAY-0088878_ExceptionDetail20050907
   i. CLAY-0088879_ExceptionDetail20051012
   j. CLAY-0088880_IASCRCM320050907

5. LEH, AMQ 2005-4
   a. CLAY-0089767_Credit2List
   b. CLAY-0089768_Event 3 List
   c. CLAY-0089769_IASCRCM320050714
   d. CLAY-0090760_AMQ5-4 1's and 2's
   e. CLAY-0090761_Credit2List
   f. CLAY-0090762_Event 3 List
   g. CLAY-0090763_IASCRCM320050715
   h. CLAY-0091604_IASCREV120050715
   i. CLAY-0092185_IASCREV220050715
   j. CLAY-0092358_LLDC20050715_Incmp
   k. CLAY-0092359_LoanLevelDC20050715
   l. CLAY-0092551_IASCRCM320050718
   m. CLAY-0093317_Event 3 List
   n. CLAY-0093318_Event 3 List
   o. CLAY-0093319_IASCRCM320050719
   p. CLAY-0093787_LLDC20050719_Incmp
   q. CLAY-0093788_LoanLevelDC20050719
   r. CLAY-0093980_Event 3 List
   s. CLAY-0093981_Event 3 List
   t. CLAY-0093982_IASCRCM320050722
   u. CLAY-0094269_LLDC20050722_Incmp
   v. CLAY-0094270_LoanLevelDC20050722
   w. CLAY-0094462_Event 3 List
   x. CLAY-0094463_Event 3 List
   y. CLAY-0094464_Event 3 List
   z. CLAY-0094465_fees_report_20050725
   aa. CLAY-0094466_Final Event 3 List
   ab. CLAY-0094467_Frequency20050725
   ac. CLAY-0094506_IAS Credit or Compliance Event 3s
   ad. CLAY-0094784_Narrative- Ameriquet 2005-4
c. CLAY 0134583- CLAY 0134598

1. First NLC 2006-09 DEU
   a. Final Reports Delivered to Client
      1. CLAY-0134583_Exh 1 - First NLC $150MM 09-29-06 Summary Results
      2. CLAY-0134584_Exh 3 - First NLC $150MM 09-29-06 - Data Discrepancies
      3. CLAY-0134585_Exh 4 - First NLC $150MM 09-29-06 - Final Rejects
      4. CLAY-0134586_First NLC $150MM 09-29-06 - Street Solutions Upload

2. First Street 2006-09 DEU
   a. Final Reports Delivered to Client
      1. CLAY-0134587_Exh 1 - First Street $26MM 09-22-06 - Summary Results
      2. CLAY-0134588_Exh 3 - First St $26MM 9-22-06 - Data Discrepancies
      3. CLAY-0134589_Exh 4 - First St $26MM 9-22-06 - Final Rejects
      4. CLAY-0134590_First St $26MM 9-22-06 - Street Solutions Upload

3. Impac 2006-10 DEU
   a. Final Reports Delivered to Client
      1. CLAY-0134591_Exh 1 - Impac $90MM 10-26-06 Summary Results
      2. CLAY-0134592_Exh 3 - Impac $90MM 10-26-06 - Data Discrepancies
      3. CLAY-0134593_Exh 4 - Impac $90MM 10-26-06 - Final Rejects
      4. CLAY-0134594_Impac $90MM 10-26-06 - Street Solutions

4. Lime Financial 2006-10 DEU
   a. Final Reports Delivered to Client
      1. CLAY-0134595_Exh 1 - Lime $50MM 10-31-06 Summary Results
      2. CLAY-0134596_Exh 3 - Lime $50MM 10-31-06 - Data Discrepancies
      3. CLAY-0134597_Exh 4 - Lime $50MM 10-31-06 - Final Rejects
      4. CLAY-0134598_Lime $50MM 10-31-06 - Street Solutions Upload

d. NYAG Lehman Indy Mac Production
   1. INDY MAC 2004-03
      a. fees_report
      b. frequency_report
      c. ias - credit 2 (non-compliance 3)
      d. ias - credit or compliance 3
      e. narrative - indymac 2004-3
      f. super summary
      g. tape comparison report
      h. upload

   2. INDY MAC 2005-1B
      a. fees_report_20050520
3. INDY MAC 2005-2
   a. fees_report_20051110
   b. Final Event 3 List
   c. Frequency20051110
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative - Indy Mac 2005-2
   h. SuperSummary20051110
   i. TapeCompareField20051110
   j. Upload

4. INDY MAC 2005-3A
   a. fees_report_20051223
   b. Final Event 3 List
   c. Frequency20051223
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance 3s
   g. Narrative - Indy Mac 2005-3A
   h. SuperSummary20051223
   i. TapeCompareField20051223
   j. Upload

5. INDY MAC 2005-4
   a. fees_report_20060105
   b. Final Event 3 List
   c. Frequency20060105
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative - Indy Mac 2005-4
   h. SuperSummary20060105
   i. TapeCompareField20060105
   j. Upload

6. INDY MAC 2005-5
   a. fees_report_20060131
   b. Final Event 3 List
   c. Frequency20060131
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative - Indy Mac 2005-5
   h. SuperSummary20060131
   i. TapeCompareField20060131
   j. Upload

7. INDY MAC 2005-L3
   a. fees_report_20051219
   b. Final Event 3 List
   c. Frequency20051219
   d. IAS Credit 2 with compliance event 1 or 2
b. Final Event 3 List
c. Frequency 20070118
d. IAS Credit 1s
e. IAS Credit 2s
f. IAS Credit or Compliance Event 3s
g. Narrative- Indy Mac 2006-AR
h. SuperSummary 20070118
i. TapeCompareField 20070118
j. Upload

23. INDY MAC 2006-12C LEH
   a. fees_report_20070124
   b. Final Event 3 List
   c. Frequency 20070124
   d. IAS Credit 1s
e. IAS Credit 2s
f. IAS Credit or Compliance Event 3s
g. Narrative- Indy Mac 2006-27
h. SuperSummary 20070124
i. TapeCompareField 20070124
j. Upload

24. INDY MAC 2006-13 LEH
   a. fees_report_20060814
   b. Final Event 3 List
   c. Frequency 20060814
d. IAS Credit 1s
e. IAS Credit 2s
f. IAS Credit or Compliance Event 3s
g. Narrative- Indy Mac 2006-13
h. SuperSummary 20060814
i. TapeCompareField 20060814
j. Upload

25. INDY MAC 2006-2
   a. fees_report_20060324
   b. Final Event 3 List
   c. Frequency 20060324
d. IAS Credit 1s
e. IAS Credit 2s
f. IAS Credit or Compliance Event 3s
g. Narrative- Indy Mac 2006-2
h. SuperSummary 20060324
i. TapeCompareField 20060324
j. Upload

26. INDY MAC 2006-3
   a. fees_report_20060315
   b. Final Event 3 List
   c. Frequency 20060315
d. IAS Credit 1s
e. IAS Credit 2s
f. IAS Credit or Compliance Event 3s
g. Narrative- Indy Mac 2006-3
h. SuperSummary 20060315
i. TapeCompareField 20060315
j. Upload

27. INDY MAC 2006-4
   a. fees_report_20060404
   b. Final Event 3 List
28. INDY MAC 2006-5
   a. fees_report_20060411
   b. Final Event 3 List
   c. Frequency20060411
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative- Indy Mac 2006-4
   h. SuperSummary2006060411
   i. TapeCompareField2006060411
   j. Upload

29. INDY MAC 2006-6
   a. fees_report_20060411
   b. Final Event 3 List
   c. Frequency20060411
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative- Indy Mac 2006-5
   h. SuperSummary2006060411
   i. TapeCompareField2006060411
   j. Upload

30. INDY MAC 2006-6 HELOC Lehman
    a. fees_report_20060815
    b. Final Event 3 List
    c. Frequency20060815
    d. IAS Credit 1s
    e. IAS Credit 2s
    f. IAS Credit or Compliance Event 3s
    g. Narrative- Indy Mac 2006-H2
    h. SuperSummary20060815
    i. TapeCompareField20060815
    j. Upload

31. INDY MAC 2006-8
    a. fees_report_20060531
    b. Final Event 3 List
    c. Frequency20060531
    d. IAS Credit 1s
    e. IAS Credit 2s
    f. IAS Credit or Compliance Event 3s
    g. Narrative- Indy Mac 2006-WL-8
    h. SuperSummary20060531
    i. TapeCompareField20060531
    j. Upload

32. INDY MAC 2006-B
    a. fees_report_20060327
    b. Final Event 3 List
    c. Frequency20060327
33. INDY MAC 2006-H1
   a. fees_report_20060418
   b. Final Event 3 List
   c. Frequency20060418
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative- Indy Mac 2006-1H
   h. SuperSummary20060418
   i. TapeCompareField20060418
   j. Upload

34. INDY MAC 2006-WL-9
   a. fees_report_20060612
   b. Final Event 3 List
   c. Frequency20060612
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative- Indy Mac 2006-WL-9
   h. SuperSummary20060612
   i. TapeCompareField20060612
   j. Upload

35. INDY MAC 2007-1 LEH
   a. fees_report_20070130
   b. Final Event 3 List
   c. Frequency20070130
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative- Indy Mac 2007-1
   h. SuperSummary20070130
   i. TapeCompareField20070130
   j. Upload

36. INDY MAC 2007-1B LEH
   a. fees_report_20070212
   b. Final Event 3 List
   c. Frequency20070212
   d. IAS Credit 1s
   e. IAS Credit 2s
   f. IAS Credit or Compliance Event 3s
   g. Narrative - Indy Mac 2007-2
   h. SuperSummary20070212
   i. TapeCompareField20070212
   j. Upload

37. INDY MAC 2007-2 HELOC LEH
   a. fees_report_20070321
   b. Final Event 3 List
   c. Frequency20070321
   d. IAS Credit 1s

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IAS Credit 2s
IAS Credit or Compliance Event 3s
Narrative- Indy Mac 2007-1H
SuperSummary20070321
TapeCompareField20070321
Upload

INDY MAC 2007-3 LEH
fees_report_20070402
Final Event3List-20070402
Frequency20070402
IAS Credit 1s
IAS Credit 2s
IAS Credit or Compliance Event 3s
Narrative- Indy Mac 2007-5
SuperSummary20070402
TapeCompareField20070402
Upload

INDY MAC RAST 6-ASCB
Final Event 3 List
Frequency20060501
IAS Credit 1s
IAS Credit 2s
IAS Credit or Compliance Event 3s
Narrative- Indy Mac RAST 2006-ASCB
SuperSummary20050418
TapeCompareField20060501
Upload

INDY RAST 2005-AR14
fees_report_20051024
Final Event 3 List
Frequency20051024
IAS Credit or Compliance Event 3s
Narrative - Indy Mac RAST 2005-AR14
SuperSummary20051024
TapeCompareField20051024
Upload

INDYMAC 0401
Pool 3 02-03-04
fees_report
Frequency Report
IAS - Credit 2 (Non-Compliance 3)
IAS - Credit or Compliance 3
IndyMac 2003-3
Narrative - IndyMac 2003-3
Super Summary
Tape Comparison Report
Upload

Pool 4 02-03-04
fees_report
Frequency Report
IAS - Credit 2 (Non-Compliance 3)
IAS - Credit or Compliance 3
IndyMac 2003-4
Narrative - IndyMac 2003-4
Super Summary
Tape Comparison Report
9. Upload
  c. Pool 5 02-03-04
     1. fees_report
     2. Frequency Report
     3. IAS - Credit 2 (Non-Compliance 3)
     4. IAS - Credit or Compliance 3
     5. IndyMac 2003-5
     7. Super Summary
     8. Tape Comparison Report
     9. Upload

42. INDYMAC 2004-1
   a. fees_report
   b. frequency_report
   c. ias - credit 2 (non compliance 3)
   d. ias - credit or compliance 3
   e. indymac 2004-1a
   f. narrative - indymac 2004-1a
   g. super summary
   h. tape comparison report
   i. upload

43. INDYMAC 2004-2
   a. fees_report. xls
   b. frequency_report
   c. ias credit 2 (non-compliance 3)
   d. ias - credit or compliance 3
   e. narrative - indymac 2004-2
   f. super summary
   g. tape comparison frequency report
   h. upload.xls

44. INDYMAC 2004-2 SEC
   a. rejectlist

45. INDYMAC 2004-3
   a. fees_report
   b. frequency_report
   c. ias - credit 2 (non-compliance 3)
   d. ias - credit or compliance 3
   e. narrative - indymac 2004-3
   f. super summary
   g. tape comparison report
   h. upload

46. INDYMAC 2004-3 SEC
   a. indy 2004-3h reject as of 12-14-04

47. INDYMAC 2004-4
   a. exceptiondetail
   b. fees_report_20050110
   c. frequency_report
   d. ias credit or compliance event 3s
   e. narrative - indymac 2004-4 _2_
   f. super summary
   g. tape comparison report
   h. upload

48. INDYMAC 2005-1
   a. fees_report_20050519
   b. Final Event 3 List
   c. Frequency20050519
d. IAS Credit or Compliance Event 3s
e. Narrative- IndyMac2005-1
f. SuperSummary20050519
g. TapeCompareField20050519
h. Upload
49. INDYMAC 2005-AR17
   a. fees_report_20050727
   b. Final Event 3 List
   c. Frequency20050727
d. IAS Credit or Compliance Event 3s
e. Narrative- IndyMac 2005-AR17
f. SuperSummary20050727
g. TapeCompareField20050727
h. Upload
50. INDYMAC 2007-5 Lehman
   a. DataFrequency Indymac-2007-8
   b. fees_report_IndyMac 2007-8
c. IndyMac 2007-8 Final EV 3 List 05252007
d. IndyMac 2007-8_IAS_Credit_or_Compliance_3_05
e. IndyMac 2007-8_IAS_Credit1_05
f. IndyMac 2007-8_IAS_Credit2_05
g. Narrative IndyMac 2007-8
h. SuperSummary-Indymac 2007-8
   i. Upload-Indymac 2007-8
51. INDYMAC RAST2005-A7
   a. Event 3 List
   b. fees_report_20050627
c. Frequency20050627
d. IAS Credit or Compliance Event 3s
e. SuperSummary20050627
f. TapeCompareField20050627
g. Upload
   e. Trending Reports Top 15 Clients
      1. Bear Stearns - EMC
         a. Bear EMV2 - Category Report
         b. Bear EMV2 - Executive Summary 08.20.07
c. Bear EMV2 - Rejects & Waivers
2. CBASS- Reports Not Delivered to Client
   a. CBASS V2 - Category Report
   b. CBASS V2 - Executive Summary
   c. CBASS V2 - Rejects & Waivers
3. Countrywide- Reports Not Delivered to Client
   a. Countrywide V2 - Category Report
   b. Countrywide V2 - Executive Summary
   c. Countrywide V2 - Rejects & Waivers
4. Credit Suisse
   a. 1. CSFB - Top 5 Quarter Trending Report - 20070425
   b. 1A. CSFB - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070425
c. 2. CSFB - Decision One (Seller #1) Quarter Trending Report - 20070425
d. 3. CSFB - Accredited Home (Seller #2) Quarter Trending Report - 20070425
e. 4. CSFB - Taylor Bean (Seller #3) Quarter Trending Report - 20070425
f. 5. CSFB - Ownit Mortgage (Seller #4) Quarter Trending Report - 20070425
g. 6. CSFB - R&G Financial (Seller #5) Quarter Trending Report - 20070425
h. 7. CSFB - All Others Quarter Trending Report - 20070425
   i. 8. CSFB - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070425
9. CSFB - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070425

k. CS - Final Executive Summary 7-25-07

5. Deutsche Bank
   a. Categories Report_09-18-07
   b. Deutsche Executive Summary_08-13-07
   c. Rejects & Waivers Report_09-18-07
   d. Updated Deutsche Executive Summary (with definitions)_09-28-07

6. Goldman
   a. 1. GS - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070412
   b. 1A. GS - Top 5 Quarter Trending Report - 20070412
   c. 2. GS - Fremont (Seller #1) Quarter Trending Report - 20070412
   d. 3. GS - First Franklin (Seller #2) Quarter Trending Report - 20070412
   e. 4. GS - IndyMac (Seller #3) Quarter Trending Report - 20070412
   f. 5. GS - RFC (Seller #4) Quarter Trending Report - 20070412
   g. 6. GS - New Century (Seller #5) Quarter Trending Report - 20070412
   h. 7. GS - All Others Quarter Trending Report - 20070412
   i. 8. GS - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070412
   j. 9. GS - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070412
   k. Goldman V2 - Executive Summary 6 6 07

7. Greenwich Capital
   a. Greenwich V2 - Category Report
   b. Greenwich V2 - Executive Summary_08.28.07
   c. Greenwich V2 - Rejects & Waivers

8. HSBC
   a. HSBCMS V2 - Category Report
   b. HSBCMS V2 - Executive Summary
   c. HSBCMS V2 - Rejects & Waivers

9. JP Morgan- Reports Not Delivered to Client
   a. JPM V2 - Category Report
   b. JPM V2 - Executive Summary
   c. JPM V2 - Rejects & Waivers

10. Lehman
    a. 1A. 'LEHMAN' - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070417
    b. 2. 'LEHMAN' - IndyMac (Seller #1) Quarter Trending Report - 20070417
    c. 3. 'LEHMAN' - Wells Fargo (Seller #2) Quarter Trending Report - 20070417
    d. 4. 'LEHMAN' - Option One (Seller #3) Quarter Trending Report - 20070417
    e. 5. 'LEHMAN' - Greenpoint (Seller #4) Quarter Trending Report - 20070417
    f. 6. 'LEHMAN' - Countrywide (Seller #5) Quarter Trending Report - 20070417
    g. 7. 'LEHMAN' - All Others Quarter Trending Report - 20070417
    h. 8. 'LEHMAN' - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070417
    i. 9. 'LEHMAN' - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070417
    j. Lehman Executive Summary 7-23-07

11. Merrill Lynch- Reports Not Delivered to Client
    a. 1. MERRILL - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070420
    b. 1A. MERRILL - Top 5 Quarter Trending Report - 20070420
    c. 2. MERRILL - ResMAE (Seller #1) Quarter Trending Report - 20070420
    d. 3. MERRILL - First Franklin (Seller #2) Quarter Trending Report - 20070420
    e. 4. MERRILL - First NLC (Seller #3) Quarter Trending Report - 20070420
    f. 5. MERRILL - National City (Seller #4) Quarter Trending Report - 20070420
    g. 6. MERRILL - OwnIt Mortgage (Seller #5) Quarter Trending Report - 20070420
7. MERRILL - All Others Quarter Trending Report - 20070420
i. 8. MERRILL - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070420
j. 9. MERRILL - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070420
k. Merrill Executive Summary 7-18-07
l. Supplement - Primary Reject Exceptions by Quarter

12. Morgan Stanley
a. 1 MORGAN - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070328 formatted
b. 1a. 'MORGAN' - Top 5 Quarter Trending Report - 20070328
c. 2. 'MORGAN' - New Century (Seller #1) Quarter Trending Report - 20070328
d. 3. 'MORGAN' - Decision One (Seller #2) Quarter Trending Report - 20070328
e. 4. 'MORGAN' - WMC (Seller #3) Quarter Trending Report - 20070328
f. 5. 'MORGAN' - First NLC (Seller #4) Quarter Trending Report - 20070328
g. 6. 'MORGAN' - Accredited Home (Seller #5) Quarter Trending Report - 20070328
h. 7. 'MORGAN' - All Others Quarter Trending Report - 20070328
i. 8. MORGAN - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070328
j. 9. MORGAN - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070328
k. Morgan Executive Summary- Trending Reports FNL _06-14-07_ _2_

13. Nomura
a. 1. NOMURA - Top 5 sellers - 2006 quarterly Reject and Waiver Trending Report - 20070424
b. 1A. NOMURA - Top 5 Quarter Trending Report - 20070424
c. 2. NOMURA - Pinnacle Financial (Seller #1) Quarter Trending Report - 20070424
d. 3. NOMURA - Aegis Mortgage (Seller #2) Quarter Trending Report - 20070424
e. 4. NOMURA - First National (Seller #3) Quarter Trending Report - 20070424
f. 5. NOMURA - Ownit Mortgage (Seller #4) Quarter Trending Report - 20070424
g. 6. NOMURA - Baltimore (Seller #5) Quarter Trending Report - 20070424
h. 7. NOMURA - All Others Quarter Trending Report - 20070424
i. 8. NOMURA - 2006 Quarterly Reject Exception Categories Trending Report - all loans - 20070424
j. 9. NOMURA - 2006 Quarterly Waiver Exception Categories Trending Report - all loans - 20070424
k. Nomura Summary

14. UBS
a. UBS V2 - Category Report
b. UBS V2 - Executive Summary
c. UBS V2 - Rejects & Waivers

15. WaMu
a. WAMU ALT-A - Categories Report
b. WAMU ALT-A - Executive Summary 10-2-07
c. WAMU ALT-A - Rejects & Waivers
d. WAMU Subprime - Categories Report
e. WAMU Subprime - Executive Summary 10-2-07
f. WAMU Subprime - Rejects & Waivers

16. All Clayton Trending Handout

2. Disk # 444
a. CLAY 0000001-0001013
2. CLAY 0000001-0001013
a. Client Contracts
b. Bear - EMC
c. Countrywide
d. Credit Suisse
e. Deutsche
f. Goldman Sachs
g. HSBC - Household
2. Credit Profiles
   a. Bear
   b. C-Bass
   c. CountryWide
   d. CSFB
   e. Deutsche
   f. Goldman Sachs
   g. Greenwich
   h. HSBC
   i. JPM
   j. Lehman
   k. Merrill Lynch
   l. Morgan Stanley
   m. Nomura
   n. UBS
   o. WAMU Subprime

3. 2005 Org Charts
4. 2006 Org Charts
5. 2007 Org Charts
6. Client Report Usage
7. Comp Plan
8. Exception Tracking
9. Full Client List
10. Info from Seller
11. Top 15 Clients

b. CLAY 001014- CLAY 0022481
1. Deals Docs Top 5
   a. Bear-EMC (Sebring- SG0602)
      1. Deal Set Up
         a. Bear-EMC - Compliance Profile
         b. Bear-EMC - Credit Profile
         c. Deal Memo
         d. Deal Stratification Summary
         e. Guidelines Approval Form-Sebring Capital 041006
         f. Sebring guideline variance with Bear-EMC 041006
         g. Sebring Subprime Guidelines
         h. Sebring Full_Doc_Matrix
         i. Sebring_Stated_Doc_Matrix
      2. Deal Tape
         a. DealTape
         b. DealTape
   3. Reports
      a. DailyReports 05-19-06
         1. Sebring (SG0602) Clayton DD Data File 051906
         2. Sebring (SG0602) Clayton Due Diligence Issues 051906
         3. Sebring (SG0602) IAS 1 Report 051906
         4. Sebring (SG0602) IAS 2 Report 051906
         5. Sebring (SG0602) IAS 3 Report 051906
b. DailyReports 05-22-06
   1. Sebring (SG0602) Clayton DD Data File 052206
   2. Sebring (SG0602) Clayton Due Diligence Issues 052206
   3. Sebring (SG0602) IAS 1 Report 052206
   4. Sebring (SG0602) IAS 2 Report 052206
   5. Sebring (SG0602) IAS 3 Report 052206
   6. Sebring (SG0602) Production Report 052206

c. DailyReports 05-22-06 1
   1. Sebring (SG0602) Clayton DD Data File 052206
   2. Sebring (SG0602) Clayton Due Diligence Issues 052206
   3. Sebring (SG0602) IAS 1 Report 052206
   4. Sebring (SG0602) IAS 2 Report 052206
   5. Sebring (SG0602) IAS 3 Report 052206
   6. Sebring (SG0602) Production Report 052206

d. DailyReports 05-22-06 2
   1. Sebring (SG0602) Clayton DD Data File 052206
   2. Sebring (SG0602) Clayton Due Diligence Issues 052206
   3. Sebring (SG0602) IAS 1 Report 052206
   4. Sebring (SG0602) IAS 2 Report 052206
   5. Sebring (SG0602) IAS 3 Report 052206
   6. Sebring (SG0602) Production Report 052206

e. DailyReports 05-23-06
   1. Sebring (SG0602) Clayton DD Data File 052306
   2. Sebring (SG0602) Clayton Due Diligence Issues 052306
   3. Sebring (SG0602) IAS 1 Report 052306
   4. Sebring (SG0602) IAS 2 Report 052306
   5. Sebring (SG0602) IAS 3 Report 052306

f. DailyReports 05-24-06
   1. Sebring (SG0602) Clayton DD Data File 052406
   2. Sebring (SG0602) Clayton Due Diligence Issues 052406
   3. Sebring (SG0602) IAS 1 Report 052406
   4. Sebring (SG0602) IAS 2 Report 052406
   5. Sebring (SG0602) IAS 3 Report 052406

g. xFinal Reports 05-25-06
   1. Sebring (SG0602) Clayton DD Data File 052506
   2. Sebring (SG0602) Clayton Due Diligence Issues 052506
   3. Sebring (SG0602) IAS 1 Report 052506
   4. Sebring (SG0602) IAS 2 Report 052506
   5. Sebring (SG0602) IAS 3 Report 052506

b. Deutsche (Lenders direct- LNDR0609)
   1. Deal Set Up
      a. 1stMortgageUnderwritingGuidelines
      b. 2ndMortgageUnderwritingGuidelines
      c. Deal Memo
      d. Deal Stratification Summary
      e. Deutsche - compliance profile
      f. Deutsche - credit profile
      g. Lenders $33MM 09-05-06 - Bid Sheet to Clayton
      h. Scope for Deutsche reviews Sept 06
   2. Deal Tape
      a. DealTape
      b. Lender's Direct $33MM 09-05-06 - Collateral Sample
      c. Lender's Direct $33MM 09-05-06 - Payment History Review
      d. Lender's Direct $33MM 09-05-06 - Inspection Order
3. Reports
   a. DailyReports 08-24-06
      1. LLDC20060824_Incmp
   b. DailyReports 08-31-07
      1. LLDC20060831_Incmp
   c. xFinal Reports 09-01-06
      1. Exh 1 - Lenders $33MM 9-5-06 Summary Results
      2. Exh 3 - Lenders $33MM 09-05-06 - Data Discrepancies
      3. Exh 4 - Lenders $33MM 09-05-06 - Final Rejects
      4. Exh 4 - Lenders $33MM 09-05-06 - Final Rejects from client
      5. Lenders $33MM 09-05-06 - Open Issues 8.30.06(Tieout Call)
      6. Lenders $33MM 09-05-06 - Street Solutions

   c. Goldman (AAMES- AAM0606A)
      1. Deal Set Up
         a. Deal Memo.htm
         b. Deal Stratification Summary
         c. Goldman - compliance profile
         d. Goldman - credit profile
      2. Deal Tape
         a. Deal Tape
      3. Reports
         a. DailyReports 06-27-06
            1. COMPUPLD
            2. DataFrequency20060627
            3. ExceptionDetail20060627
            4. GSSummary
            5. GSUPLD
            6. IASEV320060627
            7. LLDC20060627_Incmp
            8. LoanLevelIDC20060627
            9. LoanStatus20060627
            10. SuperSummary20060627
            11. TapeCompareField20060627
            12. XLFrequency
            13. XLTapeComp
         b. DailyReports 06-28-06 1
            1. COMPUPLD
            2. DataFrequency20060628
            3. ExceptionDetail20060628
            4. GSSummary
            5. GSUPLD
            6. IASEV320060628
            7. LLDC20060628_Incmp
            8. LoanLevelIDC20060628
            9. LoanStatus20060628
            10. SuperSummary20060628
            11. TapeCompareField20060628
            12. XLFrequency
            13. XLTapeComp
         c. DailyReports 06-28-06 2
            1. COMPUPLD
            2. DataFrequency20060628
            3. ExceptionDetail20060628
            4. GSSummary
            5. GSUPLD
            6. IASEV320060628
            7. LLDC20060628_Incmp
d. DailyReports 06-29-06
1. COMPUPLD
2. DataFrequency20060629
3. ExceptionDetail20060629
4. GSSummary
5. GSUPLD
6. IASEV320060629
7. LLDC20060629_lncmp
8. LoanLevelDC20060629
9. LoanStatus20060629
10. SuperSummary20060629
11. TapeCompareField20060629
12. XLFrequency
13. XLTapeComp
d. Lehman (Option One- OPT6-7)
1. Deal Set Up

e. DailyReports 07-03-06
1. ExceptionDetail20060703
2. IASEV320060703
e.

f. DailyReports 07-06-06
1. COMPUPLD
2. DataFrequency20060706
3. ExceptionDetail20060706
4. GSSummary
5. GSUPLD
6. IASEV320060706
7. LLDC20060706_lncmp
8. LoanLevelDC20060706
9. LoanStatus20060706
10. SuperSummary20060706
11. TapeCompareField20060706
12. XLFrequency
13. XLTapeComp
e.
g. DailyReports 07-11-06
1. LoanStatus20060711
g.f.
h. xFinal Reports 07-11-06
1. COMPUPLD
2. DataFrequency20060711
3. ExceptionDetail20060711
4. ExceptionDetail20060711_03
5. GSSummary
6. GSUPLD
7. IASEV320060711
8. LLDC20060711_lncmp
9. LoanLevelDC20060711
10. LoanStatus20060711
11. SuperSummary20060711
12. TapeCompareField20060711
13. XLFrequency
14. XLTapeComp

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Deal Memo
Deal Stratification Summary
Lehman Brothers - compliance profile
Lehman Brothers - credit profile
Lehman Deal Packet For Leads
Lehman Option One Deal Specific Guidelines

Deal Tape

Reports

Daily Reports 06-30-06
1. Event 1 & 2 List
2. Event 3 List
3. IASCRCM320060630
4. IASCREV120060630
5. LLDC20060630_incomp
6. LoanLevelDC20060630

Daily Reports 07-03-06
1. Event 1 & 2 List
2. Event 3 List
3. IASCRCM320060703
4. IASCREV120060703
5. IASCREV220060703
6. LLDC20060703_incomp
7. LoanLevelDC20060703

Daily Reports 07-05-06
1. Event 1 & 2 List
2. Event 3 List
3. IASCRCM320060705
4. IASCREV120060705
5. IASCREV220060705
6. LLDC20060705_incomp
7. LoanLevelDC20060705

Daily Reports 07-06-06
1. Event 1 & 2 List
2. Event 3 List
3. IASCRCM320060706
4. IASCREV120060706
5. IASCREV220060706
6. LLDC20060706_incomp
7. LoanLevelDC20060706

Daily Reports 07-07-06
1. Event 1 & 2 List
2. Event 3 List
3. IASCRCM320060707
4. IASCREV120060707
5. IASCREV220060707
6. LLDC20060707_incomp
7. LoanLevelDC20060707

Daily Reports 07-10-06
1. Event 1 & 2
2. Event 3
3. FicoAprCompare
4. IASCRCM320060710
5. IASCREV120060710
6. IASCREV220060710
7. LLDC20060710_incomp
DailyReports 07-25-06
1. Event1 & 2 List
2. Event3 List
3. IASRCM320060725
4. IASCREV120060725
5. IASCREV220060725
6. LLDC20060725 Incmp
7. LoanLevelDC20060725

DailyReports 07-26-06
1. Event1 & 2 List
2. Event3 List
3. IASRCM320060726
4. IASCREV120060726
5. IASCREV220060726
6. LLDC20060726 Incmp
7. LoanLevelDC20060726

DailyReports 07-27-06
1. Event1 & 2 List
2. Event3 List
3. IASRCM320060727
4. IASCREV120060727
5. IASCREV220060727
6. LLDC20060727 Incmp
7. LoanLevelDC20060727

DailyReports 08-03-06
1. ExceptionDetail20060803
2. IASCREV120060803
3. IASCREV220060803

MOODY'S UPLOAD 08-22-06
1. LEHMAN_OPT 6-7 MOODY'S

Final Reports (VBook) 08-03-06
1. fees_report_20060803
2. Final Event 3 List
3. Frequency20060803
4. IAS Credit 1s
5. IAS Credit 2s
6. IAS Credit or Compliance Event 3s
7. Narrative-Option One 2006-7
8. SuperSummary20060803
9. TapeCompareField20060803
10. Upload

Morgan Stanley (Accredited- ACC0608)
1. Deal Set Up
   a. 07_01_06 Guidelines
   b. Deal Memo
   c. Deal Stratification Summary
   d. Lead Deal Packet MS (06-27-07)
   e. morgan stanley - compliance profile
2. Deal Tape
   a. Deal Tape
   b. Deal Tape
3. Reports
Lead Resumes

Abaray, Michael
Abbott, Jennifer
Alailima, Taiyoung
Alexander, H Roger
Allen, Doug
Allen, Thomas
Alongi, Robert
Alvarez, A Jose
Amando, Gwen
Andrews, Stephen
Aquino, Caroline
Baley, M Jennifer
Barden, Linda
Barham, Mary
Barmore, Gary
Bartay, Gregory
Beal, Grant
Beal, Herbert Grant (Trey)
Beckius, Cory
Beecher, Steve
Blair, Eric
Borrego, JoAnn
Bosman, Richelle
Bosman, S Richard
Boyer, L Mark
Breaux, Deborah
Brichetto, John
Briggs, Annetta
Brummer, Janet
Burt, James
Burt, Melanie
Burwin, Jerold
Calderon, Calderon
Calfoir, Susan
Callahan, Melody
Callans, Karen
Calloway, Michael
Camoia, Paul
Campfield, Junie Benjamin
Caravetta, Joe
Carr, Tremayne
Cascio III, Victor
Clarkson, James
Claypoole, Sherri
Clayton, Kara
Clemence, Douglas
Cochrane, Peggy
Cole, Lana
Collins, J Marcia
Conn, Sherrie
Conway, James
Daley, Michelle
Dallman, Paul
Day, C Marshall
Peat, Adam
Peat, Sarah
Peek, Edward
Peralta, Anrico
Pesicka, Donna
Petersen, Calvin
Petersen, E Jacob
Petersen, Rodney
Phillips, Felicia
Piety, Nancy
Pinero, Daniel
Plavecki, Dawn
Radabaugh, Carrie
Rader, Peter Gregory
Rand, Anthony
Rand, Katie
Rankin, Catherine
Richards, T Jermaine
Ricketts, Jerry
Rider, Robert
Rivera, Robert
Robbins, Kathleen
Rogers, J Barbara
Romero-Gordon, Elba
Rowell, Valerie
Rudolph, P Gregory
Saenz, Denise
Sandlin, Stacey
Santana, Matona
Santaniello, Robert
Sasnett, Teresa
Scampoli, Christopher
Schley, Charles
Sciarretti, Albert
Shepherd, Rebecca
Sherer, K Lynn
Sherman, Tammy
Sipe, D John
Skeen, Holly
Smiley, Karen
Smisck, Alex
Smith, Carlene
Smith, Mindy
Spadacino, Michael
Spagna, Scott
Sparrow, Kimberly
Spearman, L Christina
Spears, Terry
Spoerle, LuciAnn
Stewart, Maureen
Stong, Jared
Stott, Blaine
Sturgill, Bill
Taylor, Debra
Taylor, Madonna
Tombari, Michelle
Tooraen, Alan
Trinh, Huy
Tripp, Stephen
Twombly, William
Van Houtte, George
Van Houtte, Timothy
Vitrano, Barbara
Voll, Heather
Wade-Smith, Charlene
Wagner, W George
Waldron, Richard
Walker, Barbara
Ward, Steven
Washington, Lester
Waterman, Anne
Weirbach, C Dawn
Welling, Heidi
Wells, Michael
Welty, L Sarah
Wetuski, Keith
Williams Jr, D Gerald
Winn, Timothy
Wright, Merriann
Zuk, Zina
Zysk, Dana
Zysk, Michelle

Training and Hiring (Lead_QC_UW)

a. 2004 Leads Conference_Jan 17 to 18_2004
   1. 2004 Leads_ Action Plan Followup
   2. 2004 Leads_ Agenda Final
   3. 2004 Leads_ CLAS Data and Narratives
   4. 2004 Leads_ Clayton Tale of the Tape
   5. 2004 Leads_ Computer and Network Services
   6. 2004 Leads_ Due Diligence Trends
   7. 2004 Leads_ Lead Introductions
   8. 2004 Leads_ Life of a Loan
   9. 2004 Leads_ Project Managegment Process_ CSM and Lead
  10. 2004 Leads_ Regulatory Compliance
  12. 2004 Leads_ Upcoming CLAS Enhancements
  13. 2004 Leads_ What Does It Take to Strat a Job
  14. 2004 Leads_ WinFish New Features 2003
  15. 2004 Leads_ Winfish Updates

b. 2004 Surge Training- Fall
   1. CLAS Reporter (Winfish) Manual 5.5.6
   2. Claytion CLAS Reporter
   3. Compliance Training Workshop I
   4. Proj Mgmt and Best Prac
   5. Proj Mgmt and Best Prac-Outline
   6. Proj Mgmt and Best Prac-Take Away

c. 2004 to 2005_Lead Training
   1. Colts_ Clayton OutLine and Training System
   2. Conference Agenda_ 10-25-04
   3. Deal Management_ Accessing E-mail
   4. Deal Management_ Down Loading A New Deal
g. 2006 to Current_Lead Training
   1. CU Lead Training Agenda
   2. Lead Training Project Mgt and Best Practices
h. 2006 to Current_New UW Training
   1. Exercises
      a. Appraisal Exercise 1.16.06
      b. HUD Exercise 1.16.06
      c. Income Exercise 1.16.05
      d. Note Exercise 1.16.06
   2. General Underwriter Manual
      a. 1. Borrower Process and Product FINAL
      b. 2. Equity FINAL
      c. 3. Income FINAL
      d. 4. Credit FINAL
      e. 5. Compensating Factors FINAL
      f. 6. Compliance FINAL
      g. Cover_ General UW Manual
   3. Reading Exercise
      a. 1a Process - Lock In HUD Booklet
      b. 1b Process - Affordable Housing Finance
      c. 1c Process - American Banker
      d. 1d Process - ARM HUD Consumer Guide
      e. 1e Process - Home Equity Loan Risk
      f. 1f - Process - Home is on the line _HELOC_
      g. 1g Process - Piggybacks__ MI and costs
      h. 2a Equity - Pumping Up Prices
      i. 4 Credit - FICO Scores
      j. 6a Compliance - NRCS Predatory
      k. 6b Regulations - Ill and Chicago
      l. 7a Fraud - Fighting Appraisal Fraud
      m. 7b Fraud - Mortgage Fraud
      n. Complete Reading Booklet
i. 2007 Leads Conference_Feb 16 to19_2007
   1. 2007 Leads_ Agenda Final
   2. 2007 Leads_ Agenda Trifold
   3. 2007 Leads_ Borrowers Interest Suitability Panel
   4. 2007 Leads_ Borrowers Interest Suitability Takeaway
   5. 2007 Leads_ Client Panel Discussion
   6. 2007 Leads_ Deal Process Flow
   7. 2007 Leads_ Keith Johnson presentation
   8. 2007 Leads_ Mission Success icon
   9. 2007 Leads_ Production Quality Dynamics
j. 2007 to Current_Scratch and Dent Training
   1. Collection Comments 101
      a. 01 CC Agenda
      b. 02 Collection Comments Example 1
      c. 02ACOL~7
      d. 03 Collection Comments Example 2
      e. 03ACOL~F
      f. 04 Collection Comments Example 3
      g. 04ACO~17
      h. 05 Collection Comments Example 4
      i. 05ACO~1E
      j. 06 Collection Comments Example 5
      k. 06ACO~26
      l. 07 Collection Comments Example 6
m. 07ACO~2D
n. 08 Collection Comments Example 7
o. 08ACO~35
p. 09 Abbreviations
q. 10 Definitions
r. 10a Addendum to Definitions

2. Pay Histories
   a. CPI Trans Codes
   b. Example 8 pay history
   c. LSAMS transaction codes
   d. Mortgage Serve Trans Codes
   e. PH Agenda
   f. PH Example 1 Answer Key
   g. PH Example 2 Answer Key
   h. PH Example 3 Answer Key
   i. PH Example 4 Answer Key
   j. PH Example 5 Answer Key
   k. PH Example 6 Answer Key
   l. PH Example 7 Answer Key
   m. PH Example 8 Answer Key
   n. PH Examples 1-7 and Process
   o. PH Presentation
   p. Sample Screens Shots for Pay History Exercises

3. Servicing 101
4. SND Training Pilot_08-10-07

k. Approach to Workforce Development
   1. Appendix 1 UW-er Questions
   2. Appendix 2 Ab Lincoln UW-er sample
   3. Appendix 2 JamesSmith Lead sample
   4. Appendix 2 PRO_Lead
   5. Appendix 2 PRO_Underwriter
   6. Appendix 3 Day 1 General Knowledge Test
   7. Appendix 3 Day 2 Overview Test
   8. Appendix 3 Day 3 Credit Test
   9. Appendix 3 Day 4 Compliance Test
   10. Appendix 3 Day 5 Final Test
   11. Appendix 4 Did You Know 1003-P
   12. Appendix 4 Did You Know 1004-P
   13. Appendix 4 Did You Know Credit-P
   14. Appendix 4 Did You Know General-P
   15. Appendix 4 Did You Know Title-P
   16. Appendix 4 Glossary of Mortgage Terms-P
   17. Appendix 4a PRACTICE TEST 1-P
   18. Appendix 5 Monthly performance scores
   19. Appendix 5 QC Checkpoints
   20. Appendix 5 UW period report card per deal
   21. Appendix 6 Lead Portal Feedback
   22. Appendix 7 Annual UW-er report card
   23. Approach to Workforce Development_05-31-07

l. CLAS UW QC Manuals
   1. CLAS Conduit Manual_ Morgan Stanley_ 2005
   2. CLAS Pre Review Manual_ 2007
   4. Quality Control Manual Complete
   5. Underwriting Manual Complete
   6. WinFish Manual
7. Written Exercises Complete

m. ET
   1. Doc 0003 - New Credit-Compliance Grade for Waived Exceptions
   2. Doc 0004 Exhibit A - New Bulk Override Screen
   3. Doc 0004 Exhibit B - New stock text for status changes
   4. Doc 0004 Exhibit C - Changes to IE screen
   5. Doc 0005 Exhibit A - Exception Editing Dialog Box
   6. Doc 0005 Exhibit B - New loan grades and loan grading screen
   7. Doc 0006 Exhibit A - New Options for Pending Conditions
   8. Doc 0007 Exhibit A - New ET Loan Grade 2T (Bear-EMC)

9. DOC00–23

10. Exception Tracking, Standard Grading Schema Explained, 08-04-06
11. Exception Tracking, User Manual, 02-10-06
12. Exception Tracking, User Manual, 06-16-05
13. Sample Quick List20050906
14. Update #0001 - ET News Flash
15. Update #0002 - ET News Flash
16. Update #0003 - ET News Flash
17. Update #0004 - ET News Flash
18. Update #0005 - ET News Flash
19. Update #0006 - ET News Flash
20. Update #0007 - ET News Flash

n. New Hire Package
   1. Clayton Complete New Hire Packet 5-08-07

o. Tests
   1. Compliance Test
   2. Compliance Test_ answerkey
   3. Credit Test
   4. Credit Test_ answerkey
   5. Final Test
   6. Final Test_ answerkey
   7. General Knowledge Test
   8. General Knowledge Test_ answerkey
   9. Overview Test
10. Overview Test_ answerkey

p. Training Communications
   1. Appraisal Photos Field
   2. Appraiser and Supervisory Appraiser Data
   3. Assumability
   4. Automatic Credit Event 3
   5. Bottom Line Due Diligence_ The CSM
   6. Bottom Line Due Diligence_ The Lead
   7. CLAS Changes
   8. CLAS Changes (S& P)
   9. Client Compliance Communication Process
10. Credit Exceptions
11. Credit Exceptions - Instructions
12. Credit Script Change Protocol
13. DISSCO Overview
14. Dual Amort vs ARM Balloon
15. Essential QC Functions
16. Exception Capture Method by Client
17. Frequency Cleaning Manual
18. GUI_COMMENT
19. Guide for Patches
20. History Source Doc
21. HMDA Reporting
22. IO Update
23. Lead Deal Tips
24. Manufactured Housing
26. Moodys Rating Screen
27. Morgan Script-New Appraisal Fields
28. New Loan Status S
29. Pay History Rewards
30. Production Logs
31. QC Status Revision
32. Reports Archiving
33. Script Request Procedure
34. Setting Valuation Model
35. SP Doc Type Instr
36. Understanding Pay Option Arm Loans
q. CLAS Reporter (Winfish) Manual 7.7.0
r. CU FHA Training, 02-09-05
s. Fortified QC Manual revised 11-16-06
f. CLAY 0025999- CLAY 0026257
1. BearEMC
   a. BEA - FIN AMERICA (FA0505) Production Report
   b. BEA - PEOPLES 04-084 Production Report
   c. EMC - AAMES (AA0601) Production Report1
   d. EMC - AAMES (AA0601) Production Report2
   e. EMC - CIT (CG0601) Production Report
   f. EMC -PEOPLES (PC0506) Production Report
   g. Encore 2006-08 Bear Production Logs
   h. Encore 2007-02 BSRM Production Report
   i. Suntrust 2006-10 Bear (CU) Production log
2. Greenwich 2006
   a. GREW GREW - OOMC 0605 Production Report
3. Lehman
   a. INDY MAC 2006 09C LEH Production Report
   b. LEH - 1ST FRANK 2004-1-2 Production Report
   c. LEH - CW 2006-11 (CU) Pool B Production Reports
   d. LEH - CW 2006-11 (CU) Production Report
   e. LEH - LONG BEACH 2004-2 Production Report
   f. LEH - SUNTRUST 2004-1 & 2 Production Report1
   g. LEH - SUNTRUST 2004-1 & 2 Production Report2
   h. LEH - WELLS FARGO 2006-5 Production Report
   i. LEHA - MERCANTILE 2004-1 Production Report
   j. Wilmington Finance 2006-06 Add ons Production Report
   k. Wilmington Finance 2006-06 Production Report
4. Merrill
   a. MEL - 1NLC0605 Production Report
   b. MEL - ACC0508 Production Report
   c. MEL - AMQ0601 Production Report
   d. MEL - DEC10411 Production Report
   e. MEL - FF0510 Production Report
   f. MEL - OWN0507 (CU) Production Report
   g. MEL - WMC0512NY Production Report
   h. Mortgage Lenders Network 2006-08 Merrill 1 Production Report
   i. RFC 2007-01 Merrill Production Report
   j. TBD MERRILL 2006-09 MEL Production Report
Deutsche Bank Final Reports

a. CTWDE 0412
   1. (12_1) CWALT 2004-30CB Due Diligence OV complete tape
   2. Countrywide 2004-30CB 12-04 - Actual Sample Reviewed
   4. Exh 1 - Ctwde 2004-30CB Dec 04 - Summary Results
   5. Exh 4 - Countrywide 2004-30CB 12-04 - Final Rejects

b. DEU-CTWDE 0509c
   1. compliance profile_deutsche_08-05-2005_2
   2. COU $528MM Sept 05C - Open Issues 10-5-2005
   3. COU $528MM Sept 05C - Spreadsheet
   4. Countrywide $528MM Sept 05C - Event 3 Loan\PDF
   5. Countrywide $528MM September 05C - Narrative
   6. Exh 1 - Countrywide $528MM Sept 05C - Summary Results
   7. Exh 3 - COU $528MM Sept 05C - Data Discrepancies
   8. Exh 4 - COU $528MM Sept 05C - Final Rejects

c. DEU-FRE 0406
   1. Exh 1 - Fremont $1B June 06 - Summary Results
   2. Exh 3 - Fremont $1B June 06 - Data Discrepancies
   3. Exh 4 - Fremont $1B June 06 - Final Rejects
   4. Fremont $1B 06-29-06 Pay Histories Worksheet2
   5. Fremont $1B Final Value Issues (6-23)
   6. Fremont $1B June 06 - Street Solutions Upload

d. DEU-GRNPT 0602A
   1. Exh 1 - Greenpoint $510MM HELOC Feb 06 - Summary Results
   2. Exh 3 - Greenpoint $510MM HELOC Feb 06 - Data Discrepancies
   3. Exh 4 - Greenpoint $510MM HELOC Feb06 - Final Rejects
   4. GreenPoint $424MM Feb 06A - Street Solutions
   5. Greenpoint $510MM HELOCs February 06 - Narrati

e. DEU-NCEN 0505
   1. Exh 1 - NCEN $1BMM May 05 - Summary Results
   2. Exh 3 - NCEN $1B May 05 - Data Discrepancies
   3. Exh 4 - NCEN $1B May 05 - Final Rejects
   4. NCEN $1B July 05 - Narrative
   5. NCEN $1B May 05 - Side Letter Loans

f. IndyMac 2007-02 DEU
   1. Exh 1 - IndyMac $325MM 03-23-07 - Summary Results
   2. Exh 3 - IndyMac $325MM 02-28-07 - Data Discrepancies
   3. Exh 4 - IndyMac $325MM 02-28-07 - Final Rejects - Revised
   4. IndyMac $325MM 02-28-07 - Loan Disposition Report - Revised
   5. IndyMac $325MM 02-28-07 - Street Solutions Upload - Revised
   6. IndyMac $325MM 02-28-07 (02_26) Additional Rebuttal Results
   7. IndyMac $325MM 02-28-07 Pay History Results

g. Option One 2006-06 DEU
   1. Copy of Copy of Copy of OPTIONONEPAYHSTRY062506-A2
   2. Exh 1 - Option One $1B July 06 - Summary Results
   3. Exh 3 - Option One $1B July 06 - Data Discrepancies
   4. Exh 4 - Option One $1B July 06 - Final Rejects
   5. OOMC $1B (7-14) Rebuttal Final
   6. Option One $1B July 06 - Street Solutions Upload

h. PHH 2007-04 DEU
   1. Exh 4 - PHHAM 2007-2 March 07 - Final Rejects
a. CLAY-0027786_Taylor Bean 07-0338 WL (TB07A9) Production Report 073007
b. CLAY-0027790_Taylor Bean 07-0338 WL (TB07A9) Clayton Due Diligence Issues 073007
c. CLAY-0027791_Taylor Bean 07-0338 WL (TB07A9) IAS 1 Report 073007
d. CLAY-0027846_Taylor Bean 07-0338 WL (TB07A9) IAS 2 Report 073007
e. CLAY-0027850_Taylor Bean 07-0338 WL (TB07A9) IAS 3 Report 073007
f. CLAY-0027872_Taylor Bean 07-0338 WL (TB07A9) Loan Disposition Report 073007
g. CLAY-0027873_Bear Stearns - 2W to 4 Mapping
h. CLAY-0027874_Taylor Bean 07-0338 WL (TB07A9) Clayton DD Data Upload

2. Moody's Scenario 5 Correspondence
   a. CLAY-0027875_New Fields

b. C-Bass
   1. Final Report Set Example
      a. CLAY-0027877_MAC_UPLOAD
      b. CLAY-0027878_ExceptionDetail
      c. CLAY-0027879_IASEV32007070319

c. Chase
   1. Final Report Set Example
      a. CLAY-0028119_WilmingtonOctoberTapeCompareField20071022
      b. CLAY-0028133_Appraisal Name Upload
      c. CLAY-0028134_HighCostTest_20071022
      d. CLAY-0028135_IAS_Events_1_or_2_20071022
      e. CLAY-0028698_IAS_Event_3_20071022
      f. CLAY-0028777_LoanLevelIDC20071022
      g. CLAY-0028815_LoanList20071022
      h. CLAY-0028816_LoanStatus20071022
      i. CLAY-0028817_SuperSummary20071022
      j. CLAY-0028823_wilmington_october_prodlog20071022
      k. CLAY-0028831_WilmingtonOctober_ExceptionDetail20071022
      l. CLAY-0028832_WilmingtonOctoberDataFrequency20071022
      m. CLAY-0028923_Upload 2
      n. CLAY-0028924_Upload 3
      o. CLAY-0028925_Upload 1
      p. CLAY-0028926_LoanLevelDataCompare

d. Countrywide
   1. Final Report Example Set
      a. CLAY-0028927_IAS22007070314
      b. CLAY-0029214_IAS12007070314
      c. CLAY-0029626_ExceptionDetail
      d. CLAY-0029627_APR_043V
      e. CLAY-0029628_Upload3
      f. CLAY-0029629_Upload2
      g. CLAY-0029630_Upload1
      h. CLAY-0029631_PROP_STAT
      i. CLAY-0029632_IIA2007070314_Incmp
      j. CLAY-0029633_IAS32007070314
      k. CLAY-0029758_Exception Report (custom)

2. Moody's Scenario 6
   a. Correspondence
      1. CLAY-0029759_20071114124622893
   b. Reports
      1. CLAY-0029761_CW - Upload
e. Credit Suisse

1. Compliance Letters_US Bank Example
   a. CLAY-0029762_Credit Suisse - CW MA Letter_110206
   b. CLAY-0029763_Credit Suisse - WF MA Letter_012706

2. Final Report Set Example
   a. CLAY-0029764_IASEV320071022
   b. CLAY-0029912_LLDC20071022_Incmp
   c. CLAY-0029913_LoanStatusDetail_20071022
   d. CLAY-0029914_TBW8077_ExceptionDetail-20071022
   e. CLAY-0029915_CSUPLOADe

3. Moody's Scenario 5 - Correspondence
   a. CLAY-0029916_20071114124633011

4. Moody's Scenario 6 - Correspondence
   a. CLAY-0029918_20071114124628126
   b. CLAY-0029920_Script Screen Shot

5. S&P Scenario 2
   a. Reports
      1. CLAY-~12
      2. CLAY-0~2
      3. CLAY-0029924_RG MORTGAGE-ALTA-20061130-7905-Diligence-Order
      4. CLAY-0029927_RG MORTGAGE-ALTA-20061228-7926-Diligence-Order
   b. Reports
      1. CLAY-0029928_Banco Popular Data Upload
      2. CLAY-0029929_HSBC 8087 Copy of DetList
      3. CLAY-0029930_R& G 2006-12-2 CSFB - RG 7926 - SettlementReport
      4. CLAY-0029931_R& G Puerto Rico 2006-12 FIR - RG7905DATA

f. Deutsche Bank

1. Compliance Letters_Fitch Examples
   a. CLAY-0029932_Fitch NM Letter - IndyMac 2004

2. Compliance Letters_Dominion Examples
   a. CLAY-0~6
   b. CLAY-0~C
   c. CLAY-0029933_Deu ACE 2006-HE4 - Due Diligence Letter
   d. CLAY-0029938_Deutsche - Fre0410 - KY Individual Asset Summary

3. Final Report Set Example
   a. CLAY-0~8
   b. CLAY-0029960_Exh 4 - Taylor Bean Whitaker $6MM 07-27-07 - Final Rejects
   c. CLAY-0029961_Taylor Bean Whitaker $6MM 07-27-07 - Loan Disposition Report
   d. CLAY-0029962_Taylor Bean Whitaker $6MM 07-27-07 - Street Solutions Upload
   e. CLAY-0029963_Exh 1 - Taylor Bean Whitaker $6MM 07-27-07 - Summary Results

4. Moody's Scenario 4 - Reports
   a. CLAY-0029964_ACE2007HE6_Income Request_Clayton results

5. Rating Agency Presentations
   a. CLAY-0029965_ACE 2005-HE7 -Summary Results
   b. CLAY-0029966_AHM $195MM May 2006 - Narrative
   c. CLAY-0029974_CSM Deal Spreadsheet
   d. CLAY-0029975_Exh 1 - AHM $195MM May 06 - Summary Results Revised

6. Goldman

1. Final Report Set Example
   a. CLAY-0029976_NTM Shock Qualification
   b. CLAY-0029977_SuperSummary20071022
c. CLAY-0029983_Data Frequency
d. CLAY-0029984_Tape Compare
e. CLAY-0029985_ExceptionDetail
f. CLAY-0029986_Data Frequency
g. CLAY-0030059_Exception Summary
h. CLAY-0030060_Tape Compare
i. CLAY-0030066_Upload v3 (compliance)
j. CLAY-0030067_Upload v2
k. CLAY-0030068_Goldman Special Summary
l. CLAY-0030069_Upload v1
m. CLAY-0030070_HighCostTest_20071022
n. CLAY-0030071_IAS Event 3
o. CLAY-0030078_LoanLevelDataCompare
p. CLAY-0030079_Loan_Disposition
q. CLAY-0030080_LoanLevelDataCompare
r. CLAY-0030089_LoanStatus_20071022

2. Moody's Scenario 6 - Correspondence
   a. CLAY-0030090_Goldman Moody's Screen

h. Greenwich
   1. Final Report Set Example
      a. CLAY-0030092_20070822SummarybyPool
      b. CLAY-0030093_FirstNLCNovember2007_Exception3M-20071108
      c. CLAY-0030094_Loan_Disposition
      d. CLAY-0030095_11.08.07_First NLC November 2007_EventStatus
      e. CLAY-0030096_11.08.07_First NLC November 2007_IAS_Event3
      f. CLAY-0031374_11.08.07_First NLC November 2007_LoanLevelDataComp
      g. CLAY-0031375_Final Upload (not yet delivered to client)

2. Moody's Scenario 2
   a. Correspondence
      1. CLAY--24
      2. CLAY--2B
      3. CLAY--5D
      4. CLAY--71
      5. CLAY--78
      6. CLAY--80
      7. CLAY--9E
      8. CLAY--A5
      9. CLAY--CB
     10. CLAY--D1
     11. CLAY--0--5
     12. CLAY-0031376_FW Greenwich Data Scrub
     13. CLAY-0031388_FW HSBC Data Scrub info
     14. CLAY-0031390_FW HSBC Data Scrub info.msg*HSBC Scrub Screens
     15. CLAY-0031393_FW Moody's Mortgage History
     16. CLAY-0031394_FW Moody's Mortgage History.msg*History Grade
     17. CLAY-0031396_FW Moody's Script Enhancements
     18. CLAY-0031400_Moody's Upload
     19. CLAY-0031401_Moody's Upload.msg*LEHMAN_PC6-1DS_MOODYS
     20. CLAY-0031402_RE Book1.xls
     21. CLAY-0031408_RE First Franklin - Moody's Data
     22. CLAY-0031410_RE First Franklin ALT-B Data
     23. CLAY-0031412_sampsch_altb
     24. CLAY-0031413_RE First Franklin Review
3. Moody’s Scenario 3
   a. Correspondence
      1. CLAY-0031507_Haborview 2007 2 and 3 now
   b. Reports
      1. CLAY-0031511_DetList

4. Moody’s Scenario 6- Correspondence
   a. CLAY-0031512_FW Greenwich - Moody’s Doc Type
   b. CLAY-0031514_Moody’s Residential Loan-by-Loan Layout
   c. CLAY-0031530_Moody’s Doc Type
   d. CLAY-0031531_RE_ S&P doc Type

i. HSBC
   1. Final Report Set Example
      a. CLAY-0031533_EV3 IAS REPORT 20070831
      b. CLAY-0031700_Event Status Report
      c. CLAY-0031701_Fees_Report
      d. CLAY-0031702_HSBC Exception Detail - All Loans
      e. CLAY-0031703_Loan_Disposition
      f. CLAY-0031704_LoanLevelDC20070831
      g. CLAY-0031802_prodlog20070831
      h. CLAY-0031806_Super Summary
         i. CLAY-0031810_EV1 IAS REPORT 20070831
         j. CLAY-0032101_EV2 IAS REPORT 20070831
         k. CLAY-0032323_LoanLevelDataCompare
         l. CLAY-0032324_ExceptionDetail Event 3
         m. CLAY-0032325_Complete DD Results Upload

2. Moody’s Scenario 2
   a. Correspondence
      1. CLAY-0032326_FW HSBC - First Franklin 2006-4 DS
      2. CLAY-0032327_Data Scrub Template
   b. Reports
      1. CLAY-0032328_HSBC - FF 2006-4 Data Scrub 20JUL06

3. Moody’s Scenario 7- Reports
   a. CLAY-0032329_HSBC - Moody’s Rating Screen
   j. JP Morgan
2. Final Report Set Example
   a. CLAY-0032334_NatCity20071105(5448)Exception_Summary20070930
   b. CLAY-0032335_DDUpload
   c. CLAY-0032336_Loan_Disposition
   d. CLAY-0032337_LoanList20070930
   e. CLAY-0032338_LoanStatus20070930
   f. CLAY-0032339_LoanLevelDataCompare
   g. CLAY-0032340_LoanLevelDataCompare

k. Lehman
   1. Compliance Letters_Dominion Examples
      a. CLAY-0032351_Lehman - DBRS Rating Letter - SASCO 2007-WF2
      b. CLAY-0032355_Lehman - DBRS Rating Letter - WFHET 2007-2
      c. CLAY-0032360_Lehman - SASCO 2006-ARS1 DBRS Letter 6-23-06
   2. Compliance Letters_Fitch Examples
      a. CLAY-0032365_Lehman - BNC 2003-10 FITCH LETTER
      b. CLAY-0032366_Lehman - Sample IAS - Compliance Only - Fitch Letter
   3. Final Report Set Example
      a. CLAY-0032369_PHH 2007-2_IAS_Credit1_09.17.07
      b. CLAY-0032446_PHH 2007-2_IAS_Credit2_09.17.07
      c. CLAY-0032453_PHH 2007-2_IAS_Credit_or_Compliance_3_09.17.07
      d. CLAY-0032457_PHH 2007-2_Loan_Disposition
      e. CLAY-0032458_PHH 2007-2_LoanLevelDataComp_09.17.07
      f. CLAY-0032461_PHH 2007-2_LoanLevelDataComp_09.17.07
      g. CLAY-0032462_PHH 2007-2_Super Summary
      h. CLAY-0032466_PHH 2007-2_Upload
      i. CLAY-0032467_PHH2007-2_Credit1and2List-20070917
      j. CLAY-0032468_PHH2007-2_DataFrequency20070924
      k. CLAY-0032499_PHH2007-2_Event3List-20070917
      l. CLAY-0032500_PHH2007-2_TapeCompareField20070924
      m. CLAY-0032511_PHH 2007-2 Narrative
      n. CLAY-0032516_PHH 2007-2_Fees_Report_20070918
   4. Moody's Scenario 1- Reports
      a. CLAY-0032517_Lehman - Moodys Data Upload
      b. CLAY-0032518_LEHMAN_PC6-1DS_MOODYS
   5. Moody's Scenario 2- Reports
      a. CLAY-0032519_CW 2007-2 - Moody_s Data Scrub
      b. CLAY-0032520_Wells Fargo 2006 08
         LEHMAN_WF6-16DS_MOODYS
      c. CLAY-0032521_Wells Fargo 2007 3 LEH - Moodys Data Scrub 4
      d. CLAY-0032522_LEHMAN_PC6-1DS - MISSING DOCS
      e. CLAY-0032523_LEHMAN_PC6-1DS_MOODYS
   6. Moody's Scenario 3
      a. Correspondence
         1. BNCMT 2007-4
            a. CLAY-0032527_FW Aug FNMA Verify _ Moody Data
            b. CLAY-0032529_August Moodys Data Request
            c. CLAY-0032530_FW BNCMT 2007-4 Moody Data Clayton
            d. CLAY-0032532_FW July FNMA Verify _ Moody Data
            e. CLAY-0032534_Moodys - Loan List
            f. CLAY-0032535_Moody's Data Request
            g. CLAY-0032536_FW Sept FNMA Verify _ Moody Data Clayton
            h. CLAY-0032538_Sept Request_ Moodys Data - 22
Loans
2. HSBC SOV
   a. CLAY-0032539_FW HSBC SOV Moody Data Clayton 2
   b. CLAY-0032541_9 Loans
   c. CLAY-0032570_FW HSBC SOV Moody Data Clayton
   d. CLAY-0032572_Moody's Data - HSBC_SOV
3. CLAY-0032524_FW HSBC SOV Moody Data Clayton
4. CLAY-0032526_Moody's Data - HSBC_SOV

b. Reports
   1. BNCMT 2007-4
      a. CLAY-0032574_August Moodys Data Request
      b. CLAY-0032575_Lehman - Sept Request_ Moodys Data - 22 Loans
      c. CLAY-0032576_Moody's Data Request
      d. CLAY-0032577_Moody's - Loan List
   2. HSBC SOV
      a. CLAY-0032578_LehmanHSBCSOV - Moody's Data For Lehman
   3. CLAY-0032573_Lehman - August 2007 Moodys Data Request

   7. Moody's Scenario 5- Correspondence
      a. CLAY-0032579_FW Lehman Moody's Data Collection Procedure
      b. CLAY-0032580_Lehman Moody's Review Procedures -- 6-20-07 Revision
      c. CLAY-0032583_Expanded Data Press Release

   I. Merrill Lynch
      1. Final Report Set Example
         a. CLAY-0032585_IAS Event 1-2-5-9
         b. CLAY-0032802_IAS Event 3-4
         c. CLAY-0032923_Loan Level Data Compare
         d. CLAY-0032924_Loan Disposition
         e. CLAY-0032925_LoanLevelDataCompare
         f. CLAY-0032943_LoanList20070315
         g. CLAY-0032944_LoanStatus20070315
         h. CLAY-0032945_MissDoc
            i. CLAY-0032946_Alliance2007-5_ExceptionDetail-20070315
            j. CLAY-0032947_Alliance2007-5TapeCompareField20070315
            k. CLAY-0032953_alliance 2007-5_productionlog20070315
            l. CLAY-0032960_Copy of Alliance2007-5Exception_Summary20070312
            m. CLAY-0032961_Exception Detail
            n. CLAY-0032962_IAS Event 3-4
            o. CLAY-0033076_IAS Event 1-2-5-9
            p. CLAY-0035550_LoanLevelDataCompare
            q. CLAY-0035551_Loan Disposition
            r. CLAY-0035552_LoanLevelDataCompare
            s. CLAY-0035700_LoanList20070418
            t. CLAY-0035701_LoanStatus20070418
            u. CLAY-0035702_SURF Upload
            v. CLAY-0035703_SURF Settlement Report
            w. CLAY-0035704_MissDoc
               x. CLAY-0035705_april mer 2_productionlog20070418
               y. CLAY-0035721_AprilMer2_ExceptionDetail-20070418
               z. CLAY-0035722_AprilMer2Exception_Summary20070418
               aa. CLAY-0035723_AprilMer2TapeCompareField20070418
               ab. CLAY-0035731_FNMA_ExceptionReport

   2. Moody's Scenario 1
      a. Correspondence
1. CLAY-0035732_March FFMER Diligence
2. CLAY-0035733_FW Moody's fields
3. CLAY-0035734_OWNIT_2006-110_Moody
4. CLAY-0035735_Mortgage History Template for Moodys version 3
5. CLAY-0035736_RFC_2006-136_Moody_Report
6. CLAY-0035737_OWNIT_2006-110_Moody_report
7. CLAY-0035738_RES0702_Moody_s_Report
8. CLAY-0035739_RE RFC1
9. CLAY-0035741_Copy of RFC_Moodys_2006_136_Moody_Reports_20070112_2
10. CLAY-0035742_FW Moody's a
11. CLAY-0035743_FW New Moody's Doc Level
12. CLAY-0035744_S&P Scenario 4
13. CLAY-0035745_FW New Moody's Doc Level
14. Morgan Stanley
15. Compliance Letters_Fitch Examples
16. Final Report Set Example
17. CLAY-0035756_LoanLevelDataCompare
18. CLAY-0035757_Loan_Disposition
19. CLAY-0035758_Management Exceptions
20. CLAY-0035759_pool level exit
21. CLAY-0035760_Final Tie-Out - Short Upload
22. CLAY-0035761_TapeCompareField20070621
23. CLAY-0035762_Final Tie-Out - Detailed Upload
24. CLAY-0035770_Final Tie-Out - DT160
25. CLAY-0035763_Final Tie-Out - Fico Less 500
26. CLAY-0035764_FNMA Exceptions
27. CLAY-0035773_Final Tie-Out - Full Upload
28. CLAY-0035774_Final Tie-Out - Collateral Analysis
29. Moody's Scenario 2
30. Correspondence
31. CLAY-0035739_RE RFC
32. Reports
33. CLAY-0035741_Copy of RFC_Moodys_2006_136_Moody_Report_20070112_2
34. Moody's Scenario 5- Correspondence
35. CLAY-0035742_FW Moody's
36. Moody's Scenario 6- Correspondence
37. CLAY-0035745_FW New Moody's Doc Level
38. S&P Scenario 4
39. Correspondence
40. CLAY-0035747_RE MLN 53 and 70
41. CLAY-0035748_MLN_2006-53_Income&DocLevel
42. CLAY-0035749_MLN_2006-70_Income&DocLevel
43. Morgan Stanley
44. Compliance Letters_Fitch Examples
45. Final Report Set Example
46. LoanLevelDataCompare
47. Loan_Disposition
48. Management Exceptions
49. pool level exit
50. Final Tie-Out - Short Upload
51. TapeCompareField20070621
52. Final Tie-Out - Detailed Upload
53. Final Tie-Out - DT160
54. Final Tie-Out - Fico Less 500
55. FNMA Exceptions
56. Final Tie-Out - Full Upload
57. Final Tie-Out - Collateral Analysis
58. Moody's Scenario 2
59. Correspondence
60. Alt-B Data Scrub on Non-Sample Loans
61. Scrub list
62. Reports
63. CLAY-169
64. CLAY-16F
65. CLAY-19
66. CLAY-191
67. CLAY-197
68. CLAY-1F
69. CLAY-25
70. CLAY-2B
n. Nomura
   1. Compliance Letters_Fitch Examples
      a. CLAY-0035826_Nomura_Template of DDLette template
   2. Final Report Set Example
      a. CLAY-0035827_03.14.07_KayCo 10_ExceptionDetail
      b. CLAY-0035828_03.14.07_KayCo 10_EventStatus
      c. CLAY-0035829_03.14.07_KayCo 10_IAS_Event3
      d. CLAY-0035897_03.14.07_KayCo 10_LoanLevelDataComp
      e. CLAY-0035903_03.14.07_KayCo 10_LoanLevelDataComp
      f. CLAY-0035904_03.14.07_KayCo 10_StandardDataUpload

o. Overview Documents
   1. CLAY-~29
   2. CLAY-~2F
   3. CLAY-~35
   4. CLAY-~40
   5. CLAY-~45
   6. CLAY-0=C
   7. CLAY-0027145_Rating Agency Overview
   8. CLAY-0027148_Report descriptions
   9. CLAY-0027150_S & P Subprime Standards
   10. CLAY-0027336_SP Doc Type Instr
   11. CLAY-0027337_spLEVELS 5.6 Data Quality Manual
   12. CLAY-0027391_spLEVELS 5.6 File Format Release Notes
   13. CLAY-0027394_spLEVELS 5.6 File Format
   14. CLAY-0027401_2 - Moodys Data Capture
   15. CLAY-0027408_April 2007 - Moody's revised data field requirements
   16. CLAY-0027409_Moody's revised data field requirements Apr07
   17. CLAY-0027416_Moody's Residential Loan-by-Loan Layout
   18. CLAY-0027432_February 2005 - Moodys Rating Screen
   19. CLAY-0027433_Moodys Rating Screen
   20. CLAY-0027435_June 2006 - Moody's Coding Prime and AltA docs
   21. CLAY-0027436_Moody's Coding Prime and AltA docs 6-15-06
   22. CLAY-0027473_Moody's Approach to Documentation Programs
   23. CLAY-0027483_November 2006 - Moodys Data Capture
   24. CLAY-0027484_Moodys Data Capture

p. Rating Agency Meetings
   1. CLAY-0027491_Chronology of Meetings
   2. CLAY-0027494_1 - Moodys Rating Screen
   3. CLAY-0027496_10 - InFront 7 31 07 with Servicer IDs
4. CLAY-0027517_10.1 - Trending Reports v3 Mock-Up-Draft
5. CLAY-0027536_2 - Moodys Data Capture
6. CLAY-0027543_3 - Moody's Information Screen
7. CLAY-0027544_3.1 - Moody's revised EXPANDED data field requirements Jun07
8. CLAY-0027546_4 - Moodys Clayton Overview_Moodys_011107
9. CLAY-0027611_4.1 - Addendum C - Clayton Default Profile
10. CLAY-0027624_4.2 - Addendum C - Client Credit profile document 010307 (6)
11. CLAY-0027628_4.3 - Addendum D - 06 19 06_Sample IAS
12. CLAY-0027632_4.4 - Addendum D - 06 19 06_Sample LoanLevelDataCompare
13. CLAY-0027635_5 - Moodys High Cost Presentation
14. CLAY-0027674_6 - Sample Loan Disposition Summary Reports
15. CLAY-0027674_6 - Sample Loan Disposition Summary Reports
16. CLAY-0027675_6.1 - Sample Super Summary 6 28 06
17. CLAY-0027681_6.2 - Sample Loan Level Tape Comparison Report
18. CLAY-0027684_7 - Proposed Language
19. CLAY-0027685_8 - Copy of Moodys_ScrImage
20. CLAY-0027686_9 - Clayton Intercept Slip Sheet
21. CLAY-0027687_9.1 - InterCept Dashboards0808 (3)
22. CLAY-0027688_Index & Intro Doc
23. CLAY-0027692_Intro Doc
24. CLAY-0027695_11-09-07_ Fitch
25. CLAY-0027738_11-30-06_ Fitch_ Clayton Overview UK
26. CLAY-0027769_S and P 10-10-07_v1.0
27. CLAY-0035905_UBS_Fitch-KY-Multiple loans
28. CLAY-0035906_UBS_Fitch-KY-Single Loan
29. CLAY-0035907_UBS_Fitch-NJ-Multiple loans
30. CLAY-0035908_UBS_Fitch-NJ-Single loan
31. CLAY-0035909_UBS_Fitch-NM-Multiple loans
32. CLAY-0035910_UBS_Fitch-NM-Single Loan
33. CLAY-0036649_Loan_Dispositionc
34. CLAY-0036650_LoanLevelDataCompared.
35. CLAY-0036804_LoanLevelDataComparee.
36. CLAY-0036805_Moodys Uploadf.
37. CLAY-0036806_SubPrime_Uploadg.
38. WAMU- WMMSC
39. CLAY-0036811_ExceptionDetail-20070605c.
40. CLAY-0036812_Exceptions Criteria Listingd.
41. CLAY-0036815_Loan Disposition Detaile.
42. CLAY-0036816_Loan Disposition Summaryf.
43. CLAY-0036817_Loan_Dispositiong.
44. CLAY-0036818_PEOPLES CHOICE 03 - Single cell exceptionsh.
06-05-2007

i. CLAY-0036819_Tape Deltas - Loan Level
j. CLAY-0037034_Tape Deltas - Loan Level
k. CLAY-0037035_Tape Deltas - Pool Level
l. CLAY-0037039_SportyExport
m. CLAY-0037040_Export01-AppraisalInformation
n. CLAY-0037042_Export03-BCCreditInformation
o. CLAY-0037043_Export04-CreditInformation
p. CLAY-0037044_Export05-MainInformation
q. CLAY-0037045_Export06-MortgageInformation
r. CLAY-0037046_Export07-NarrativeInformation
t. CLAY-0037047_Export09-RegzHud1Information
u. CLAY-0037048_Export10-TitleInformation
v. CLAY-0037050_Export11-SettlementFees

w. CLAY-0037051 - Clay 0037070

1. CLAY 0037051- CLAY 0037070

j. CLAY 0037071 - CLAY 0038908

i. CLAY-0037051 - Clay 0037070

1. CLAY 0037051- CLAY 0037070

2. EMCC, FIRST HORIZON- FH0522

a. CLAY-0037311_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 091905
b. CLAY-0037312_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
c. CLAY-0037313_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
d. CLAY-0037314_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
e. CLAY-0037315_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
f. CLAY-0037316_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
g. CLAY-0037317_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
h. CLAY-0037318_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
i. CLAY-0037319_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
j. CLAY-0037320_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
k. CLAY-0037321_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
l. CLAY-0037322_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
m. CLAY-0037323_First Horizon 05-1130 WL (FH0522) Production Report 092005
n. CLAY-0037324_First Horizon 05-1130 WL (FH0522) Production Report 092005

2. CLAY-0037325_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 092005

a. CLAY-0037325_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
b. CLAY-0037326_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
c. CLAY-0037327_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
d. CLAY-0037328_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
e. CLAY-0037329_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
f. CLAY-0037330_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
g. CLAY-0037331_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
h. CLAY-0037332_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
i. CLAY-0037333_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
j. CLAY-0037334_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
k. CLAY-0037335_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
l. CLAY-0037336_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
m. CLAY-0037337_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
n. CLAY-0037338_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005

2. EMCC, FIRST HORIZON- FH0522

a. CLAY-0037339_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 092005
b. CLAY-0037340_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
c. CLAY-0037341_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
d. CLAY-0037342_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
e. CLAY-0037343_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
f. CLAY-0037344_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
g. CLAY-0037345_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
h. CLAY-0037346_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
i. CLAY-0037347_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
j. CLAY-0037348_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
k. CLAY-0037349_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
l. CLAY-0037350_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
m. CLAY-0037351_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
n. CLAY-0037352_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
3. EMC, MILA (MI0511)
   a. CLAY-0057960_MILA (MI0511) Clayton DD Data File 110805
   b. CLAY-0057961_MILA (MI0511) Clayton Due Diligence Issues 110805
   c. CLAY-0057962_MILA (MI0511) IAS 1 Report 110805
   d. CLAY-0058019_MILA (MI0511) IAS 3 Report 110805
   e. CLAY-0058069_MILA (MI0511) Production Report 110805
   f. CLAY-0058073_MILA (MI0511) Clayton DD Data File 110905
   g. CLAY-0058074_MILA (MI0511) Clayton Due Diligence Issues 110905
   h. CLAY-0058075_MILA (MI0511) IAS 1 Report 110905
   i. CLAY-0058313_MILA (MI0511) IAS 2 Report 110905
   j. CLAY-0058319_MILA (MI0511) IAS 3 Report 110905
   k. CLAY-0058385_MILA (MI0511) Production Report 110905
   l. CLAY-0058389_MILA (MI0511) Clayton DD Data File 111005
   m. CLAY-0058390_MILA (MI0511) Clayton Due Diligence Issues 111005
   n. CLAY-0058391_MILA (MI0511) IAS 1 Report 111005
   o. CLAY-0058940_MILA (MI0511) IAS 2 Report 111005
   p. CLAY-0058952_MILA (MI0511) IAS 3 Report 111005
   q. CLAY-0059020_MILA (MI0511) Production Report 111005
   r. CLAY-0059024_MILA (MI0511) Clayton DD Data File 111005
   s. CLAY-0059025_MILA (MI0511) Clayton Due Diligence Issues 111005
   t. CLAY-0059026_MILA (MI0511) IAS 1 Report 111005
   u. CLAY-0059836_MILA (MI0511) IAS 2 Report 111005
   v. CLAY-0059848_MILA (MI0511) IAS 3 Report 111005
   w. CLAY-0059945_MILA (MI0511) Production Report 111005
   x. CLAY-0059949_MILA (MI0511) Clayton DD Data File 111405
   y. CLAY-0059950_MILA (MI0511) Clayton Due Diligence Issues 111405
   z. CLAY-0059951_MILA (MI0511) IAS 1 Report 111405
   aa. CLAY-0060867_MILA (MI0511) IAS 2 Report 111405
   ab. CLAY-0060906_MILA (MI0511) IAS 3 Report 111405
   ac. CLAY-0060973_MILA (MI0511) Loans Not Reviewed Report 111405
   ad. CLAY-0060974_MILA (MI0511) Production Report 111405
   ae. CLAY-0060978_MILA (MI0511) Clayton DD Data File 111405
   af. CLAY-0060979_MILA (MI0511) Clayton Due Diligence Issues 111405
   ag. CLAY-0060980_MILA (MI0511) IAS 1 Report 111405
   ah. CLAY-0061892_MILA (MI0511) IAS 2 Report 111405
   ai. CLAY-0061931_MILA (MI0511) IAS 3 Report 111405
   aj. CLAY-0062002_MILA (MI0511) Loans Not Reviewed Report 111405
   ak. CLAY-0062003_MILA (MI0511) Production Report 111405
   al. CLAY-0062007_MILA (MI0511) Clayton DD Data File 111405
   am. CLAY-0062008_MILA (MI0511) Clayton Due Diligence Issues 111405
   an. CLAY-0062009_MILA (MI0511) IAS 1 Report 111405
   ao. CLAY-0062021_MILA (MI0511) IAS 2 Report 111405
   ap. CLAY-0062025_MILA (MI0511) IAS 3 Report 111405
   aq. CLAY-0063031_MILA (MI0511) Loans Not Reviewed Report 111405
   ar. CLAY-0063032_MILA (MI0511) Production Report 111405
   as. CLAY-0063036_MILA (MI0511) Clayton DD Data File 111605
   at. CLAY-0063037_MILA (MI0511) Clayton Due Diligence Issues 111605
   au. CLAY-0063038_MILA (MI0511) IAS 1 Report 111605

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9. MEL, Sierra Pacific0512
   a. CLAY-0082818_Exception_Summary20060104
   b. CLAY-0082819_ExceptionDetail20060104
   c. CLAY-0082820_IASEV12520060104
   d. CLAY-0082952_IASEV3420060104
   e. CLAY-0082959_LLDC20060104_Incmp
   f. CLAY-0082960_LoanLevelDC20060104
   g. CLAY-0082978_LoanList20060104
   h. CLAY-0082979_LoanStatus20060104
   i. CLAY-0082980_MissDoc
   j. CLAY-0082981_prodlgo20060104
   k. CLAY-0082984_TapeCompareField20060104
   l. CLAY-0082993_Exception_Summary20060104
   m. CLAY-0082994_ExceptionDetail20060104
   n. CLAY-0082995_IASEV12520060104
   o. CLAY-0083127_IASEV3420060104
   p. CLAY-0083134_LLDC20060104_Incmp
   q. CLAY-0083135_LoanLevelDC20060104
   r. CLAY-0083153_LoanList20060104
   s. CLAY-0083154_LoanStatus20060104
   t. CLAY-0083155_MissDoc
   u. CLAY-0083156_prodlgo20060104
   v. CLAY-0083159_TapeCompareField20060104
   w. CLAY-0083168_SP0512_ETEXCELL
   x. CLAY-0083169_LoanStatus20060117
   y. CLAY-0083170_LoanStatus20060130
   z. CLAY-0083171_Exception_Summary20051220
   aa. CLAY-0083172_ExceptionDetail20051220
   ab. CLAY-0083173_IASEV12520051220
   ac. CLAY-0083177_IASEV3420051220
   ad. CLAY-0083193_LLDC20051220_Incmp
   ae. CLAY-0083194_LoanLevelDC20051220
   af. CLAY-0083197_LoanList20051220
   ag. CLAY-0083198_LoanStatus20051220
   ah. CLAY-0083199_MissDoc
   ai. CLAY-0083200_prodlgo20051220
   aj. CLAY-0083203_TapeCompareField20051220
   ak. CLAY-0083212_Exception_Summary20051227
   al. CLAY-0083213_ExceptionDetail20051227
   am. CLAY-0083214_IASEV12520051227
   an. CLAY-0083233_IASEV3420051227
   ao. CLAY-0083352_LLDC20051227_Incmp
   ap. CLAY-0083353_LoanLevelDC20051227
   aq. CLAY-0083371_LoanList20051227
   ar. CLAY-0083372_LoanStatus20051227
   as. CLAY-0083373_MissDoc
   at. CLAY-0083374_prodlgo20051227
   au. CLAY-0083377_TapeCompareField20051227
   av. CLAY-0083386_Exception_Summary20051228
aw. CLAY-0083387_ExceptionDetail20051228_10
ax. CLAY-0083496_IASEV3420051228
ay. CLAY-0083526_LLDC20051228_Incmp
az. CLAY-0083527_LoanLevelDC20051228
ba. CLAY-0083545_LoanList20051228
bb. CLAY-0083546_LoanStatus20051228
bc. CLAY-0083547_MissDoc
bd. CLAY-0083548_prodlog20051228
be. CLAY-0083551_TapeCompareField20051228
bf. CLAY-0083560_Exception_Summary20051229
bg. CLAY-0083561_ExceptionDetail20051229_10
bh. CLAY-0083667_IASEV12520051229
bi. CLAY-0083700_LLDC20051229_Incmp
bj. CLAY-0083701_LoanLevelDC20051229
bk. CLAY-0083719_LoanList20051229
bl. CLAY-0083720_LoanStatus20051229
bm. CLAY-0083721_MissDoc
bo. CLAY-0083722_prodlog20051229
bp. CLAY-0083725_TapeCompareField20051229
bq. CLAY-0083734_Exception_Summary20051230
br. CLAY-0083735_ExceptionDetail20051230
bs. CLAY-0083736_IASEV12520051230
bt. CLAY-0083860_IASEV3420051230
bu. CLAY-0083875_LLDC20051230_Incmp
bv. CLAY-0083876_LoanLevelDC20051230
bw. CLAY-0083894_LoanList20051230
bx. CLAY-0083895_LoanStatus20051230
by. CLAY-0083896_MissDoc
bz. CLAY-0083897_prodlog20051230
ca. CLAY-0083900_TapeCompareField20051230
k. NYAG Subpoena and Cover Letters091409-184818

3. Disk # 445
   a. 16 GS UBS Deal Reports
      1. GS FHILT 2006 E FRE0611A
      2. GS FMT2NDS-APR272006-CO FRE0604A
      3. GS FMTS2NDS JAN302006 FRE0601
      4. GS FRE SD MAY312006 FRESD605
      5. GS Fremont 2nds Feb 27 FRE0602
      6. GS FREMONT APRIL272006 FRE0604
      7. GS GSAMP 06 S3 Put Back CFPB702A
      8. GS GSAMP 2006 S3 Repurchase Review GSPB0706
      9. GS GSAMP 2006 S5 Repurchase Review GSPB706B
     10. GS GSAMP S3 3926-25 GSPB706A
     11. GS LB2NDS JAN252006 LB0601
     12. GS NC Warehouse Review NC0702A
     13. GS New Century TPO Warehouse Nov 2007 NC0711
     14. GS New Century Warehouse Review June 2006 NCWH0606
     15. GS ZFREE NOV1706 FREE0610
     16. UBS Option One - Warehouse - 00122905 - Feb 2006 OOWL0602
   b. GS UW Guidelines
      1. 102005 CONDUIT SUBPRIME UNDERWRITING MATRIX - 2006 05 31
      2. GS Conduit Alt A - 2007 Feb 2
      3. GS Conduit Alt A - 2007 Feb 2 (PRIMARY CHANGES)
      4. GS PayOption ARM - 2006 06 30
      5. GS Standard 1
Layered Risk Report v1.2
Subprime Underwriting Guidelines
MAAG 16 GS UBS Deals
1. GS FHLT 2006-E (Deal ID 16087)
   a. All Reports Delivered to Client
      1. Client
         a. CLAY-MA-0000081
         b. CLAY-MA-0000082
         c. CLAY-MA-0000150
         d. CLAY-MA-0000151
         e. CLAY-MA-0000152
         f. CLAY-MA-0000153
         g. CLAY-MA-0000154
         h. CLAY-MA-0000167
         i. CLAY-MA-0000168
         j. CLAY-MA-0000173
         k. CLAY-MA-0000174
         l. CLAY-MA-0000175
         m. CLAY-MA-0000181
         n. CLAY-MA-0000187
         o. CLAY-MA-0000188
         p. CLAY-MA-0000197
         q. CLAY-MA-0000198
         r. CLAY-MA-0000280
         s. CLAY-MA-0000281
         t. CLAY-MA-0000282
         u. CLAY-MA-0000283
         v. CLAY-MA-0000284
         w. CLAY-MA-0000285
         x. CLAY-MA-0000431
         y. CLAY-MA-0000432
         z. CLAY-MA-0000452
         aa. CLAY-MA-0000453
         ab. CLAY-MA-0000454
         ac. CLAY-MA-0000460
         ad. CLAY-MA-0000466
         ae. CLAY-MA-0000467
         af. CLAY-MA-0000572
         ag. CLAY-MA-0000573
         ah. CLAY-MA-0000660
         ai. CLAY-MA-0000661
         aj. CLAY-MA-0000662
         ak. CLAY-MA-0000663
         al. CLAY-MA-0000664
         am. CLAY-MA-0000665
         an. CLAY-MA-0000823
         ao. CLAY-MA-0000824
         ap. CLAY-MA-0000854
         aq. CLAY-MA-0000855
         ar. CLAY-MA-0000856
         as. CLAY-MA-0000862
         at. CLAY-MA-0000868
         au. CLAY-MA-0000869
         av. CLAY-MA-0001011
         aw. CLAY-MA-0001012
         ax. CLAY-MA-0001099
2. Seller
   a. CLAY-MA-0000189
   b. CLAY-MA-0000190
   c. CLAY-MA-0000468
   d. CLAY-MA-0000469
   e. CLAY-MA-0000870
   f. CLAY-MA-0000871
   g. CLAY-MA-0001352
   h. CLAY-MA-0001353
   i. CLAY-MA-0001523
   j. CLAY-MA-0001865
   k. CLAY-MA-0001866
   l. CLAY-MA-0002429
   m. CLAY-MA-0002430
   n. CLAY-MA-0003219
   o. CLAY-MA-0003220
   p. CLAY-MA-0004136
   q. CLAY-MA-0004137
   r. CLAY-MA-0005079
   s. CLAY-MA-0005080
   t. CLAY-MA-0005949
   u. CLAY-MA-0005950
   v. CLAY-MA-0006772
   w. CLAY-MA-0006773
   x. CLAY-MA-0007539
   y. CLAY-MA-0007540
   z. CLAY-MA-0008253
   aa. CLAY-MA-0008940
   ab. CLAY-MA-0008941

2. GS FMT2NDS-APR272006-CO (Deal ID 13594)
   a. All Reports Delivered to Client
      1. CLAY-MA-0009156
      2. CLAY-MA-0009157
      3. CLAY-MA-0009237
      4. CLAY-MA-0009238
      5. CLAY-MA-0009239
      6. CLAY-MA-0009240
      7. CLAY-MA-0009637
      8. CLAY-MA-0009638
      9. CLAY-MA-0009681
     10. CLAY-MA-0009682
     11. CLAY-MA-0009688
     12. CLAY-MA-0009699
     13. CLAY-MA-0009700
     14. CLAY-MA-0009701
     15. CLAY-MA-0009702
     16. CLAY-MA-0009703
     17. CLAY-MA-0009704
     18. CLAY-MA-0009791
3. GS FMT2NDS JAN302006 (Deal ID 12560)
   a. All Reports Delivered to Clients
      1. CLAY-MA-0021075
      2. CLAY-MA-0021076
      3. CLAY-MA-0021147
      4. CLAY-MA-0021148
      5. CLAY-MA-0021149
      6. CLAY-MA-0021308
      7. CLAY-MA-0021309
      8. CLAY-MA-0021338
      9. CLAY-MA-0021339
     10. CLAY-MA-0021345
     11. CLAY-MA-0021354
     12. CLAY-MA-0021355
     13. CLAY-MA-0021356
     14. CLAY-MA-0021357
     15. CLAY-MA-0021428
     16. CLAY-MA-0021429
     17. CLAY-MA-0021430
     18. CLAY-MA-0021589
     19. CLAY-MA-0021590
     20. CLAY-MA-0021619
     21. CLAY-MA-0021620
     22. CLAY-MA-0021626
     23. CLAY-MA-0021635
     24. CLAY-MA-0021636
     25. CLAY-MA-0021637
     26. CLAY-MA-0021638
     27. CLAY-MA-0021721
     28. CLAY-MA-0021722
     29. CLAY-MA-0021723
     30. CLAY-MA-0022205
     31. CLAY-MA-0022206
     32. CLAY-MA-0022315
     33. CLAY-MA-0022316
     34. CLAY-MA-0022322
     35. CLAY-MA-0022332
     36. CLAY-MA-0022333
     37. CLAY-MA-0022334
     38. CLAY-MA-0022335
     39. CLAY-MA-0022418
     40. CLAY-MA-0022419
5. GS Fremont 2nds Feb 27 (Deal ID 12889)  
   a. All Reports Delivered to Client  
      1. CLAY-MA-0026038  
      2. CLAY-MA-0026039  
      3. CLAY-MA-0026113  
      4. CLAY-MA-0026114  
      5. CLAY-MA-0026115  
      6. CLAY-MA-0026116  
      7. CLAY-MA-0026270  
      8. CLAY-MA-0026271  
      9. CLAY-MA-0026292  
     10. CLAY-MA-0026293  
     11. CLAY-MA-0026299  
     12. CLAY-MA-0026308  
     13. CLAY-MA-0026309  
     14. CLAY-MA-0026310  
     15. CLAY-MA-0026311  
     16. CLAY-MA-0026388  
     17. CLAY-MA-0026389  
     18. CLAY-MA-0026390  
     19. CLAY-MA-0026391  
     20. CLAY-MA-0026843  
     21. CLAY-MA-0026844  
     22. CLAY-MA-0026904  
     23. CLAY-MA-0026905  
     24. CLAY-MA-0026911  
     25. CLAY-MA-0026921  
     26. CLAY-MA-0026922  
     27. CLAY-MA-0026923  
     28. CLAY-MA-0026924  
     29. CLAY-MA-0027005  
     30. CLAY-MA-0027006  
     31. CLAY-MA-0027007  
     32. CLAY-MA-0027008  
     33. CLAY-MA-0027737  
     34. CLAY-MA-0027738  
     35. CLAY-MA-0027836  
     36. CLAY-MA-0027837  
     37. CLAY-MA-0027843  
     38. CLAY-MA-0027854  
     39. CLAY-MA-0027855  
     40. CLAY-MA-0027856  
     41. CLAY-MA-0027857  
     42. CLAY-MA-0027940  
     43. CLAY-MA-0027941  
     44. CLAY-MA-0027942  
     45. CLAY-MA-0027943
GS GSAMP 06 S3 Put Back (Deal ID 17203)

a. All Reports Delivered to Client
   1. Client
      a. CLAY-MA-0037262
      b. CLAY-MA-0037263
      c. CLAY-MA-0037264
      d. CLAY-MA-0037347
      e. CLAY-MA-0037348
      f. CLAY-MA-0037357
      g. CLAY-MA-0037358
      h. CLAY-MA-0037359
      i. CLAY-MA-0037360
      j. CLAY-MA-0037679
      k. CLAY-MA-0037680
      l. CLAY-MA-0037703
      m. CLAY-MA-0037704
      n. CLAY-MA-0037705
      o. CLAY-MA-0037706
      p. CLAY-MA-0037707
      q. CLAY-MA-0037708
      r. CLAY-MA-0037709
      s. CLAY-MA-0037715
      t. CLAY-MA-0037716
2. Seller
   a. CLAY-MA-0037717
   b. CLAY-MA-0037718
3. CLAY-MA-0038025
4. CLAY-MA-0038111
5. CLAY-MA-0038120
6. CLAY-MA-0038121
7. CLAY-MA-0038122
8. CLAY-MA-0038123
9. CLAY-MA-0038540
10. CLAY-MA-0038541
11. CLAY-MA-0038575
12. CLAY-MA-0038576
13. CLAY-MA-0038577
14. CLAY-MA-0038578
15. CLAY-MA-0038584
16. CLAY-MA-0038585
17. CLAY-MA-0038586

8. GS GSAMP 2006 S3 Repurchase Review (Deal ID 18086)
   a. All Reports Delivered to Client
      1. Client
         a. CLAY-MA-0038587
         b. CLAY-MA-0038588
         c. CLAY-MA-0038589
         d. CLAY-MA-0038677
         e. CLAY-MA-0038683
         f. CLAY-MA-0038684
         g. CLAY-MA-0038685
         h. CLAY-MA-0038686
         i. CLAY-MA-0038687
         j. CLAY-MA-0038688
         k. CLAY-MA-0038822
         l. CLAY-MA-0038823
         m. CLAY-MA-0038833
         n. CLAY-MA-0038834
         o. CLAY-MA-0038835
         p. CLAY-MA-0038841
         q. CLAY-MA-0038842
         r. CLAY-MA-0038956
         s. CLAY-MA-0038957
         t. CLAY-MA-0038958
         u. CLAY-MA-0039052
         v. CLAY-MA-0039058
         w. CLAY-MA-0039059
         x. CLAY-MA-0039060
         y. CLAY-MA-0039061
         z. CLAY-MA-0039062
         aa. CLAY-MA-0039063
         ab. CLAY-MA-0039311
         ac. CLAY-MA-0039312
         ad. CLAY-MA-0039328
         ae. CLAY-MA-0039329
         af. CLAY-MA-0039330
         ag. CLAY-MA-0039336
         ah. CLAY-MA-0039337
         ai. CLAY-MA-0039541
2. Seller
   a. CLAY-MA-00338843
   b. CLAY-MA-00338844
   c. CLAY-MA-00339338
   d. CLAY-MA-00339339
   e. CLAY-MA-0040080
9. GS GSAMP 2006 S5 Repurchase Review (Deal ID 18114)
   a. All Reports Delivered to Client
      1. CLAY-MA-0042645
      2. CLAY-MA-0042646
      3. CLAY-MA-0042647
      4. CLAY-MA-0042735
      5. CLAY-MA-0042736
      6. CLAY-MA-0042740
      7. CLAY-MA-0042741
      8. CLAY-MA-0042742
      9. CLAY-MA-0042743
     10. CLAY-MA-0042744
    11. CLAY-MA-0042896
    12. CLAY-MA-0042897
    13. CLAY-MA-0042903
    14. CLAY-MA-0042904
    15. CLAY-MA-0042905
    16. CLAY-MA-0042911
    17. CLAY-MA-0042912
    18. CLAY-MA-0042913
    19. CLAY-MA-0042914

10. GS GSAMP S3 3926-25 (Deal ID 18123)
   a. All Reports Delivered to Client
      1. CLAY-MA-0043066
      2. CLAY-MA-0043067
      3. CLAY-MA-0043155
      4. CLAY-MA-0043156
      5. CLAY-MA-0043162
      6. CLAY-MA-0043163
      7. CLAY-MA-0043164
      8. CLAY-MA-0043165
      9. CLAY-MA-0043166
     10. CLAY-MA-0043390
     11. CLAY-MA-0043405
     12. CLAY-MA-0043406
     13. CLAY-MA-0043407
     14. CLAY-MA-0043413
     15. CLAY-MA-0043414
     16. CLAY-MA-0043528
     17. CLAY-MA-0043529
     18. CLAY-MA-0043622
     19. CLAY-MA-0043623
    20. CLAY-MA-0043629
    21. CLAY-MA-0043630
    22. CLAY-MA-0043631
    23. CLAY-MA-0043632
    24. CLAY-MA-0043633
    25. CLAY-MA-0043634
12. GS NC Warehouse Review (Deal ID 17352)  
   a. Deal Cancelled- no reports found
      1. CLAY-MA-0051636

13. GS NewCentury TPO Warehouse Nov2007 (Deal ID 18613)  
   a. All Reports Delivered to Client
      b. CLAY-MA-0051637
      c. CLAY-MA-0051638
      d. CLAY-MA-0051639
      e. CLAY-MA-0051640
      f. CLAY-MA-0051641
      g. CLAY-MA-0051642
      h. CLAY-MA-0051646
      i. CLAY-MA-0051647
      j. CLAY-MA-0051649
      k. CLAY-MA-0051650
      l. CLAY-MA-0051651
      m. CLAY-MA-0051713
      n. CLAY-MA-0051714
      o. CLAY-MA-0051724
      p. CLAY-MA-0051725
      q. CLAY-MA-0051726
      r. CLAY-MA-0051732
      s. CLAY-MA-0051733

14. GS NewCentury Warehouse Review June2006 Deal ID 14378  
   a. No reports found
      1. CLAY-MA-0051734

15. GS ZFREE NOV1706 (Deal ID 15860)  
   a. All Reports Delivered to Clients
      1. Client
         a. CLAY-MA-0051735
         b. CLAY-MA-0051736
         c. CLAY-MA-0051794
         d. CLAY-MA-0051795
         e. CLAY-MA-0051796
         f. CLAY-MA-0051797
         g. CLAY-MA-0051798
         h. CLAY-MA-0051799
         i. CLAY-MA-0051806
         j. CLAY-MA-0051807
2. Seller
   a. CLAY-MA-0051825
b. CLAY-MA-0051826
c. CLAY-MA-0051950
d. CLAY-MA-0051951
e. CLAY-MA-0052091
f. CLAY-MA-0052092
g. CLAY-MA-0052214
h. CLAY-MA-0052215
i. CLAY-MA-0052331
j. CLAY-MA-0052332
k. CLAY-MA-0052448
l. CLAY-MA-0052449
m. CLAY-MA-0052565
n. CLAY-MA-0052566
o. CLAY-MA-0052582
p. CLAY-MA-0052682
q. CLAY-MA-0052683
r. CLAY-MA-0052799
s. CLAY-MA-0052800
t. CLAY-MA-0052916
u. CLAY-MA-0052917
v. CLAY-MA-0053024
w. CLAY-MA-0053025

16. UBS Option One- Warehouse- 00122905- Feb Deal 2006
   a. All Reports Delivered to Client
      1. CLAY-MA-0053032
      2. CLAY-MA-0053033
      3. CLAY-MA-0053034
      4. CLAY-MA-0053035
      5. CLAY-MA-0053036
      6. CLAY-MA-0053037
      7. CLAY-MA-0053038
      8. CLAY-MA-0053332

17. CLAY-MA-0000079
18. CLAY-MA-0000080

d. MAAG Additional GS Silver
   1. GS FHLT 2006-E (Deal ID 16087)
   2. GS GSAMP 06 S3 Put Back (Deal ID 17203)
   3. GS GSAMP 2006 S3 Repurchase Review (Deal ID 18086)
   4. GS GSAMP 2006 S5 Repurchase Review (Deal ID 18114)
   5. GS GSAMP S3 3926-25 (Deal ID 18123)
   6. GS NC Warehouse Review (Deal ID 17352)
   7. GS New Century TPO Warehouse Nov 2007 (Deal id 18613)
   8. GS ZFREE NOV1706 (Deal ID 15860)
   9. GSNEWC~2

e. All DD Clients 2005-07
f. Clay-MA 000003-78

g. MA High Cost 2005-07
h. MAAG Subpoenas and Cover Letters091409-185406

b. 2010-07-29 Production- Disk # 497
   1. Natives
      a. CLAY-FCIC-000001
      b. CLAY-FCIC-000002
      c. CLAY-FCIC-000003
      d. CLAY-FCIC-000100
      e. CLAY-FCIC-000101
      f. CLAY-FCIC-000102
5. CLAY-FCIC-000751-001000
6. CLAY-FCIC-001001-001250
7. CLAY-FCIC-001251-001500
8. CLAY-FCIC-001501-001750
9. CLAY-FCIC-001751-002000
10. CLAY-FCIC-002001-002250
11. CLAY-FCIC-002251-002511
12. CLAY-FCIC-002512-002757

C. 2010-09-02 Disk # 593
1. MBIA v. CW
   a. Client Agreement
      1. CW Comercial Real Estate
         a. CLAY-MBIACW-000030
         b. CLAY-MBIACW-000035
         c. CLAY-MBIACW-000039
         d. CLAY-MBIACW-000043
         e. CLAY-MBIACW-000046
         f. CLAY-MBIACW-000051
   2. CW Home Loans
      a. CLAY-MBIACW-000054
      b. CLAY-MBIACW-000070
      c. CLAY-MBIACW-000071
      d. CLAY-MBIACW-000080
      e. CLAY-MBIACW-000099
      f. CLAY-MBIACW-000101
      g. CLAY-MBIACW-000103
      h. CLAY-MBIACW-000108
      i. CLAY-MBIACW-000112
      j. CLAY-MBIACW-000117
      k. CLAY-MBIACW-000138
   3. CW Securities
      a. CLAY-MBIACW-000183
      b. CLAY-MBIACW-000194
   4. Extra
      a. CLAY-MBIACW-000001
      b. CLAY-MBIACW-000002
      c. CLAY-MBIACW-000003
   5. Whole Sale Subprime
      b. CW Guidelines
         1. CLAY-MBIACW-000198
         2. CLAY-MBIACW-000200
         3. CLAY-MBIACW-000202
         4. CLAY-MBIACW-000206
         5. CLAY-MBIACW-000210
         6. CLAY-MBIACW-000213
         7. CLAY-MBIACW-000215
         8. CLAY-MBIACW-000216
         9. CLAY-MBIACW-000218
      10. CLAY-MBIACW-000220
      11. CLAY-MBIACW-000223
      12. CLAY-MBIACW-000223
      13. CLAY-MBIACW-000224
      14. CLAY-MBIACW-000224
      15. CLAY-MBIACW-000244
   c. Invoice
      1. CLAY-MBIACW-000245
   d. Transactions
1. CLAY-MBIACW-000246
2. CLAY-MBIACW-000248
3. CLAY-MBIACW-000255
4. CLAY-MBIACW-000260
5. CLAY-MBIACW-000260
6. CLAY-MBIACW-000261
7. CLAY-MBIACW-000847
8. CLAY-MBIACW-001138
9. CLAY-MBIACW-001434
10. CLAY-MBIACW-001434
11. CLAY-MBIACW-001435
12. CLAY-MBIACW-001435
13. CLAY-MBIACW-001450
14. CLAY-MBIACW-001450
15. CLAY-MBIACW-001451
16. CLAY-MBIACW-001451
17. CLAY-MBIACW-002251
18. CLAY-MBIACW-002251
19. CLAY-MBIACW-002266
20. CLAY-MBIACW-002266

2. Syncora v CW
   a. Natives
      1. CLAY-SYNCORACW-E000035
      2. CLAY-SYNCORACW-E000060
      3. CLAY-SYNCORACW-E000068
      4. CLAY-SYNCORACW-E000092
      5. CLAY-SYNCORACW-E000100
      6. CLAY-SYNCORACW-E000119
      7. CLAY-SYNCORACW-E000120
      8. CLAY-SYNCORACW-E000121
      9. CLAY-SYNCORACW-E000132
     10. CLAY-SYNCORACW-E000133
     11. CLAY-SYNCORACW-E000134
     12. CLAY-SYNCORACW-E000143
     13. CLAY-SYNCORACW-E000144
     14. CLAY-SYNCORACW-E000145
     15. CLAY-SYNCORACW-E000153
     16. CLAY-SYNCORACW-E000163
     17. CLAY-SYNCORACW-E000164
     18. CLAY-SYNCORACW-E000165
     19. CLAY-SYNCORACW-E000177
     20. CLAY-SYNCORACW-E000178
     21. CLAY-SYNCORACW-E000179
     22. CLAY-SYNCORACW-E000191
     23. CLAY-SYNCORACW-E000192
     24. CLAY-SYNCORACW-E000193
     25. CLAY-SYNCORACW-E000205
     26. CLAY-SYNCORACW-E000215
     27. CLAY-SYNCORACW-E000225
     28. CLAY-SYNCORACW-E000237
     29. CLAY-SYNCORACW-E000238
     30. CLAY-SYNCORACW-E000239
     31. CLAY-SYNCORACW-E000250
     32. CLAY-SYNCORACW-E000251
     33. CLAY-SYNCORACW-E000252
     34. CLAY-SYNCORACW-E000261
1. CLAY-UGMICW-015480
2. CLAY-UGMICW-015481
3. CLAY-UGMICW-015482
4. CLAY-UGMICW-015483
5. CLAY-UGMICW-015483
6. CLAY-UGMICW-015483
7. CLAY-UGMICW-015484
8. CLAY-UGMICW-015485
9. CLAY-UGMICW-015486

b. 05-AB2
   1. Reports
      a. CLAY-UGMICW-015506
      b. CLAY-UGMICW-015506
      c. CLAY-UGMICW-015507
      d. CLAY-UGMICW-015508
      e. CLAY-UGMICW-015509
      f. CLAY-UGMICW-015510
      g. CLAY-UGMICW-015510
      h. CLAY-UGMICW-015510
      i. CLAY-UGMICW-015511
      j. CLAY-UGMICW-015511
      k. CLAY-UGMICW-015511
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      y. CLAY-UGMICW-015516
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      af. CLAY-UGMICW-015521
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      ai. CLAY-UGMICW-015524
      aj. CLAY-UGMICW-015525
      ak. CLAY-UGMICW-015526
      al. CLAY-UGMICW-015527
      am. CLAY-UGMICW-015528
      an. CLAY-UGMICW-015529
      ao. CLAY-UGMICW-015530
      ap. CLAY-UGMICW-015531
      aq. CLAY-UGMICW-015532
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c. 05-AB4

1. Reports
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   b. CLAY-UGMICW-015862
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s. CLAY-UGMICW-000011
t. CLAY-UGMICW-000011
d. Handed Production 2010-08-04
1. All Clayton Trending Report 06-07

12. COGR
   a. Filed version Schedule A both closings
   b. Schedule A both closings

13. Cohen

14. Countrywide
   a. 2010-09-14 Production - Disk # 619
      1. BAC-FCIC-0000079239
      2. BAC-FCIC-0000079240
      3. BAC-FCIC-0000079241
   b. 2010-09-22 Production - Disk # 639
      1. BAC-FCIC-0000080561-807681
   c. 2010-10-25 Production - Disk # 717
      1. BAC-FCIC-0000086785
   d. 2010-11-30 Production - Disk # 742
      1. BAC-FCIC-0000088821-0000089116

15. Credit Suisse
   a. 2010-06-10 Production
      1. Credit Suisse CDOs (Exhibit 1)
      2. CS-FCIC-GATES-00000001 - 00000059
      3. CS-FCIC-GATES-00000060 - 00000086
      4. CS-FCIC-GATES-00000087 - 00000128
      5. CS-FCIC-GATES-00000129 - 00000160
   b. 2010-07-22 Production - Disk # 479
      1. CSS-FCIC-E-000004664
      2. CSS-FCIC-E-000004665
      3. CSS-FCIC-E-000004666
      4. CSS-FCIC-E-000004667
      5. CSS-FCIC-E-000004668
      6. CSS-FCIC-E-000004669
      7. CSS-FCIC-E-000004670
      8. CSS-FCIC-E-000004671
      9. CSS-FCIC-E-000004672
   c. 2010-07-26 Production - Disk # 487
      1. CSS-FCIC-E-000004673
      2. CSS-FCIC-E-000004674
      3. CSS-FCIC-E-000004675
d. 2010-09-20 Production- Disk # 635
   1. CSS-FCIC-E-00004676

e. 2010-10-01 Production- Disk # 668
   1. CSS-FCIC-E-00004683

f. 2010-10-25 Production- Disk # 720
   1. CSS-FCIC-E-00000012-000005111
   2. CSS-FCIC-E-00000512-000010112
   3. CSS-FCIC-E-00001012-000015113
   4. CSS-FCIC-E-00001512-000020114
   5. CSS-FCIC-E-00002012-000025115
   6. CSS-FCIC-E-00002512-000030116
   7. CSS-FCIC-E-00003012-000035117
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   12. CSS-FCIC-E-0000469312
   13. CSS-FCIC-E-0000469313
   14. CSS-FCIC-E-0000469914
   15. CSS-FCIC-E-0000469915

16. CT AG
a. 2010-05-06
   1. fcic (3)

b. 2010-05-21
   1. Pursuit Fourth Amended Complaint- 1st Part
   2. Pursuit Fourth Amended Complaint-2d Part
   3. Pursuit Partners Exhibits to Amended Complaint

c. 2010-06-16
   1. Bear EMC
      a. BEA - FIN AMERICA (FA0505) Production Report
      b. BEA - PEOPLES 04-084 Production Report
      c. EMC - AAMES (AA0601) Production Report1
      d. EMC - AAMES (AA0601) Production Report2
      e. EMC - CIT (CG0601) Production Report
      f. EMC -PEOPLES (PC0506) Production Report
      g. Encore 2006-08 Bear Production Logs
      h. Encore 2007-02 BSRM Production Report
      i. Suntrust 2006-10 Bear (CU) Production log

2. CLAY 0037071 - CLAY 0083908
   a. BEA, FGMC (FG0512)
      1. DISK0919
         a. CLAY-0037071_FGMC 05-1114 WL (FG0512) Clayton DD Data File 091905
         b. CLAY-0037071_FGMC 05-1114 WL (FG0512) Clayton DD Data File 091905
         c. CLAY-0037072_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 091905
         d. CLAY-0037072_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 091905
         e. CLAY-0037073_FGMC 05-1114 WL (FG0512) IAS 1 Report 091905
         f. CLAY-0037110_FGMC 05-1114 WL (FG0512) IAS 2 Report 091905
         g. CLAY-0037117_FGMC 05-1114 WL (FG0512) IAS 3 Report 091905
         h. CLAY-0037148_FGMC 05-1114 WL (FG0512) Loans Not Reviewed Report 091905
         i. CLAY-0037148_FGMC 05-1114 WL (FG0512) Loans Not Reviewed Report 091905
         j. CLAY-0037149_FGMC 05-1114 WL (FG0512) Production Report 091905

2. DISK0926
   a. CLAY-0037153_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092605
   b. CLAY-0037153_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092605
3. DISK0927
   a. CLAY-0037154_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092705
   b. CLAY-0037154_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092705
   c. CLAY-0037155_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092705
   d. CLAY-0037155_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092705
   e. CLAY-0037156_FGMC 05-1114 WL (FG0512) IAS 1 Report 092705
   f. CLAY-0037204_FGMC 05-1114 WL (FG0512) IAS 2 Report 092705
   g. CLAY-0037220_FGMC 05-1114 WL (FG0512) IAS 3 Report 092705
   h. CLAY-0037231_prodlog20050927

4. DISK0928
   a. CLAY-0037235_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092805
   b. CLAY-0037235_FGMC 05-1114 WL (FG0512) Clayton DD Data File 092805
   c. CLAY-0037236_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092805
   d. CLAY-0037236_FGMC 05-1114 WL (FG0512) Clayton Due Diligence Issues 092805
   e. CLAY-0037237_FGMC 05-1114 WL (FG0512) IAS 1 Report 092805
   f. CLAY-0037290_FGMC 05-1114 WL (FG0512) IAS 2 Report 092805
   g. CLAY-0037231_prodlog20050927

b. EMC, FIRST HORIZON-FH0522
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      a. FH0522
         1. CLAY-0037311_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 092000
         2. CLAY-0037311_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 092000
         3. CLAY-0037312_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
         4. CLAY-0037312_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092005
         5. CLAY-0037313_first Horizon 05-1130 WL (FH0522) IAS 1 Report 092005
         6. CLAY-0037459_first Horizon 05-1130 WL (FH0522) IAS 2 Report 092005
         7. CLAY-0037479_first Horizon 05-1130 WL (FH0522) IAS 3 Report 092005
         8. CLAY-0037522_first Horizon 05-1130 WL (FH0522) Production Report 092005
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            2. CLAY-0037526_first Horizon 05-1130 WL (FH0522) Clayton DD Data File 092105
            3. CLAY-0037527_first Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092105
            4. CLAY-0037527_first Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issues 092105
            5. CLAY-0037528_first Horizon 05-1130 WL (FH0522) IAS 1 Report 092105
            6. CLAY-0038007_first Horizon 05-1130 WL (FH0522) IAS 2 Report 092105
            7. CLAY-0038051_first Horizon 05-1130 WL (FH0522) IAS 3 Report 092105
            8. CLAY-0038180_first Horizon 05-1130 WL (FH0522) Production Report 092105
      b. FH05C2
         1. CLAY-0038186_first Horizon 05-1159 WL (FH05C2) Clayton DD Data File 092105
         2. CLAY-0038186_first Horizon 05-1159 WL (FH05C2) Clayton DD Data File 092105
         3. CLAY-0038187_first Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issues 092105
         4. CLAY-0038187_first Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issues 092105
5. CLAY-0038188_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092105
6. CLAY-0038207_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092105
7. CLAY-0038211_First Horizon 05-1159 WL (FH05C2) Production Report 092105

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      4. CLAY-0038216_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
      5. CLAY-0038217_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092205
      6. CLAY-0039005_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092205
      7. CLAY-0039059_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092205
      8. CLAY-0039241_First Horizon 05-1130 WL (FH0522) Production Report 092205
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      2. CLAY-0039247_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 092220
      3. CLAY-0039248_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
      4. CLAY-0039248_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
      5. CLAY-0039249_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092205
      6. CLAY-0039331_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092205
      7. CLAY-0039401_First Horizon 05-1159 WL (FH05C2) Production Report 092205

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      5. CLAY-0039407_First Horizon 05-1130 WL (FH0522) IAS 1 Report 092305
      6. CLAY-0040503_First Horizon 05-1130 WL (FH0522) IAS 2 Report 092305
      7. CLAY-0040559_First Horizon 05-1130 WL (FH0522) IAS 3 Report 092305
      8. CLAY-0040750_First Horizon 05-1130 WL (FH0522) Production Report 092305
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      1. CLAY-0040756_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 092330
      2. CLAY-0040756_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 092330
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      5. CLAY-0040758_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 092305
      6. CLAY-0041037_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 092305
      7. CLAY-0041056_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 092305
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   1. CLAY-0050889_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10140
   2. CLAY-0050889_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10140
   3. CLAY-0050890_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
   4. CLAY-0050890_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
   5. CLAY-0050891_First Horizon 05-1130 WL (FH0522) IAS 1 Report 101405
   6. CLAY-0052120_First Horizon 05-1130 WL (FH0522) IAS 2 Report 101405
   7. CLAY-0052258_First Horizon 05-1130 WL (FH0522) IAS 3 Report 101405
   8. CLAY-0052311_prodlog20051014

b. FH05C2
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   2. CLAY-0052317_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10140
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   4. CLAY-0052318_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
   5. CLAY-0052319_First Horizon 05-1159 WL (FH05C2) IAS 1 Report 101405
   6. CLAY-0052908_First Horizon 05-1159 WL (FH05C2) IAS 2 Report 101405
   7. CLAY-0052972_First Horizon 05-1159 WL (FH05C2) IAS 3 Report 101405
   8. CLAY-0053012_prodlog20051014

11. disk1020
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   1. CLAY-0055134_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10200
   2. CLAY-0055134_First Horizon 05-1130 WL (FH0522) Clayton DD Data File 10200
   3. CLAY-0055135_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
   4. CLAY-0055135_First Horizon 05-1130 WL (FH0522) Clayton Due Diligence Issu
   5. CLAY-0055136_First Horizon 05-1130 WL (FH0522) IAS 1 Report 102005
   6. CLAY-0056375_First Horizon 05-1130 WL (FH0522) IAS 2 Report 102005
   7. CLAY-0056538_First Horizon 05-1130 WL (FH0522) IAS 3 Report 102005
   8. CLAY-0056552_prodlog20051020

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   1. CLAY-0056558_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10200
   2. CLAY-0056558_First Horizon 05-1159 WL (FH05C2) Clayton DD Data File 10200
3. CLAY-0056559_First Horizon 05-1159 WL (FH05C2) Clayton Due Diligence Issu
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   d. CLAY-0084008_CSC359 MAC Upload 5-19-06

33. DISK0519UPDATED
   a. CLAY-0084009_CSC359 Exception Detail Report 5-19-06Updated
   b. CLAY-0084009_CSC359 Exception Detail Report 5-19-06Updated
   c. CLAY-0084010_CSC359 MAC Upload 5-19-06 Updated
   d. CLAY-0084010_CSC359 MAC Upload 5-19-06 Updated

34. DISK0531
   a. CLAY-0084011_ExceptionDetail
   b. CLAY-0084011_ExceptionDetail

35. DISK0803
   a. CLAY-0084012_CSC359 Full Data Upload - Part A
   b. CLAY-0084012_CSC359 Full Data Upload - Part A
   c. CLAY-0084013_CSC359 Full Data Upload - Part B
   d. CLAY-0084013_CSC359 Full Data Upload - Part B
   e. CLAY-0084014_CSC359 Full Data Upload - Part C
   f. CLAY-0084014_CSC359 Full Data Upload - Part C
   g. CLAY-0084015_CSC359 Full Data Upload
   h. CLAY-0084015_CSC359 Full Data Upload

36. disk1116
   a. CLAY-0084016_ExceptionDetail
   b. CLAY-0084016_ExceptionDetail
   c. CLAY-0084017_ExceptionDetail20061116
37. □ DISK1130
   a. □ CLAY-0084018_CSC359 Exception Detail Report 20061130
   b. □ CLAY-0084018_CSC359 Exception Detail Report 20061130

1. □ DISK0502
   a. □ CLAY-0084019_APR Originator 5-2-06
   b. □ CLAY-0084019_APR Originator 5-2-06
   c. □ CLAY-0084020_CSC 362 Exception Detail Report 5-2-06
   d. □ CLAY-0084020_CSC 362 Exception Detail Report 5-2-06
   e. □ CLAY-0084021_DTI Variance 5-2-06
   f. □ CLAY-0084021_DTI Variance 5-2-06
   g. □ CLAY-0084022_Ivan Report 5-2-06
   h. □ CLAY-0084022_Ivan Report 5-2-06
   i. □ CLAY-0084023_MAC_UPLOAD
   j. □ CLAY-0084023_MAC_UPLOAD
   k. □ CLAY-0084024_Stand Alone 2nds 5-2-06
   l. □ CLAY-0084024_Stand Alone 2nds 5-2-06

2. □ DISK0503
   a. □ CLAY-0084025_APR Originator CSC362 5-3-06
   b. □ CLAY-0084025_APR Originator CSC362 5-3-06
   c. □ CLAY-0084026_CSC362 Exception Detail Report 5-3-06 9AM
   d. □ CLAY-0084026_CSC362 Exception Detail Report 5-3-06 9AM
   e. □ CLAY-0084027_DTI Variance Report CSC362 5-3-06
   f. □ CLAY-0084027_DTI Variance Report CSC362 5-3-06
   g. □ CLAY-0084028_IASRPT20060503 CSC362
   h. □ CLAY-0085103_Ivan Report 5-3-06
   i. □ CLAY-0085103_Ivan Report 5-3-06
   j. □ CLAY-0085104_Stand Alone 2nds Report 5-3-06
   k. □ CLAY-0085104_Stand Alone 2nds Report 5-3-06

3. □ DISK0504
   a. □ CLAY-0085105_APR Originator CSC362 5-4-06
   b. □ CLAY-0085105_APR Originator CSC362 5-4-06
   c. □ CLAY-0085106_CSC362 Exception Detail Report 5-4-06 9AM
   d. □ CLAY-0085106_CSC362 Exception Detail Report 5-4-06 9AM
   e. □ CLAY-0085107_DTI Variance Report CSC362 5-4-06
   f. □ CLAY-0085107_DTI Variance Report CSC362 5-4-06
   g. □ CLAY-0085108_Ivan Report CSC362 5-4-06
   h. □ CLAY-0085108_Ivan Report CSC362 5-4-06
   i. □ CLAY-0085109_Stand Alone 2nds Report CSC362 5-4-06
   j. □ CLAY-0085109_Stand Alone 2nds Report CSC362 5-4-06

4. □ DISK0505
   a. □ CLAY-0085110_APR Originator Report CSC362 5-5-06
   b. □ CLAY-0085110_APR Originator Report CSC362 5-5-06
   c. □ CLAY-0085111_CSC362 Exception Detail Report 5-5-06
   d. □ CLAY-0085111_CSC362 Exception Detail Report 5-5-06
   e. □ CLAY-0085112_DTI Variance Report CSC362 5-5-06
   f. □ CLAY-0085112_DTI Variance Report CSC362 5-5-06
   g. □ CLAY-0085113_Ivan Report CSC362 5-5-06
   h. □ CLAY-0085113_Ivan Report CSC362 5-5-06
   i. □ CLAY-0085114_Loan #'s Box #'s Report CSC362 5-5-06
   j. □ CLAY-0085114_Loan #'s Box #'s Report CSC362 5-5-06
   k. □ CLAY-0085115_Stand Alone 2nds Report CSC362 5-5-06
   l. □ CLAY-0085115_Stand Alone 2nds Report CSC362 5-5-06

5. □ DISK0508
   a. □ CLAY-0085116_APR Originator Report CSC362 5-8-06
b. CLAY-0085116_APR Originator Report CSC362 5-8-06

c. CLAY-0085117_CSC362 Exception Detail Report 5-8-06

d. CLAY-0085117_CSC362 Exception Detail Report 5-8-06

e. CLAY-0085118_DTI Variance Report CSC362 5-8-06

f. CLAY-0085118_DTI Variance Report CSC362 5-8-06

g. CLAY-0085119_Ivan Report CSC362 5-8-06h.

h. CLAY-0085119_Ivan Report CSC362 5-8-06

i. CLAY-0085120_Loan # & Box # Report CSC362 5-8-06

j. CLAY-0085120_Loan # & Box # Report CSC362 5-8-06

k. CLAY-0085121_Stand Alone 2nds Report CSC362 5-8-06

l. CLAY-0085121_Stand Alone 2nds Report CSC362 5-8-06

6. DISK0508B

a. CLAY-0085122_APR Originator Report CSC362 5-8-06 Second Set

b. CLAY-0085122_APR Originator Report CSC362 5-8-06 Second Set

c. CLAY-0085123_CSC362 Exception Detail Report 5-8-06 Second Set

d. CLAY-0085123_CSC362 Exception Detail Report 5-8-06 Second Set

e. CLAY-0085124_DTI Variance Report CSC362 5-8-06 Second Set

f. CLAY-0085124_DTI Variance Report CSC362 5-8-06 Second Set

g. CLAY-0085125_Ivan Report CSC362 5-8-06 Second Set

h. CLAY-0085125_Ivan Report CSC362 5-8-06 Second Set

i. CLAY-0085126_Loan # & Box # Report CSC362 5-8-06 Second Set

j. CLAY-0085126_Loan # & Box # Report CSC362 5-8-06 Second Set

k. CLAY-0085127_QC Status CSC362

l. CLAY-0085127_QC Status CSC362

m. CLAY-0085128_Stand Alone 2nds Report CSC362 5-8-06 Second Set

n. CLAY-0085128_Stand Alone 2nds Report CSC362 5-8-06 Second Set

7. DISK0509

a. CLAY-0085129_APR Originator Report 5-9-06 CSC362

b. CLAY-0085129_APR Originator Report 5-9-06 CSC362

c. CLAY-0085130_CSC362 5-9-06CBASS Overrides-Pends

d. CLAY-0085130_CSC362 5-9-06CBASS Overrides-Pends

e. CLAY-0085131_CSC362 Exception Detail Report 5-9-06

f. CLAY-0085131_CSC362 Exception Detail Report 5-9-06

g. CLAY-0085132_DTI Variance Report CSC362 5-9-06

h. CLAY-0085132_DTI Variance Report CSC362 5-9-06

i. CLAY-0085133_Ivan Report CSC362 5-9-06

j. CLAY-0085133_Ivan Report CSC362 5-9-06

k. CLAY-0085134_Loan # & Box # Report CSC362 5-9-06

l. CLAY-0085134_Loan # & Box # Report CSC362 5-9-06

m. CLAY-0085135_QC status CSC362

n. CLAY-0085135_QC status CSC362

o. CLAY-0085136_Stand Alone 2nds Report CSC362 5-9-06

p. CLAY-0085136_Stand Alone 2nds Report CSC362 5-9-06

8. DISK0510

a. CLAY-0085137_APR Originator Report CSC362 5-10-06

b. CLAY-0085137_APR Originator Report CSC362 5-10-06

c. CLAY-0085138_CSC362 Exception Detail Report 5-10-06

d. CLAY-0085138_CSC362 Exception Detail Report 5-10-06

e. CLAY-0085139_DTI Variance Report CSC362 5-10-06

f. CLAY-0085139_DTI Variance Report CSC362 5-10-06

g. CLAY-0085140_Ivan Report CSC362 5-10-06

h. CLAY-0085140_Ivan Report CSC362 5-10-06

i. CLAY-0085141_Loan # & Box # Report CSC362 5-10-06

j. CLAY-0085141_Loan # & Box # Report CSC362 5-10-06

k. CLAY-0085142_Stand Alone 2nds Report CSC362 5-10-06

l. CLAY-0085142_Stand Alone 2nds Report CSC362 5-10-06
10. DISK0421
   a. CLAY-0085478_ExceptionDetail
   b. CLAY-0085478_ExceptionDetail
   c. CLAY-0085479_MAC_UPLOAD
   d. CLAY-0085479_MAC_UPLOAD

11. DISK0425
   a. CLAY-0085480_MAC_UPLOAD
   b. CLAY-0085480_MAC_UPLOAD
   c. CLAY-0085481_OMM101_Exception Detail Report 220PM 4-25-06
   d. CLAY-0085481_OMM101_Exception Detail Report 220PM 4-25-06

12. DISK0426_NS
   a. CLAY-0085482_CBA_OMM101_Exception Detail 4_26_06 400PM
   b. CLAY-0085482_CBA_OMM101_Exception Detail 4_26_06 400PM
   c. CLAY-0085483_ExceptionDetail
   d. CLAY-0085483_ExceptionDetail

13. DISK0426B
   a. CLAY-0085484_MAC_UPLOAD
   b. CLAY-0085484_MAC_UPLOAD
   c. CLAY-0085485_OMM101_Exception Detail Report 4-26-06 6PM
   d. CLAY-0085485_OMM101_Exception Detail Report 4-26-06 6PM

14. DISK0427
   a. CLAY-0085486_MAC_UPLOAD
   b. CLAY-0085486_MAC_UPLOAD
   c. CLAY-0085487_OMM101_Exception Detail Report 4-26-06 840AM
   d. CLAY-0085487_OMM101_Exception Detail Report 4-26-06 840AM

15. DISK0427B
   a. CLAY-0085488_MAC_UPLOAD
   b. CLAY-0085488_MAC_UPLOAD
   c. CLAY-0085489_OMM101_Exception Detail Report 550PM 4-27-06
   d. CLAY-0085489_OMM101_Exception Detail Report 550PM 4-27-06

16. DISK0428
   a. CLAY-0085490_MAC_UPLOAD
   b. CLAY-0085490_MAC_UPLOAD
   c. CLAY-0085491_OMM101_Exception Detail Report 5-1-06 540PM
   d. CLAY-0085491_OMM101_Exception Detail Report 5-1-06 540PM

17. DISK0501
   a. CLAY-0085492_OMM101_Exception Detail Report 5-1-06 540PM
   b. CLAY-0085492_OMM101_Exception Detail Report 5-1-06 540PM

18. DISK0502
   a. CLAY-0085493_OMM101_Exception Detail Report 5-2-06 12PM
   b. CLAY-0085493_OMM101_Exception Detail Report 5-2-06 12PM

19. DISK0502B
   a. CLAY-0085494_MAC_UPLOAD 5-2-06 5PM
   b. CLAY-0085494_MAC_UPLOAD 5-2-06 5PM
   c. CLAY-0085495_OMM101_Exception Detail Report 5PM 5-2-06
   d. CLAY-0085495_OMM101_Exception Detail Report 5PM 5-2-06

20. DISK0503
   a. CLAY-0085496_OMM101_Exception Detail Report 5-3-06
   b. CLAY-0085496_OMM101_Exception Detail Report 5-3-06

21. DISK0504_FINAL
   a. CLAY-0085497_MAC_UPLOAD_OMM101 5-4-06 545PM Final
   b. CLAY-0085497_MAC_UPLOAD_OMM101 5-4-06 545PM Final
   c. CLAY-0085498_OMM101_Exception Detail Report 5-4-06 545PM Final
   d. CLAY-0085498_OMM101_Exception Detail Report 5-4-06 545PM Final

22. DISK0504-4PM
   a. CLAY-0085499_MAC_UPLOAD_OMM101 4PM 5-4-06
b. CLAY-0085499_MAC_UPLOAD OMM101 4PM 5-4-06
   c. CLAY-0085500_OMM101 Exception Detail Report 4PM 5-4-06
   d. CLAY-0085500_OMM101 Exception Detail Report 4PM 5-4-06

23. DISK0511
   a. CLAY-0085501_OMM101 Exception Detail Report 5-11-06
   b. CLAY-0085501_OMM101 Exception Detail Report 5-11-06
   c. CLAY-0085502_OMM101 MAC Upload 5-11-06
   d. CLAY-0085502_OMM101 MAC Upload 5-11-06

24. DISK0517 FINAL
   a. CLAY-0085503_OMM101 Exception Detail Report 5-19-06
   b. CLAY-0085503_OMM101 Exception Detail Report 5-19-06
   c. CLAY-0085506_OMM101 MAC Upload 5-19-06
   d. CLAY-0085506_OMM101 MAC Upload 5-19-06

25. DISK0519
   a. CLAY-0085507_OMM101 Exception Detail Report 5-22-06
   b. CLAY-0085507_OMM101 Exception Detail Report 5-22-06
   c. CLAY-0085508_OMM101 MAC Upload 5-22-06
   d. CLAY-0085508_OMM101 MAC Upload 5-22-06

26. DISK0522
   a. CLAY-0085509_OMM101 Exception Detail Report 5-22-06 630PM
   b. CLAY-0085509_OMM101 Exception Detail Report 5-22-06 630PM
   c. CLAY-0085510_OMM101 MAC Upload 5-22-06 630PM
   d. CLAY-0085510_OMM101 MAC Upload 5-22-06 630PM

27. DISK0522FINAL
   a. CLAY-0085509_OMM101 Exception Detail Report 5-22-06 630PM
   b. CLAY-0085509_OMM101 Exception Detail Report 5-22-06 630PM
   c. CLAY-0085510_OMM101 MAC Upload 5-22-06 630PM
   d. CLAY-0085510_OMM101 MAC Upload 5-22-06 630PM

28. DISK0809
   a. CLAY-0085511_MAC_UPLOAD
   b. CLAY-0085511_MAC_UPLOAD
   c. CLAY-0085512_OMM101 Full Data Upload
   d. CLAY-0085512_OMM101 Full Data Upload

4. CLAY 0085513 - CLAY 0134582
   a. GREW AMQ 0508
      1. DISK0818
         a. CLAY-0087480_20050818_EventStatus
         b. CLAY-0087480_20050818_EventStatus
         c. CLAY-0087481_ExceptionDetail20050818
         d. CLAY-0087481_ExceptionDetail20050818
   2. CLAY-0086123_8.10.05_AMQ 2nds 0508_Event 3 Loans
   3. CLAY-0086258_8.10.05_AMQ 2nds 0508_Exception Detail
   4. CLAY-0086258_8.10.05_AMQ 2nds 0508_Exception Detail
   5. CLAY-0086259_8.10.05_AMQ 2nds 0508_Non-Event 3 Loans
   6. CLAY-0086259_8.10.05_AMQ 2nds 0508_Non-Event 3 Loans
   7. CLAY-0086260_8.11.05_AMQ 2nds 0508_Event 3 Loans
   8. CLAY-0086379_8.11.05_AMQ 2nds 0508_Exception Detail
   9. CLAY-0086379_8.11.05_AMQ 2nds 0508_Exception Detail
  10. CLAY-0086380_8.11.05_AMQ 2nds 0508_Non-Event 3 Loans
  11. CLAY-0086380_8.11.05_AMQ 2nds 0508_Non-Event 3 Loans
  12. CLAY-0086381_8.12.05_AMQ 2nds 0508_Event 3 Loans
  13. CLAY-0086452_8.12.05_AMQ 2nds 0508_Exception Detail
  14. CLAY-0086452_8.12.05_AMQ 2nds 0508_Exception Detail
  15. CLAY-0086453_8.12.05_AMQ 2nds 0508_Non-Event 3 Loans
  16. CLAY-0086453_8.12.05_AMQ 2nds 0508_Non-Event 3 Loans
  17. CLAY-0086454_8.16.05_AMQ 2nds 0508_Event 3 Loans
  18. CLAY-0086519_8.16.05_AMQ 2nds 0508_Exception Detail
b. GREW AMQ 0508 B

1. CLAY-0085513_08-30-05 AMQ WL 0508 B Event 3 Loans
2. CLAY-0085591_08-30-05 AMQ WL 0508 B Exception Detail
3. CLAY-0085591_08-30-05 AMQ WL 0508 B Exception Detail
4. CLAY-0085592_08-30-05 AMQ WL 0508 B Non-Event 3 DetList
5. CLAY-0085592_08-30-05 AMQ WL 0508 B Non-Event 3 DetList
6. CLAY-0085593_8.23.05 AMQ WL 0508 B Preliminary Event 3 Loans
7. CLAY-0085672_8.23.05 AMQ WL 0508 B Preliminary Exception Detail
8. CLAY-0085672_8.23.05 AMQ WL 0508 B Preliminary Exception Detail
2. CLAY-008879_ExceptionDetail20051012
   a. CLAY-008879_ExceptionDetail20051012
   b. CLAY-008879_ExceptionDetail20051012
   c. CLAY-008880_IASCRCM320051012
3. CLAY-0088605_10.17.05_NCEN 2nds 0509_ExceptionDetail20051012
4. CLAY-0088605_10.17.05_NCEN 2nds 0509_ExceptionDetail20051012
5. CLAY-0088606_10.19.05_NCEN 2nd Liens 0509_Event 3 Loans
6. CLAY-0088654_10.3.05_NCEN 2nd Liens 0509_Event 3 Loans
7. CLAY-0088713_9.13.05_NCEN 2nds 0509_ExceptionDetail20050714
8. CLAY-0088808_9.13.05_NCEN 2nds 0509_ExceptionDetail20050714
9. CLAY-0088808_9.13.05_NCEN 2nds 0509_ExceptionDetail20050714
10. CLAY-0088809_9.14.05_NCEN 2nd Liens 0509_Event 3 Loans
11. CLAY-0088777_9.14.05_NCEN 2nds 0509_ExceptionDetail20050714
12. CLAY-0088777_9.14.05_NCEN 2nds 0509_ExceptionDetail20050714

1. LEH, AMQ 2005-4
2. Disk0714
   a. CLAY-0089767_Credit2Lista
   b. CLAY-0089767_Credit2Listb
   c. CLAY-0089768_Event 3 Listc
   d. CLAY-0089768_Event 3 Listd
   e. CLAY-0089769_IASCRCM320050714
3. Disk0715
   a. CLAY-0090760_AMQ5-4 1's and 2's
   b. CLAY-0090760_AMQ5-4 1's and 2's
   c. CLAY-0090761_Credit2Listc
   d. CLAY-0090761_Credit2Listd
   e. CLAY-0090762_Event 3 Liste
   f. CLAY-0090762_Event 3 Listf
   g. CLAY-0090763_IASCRCM320050715
   h. CLAY-0092358_LLDC20050715_lncmpj
   i. CLAY-0092358_LLDC20050715_lncmpe
   j. CLAY-0092359_LoanLevelDC20050715
3. Disk0718
   a. CLAY-0092551_IASCRCM320050718
4. Disk0719
   a. CLAY-0093317_Event 3 Listd
   b. CLAY-0093317_Event 3 Listd
5. Disk0720
   a. CLAY-0093318_Event 3 Listd
   b. CLAY-0093318_Event 3 Listd
   c. CLAY-0093319_IASCRCM320050719
   d. CLAY-0093787_LLDC20050719_Incmp
   e. CLAY-0093787_LLDC20050719_Incmp
   f. CLAY-0093788_LoanLevelDC20050719
6. Disk0721
   a. CLAY-0093980_Event 3 Listd
   b. CLAY-0093980_Event 3 Listd
7. Disk0722
   a. CLAY-0093981_Event 3 Listd
   b. CLAY-0093981_Event 3 Listd
   c. CLAY-0093982_IASCRCM320050722
   d. CLAY-0094269_LLDC20050722_Incmp
   e. CLAY-0094269_LLDC20050722_Incmp
   f. CLAY-0094270_LoanLevelDC20050722
8. Disk0725
1. Final Reports Delivered to Client
   a. CLAY-0134587_Exh 1 - First Street $26MM 09-22-06 - Summary Results
   b. CLAY-0134587_Exh 1 - First Street $26MM 09-22-06 - Summary Results
   c. CLAY-0134588_Exh 3 - First St $26MM 9-22-06 - Data Discrepancies
   d. CLAY-0134588_Exh 3 - First St $26MM 9-22-06 - Data Discrepancies
   e. CLAY-0134589_Exh 4 - First St $26MM 9-22-06 - Final Rejects
   f. CLAY-0134589_Exh 4 - First St $26MM 9-22-06 - Final Rejects
   g. CLAY-0134590_Fist St $26MM 9-22-06 - Street Solutions Upload
   h. CLAY-0134590_Fist St $26MM 9-22-06 - Street Solutions Upload

c. Impac 2006-10 DEU
   1. Final Reports Delivered to Client
      a. CLAY-0134591_Exh 1 - Impac $90MM 10-26-06 Summary Results
      b. CLAY-0134591_Exh 1 - Impac $90MM 10-26-06 Summary Results
      c. CLAY-0134592_Exh 3 - Impac $90MM 10-26-06 - Data Discrepancies
      d. CLAY-0134592_Exh 3 - Impac $90MM 10-26-06 - Data Discrepancies
      e. CLAY-0134593_Exh 4 - Impac $90MM 10-26-06 - Final Rejects
      f. CLAY-0134593_Exh 4 - Impac $90MM 10-26-06 - Final Rejects
      g. CLAY-0134594_Impac $90MM 10-26-06 - Street Solutions
      h. CLAY-0134594_Impac $90MM 10-26-06 - Street Solutions

d. Lime Financial 2006-10 DEU
   1. Final Reports Delivered to Client
      a. CLAY-0134595_Exh 1 - Lime $50MM 10-31-06 Summary Results
      b. CLAY-0134595_Exh 1 - Lime $50MM 10-31-06 Summary Results
      c. CLAY-0134596_Exh 3 - Lime $50MM 10-31-06 - Data Discrepancies
      d. CLAY-0134596_Exh 3 - Lime $50MM 10-31-06 - Data Discrepancies
      e. CLAY-0134597_Exh 4 - Lime $50MM 10-31-06 - Final Rejects
      f. CLAY-0134597_Exh 4 - Lime $50MM 10-31-06 - Final Rejects
      g. CLAY-0134598_Lime $50MM 10-31-06 - Street Solutions Upload
      h. CLAY-0134598_Lime $50MM 10-31-06 - Street Solutions Upload

6. Client Contracts
   a. Bear - EMC
   b. Countrywide
   c. Credit Suisse
   d. Deutsche
   e. Goldman Sachs
   f. HSBC - Household
   g. JP Morgan
   h. Lehman
   i. Merrill Lynch
   j. Morgan Stanley
   k. Nomura
   l. Thumbs
   m. UBS Warburg
   n. Waru

7. Client Profiles
   a. Bear
   b. C-Bass
   c. CountryWide
   d. CSFB
   e. Deutsche
   f. Goldman Sachs
   g. Greenwich
   h. HSBC
   i. JPM
   j. Lehman
   k. Merrill Lynch
8. Deals Docs Top 5
   a. Bear-EMC (Sebring - SG0602)
      1. Deal Set Up
         a. Bear-EMC - Compliance Profile
         b. Bear-EMC - Credit Profile
         c. Deal Memo
         d. Deal Stratification Summary
         e. Guidelines Approval Form - Sebring Capital 041006
         f. Sebring guideline variance with Bear-EMC 041006
         g. Sebring Subprime Guidelines
         h. Sebring_Full_Doc_Matrix
         i. Sebring_Stated_Doc_Matrix
      2. Deal Tape
         a. DealTape
         b. DealTape
         c. DealTape
         d. DealTape.csv
      3. Reports
         a. Sebring (SG0602) Clayton DD Data File 051906
         b. Sebring (SG0602) Clayton DD Data File 051906
         c. Sebring (SG0602) Clayton DD Data File 052206
         d. Sebring (SG0602) Clayton DD Data File 052206
         e. Sebring (SG0602) Clayton DD Data File 052206
         f. Sebring (SG0602) Clayton DD Data File 052206
         g. Sebring (SG0602) Clayton DD Data File 052206
         h. Sebring (SG0602) Clayton DD Data File 052206
         i. Sebring (SG0602) Clayton DD Data File 052306
         j. Sebring (SG0602) Clayton DD Data File 052306
         k. Sebring (SG0602) Clayton DD Data File 052406
         l. Sebring (SG0602) Clayton DD Data File 052406
         m. Sebring (SG0602) Clayton DD Data File 052506
         n. Sebring (SG0602) Clayton DD Data File 052506
         o. Sebring (SG0602) Clayton Due Diligence Issues 051906
         p. Sebring (SG0602) Clayton Due Diligence Issues 051906
         q. Sebring (SG0602) Clayton Due Diligence Issues 052206
         r. Sebring (SG0602) Clayton Due Diligence Issues 052206
         s. Sebring (SG0602) Clayton Due Diligence Issues 052206
         t. Sebring (SG0602) Clayton Due Diligence Issues 052206
         u. Sebring (SG0602) Clayton Due Diligence Issues 052206
         v. Sebring (SG0602) Clayton Due Diligence Issues 052206
         w. Sebring (SG0602) Clayton Due Diligence Issues 052306
         x. Sebring (SG0602) Clayton Due Diligence Issues 052306
         y. Sebring (SG0602) Clayton Due Diligence Issues 052406
         z. Sebring (SG0602) Clayton Due Diligence Issues 052406
         aa. Sebring (SG0602) Clayton Due Diligence Issues 052506
         ab. Sebring (SG0602) Clayton Due Diligence Issues 052506
         ac. Sebring (SG0602) IAS 1 Report 051906
         ad. Sebring (SG0602) IAS 1 Report 052206
         ae. Sebring (SG0602) IAS 1 Report 052206
         af. Sebring (SG0602) IAS 1 Report 052206
         ag. Sebring (SG0602) IAS 1 Report 052306
b. Deutsche (Lenders direct - LNDR0609)
   1. Deal Set Up
      a. 1stMortgageUnderwritingGuidelines
      b. 2ndMortgageUnderwritingGuidelines
      c. Deal Memo
      d. Deal Stratification Summary
      e. Deutsche - compliance profile
      f. Deutsche - credit profile
      g. Lenders $33MM 09-05-06 - Bid Sheet to Clayton
      h. Lenders $33MM 09-05-06 - Bid Sheet to Clayton
      i. Scope for Deutsche reviews Sept 06
      j. Thumbs
   2. Deal Tape
      a. DealTape
      b. DealTape
      c. Lender’s Direct $33MM 09-05-06 - Collateral Sample
      d. Lender’s Direct $33MM 09-05-06 - Collateral Sample
      e. Lender’s Direct $33MM 09-05-06 - Payment History Review
      f. Lender’s Direct $33MM 09-05-06 - Payment History Review
      g. Lender’s Direct $33MM 09-05-06 - Inspection Order
      h. Lender’s Direct $33MM 09-05-06 - Inspection Order
   3. Reports
      a. Daily Reports
         1. LLDC20060824_Incmp
         2. LLDC20060824_Incmp
         3. LLDC20060831_Incmp
         4. LLDC20060831_Incmp
      b. Final Reports
         1. Exh 1 - Lenders $33MM 9-5-06 Summary Results
         2. Exh 1 - Lenders $33MM 9-5-06 Summary Results
         3. Exh 3 - Lenders $33MM 09-05-06 - Data Discrepancies
         4. Exh 3 - Lenders $33MM 09-05-06 - Data Discrepancies
         5. Exh 4 - Lenders $33MM 09-05-06 - Final Rejects
6. Exh 4 - Lenders $33MM 09-05-06 - Final Rejects
7. Exh 4 - Lenders $33MM 09-05-06 - Final Rejects from client
8. Exh 4 - Lenders $33MM 09-05-06 - Final Rejects from client
9. Lenders $33MM 09-05-06 - Open Issues 8.30.06(Tieout Call)
10. Lenders $33MM 09-05-06 - Open Issues 8.30.06(Tieout Call)
11. Lenders $33MM 09-05-06 - Street Solutions
12. Lenders $33MM 09-05-06 - Street Solutions

C. Goldman (AAMES - AAM0606A)
   1. Deal Set Up
      a. Deal Memo.htm
      b. Deal Stratification Summary
      c. Goldman - compliance profile
      d. Goldman - credit profile
   2. Deal Tape
      a. Deal Tape
      b. Deal Tape
   3. Reports
      a. Daily Reports
         1. COMPUPLD
         2. COMPUPLD
         3. COMPUPLD
         4. COMPUPLD
         5. COMPUPLD
         6. COMPUPLD
         7. COMPUPLD
         8. COMPUPLD
         9. COMPUPLD
        10. COMPUPLD
        11. DataFrequency20060627
        12. DataFrequency20060628
        13. DataFrequency20060628
        14. DataFrequency20060629
        15. DataFrequency20060706
        16. ExceptionDetail20060627
        17. ExceptionDetail20060627
        18. ExceptionDetail20060628
        19. ExceptionDetail20060628
        20. ExceptionDetail20060628
        21. ExceptionDetail20060628
        22. ExceptionDetail20060628
        23. ExceptionDetail20060629
        24. ExceptionDetail20060629
        25. ExceptionDetail20060629
        26. ExceptionDetail200606703
        27. ExceptionDetail200606703
        28. GSsummary
        29. GSsummary
        30. GSsummary
        31. GSsummary
        32. GSsummary
        33. GSsummary
        34. GSsummary
        35. GSsummary
        36. GSsummary
        37. GSsummary
        38. GSsummary
        39. GSsummary
        40. GSsummary
        41. GSsummary
        42. GSsummary
39. GSUPLD
40. GSUPLD
41. GSUPLD
42. GSUPLD
43. GSUPLD
44. GSUPLD
45. GSUPLD
46. GSUPLD
47. GSUPLD
48. IASEV320060627
49. IASEV320060628
50. IASEV320060628
51. IASEV320060629
52. IASEV3200606703
53. IASEV3200606706
54. LLDC20060627_Incmp
55. LLDC20060627_Incmp
56. LLDC20060628_Incmp
57. LLDC20060628_Incmp
58. LLDC20060628_Incmp
59. LLDC20060628_Incmp
60. LLDC20060629_Incmp
61. LLDC20060629_Incmp
62. LLDC20060706_Incmp
63. LLDC20060706_Incmp
64. LoanLevelDC20060627
65. LoanLevelDC20060628
66. LoanLevelDC20060628
67. LoanLevelDC20060629
68. LoanLevelDC20060706
69. LoanStatus20060627
70. LoanStatus20060627
71. LoanStatus20060628
72. LoanStatus20060628
73. LoanStatus20060628
74. LoanStatus20060628
75. LoanStatus20060629
76. LoanStatus20060629
77. LoanStatus20060706
78. LoanStatus20060706
79. LoanStatus20060711
80. LoanStatus20060711
81. SuperSummary20060627
82. SuperSummary20060628
83. SuperSummary20060628
84. SuperSummary20060629
85. SuperSummary20060706
86. TapeCompareField20060627
87. TapeCompareField20060628
88. TapeCompareField20060628
89. TapeCompareField20060629
90. TapeCompareField20060706
91. XLFrequency
92. XLFrequency
93. XLFrequency
b. Final Reports
   1. COMPUPLD
   2. COMPUPLD
   3. DataFrequency20060711
   4. ExceptionDetail20060711
   5. ExceptionDetail20060711
   6. ExceptionDetail20060711_03
   7. ExceptionDetail20060711_03
   8. GSSummary
   9. GSSummary
   10. GSUPLD
   11. GSUPLD
   12. IASEV320060711
   13. LLDC20060711_lines
   14. LLDC20060711_lines
   15. LoanLevelDC20060711
   16. LoanStatus20060711
   17. LoanStatus20060711
   18. SuperSummary20060711
   19. TapeCompareField20060711
   20. XLFrequency
   21. XLFrequency
   22. XLTApeComp
   23. XLTApeComp

d. Lehman (Option One - OPT6-7)
   1. Deal Setup
      a. Deal Memo
      b. Deal Stratification Summary
      c. Lehman Brothers - compliance profile
      d. Lehman Brothers - credit profile
      e. Lehman Deal Packet For Leads
      f. Lehman Option One Deal Specific Guidelines
   2. Deal Tape
      a. Deal Tape
      b. Deal Tape
   3. Reports
      a. Daily Reports
         1. 2006-06-30
            a. Event1 & 2 List
13. 2006-07-24
   a. Event1 & 2List
   b. Event1 & 2List
   c. Event1 & 2List.xls
   d. Event3List
   e. Event3List
   f. Event3List.xls
   g. Event3List.xls
   h. IASCREM20060724
   i. IASCREV20060724
   j. IASCREV20060724
   k. LLDC20060724_Incmp
   l. LLDC20060724_Incmp
   m. LoanLevelDC20060724

14. 2006-07-25
   a. Event1 & 2List
   b. Event1 & 2List
   c. Event3List
   d. Event3List
   e. IASCREM20060725
   f. IASCREV20060725
   g. IASCREV20060725
   h. LLDC20060725_Incmp
   i. LLDC20060725_Incmp
   j. LoanLevelDC20060725

15. 2006-07-26
   a. Event1 & 2List
   b. Event1 & 2List
   c. Event3List
   d. Event3List
   e. IASCREM20060726
   f. IASCREV20060726
   g. IASCREV20060726
   h. LLDC20060726_Incmp
   i. LLDC20060726_Incmp
   j. LoanLevelDC20060726

16. 2006-07-27
   a. Event1 & 2List
   b. Event1 & 2List
   c. Event3List
   d. Event3List
   e. IASCREM20060727
   f. IASCREV20060727
   g. IASCREV20060727
   h. LLDC20060727_Incmp
   i. LLDC20060727_Incmp
   j. LoanLevelDC20060727

17. 2006-08-03
   a. ExceptionDetail20060803
   b. ExceptionDetail20060803
   c. IASCREV20060803
   d. IASCREV20060803
   e. Thumbs
18. Moody's Upload 2006-08-22
   a. LEHMAN_OPT6-7_MOODYS
   b. LEHMAN_OPT6-7_MOODYS

b. Final Reports
   1. fees_report_20060803
   2. fees_report_20060803
   3. Final Event 3 List
   4. Final Event 3 List
   5. Frequency20060803
   6. IAS Credit 1s
   7. IAS Credit 2s
   8. IAS Credit or Compliance Event 3s
   9. Narrative-Option One 2006-7
   10. SuperSummary20060803
   11. TapeCompareField20060803
   12. Upload
   13. Upload

e. Morgan Stanley (Accredited - ACC0608)
   1. Deal Set Up
      a. 07_01_06_Guidelines
      b. Deal Memo
      c. Deal Stratification Summary
      d. Lead Deal Packet MS (06-27-07)
      e. morgan stanley - compliance profile
   2. Deal Tape
      a. Deal Tape
      b. Deal Tape
      c. Deal Tape
      d. Deal Tape.csv
   3. Reports
      a. 2006-07-19 Daily
         1. allexcp
         2. allexcp
      b. 2006-08-14 Daily
         1. Acc Loan Level Data compare (08-14-06)
         2. Acc Loan Level Data compare.xls (08-14-06)
         3. Accredited Credit Upload (08-14-06)
         4. Accredited Credit Upload (08-14-06)
         5. Accredited Credit Upload (08-14-06)
         6. Accredited Detailed Upload (08-14-06)
         7. Accredited Detailed Upload (08-14-06)
         8. Accredited Detailed Upload (08-14-06)
         9. Accredited Event 3's (08-14-06)
         10. Accredited Freq Compare (08-14-06).pdf
      c. 2006-08-17 Daily
         1. Accredited Credit Upload (08-17-06)
         2. Accredited Credit Upload (08-17-06)
         3. Accredited Detailed Upload (08-17-06)
         4. Accredited Detailed Upload.XLS (08-17-06)
         5. Accredited Event 3's (08-17-06)
         6. Accredited Event 3's (08-17-06)
         7. Detail Upload
         8. Detail Upload
      d. 2006-08-21 Daily
         1. Acc Loan Level Data Compare (08-21-06)
         2. Acc Loan Level Data Compare.xls (08-21-06)
         3. Daily Event3
e. 2006-08-30 Final
1. AC1150~1
2. AC134C~1
3. AC19CC~1
4. AC24CC~1
5. AC49B5~1
6. AC5161~1
7. AC5642~1
8. AC5734~1
9. AC67C2~1
10. ACCRED~1
11. ACCRED~1
12. ACCRED~2
13. ACCRED~2
14. ACCRED~3
15. ACCRED~3
16. ACCRED~4
17. ACCRED~4
18. ACCRED~8
19. ACD8BB~1
20. ACD9B6~1
21. ACE5A8~1
22. ACE8B1~1
23. ACE93C~1
24. ACEABD~1
25. ACF83C~1
26. Daily Event3 08-25-06
27. Daily Event3 08-25-06
28. DTI60
29. DTI60
30. Full Upload
31. Full Upload
32. HMDA Upload
33. HMDA Upload
34. Less 500
35. Less 500
36. Short Upload
37. Short Upload

f. 2006-09-08 Final
1. ACC August Detailed Upload
2. ACC August Detailed Upload
3. Daily Event3
4. Daily Event3
5. Daily Upload
6. Daily Upload
7. Detailed Upload
8. Detailed Upload
9. Loan_Disposition
10. Loan_Disposition

9. Deutsche Bank Final Reports
a. CTWDE 0412
   1. (12_1) CWALT 2004-30CB Due Diligence OV complete tape
   2. (12_1) CWALT 2004-30CB Due Diligence OV complete tape
3. Countrywide 2004-30CB 12-04 - Actual Sample Reviewed
5. Engagement Letter - Countrywide 2004-30CB Dec 04
6. Exh 1 - Ctwde 2004-30CB Dec 04 - Summary Results
7. Exh 1 - Ctwde 2004-30CB Dec 04 - Summary Results
8. Exh 4 - Countrywide 2004-30CB 12-04 - Final Rejects
9. Exh 4 - Countrywide 2004-30CB 12-04 - Final Rejects

b. DEU-CTWDE 0509c
1. compliance profile_deutsche_08-05-2005_2
2. COU $528MM Sept 05C - Open Issues 10-5-2005
3. COU $528MM Sept 05C - Open Issues 10-5-2005
4. COU $528MM Sept 05C - Spreadsheet
5. COU $528MM Sept 05C - Spreadsheet
6. Countrywide $528MM Sept 05C - Event 3 LoansPDF
7. Countrywide $528MM September 05C - Narrative
8. Exh 1 - Countrywide $528MM Sept 05C - Summary Results
9. Exh 1 - Countrywide $528MM Sept 05C - Summary Results
10. Exh 3 - COU $528MM Sept 05C - Data Discrepancies
11. Exh 3 - COU $528MM Sept 05C - Data Discrepancies
12. Exh 4 - COU $528MM Sept 05C - Final Rejects
13. Exh 4 - COU $528MM Sept 05C - Final Rejects

c. DEU-FRE 0406
1. Exh 1 - Fremont $1B June 06 - Summary Results
2. Exh 1 - Fremont $1B June 06 - Summary Results
3. Exh 3 - Fremont $1B June 06 - Data Discrepancies
4. Exh 3 - Fremont $1B June 06 - Data Discrepancies
5. Exh 4 - Fremont $1B June 06 - Final Rejects
6. Exh 4 - Fremont $1B June 06 - Final Rejects
7. Fremont $1B 06-29-06 Pay Histories Worksheet
8. Fremont $1B 06-29-06 Pay Histories Worksheet
9. Fremont $1B Final Value Issues (6-23)
10. Fremont $1B Final Value Issues (6-23)
11. Fremont $1B June 06 - Street Solutions Upload
12. Fremont $1B June 06 - Street Solutions Upload

d. DEU-GRNPT 0602A
1. Exh 1 - Greenpoint $510MM HELOC Feb 06 - Summary Results
2. Exh 1 - Greenpoint $510MM HELOC Feb 06 - Summary Results
3. Exh 3 - GreenPoint $510MM HELOC Feb 06 - Data Discrepancies
4. Exh 3 - GreenPoint $510MM HELOC Feb 06 - Data Discrepancies
5. Exh 4 - Greenpoint $510MM HELOC Feb06 - Final Rejects
6. Exh 4 - Greenpoint $510MM HELOC Feb06 - Final Rejects
7. GreenPoint $424MM Feb 06A - Street Solutions
8. GreenPoint $424MM Feb 06A - Street Solutions
9. Greenpoint $510MM HELOCs February 06 - Narrative

e. DEU-NCEN 0505
1. Exh 1 - NCEN $1BMM May 05 - Summary Results
2. Exh 1 - NCEN $1BMM May 05 - Summary Results
3. Exh 3 - NCEN $1B May 05 - Data Discrepancies
4. Exh 3 - NCEN $1B May 05 - Data Discrepancies
5. Exh 4 - NCEN $1B May 05 - Final Rejects
6. Exh 4 - NCEN $1B May 05 - Final Rejects
7. NCEN $1B July 05 - Narrative
8. NCEN $1B May 05 - Side Letter Loans
9. NCEN $1B May 05 - Side Letter Loans

f. IndyMac 2007-02 DEU
1. Exh 1 - IndyMac $325MM 03-23-07 - Summary Results
2. Exh 1 - IndyMac $325MM 03-23-07 - Summary Results
3. Exh 3 - IndyMac $325MM 02-28-07 - Data Discrepancies
4. Exh 3 - IndyMac $325MM 02-28-07 - Data Discrepancies
5. Exh 4 - IndyMac $325MM 02-28-07 - Final Rejects - Revised
6. Exh 4 - IndyMac $325MM 02-28-07 - Final Rejects - Revised
7. IndyMac $325MM 02-28-07 - Loan Disposition Report - Revised
8. IndyMac $325MM 02-28-07 - Loan Disposition Report - Revised
9. IndyMac $325MM 02-28-07 - Street Solutions Upload - Revised
10. IndyMac $325MM 02-28-07 - Street Solutions Upload - Revised
11. IndyMac $325MM 02-28-07 (02_26) Additional Rebuttal Results
12. IndyMac $325MM 02-28-07 (02_26) Additional Rebuttal Results
13. IndyMac $325MM 02-28-07 Pay History Results
14. IndyMac $325MM 02-28-07 Pay History Results

g. Option One 2006-06 DEU
1. Copy of Copy of Copy of OPTIONONEPAYHSTRY062506-A2
2. Copy of Copy of Copy of OPTIONONEPAYHSTRY062506-A2
3. Exh 1 - Option One $1B July 06 - Summary Results
4. Exh 1 - Option One $1B July 06 - Summary Results
5. Exh 3 - Option One $1B July 06 - Data Discrepancies
6. Exh 3 - Option One $1B July 06 - Data Discrepancies
7. Exh 4 - Option One $1B July 06 - Final Rejects
8. Exh 4 - Option One $1B July 06 - Final Rejects
9. OOMC $1B (7-14) Rebuttal Final
10. OOMC $1B (7-14) Rebuttal Final
11. Option One $1B July 06 - Street Solutions Upload
12. Option One $1B July 06 - Street Solutions Upload

h. PHH 2007-04 DEU
1. Exh 4 - PHHAM 2007-2 March 07 - Final Rejects
2. Exh 4 - PHHAM 2007-2 March 07 - Final Rejects

10. Greenwich
a. GREW GREW - OOMC 0605 Production Report

11. Lead Resumes
a. Abaray, Michael
b. Abbott, Jennifer
c. Alailima, Taiyoung
d. Alexander, H Roger
e. Allen, Doug
f. Allen, Thomas
g. Alongi, Robert
h. Alvarez, A Jose
i. Amando, Gwen
j. Andrews, Stephen
k. Aquino, Caroline
l. Baley, M Jennifer
m. Barden, Linda
n. Barham, Mary
o. Barmore, Gary
p. Bartay, Gregory
q. Beal, Grant
r. Beal, Herbert Grant (Trey)
s. Beckius, Cory
t. Beecher, Steve
u. Blair, Eric
v. Borrego, JoAnn
w. Bosman, Richelle
x. Bosman, S Richard
Boyer, L Mark
Breaux, Deborah
Brichetto, John
Briggs, Annetta
Brummer, Janet
Burt, James
Burt, Melanie
Burwin, Jerold
Calderon, Calderon
Califor, Susan
Callahan, Melody
Callans, Karen
Calloway, Michael
Camoia, Paul
Campfield, Junie Benjamin
Caravetta, Joe
Carr, Tremayne
Cascio III, Victor
Clarkson, James
Claypoole, Sherri
Clayton, Kara
Clemence, Douglas
Cochrane, Peggy
Cole, Lana
Collins, J Marcia
Conn, Sherrie
Conway, James
Daley, Michelle
Dallman, Paul
Day, C Marshall
DeCent, Joyce
Dedeaux, Corinne
DeGood, Andrew
DeLaVergne, R Michael
Della Pietra, Richard
Dickson, Jane Y
DiGesare, Matthew
Dinsmore, Brian
Dooley, C Sharon
Dotson, Joyce
Dunkin, Rebecca
Duplantis, Daniel
Durant, Christina
Ethun, Stefanie
Evans, Lynda
Evans, M Jocelyn
Forgit, Donald
Fox, Ralph
Frazier, Christopher
Freeney, Lori
Fricke, James R
Frego, Michelle
Fullington, O Wayne
Gaveglio, Debra
Gentry, Kris
12. Lehman
   a. INDY MAC 2006 09C LEH Production Report
   b. LEH - 1ST FRANK 2004-1-2 Production Report
   c. LEH - CW 2006-11 (CU) Pool B Production Reports
   d. LEH - CW 2006-11 (CU) Production Report
   e. LEH - LONG BEACH 2004-2 Production Report
   f. LEH - SUNTRUST 2004-18 & 2 Production Report 1
   g. LEH - SUNTRUST 2004-18 & 2 Production Report 2
   h. LEH - WELLS FARGO 2006-5 Production Report
   i. LEH - MERCANTILE 2004-1 Production Report
   j. Wilmington Finance 2006-06 Add ons Production Report
   k. Wilmington Finance 2006-06 Production Report

13. Merrill
   a. MEL - 1NLC0605 Production Report
   b. MEL - ACC0508 Production Report
   c. MEL - AMQ0601 Production Report
   d. MEL - DEC10411 Production Report
   e. MEL - FF0510 Production Report
   f. MEL - OWN0507 (CU) Production Report
   g. MEL - WMC0512NY Production Report
   h. Mortgage Lenders Network 2006-08 Merrill 1 Production Report
   i. RFC 2007-01 Merrill Production Report
   j. TBD MERRILL 2006-09 MEL Production Report

14. Rating Agency Production
   a. 1 Overview Documents
      1. Appendix 1 to Overview Memo
         a. CLAY-0027150_S&P Subprime Standards
         b. CLAY-0027336_SP Doc Type Instr
         c. CLAY-0027337_spLEVELS 5.6 Data Quality Manual
         d. CLAY-0027391_spLEVELS 5.6 File Format Release Notes
         e. CLAY-0027394_spLEVELS 5.6 File Format
      2. Appendix 2 to Overview Memo
         a. CLAY-0027401_2 - Moodys Data Capture
      3. Appendix 3 to Overview Memo
         a. CLAY--29
         b. CLAY--2F
         c. CLAY--35
         d. CLAY--40
         e. CLAY--45
         f. CLAY--0-C
         g. CLAY-0027408_April 2007 - Moody_s revised data field requirements
         h. CLAY-0027409_Moody's revised data field requirements Apr07
         i. CLAY-0027416_Moody's Residential Loan-by-Loan Layout
         j. CLAY-0027432_February 2005 - Moodys Rating Screen
         k. CLAY-0027433_Moodys Rating Screen
         l. CLAY-0027435_June 2006 - Moody's Coding Prime and AltA docs
         m. CLAY-0027436_Moody's Coding Prime and AltA docs 6-15-06
         n. CLAY-0027473_Moody's Approach to Documentation Programs
         o. CLAY-0027483_November 2006 - Moodys Data Capture
         p. CLAY-0027484_Moodys Data Capture
      4. CLAY-0027145_Rating Agency Overview
      5. CLAY-0027148_Report descriptions
   b. 2 Rating Agency Meetings
      1. Fitch
         a. CLAY-0027696_11-09-07_Fitch
7. Moody's Scenario 4
   a. Correspondence
   b. Reports
8. Moody's Scenario 5
   a. Correspondence
      1. CLAY-0027875_New Fields
9. Moody's Scenario 6
   a. Correspondence
   b. Reports
10. Moody's Scenario 7
    a. Correspondence
    b. Reports
11. S&P Scenario 8
    a. Correspondence
    b. Reports
d. C-Bass
   1. Compliance Letters_Dominion Example
   2. Compliance Letters_Fitch Example
3. Final Report Set Example
   a. CLAY-0027877_MAC_UPLOAD
   b. CLAY-0027877_MAC_UPLOAD
   c. CLAY-0027878_ExceptionDetail
   d. CLAY-0027878_ExceptionDetail
   e. CLAY-0027879_IASEV320070319
4. Moody's Scenario 1
   a. Correspondence
   b. Reports
5. Moody's Scenario 2
   a. Correspondence
   b. Reports
6. Moody's Scenario 3
   a. Correspondence
   b. Reports
7. Moody's Scenario 4
   a. Correspondence
   b. Reports
8. Moody's Scenario 5
   a. Correspondence
   b. Reports
9. Moody's Scenario 6
   a. Correspondence
   b. Reports
10. Moody's Scenario 7
    a. Correspondence
    b. Reports
11. S&P Scenario 8
    a. Correspondence
    b. Reports
e. Chase
   1. Compliance Letters_Dominion Example
   2. Compliance Letters_Fitch Example
3. Final Report Set Example
   a. CLAY-0028119_WilmingtonOctoberTapeCompareField20071022
   b. CLAY-0028133_Appraisal Name Upload
   c. CLAY-0028133_Appraisal Name Upload
   d. CLAY-0028134_HighCostTest_20071022
   e. CLAY-0028134_HighCostTest_20071022
   f. CLAY-0028135_IASEV3_Events_1_or_2_20071022
4. Moody's Scenario 1
   a. Correspondence
   b. Reports

5. Moody's Scenario 2
   a. Correspondence
   b. Reports

6. Moody's Scenario 3
   a. Correspondence
   b. Reports

7. Moody's Scenario 4
   a. Correspondence
   b. Reports

8. Moody's Scenario 5
   a. Correspondence
   b. Reports

9. Moody's Scenario 6
   a. Correspondence
   b. Reports

10. Moody's Scenario 7
    a. Correspondence
    b. Reports

11. S&P Scenario 8
    a. Correspondence
    b. Reports

f. Countrywide
   1. Compliance Letters, Dominion Example
   2. Compliance Letters, Fitch Example
   3. Final Report Set Example
      a. CLAY-0028927_IAS20070314
      b. CLAY-0028927_IAS20070314
      c. CLAY-0029626_ExceptionDetail
      d. CLAY-0029626_ExceptionDetail
      e. CLAY-0029627_ARP_043V
      f. CLAY-0029627_ARP_043V
      g. CLAY-0029628_Upload3
      h. CLAY-0029628_Upload3
      i. CLAY-0029629_Upload2
      j. CLAY-0029629_Upload2
4. Moody’s Scenario 1
   a. Correspondence
   b. Reports

5. Moody’s Scenario 2
   a. Correspondence
   b. Reports

6. Moody’s Scenario 3
   a. Correspondence
   b. Reports

7. Moody’s Scenario 4
   a. Correspondence
   b. Reports

8. Moody’s Scenario 5
   a. Correspondence
   b. Reports

9. Moody’s Scenario 6
   a. Correspondence
      1. CLAY-0029759_20071114124622893
   b. Reports
      1. CLAY-0029761 CW - Upload
      2. CLAY-0029761 CW - Upload

10. Moody’s Scenario 7
    a. Correspondence
    b. Reports

11. S&P Scenario 8
    a. Correspondence
    b. Reports

g. Credit Suisse
   1. Compliance Letters_ Dominion Example
   2. Compliance Letters_ Fitch Example
   3. Compliance Letters_ US Bank Example
      a. CLAY-0029762 Credit Suisse - CW MA Letter_110206
      b. CLAY-0029763 Credit Suisse - WF MA Letter_012706

4. Final Report Set Example
   a. CLAY-0029764 IASEV320071022
   b. CLAY-0029912 LLDC20071022_Incmp
   c. CLAY-0029912 LLDC20071022_Incmp
   d. CLAY-0029913 LoanStatusDetail_20071022
   e. CLAY-0029913 LoanStatusDetail_20071022
   f. CLAY-0029914 TBW8077_ExceptionDetail-20071022
   g. CLAY-0029914 TBW8077_ExceptionDetail-20071022
   h. CLAY-0029915 CSUPLOAD
   i. CLAY-0029915 CSUPLOAD

5. Moody’s Scenario 1
   a. Correspondence
   b. Reports

6. Moody’s Scenario 2
   a. Correspondence
7. Moody’s Scenario 3
   a. Correspondence
   b. Reports

8. Moody’s Scenario 4
   a. Correspondence
   b. Reports

9. Moody’s Scenario 5
   a. Correspondence
      1. CLAY-0029916_20071114124633011
   b. Reports

10. Moody’s Scenario 6
    a. Correspondence
       1. CLAY-0029918_20071114124628126
       2. CLAY-0029920_Script Screen Shot
    b. Reports

11. Moody’s Scenario 7
    a. Correspondence
    b. Reports

12. S&P Scenario 2
    a. Correspondence
       1. CLAY-~12
       2. CLAY-0029924_RG MORTGAGE-ALTA-20061130-7905-Diligence-Order
       3. CLAY-0029924_RG MORTGAGE-ALTA-20061130-7905-Diligence-Order
       4. CLAY-0029927_RG MORTGAGE-ALTA-20061228-7926-Diligence-Order
       5. CLAY-0029927_RG MORTGAGE-ALTA-20061228-7926-Diligence-Order
    b. Reports
       1. CLAY-0029928_Banco Popular Data Upload
       2. CLAY-0029928_Banco Popular Data Upload
       3. CLAY-0029929_HSB 8087 Copy of DetlList
       4. CLAY-0029929_HSB 8087 Copy of DetlList
       5. CLAY-0029930_R&G 2006-12-2 CSFB - RG 7926 - SettlementReport
       6. CLAY-0029930_R&G 2006-12-2 CSFB - RG 7926 - SettlementReport
       7. CLAY-0029931_R&G Puerto Rico 2006-12 FIR - RG7905DATA
       8. CLAY-0029931_R&G Puerto Rico 2006-12 FIR - RG7905DATA

13. S&P Scenario 8
    a. Correspondence
    b. Reports

h. Deutsche Bank
   1. Compliance Letters Fitch Examples
      a. CLAY-0029932_Fitch NM Letter - IndyMac 2004
   2. Compliance Letters__Dominion Examples
      a. CLAY-0~6
      b. CLAY-0-C
      c. CLAY-0029933_Deu ACE 2006-HE4 - Due Diligence Letter
      d. CLAY-0029938_Deutsche - Fre0410 - KY Individual Asset Summary
   3. Final Report Set Example
      a. CLAY-0-8
      b. CLAY-0029960_Exh 4 - Taylor Bean Whitaker $6MM 07-27-07 - Final Rejects
      c. CLAY-0029960_Exh 4 - Taylor Bean Whitaker $6MM 07-27-07 - Final Rejects
      d. CLAY-0029961_Taylor Bean Whitaker $6MM 07-27-07 - Loan Disposition Report
      e. CLAY-0029961_Taylor Bean Whitaker $6MM 07-27-07 - Loan Disposition Report
      f. CLAY-0029962_Taylor Bean Whitaker $6MM 07-27-07 - Street Solutions Upload
      g. CLAY-0029962_Taylor Bean Whitaker $6MM 07-27-07 - Street Solutions Upload
      h. CLAY-0029963_Exh 1 - Taylor Bean Whitaker $6MM 07-27-07 - Summary Results
      i. CLAY-0029963_Exh 1 - Taylor Bean Whitaker $6MM 07-27-07 - Summary Results
   4. Moody’s Scenario 1
      a. Correspondence
5. Moody's Scenario 2
   a. Correspondence
   b. Reports

6. Moody's Scenario 3
   a. Correspondence
   b. Reports

7. Moody's Scenario 4
   a. Correspondence
   b. Reports
      1. CLAY-0029964_ACE2007HE6_Income Request_Clayton results
      2. CLAY-0029964_ACE2007HE6_Income Request_Clayton results

8. Moody's Scenario 5
   a. Correspondence
   b. Reports

9. Moody's Scenario 6
   a. Correspondence
   b. Reports

10. Moody's Scenario 7
    a. Correspondence
    b. Reports

11. Rating Agency Presentations
    a. CLAY-0029965_ACE 2005-HE7 -Summary Results
    b. CLAY-0029965_ACE 2005-HE7 -Summary Results
    c. CLAY-0029966_AHM $195MM May 2006 - Narrative
    d. CLAY-0029974_CSM Deal Spreadsheet
    e. CLAY-0029974_CSM Deal Spreadsheet
    f. CLAY-0029975_Exh 1 - AHM $195MM May 06 - Summary Results Revised
    g. CLAY-0029975_Exh 1 - AHM $195MM May 06 - Summary Results Revised

12. S&P Scenario 8
    a. Correspondence
    b. Reports

i. Goldman
   1. Compliance Letters_Dominion Examples
   2. Compliance Letters_Fitch Examples
   3. Final Report Set Example
      a. CLAY-0029976_NTM Shock Qualification
      b. CLAY-0029976_NTM Shock Qualification
      c. CLAY-0029977_SuperSummary20071022
      d. CLAY-0029983_Data Frequency
      e. CLAY-0029983_Data Frequency
      f. CLAY-0029984_Tape Compare
      g. CLAY-0029984_Tape Compare
      h. CLAY-0029985_ExceptionDetail
      i. CLAY-0029985_ExceptionDetail
      j. CLAY-0029986_Data Frequency
      k. CLAY-0030059_Exception Summary
      l. CLAY-0030059_Exception Summary
      m. CLAY-0030060_Tape Compare
      n. CLAY-0030066_Upload v3 (compliance)
      o. CLAY-0030066_Upload v3 (compliance)
      p. CLAY-0030067_Upload v2
      q. CLAY-0030067_Upload v2
      r. CLAY-0030068_Goldman Special Summary
      s. CLAY-0030068_Goldman Special Summary
      t. CLAY-0030069_Upload v1
      u. CLAY-0030069_Upload v1
4. Moody’s Scenario 1
   a. Correspondence
   b. Reports
5. Moody’s Scenario 2
   a. Correspondence
   b. Reports
6. Moody’s Scenario 3
   a. Correspondence
   b. Reports
7. Moody’s Scenario 4
   a. Correspondence
   b. Reports
8. Moody’s Scenario 5
   a. Correspondence
   b. Reports
9. Moody’s Scenario 6
   a. Correspondence
      1. CLAY-0030090_Goldman Moody’s Screen
   b. Reports
10. Moody’s Scenario 7
    a. Correspondence
    b. Reports
11. S&P Scenario 8
    a. Correspondence
    b. Reports

j. Greenwich
1. Compliance Letters_Dominion Examples
2. Compliance Letters_Fitch Examples
3. Final Report Set Example
   a. CLAY-0030092_20070822SummarybyPool
   b. CLAY-0030092_20070822SummarybyPool
   c. CLAY-0030093_FirstNLCNovember2007_Exception3M-20071108
   d. CLAY-0030093_FirstNLCNovember2007_Exception3M-20071108
   e. CLAY-0030094_Loan_Disposition
   f. CLAY-0030094_Loan_Disposition
   g. CLAY-0030095_11.08.07_First NLC November 2007_EventStatus
   h. CLAY-0030095_11.08.07_First NLC November 2007_EventStatus
   i. CLAY-0030096_11.08.07_First NLC November 2007_IAS_Event3
   j. CLAY-0031374_11.08.07_First NLC November 2007_LoanLevelDataComp
   k. CLAY-0031374_11.08.07_First NLC November 2007_LoanLevelDataComp
   l. CLAY-0031375_Final Upload (not yet delivered to client)
   m. CLAY-0031375_Final Upload (not yet delivered to client)
1. CLAY-~24
2. CLAY-~2B
3. CLAY-~5D
4. CLAY-~71
5. CLAY-~78
6. CLAY-~80
7. CLAY-~9E
8. CLAY-~A5
9. CLAY-~CB
10. CLAY-~D1
11. CLAY-0~5
12. CLAY-0031376_FW Greenwich Data Scrub
13. CLAY-0031388_FW HSBC Data Scrub info
14. CLAY-0031390_FW HSBC Data Scrub info.msg^HSBC Scrub Screens
15. CLAY-0031393_FW Moody's Mortgage History
16. CLAY-0031394_FW Moody's Mortgage History.msg^History Grade
17. CLAY-0031394_FW Moody's Mortgage History.msg^History Grade
18. CLAY-0031396_FW Moody's Script Enhancements
19. CLAY-0031400_Moody's Upload
20. CLAY-0031401_Moody's Upload.msg^LEHMAN_PC6-1DS_MOODY'S
21. CLAY-0031401_Moody's Upload.msg^LEHMAN_PC6-1DS_MOODY'S
22. CLAY-0031402_RE Book1.xls
23. CLAY-0031408_RE First Franklin - Moody's Data
24. CLAY-0031410_RE First Franklin ALT-B Data
25. CLAY-0031412_sampsch_altb
26. CLAY-0031412_sampsch_altb
27. CLAY-0031413_RE First Franklin Review
28. CLAY-0031415_RE Greenwich Data Scrub
29. CLAY-0031426_RE Moody's Mortgage History
30. CLAY-0031428_Untitled
31. CLAY-0031429_Untitled.msg^Moody's Residential Loan-by-Loan Layout (2)
32. CLAY-0031447_Book1.xls
33. CLAY-0031452_Book1.xls.msg^Book1
34. CLAY-0031452_Book1.xls.msg^Book1
35. CLAY-0031453_First Franklin
36. CLAY-0031454_FW Greenwich FFRK Moody's Scrub Next Week
37. CLAY-0031456_RE Greenwich - First Franklin Moody's Data Capture 3
38. CLAY-0031465_RE Greenwich - First Franklin Moody's Data Capture 4
39. CLAY-0031473_RE Greenwich - First Franklin Moody's Data Capture 5
40. CLAY-0031482_RE Greenwich - First Franklin Moody's Data Capture
41. CLAY-0031492_RE Greenwich - First Franklin Moody's Data Capture 1
42. CLAY-0031499_RE Greenwich - First Franklin Moody's Data Capture 2
43. Reports
   1. CLAY-0031506_Greenwich - Moody_s 8NM
   2. CLAY-0031506_Greenwich - Moody_s 8NM
44. Moody's Scenario 3
   a. Correspondence
      1. CLAY-0031507_Haborview 2007 2 and 3 now
   b. Reports
      1. CLAY-0031511_DetlList
      2. CLAY-0031511_DetlList
45. Moody's Scenario 4
   a. Correspondence
   b. Reports
46. Moody's Scenario 5
   a. Correspondence
9. Moody’s Scenario 6
   a. Correspondence
      1. CLAY-0031512_FW Greenwich - Moody's Doc Type
      2. CLAY-0031514_Moody’s Residential Loan-by-Loan Layout
      3. CLAY-0031530_Moody’s Doc Type
      4. CLAY-0031531_RE_S&P doc Type
   b. Reports

10. Moody’s Scenario 7
    a. Correspondence
    b. Reports

11. S&P Scenario 8
    a. Correspondence
    b. Reports

k. HSBC
   1. Compliance Letters_ Dominion Examples
   2. Compliance Letters_ Fitch Examples
   3. Final Report Set Example
      a. CLAY-0031533_EV3 IAS REPORT20070831
      b. CLAY-0031700_Event Status Report
      c. CLAY-0031700_Event Status Report
      d. CLAY-0031701_Fees_Report
      e. CLAY-0031701_Fees_Report
      f. CLAY-0031702_HSBC Exception Detail - All Loans
      g. CLAY-0031702_HSBC Exception Detail - All Loans
      h. CLAY-0031703_Loan_Disposition
      i. CLAY-0031703_Loan_Disposition
      j. CLAY-0031704_LoanLevelIDC20070831
      k. CLAY-0031802_prodlog20070831
      l. CLAY-0031806_Super Summary
      m. CLAY-0031810_EV1 IAS REPORT20070831
      n. CLAY-0032101_EV2 IAS REPORT20070831
      o. CLAY-0032323_LoanLevelDataCompare
      p. CLAY-0032323_LoanLevelDataCompare
      q. CLAY-0032324_ExceptionDetail Event 3
      r. CLAY-0032324_ExceptionDetail Event 3
      s. CLAY-0032325_Complete DD Results Upload
      t. CLAY-0032325_Complete DD Results Upload

4. Moody’s Scenario 1
   a. Correspondence
   b. Reports

5. Moody’s Scenario 2
   a. Correspondence
      1. CLAY-0032326_FW HSBC - First Franklin 2006-4 DS
      2. CLAY-0032327_Data Scrub Template
      3. CLAY-0032327_Data Scrub Template
   b. Reports
      1. CLAY-0032328_HSBC - FF 2006-4 Data Scrub 20JUL06
      2. CLAY-0032328_HSBC - FF 2006-4 Data Scrub 20JUL06

6. Moody’s Scenario 3
   a. Correspondence
   b. Reports

7. Moody’s Scenario 4
   a. Correspondence
   b. Reports

8. Moody’s Scenario 5
   a. Correspondence
9. Moody's Scenario 6
   a. Correspondence
   b. Reports

10. Moody's Scenario 7
    a. Correspondence
    b. Reports
       1. CLAY-0032329_HSBC - Moody's Rating Screen

11. S&P Scenario 8
    a. Correspondence
    b. Reports

I. JP Morgan
   1. Compliance Letters_Dominion Examples
   2. Compliance Letters_Fitch Examples
      a. CLAY-0032330_JPM - Clayton DBRS Rating Letter CBASS 2006-CB7

4. Final Report Set Example
   a. CLAY-0032334_NatCity20071105(5448)Exception_Summary20070930
   b. CLAY-0032334_NatCity20071105(5448)Exception_Summary20070930
   c. CLAY-0032335_DDUpload
   d. CLAY-0032335_DDUpload
   e. CLAY-0032336_Loan_Disposition
   f. CLAY-0032336_Loan_Disposition
   g. CLAY-0032337_LoanList20070930
   h. CLAY-0032337_LoanList20070930
   i. CLAY-0032338_LoanStatus20070930
   j. CLAY-0032338_LoanStatus20070930
   k. CLAY-0032339_LoanLevelDataCompare
   l. CLAY-0032339_LoanLevelDataCompare
   m. CLAY-0032340_LoanLevelDataCompare

5. Moody's Scenario 1
   a. Correspondence
   b. Reports

6. Moody's Scenario 2
   a. Correspondence
   b. Reports

7. Moody's Scenario 3
   a. Correspondence
   b. Reports

8. Moody's Scenario 4
   a. Correspondence
   b. Reports

9. Moody's Scenario 5
   a. Correspondence
   b. Reports

10. Moody's Scenario 6
    a. Correspondence
    b. Reports

11. Moody's Scenario 7
    a. Correspondence
    b. Reports

12. S&P Scenario 8
    a. Correspondence
    b. Reports

m. Lehman
   1. Compliance Letters_Dominion Examples
      a. CLAY-0032351_Lehman - DBRS Rating Letter - SASCO 2007-WF2
      b. CLAY-0032355_Lehman - DBRS Rating Letter - WFHET 2007-2
2. Compliance Letters_ Fitch Examples
   a. CLAY-0032365_Lehman - BNC 2003-10 FITCH LETTER
   b. CLAY-0032366_Lehman - Sample IAS - Compliance Only - Fitch Letter

3. Final Report Set Example
   a. CLAY-0032369_PHH 2007-2 IAS_Credit1_09.17.07
   b. CLAY-0032446_PHH 2007-2 IAS_Credit2_09.17.07
   c. CLAY-0032453_PHH 2007-2 IAS_Credit_or_Compliance_3_09.17.07
   d. CLAY-0032457_PHH 2007-2 Loan_Disposition
   e. CLAY-0032457_PHH 2007-2 Loan_Disposition
   f. CLAY-0032458_PHH 2007-2 LoanLevelDataComp_09.17.07
   g. CLAY-0032461_PHH 2007-2 LoanLevelDataComp_09.17.07
   h. CLAY-0032462_PHH 2007-2 Super Summary
   i. CLAY-0032466_PHH 2007-2 Upload
   j. CLAY-0032466_PHH 2007-2 Upload
   k. CLAY-0032467_PHH 2007-2_Credit1and2List-20070917
   l. CLAY-0032467_PHH 2007-2_Credit1and2List-20070917
   m. CLAY-0032468_PHH 2007-2 DataFrequency20070924
   n. CLAY-0032499_PHH 2007-2_Event3List-20070917
   o. CLAY-0032499_PHH 2007-2_Event3List-20070917
   p. CLAY-0032500_PHH 2007-2_TapeCompareField20070924
   q. CLAY-0032511_PHH 2007-2 Narrative
   r. CLAY-0032516_PHH 2007-2_Fees_Report_20070918
   s. CLAY-0032516_PHH 2007-2_Fees_Report_20070918
   t. CLAY-0032516_PHH 2007-2_Fees_Report_20070918

4. Moody's Scenario 1
   a. Correspondence
   b. Reports
      1. CLAY-0032517_Lehman - Moodys Data Upload
      2. CLAY-0032517_Lehman - Moodys Data Upload
      3. CLAY-0032518_LEHMAN_PC6-1DS_MOODYS
      4. CLAY-0032518_LEHMAN_PC6-1DS_MOODYS

5. Moody's Scenario 2
   a. Correspondence
   b. Reports
      1. Peoples Choice 2006 09 Moodys
         a. CLAY-0032522_LEHMAN PC6-1DS - MISSING DOCS
         b. CLAY-0032522_LEHMAN PC6-1DS - MISSING DOCS
         c. CLAY-0032523_LEHMAN PC6-1DS_MOODYS
         d. CLAY-0032523_LEHMAN PC6-1DS_MOODYS
      2. CLAY-0032519_CW 2007-2 - Moody's Data Scrub
      3. CLAY-0032519_CW 2007-2 - Moody's Data Scrub
      4. CLAY-0032520_Wells Fargo 2006 08 LEHMAN_WF6-16DS_MOODYS
      5. CLAY-0032520_Wells Fargo 2006 08 LEHMAN_WF6-16DS_MOODYS
      6. CLAY-0032521_Wells Fargo 2007 3 LEH - Moodys Data Scrub
      7. CLAY-0032521_Wells Fargo 2007 3 LEH - Moodys Data Scrub

6. Moody's Scenario 3
   a. Correspondence
      1. BNCMT 2007-4
         a. CLAY-0032527_FW Aug FNMA Verify _ Moody Data
         b. CLAY-0032529_August Moodys Data Request
         c. CLAY-0032529_August Moodys Data Request
         d. CLAY-0032530_FW BNCMT 2007-4 Moody Data Clayton
         e. CLAY-0032532_FW July FNMA Verify _ Moody Data
         f. CLAY-0032534_Moodys - Loan List
         g. CLAY-0032534_Moodys - Loan List
         h. CLAY-0032535_Moody's Data Request
i. CLAY-0032535_Moody's Data Request
j. CLAY-0032536_FW Sept FNMA Verify _ Moody Data Clayton
k. CLAY-0032538_Sept Request_ Moodys Data - 22 Loans
l. CLAY-0032538_Sept Request_ Moodys Data - 22 Loans

2. HSBC SOV
   a. CLAY-0032539_FW HSBC SOV Moody Data Clayton
   b. CLAY-00325341 9 Loans
   c. CLAY-0032570_FW HSBC SOV Moody Data Clayton
   d. CLAY-0032572_Moody's Data - HSBC SOV
   e. CLAY-0032572_Moody's Data - HSBC SOV

3. CLAY-0032524_FW HSBC SOV Moody Data Clayton
4. CLAY-0032526_Moody's Data - HSBC SOV
5. CLAY-0032526_Moody's Data - HSBC SOV

b. Reports
   1. BNCMT 2007-4
      a. CLAY-0032574_August Moodys Data Request
      b. CLAY-0032574_August Moodys Data Request
   c. CLAY-0032575_Lehman - Sept Request_ Moodys Data - 22 Loans
   d. CLAY-0032575_Lehman - Sept Request_ Moodys Data - 22 Loans
   e. CLAY-0032576_Moody_s Data Request
   f. CLAY-0032576_Moody_s Data Request
   g. CLAY-0032577_Moodys - Loan List
   h. CLAY-0032577_Moodys - Loan List

2. HSBC SOV
   a. CLAY-0032578_LehmanHSBCSOV - Moody_s Data For Lehman
   b. CLAY-0032578_LehmanHSBCSOV - Moody_s Data For Lehman

3. CLAY-0032573_Lehman - August 2007 Moodys Data Request
4. CLAY-0032573_Lehman - August 2007 Moodys Data Request

7. Moody’s Scenario 4
   a. Correspondence
   b. Reports

8. Moody’s Scenario 5
   a. Correspondence
      1. CLAY-0032579_FW Lehman Moody’s Data Collection Procedure
      2. CLAY-0032580_Lehman Moody’s Review Procedures -- 6-20-07 Revision
      3. CLAY-0032583_Expanded Data Press Release
   b. Reports

9. Moody’s Scenario 6
   a. Correspondence
   b. Reports

10. Moody’s Scenario 7
    a. Correspondence
    b. Reports

11. S&P Scenario 8
    a. Correspondence
    b. Reports

n. Merrill Lynch
   1. Compliance Letters _ Dominion Examples
   2. Compliance Letters _ Fitch Examples
   3. Final Report Set Example
      a. CLAY-0032585_IAS Event 1-2-5-9
      b. CLAY-0032802_IAS Event 3-4
      c. CLAY-0032923_Loan Level Data Compare
      d. CLAY-0032923_Loan Level Data Compare
      e. CLAY-0032924_Loan Disposition
      f. CLAY-0032924_Loan Disposition
      g. CLAY-0032925_LoanLevelDataCompare
4. Moody's Scenario 1
   a. Correspondence
      1. CLAY-0035732_March FFMER Diligence
      2. CLAY-0035733_FW Moody's fields
      3. CLAY-0035734.OwnerIT_2006-110_Moody
      4. CLAY-0035734.OwnerIT_2006-110_Moody
      5. CLAY-0035735_Mortgage History Template for Moodys version 3
      6. CLAY-0035735_Mortgage History Template for Moodys version 3
   b. Reports
      1. Owner 2006-10 MEL 2
         a. CLAY-0035737.OwnerIT_2006-110_Moody
         b. CLAY-0035737.OwnerIT_2006-110_Moody
      2. ResMae 2007-01 - RES0702
         a. CLAY-0035738.RES0702_Moody_s_Report
         b. CLAY-0035738.RES0702_Moody_s_Report
5. Moody's Scenario 2
   a. Correspondence
   1. CLAY-0035739_RE RFC
   b. Reports
   1. CLAY-0035741_Clipboard of RFC_Moodys_2006_136_Moodys_Report_20070112_2
   2. CLAY-0035741_Clipboard of RFC_Moodys_2006_136_Moodys_Report_20070112_2

6. Moody's Scenario 3
   a. Correspondence
   b. Reports

7. Moody's Scenario 4
   a. Correspondence
   b. Reports

8. Moody's Scenario 5
   a. Correspondence
   1. CLAY-0035742_FW Moody's
   b. Reports

9. Moody's Scenario 6
   a. Correspondence
   1. CLAY-0035745_FW New Moody's Doc Level
   b. Reports

10. Moody's Scenario 7
    a. Correspondence
    b. Reports

11. S&P Scenario 4
    a. Correspondence
    1. CLAY-0035747_RE MLN 53 and 70
    2. CLAY-0035748_MLN_2006-53_Income&DocLevel
    3. CLAY-0035748_MLN_2006-53_Income&DocLevel
    4. CLAY-0035749_MLN_2006-70_Income&DocLevel
    5. CLAY-0035749_MLN_2006-70_Income&DocLevel
    b. Reports
    1. CLAY-0035750_MLN_2006-53_Income&DocLevel
    2. CLAY-0035750_MLN_2006-53_Income&DocLevel
    3. CLAY-0035751_MLN_2006-70_Income&DocLevel
    4. CLAY-0035751_MLN_2006-70_Income&DocLevel

12. S&P Scenario 8
    a. Correspondence
    b. Reports

  o. Morgan Stanley
    1. Compliance Letters_Dominion Examples
    2. Compliance Letters_Fitch Examples
    a. CLAY-0-2

  3. Final Report Set Example
    a. CLAY-0035756_LoanLevelDataCompare
    b. CLAY-0035756_LoanLevelDataCompare
    c. CLAY-0035757_Loan_Disposition
    d. CLAY-0035757_Loan_Disposition
    e. CLAY-0035758_Management Exceptions
    f. CLAY-0035758_Management Exceptions
    g. CLAY-0035759_pool level exit
    h. CLAY-0035759_pool level exit
    i. CLAY-0035760_Final Tie-Out - Short Upload
    j. CLAY-0035760_Final Tie-Out - Short Upload
    k. CLAY-0035761_TapeCompareField20070621
    l. CLAY-0035769_Final Tie-Out - Detailed Upload
    m. CLAY-0035769_Final Tie-Out - Detailed Upload
n. CLAY-0035770_Final Tie-Out - DTI60
o. CLAY-0035770_Final Tie-Out - DTI60
p. CLAY-0035771_Final Tie-Out - Fico Less 500
q. CLAY-0035771_Final Tie-Out - Fico Less 500
r. CLAY-0035772_FNMA Exceptions
s. CLAY-0035772_FNMA Exceptions
t. CLAY-0035773_Final Tie-Out - Full Upload
u. CLAY-0035773_Final Tie-Out - Full Upload
v. CLAY-0035774_Final Tie-Out - Collateral Analysis
w. CLAY-0035774_Final Tie-Out - Collateral Analysis

4. Moody’s Scenario 1
   a. Correspondence
   b. Reports

5. Moody’s Scenario 2
   a. Correspondence
      1. CLAY-0035775_Alt-B Data Scrub on Non-Sample Loans
      2. CLAY-0035777_Scrub list
   b. Reports
      1. CLAY~169
      2. CLAY~16F
      3. CLAY~19
      4. CLAY~191
      5. CLAY~197
      6. CLAY~1F
      7. CLAY~25
      8. CLAY~2B
      9. CLAY~31
     10. CLAY~37
     11. CLAY~40
     12. CLAY~46
     13. CLAY~56
     14. CLAY~5C
     15. CLAY~6B
     16. CLAY~71
     17. CLAY~8E
     18. CLAY~94
     19. CLAY~B1
     20. CLAY~B7
     21. CLAY~C0
     22. CLAY~C6
     23. CLAY~E3
     24. CLAY~E9
     25. CLAY~EF
     26. CLAY~F5
     27. CLAY-0035778_Accredited 2007-02 MOR Scrub - Reserves Report (02-22-07)
     28. CLAY-0035778_Accredited 2007-02 MOR Scrub - Reserves Report (02-22-07)
     29. CLAY-0035779_Accredited 2007-04 MOR Scrub - Reserves Report (4-25-07)
     30. CLAY-0035779_Accredited 2007-04 MOR Scrub - Reserves Report (4-25-07)
     31. CLAY-0035784_DEC ONE 2006-10D MOR2 - Data Scrub 10-26-06
     32. CLAY-0035784_DEC ONE 2006-10D MOR2 - Data Scrub 10-26-06
     33. CLAY-0035786_Decision One 2006-06-1 Data Scrub 6-22-06
     34. CLAY-0035786_Decision One 2006-06-1 Data Scrub 6-22-06
     35. CLAY-0035788_Decision One 2006-09 MOR Scrub (09-27-06)
Moody's Scenario 3
   a. Correspondence
   b. Reports

Moody's Scenario 4
   a. Correspondence
   b. Reports

Moody's Scenario 5
   a. Correspondence
   b. Reports

Moody's Scenario 6
   a. Correspondence
   b. Reports

Moody's Scenario 7
   a. Correspondence
   b. Reports

S&P Scenario 8
   a. Correspondence
   b. Reports

Nomura
   1. Compliance Letters_Dominion Examples
   2. Compliance Letters_Fitch Examples
      a. CLAY-0035826_Nomura - Template of DDLette template
   3. Final Report Set Example
      a. CLAY-0035827_03.14.07_KayCo 10_ExceptionDetail
      b. CLAY-0035827_03.14.07_KayCo 10_ExceptionDetail
      c. CLAY-0035828_03.14.07_KayCo 10_EventStatus
      d. CLAY-0035828_03.14.07_KayCo 10_EventStatus
4. Moody's Scenario 1
   a. Correspondence
   b. Reports

5. Moody's Scenario 2
   a. Correspondence
   b. Reports

6. Moody's Scenario 3
   a. Correspondence
   b. Reports

7. Moody's Scenario 4
   a. Correspondence
   b. Reports

8. Moody's Scenario 5
   a. Correspondence
   b. Reports

9. Moody's Scenario 6
   a. Correspondence
   b. Reports

10. Moody's Scenario 7
    a. Correspondence
    b. Reports

11. S&P Scenario 8
    a. Correspondence
    b. Reports

q. UBS
   1. Compliance Letters_ Dominion Examples
   2. Compliance Letters_ Fitch Examples
      a. CLAY-0035905_UBS_Fitch-KY-Multiple loans
      b. CLAY-0035906_UBS_Fitch-KY-Single Loan
      c. CLAY-0035907_UBS_Fitch-NJ-Multiple loans
      d. CLAY-0035908_UBS_Fitch-NJ-Single loan
      e. CLAY-0035909_UBS_Fitch-NM-Multiple loans
      f. CLAY-0035910_UBS_Fitch-NM-Single Loan

3. Final Report Set Example
   a. CLAY-0035911_ExceptionDetail
   b. CLAY-0035911_ExceptionDetail
   c. CLAY-0035912_IAS_Event 3
   d. CLAY-0036649_Loan_Disposition
   e. CLAY-0036649_Loan_Disposition
   f. CLAY-0036650_LoanLevelDataCompare
   g. CLAY-0036684_LoanLevelDataCompare
   h. CLAY-0036804_LoanLevelDataCompare
   i. CLAY-0036805_Moodys Upload
   j. CLAY-0036805_Moodys Upload
   k. CLAY-0036806_SubPrime_Upload
   l. CLAY-0036806_SubPrime_Upload

4. Moody's Scenario 1
   a. Correspondence
   b. Reports
      1. Reports
         a. CLAY-0036807_FNLC0608_Moody_sData_Aug28
5. Moody's Scenario 2
   a. Correspondence
   b. Reports
6. Moody’s Scenario 3
   a. Correspondence
   b. Reports
7. Moody’s Scenario 4
   a. Correspondence
   b. Reports
8. Moody’s Scenario 5
   a. Correspondence
   b. Reports
9. Moody’s Scenario 6
   a. Correspondence
   b. Reports
10. Moody’s Scenario 7
    a. Correspondence
    b. Reports
11. S&P Scenario 8
    a. Correspondence
    b. Reports
r. WAMU-WMMSC
   1. Compliance Letters Dominion Examples
      a. Correspondence
      b. Deals
   2. Compliance Letters Fitch Examples
      a. Correspondence
      b. Deals
3. Final Report Set
   a. CLAY-0036809_Tape Deltas - Pool Level
   b. CLAY-0036809_Tape Deltas - Pool Level
   c. CLAY-0036810_Due Diligence Summary
   d. CLAY-0036810_Due Diligence Summary
   e. CLAY-0036811_ExceptionDetail-20070605
   f. CLAY-0036811_ExceptionDetail-20070605
   g. CLAY-0036812_Exceptions Criteria Listing
   h. CLAY-0036815_Loan Disposition Detail
   i. CLAY-0036815_Loan Disposition Detail
   j. CLAY-0036816_Loan Disposition Summary
   k. CLAY-0036817_Loan Disposition
   l. CLAY-0036817_Loan Disposition
   m. CLAY-0036818_PEOPLES CHOICE 03 - Single cell exceptions 06-05-2007
   n. CLAY-0036818_PEOPLES CHOICE 03 - Single cell exceptions 06-05-2007
   o. CLAY-0036819_Tape Deltas - Loan Level
   p. CLAY-0037034_Tape Deltas - Loan Level
   q. CLAY-0037034_Tape Deltas - Loan Level
   r. CLAY-0037035_Tape Deltas - Pool Level
   s. CLAY-0037039_SportyExport
   t. CLAY-0037039_SportyExport
   u. CLAY-0037040_Export01-AppraisalInformation
   v. CLAY-0037040_Export01-AppraisalInformation
   w. CLAY-0037041_Export02-ARMInformation
   x. CLAY-0037041_Export02-ARMInformation
   y. CLAY-0037042_Export03-BCCreditInformation
4. Moody's Scenario 1
   a. Correspondence
   b. Reports
5. Moody's Scenario 2
   a. Correspondence
   b. Reports
6. Moody's Scenario 3
   a. Correspondence
   b. Reports
7. Moody's Scenario 4
   a. Correspondence
   b. Reports
8. Moody's Scenario 5
   a. Correspondence
   b. Reports
9. Moody's Scenario 6
   a. Correspondence
   b. Reports
10. Moody's Scenario 7
    a. Correspondence
    b. Reports
11. S&P Scenario 8
    a. Correspondence
    b. Reports
15. Training and Hiring
16. Training and Hiring (Lead QC UW)
   a. 2004 Leads Conference Jan 17 to 18 2004
      1. 2004 Leads Action Plan Followup
      2. 2004 Leads Agenda Final
      3. 2004 Leads CLAS Data and Narratives
      4. 2004 Leads Clayton Tale of the Tape
      5. 2004 Leads Computer and Network Services
      6. 2004 Leads Due Diligence Trends
      7. 2004 Leads Lead Introductions
      8. 2004 Leads Life of a Loan
      10. 2004 Leads Regulatory Compliance
      12. 2004 Leads Upcoming CLAS Enhancements
      13. 2004 Leads What Does It Take to Strat a Job
b. 2004 Surge Training-Fall
   1. CLAS Reporter (Winfish) Manual 5.5.6
   2. Clayton CLAS Reporter
   3. Compliance Training Workshop I
   4. Proj Mgmt and Best Prac
   5. Proj Mgmt and Best Prac-Outline
   6. Proj Mgmt and Best Prac-Take Away

c. 2004 to 2005_ Lead Training
   1. Colts_ Clayton OutLine and Training System
   2. Conference Agenda_ 10-25-04
   3. Conference Agenda_ 10-25-04
   4. Deal Management_ Accessing E-mail
   5. Deal Management_ Down Loading A New Deal
   6. Deal Management_ Network Set-up
   7. Deal Management_ Network Set-up Doc
   8. Deal Management_ New WinFish CLAS Backup
   9. Deal Management_ Shipping and Receiving Equipment
   10. Differences in Lenders
   11. Excel Lunctions for Leads and QC
   12. Lead Diagnostic Tool
   13. MCI Access Manager
   14. Network Set-up
   15. Project Management Best Practices
   16. Project Management Best Practices Outline
   17. Project Management Best Practices Take Away
   18. Quality Assurance in Client Delivery
   19. Situational Leadership II_ Two Day Design
   20. Training Approach
   21. Transaction Process
   22. WinFish Presentation
   23. WinFish Presentation Notes
   24. WinFish Read Me

d. 2005 Leads Conference_ Feb 18 to 20_ 2005
   1. 2005 Leads_ Client Panel
   2. 2005 Leads_ Client Panel Talking Points Questons
   3. 2005 Leads_ Conference After-Survey
   4. 2005 Leads_ Consolidated
   5. 2005 Leads_ Key Initiatives
   6. 2005 Leads_ Quality Control
   7. 2005 Leads_ Quality Underwriter Training

e. 2005 to 2006_ Scratch and Dent Training
   1. CLAS Overview_ Walkthrough Presentation
   2. Fraud Overview
   3. Key Screens on CPI
   4. Loan Status
   5. NPA Commentary Scope for MS
   6. Pay History Exercise
   7. Process for Collecting Bad Mortgage Debt
   8. Scratch & Dent Quick Reference Sheet
   9. Scratch and Dent Training Agenda
   10. Willingness_ Ability_ Risk and Opportunity

f. 2006 Leads Conference_ Feb 17 to 20_ 2006
   1. 2006 Leads_ Agenda Final
   2. 2006 Leads_ ClaytonConnect Client Guide
3. 2006 Leads_Compliance Breakout Session
4. 2006 Leads_Compliance Session
5. 2006 Leads_Elevated Review Flag
6. 2006 Leads_Introducing SCOUT
7. 2006 Leads Invite List- FINAL
8. 2006 Leads Invite List- FINAL
9. 2006 Leads_Leveraging the Technology ET
10. 2006 Leads_Managing for the Future
11. 2006 Leads_Managing for the Future_ Jon Berger
12. 2006 Leads_Panel Description
13. 2006 Leads_Quality Breakout Session
14. 2006 Leads_Quality Control Breakout Session
15. 2006 Leads_Start dates for Conferencemaster
16. 2006 Leads_Start dates for Conferencemaster
17. 2006 Leads_Teambuilding Groups
18. 2006 Leads_Teambuilding Groups
20. 2006 Leads_Welcome Session

2006 to Current_ Lead Training
1. CU Lead Training Agenda
2. Lead Training Project Mgt and Best Practices

2006 to Current_ New UW Training
1. Exercises
   a. Appraisal Exercise 1.16.06a
   b. HUD Exercise 1.16.06b
   c. Income Exercise 1.16.05c
   d. Note Exercise 1.16.06d
2. General Underwriter Manual
   a. 1. Borrower Process and Product FINAL
   b. 2. Equity FINAL
   c. 3. Income FINAL
   d. 4. Credit FINAL
   e. 5. Compensating Factors FINAL
   f. 6. Compliance FINAL
   g. Cover_ General UW Manual
3. Reading Exercise
   a. 1a Process - Lock In HUD Booklet
   b. 1b Process - Affordable Housing Finance
   c. 1c Process - American Banker
   d. 1d Process - ARM HUD Consumer Guide
   e. 1e Process - Home Equity Loan Risk
   f. 1f - Process - Home is on the line _HELOC_
   g. 1g Process - Piggybacks_ MI and costs
   h. 2a Equity - Pumping Up Prices
   i. 4 Credit - FICO Scores
   j. 6a Compliance - NCRC Predatory
   k. 6b Regulations - Ill and Chicago
   l. 7a Fraud - Fighting Appraisal Fraud
   m. 7b Fraud - Mortgage Fraud
   n. Complete Reading Booklet
4. 2007 Leads Conference_ Feb 16 to 19_ 2007
   1. 2007 Leads_Agenda Final
   2. 2007 Leads_Agenda Trifold
   3. 2007 Leads_Borrowers Interest Suitability Panel
   4. 2007 Leads_Borrowers Interest Suitability Takeaway
   5. 2007 Leads_Client Panel Discussion
6. 2007 Leads_ Deal Process Flow
7. 2007 Leads_ Keith Johnson presentation
8. 2007 Leads_ Mission Success icon
9. 2007 Leads_ Production Quality Dynamics
10. 2007 to Current_ Scratch and Dent Training
11. Collection Comments 101
   a. 01 CC Agenda
   b. 02 Collection Comments Example 1
   c. 02ACOL~7
   d. 03 Collection Comments Example 2
   e. 03ACOL~F
   f. 04 Collection Comments Example 3
   g. 04ACO~17
   h. 05 Collection Comments Example 4
   i. 05ACO~1E
   j. 06 Collection Comments Example 5
   k. 06ACO~26
   l. 07 Collection Comments Example 6
   m. 07ACO~2D
   n. 08 Collection Comments Example 7
   o. 08ACO~35
   p. 09 Abbreviations
   q. 10 Definitions
   r. 10a Addendum to Definitions
2. Pay Histories
   a. CPI Trans Codes
   b. Example 8 pay history
   c. Example 8 pay history
   d. LSAMS transaction codes
   e. Mortgage Serve Trans Codes
   f. PH Agenda
   g. PH Example 1 Answer Key
   h. PH Example 2 Answer Key
   i. PH Example 3 Answer Key
   j. PH Example 4 Answer Key
   k. PH Example 5 Answer Key
   l. PH Example 6 Answer Key
   m. PH Example 7 Answer Key
   n. PH Example 8 Answer Key
   o. PH Examples 1-7 and Process
   p. PH Presentation
   q. Sample Screens Shots for Pay History Exercises
3. Servicing 101
4. SND Training Pilot_ 08-10-07
   k. Approach to Workforce Development
      1. Appendix 1 UW-er Questions
      2. Appendix 2 Ab Lincoln UW-er sample
      3. Appendix 2 JamesSmith Lead sample
      4. Appendix 2 PRO_Lead
      5. Appendix 2 PRO_Underwriter
      6. Appendix 3 Day 1 General Knowledge Test
      7. Appendix 3 Day 2 Overview Test
      8. Appendix 3 Day 3 Credit Test
      9. Appendix 3 Day 4 Compliance Test
     10. Appendix 3 Day 5 Final Test
     11. Appendix 4 Did You Know 1003-P
Appendix 4 Did You Know 1004-P
Appendix 4 Did You Know Credit-P
Appendix 4 Did You Know General-P
Appendix 4 Did You Know Title-P
Appendix 4 Glossary of Mortgage Terms-P
Appendix 4a PRACTICE TEST 1-P
Appendix 5 Monthly performance scores
Appendix 5 QC Checkpoints
Appendix 5 UW period report card per deal
Appendix 6 Lead Portal Feedback
Appendix 7 Annual UW-er report card
Approach to Workforce Development_ 05-31-07

l. CLAS UW QC Manuals
   1. CLAS Conduit Manual_ Morgan Stanley_ 2005
   2. CLAS Pre Review Manual_ 2007
   4. Quality Control Manual Complete
   5. Underwriting Manual Complete
   6. WinFish Manual
   7. Written Exercises Complete

m. ET
   1. ET News Flash
      a. Update #0001 - ET News Flash
      b. Update #0002 - ET News Flash
      c. Update #0003 - ET News Flash
      d. Update #0004 - ET News Flash
      e. Update #0005 - ET News Flash
      f. Update #0006 - ET News Flash
      g. Update #0007 - ET News Flash
   2. ET News Flash Document Attachments
      a. Doc 0003 - New Credit-Compliance Grade for Waived Exceptions
      b. Doc 0004 Exhibit A - New Bulk Override Screen
      c. Doc 0004 Exhibit B - New stock text for status changes
      d. Doc 0004 Exhibit C - Changes to IE screen
      e. Doc 0005 Exhibit A - Exception Editing Dialog Box
      f. Doc 0005 Exhibit B - New loan grades and loan grading screen
      g. Doc 0006 Exhibit A - New Options for Pending Conditions
      h. Doc 0007 Exhibit A - New ET Loan Grade 2T (Bear-EMC)
         i. DOC00-23
      j. Sample Quick List20050906
      k. Sample Quick List20050906
   3. ET User Manual Guide
      a. Exception Tracking, Standard Grading Schema Explained, 08-04-06
      b. Exception Tracking, User Manual, 02-10-06
      c. Exception Tracking, User Manual, 06-16-05

n. New Hire Package
   1. Clayton Complete New Hire Packet 5-08-07

o. Tests
   1. Compliance Test
   2. Compliance Test_ answerkey
   3. Credit Test
   4. Credit Test_ answerkey
   5. Final Test
   6. Final Test_ answerkey
   7. General Knowledge Test
   8. General Knowledge Test_ answerkey
Exhibit 1 - Homestar Alt A Sept 03 $50MM - Summary Results
Exhibit 1 - Homestar Comm 7, Del. #4 $37MM Nov 03B - Summary Results
Exhibit 1 - Homestar Comm 7, Del. #1 $78MM 10-03A - Summary Results
Exhibit 1 - Homestar Comm 7, Del. #2 $75MM 10-03B - Summary Results
Exhibit 1 - Homestar Comm 7, Del. #6 $24MM Dec 03A - Summary Results
Exhibit 1 - Homestar Oct 03 Alt A $26MM - Summary Results
Exhibit 1 - NovaStar $581MM MS Oct 03 - Summary Results
Exhibit 1 - Oakmont $19MM Dec 03 - Summary Results
Exhibit 1 - Oakmont $29MM Oct 03 - Summary Results
Exhibit 1 - OOMC $1B Nov 03 - Summary Results
Exhibit 1 - Peoples Choice $100MM 903 Final
Exhibit 1 - Wells Fargo $32MM Nov 03 - Summary Results
Exhibit 1 - FNBA $120MM 10-03B Summary Results
Exhibit 1 - Downey $15MM May 03 Summary Results
Exhibit 1 - Downey June 03 B $25MM - Summary Results
Exhibit 1 - Downey June 03C $23.6MM Summary Results
Exhibit 1 - First Street $3MM Sept 03 - Summary Results
Exhibit 1 - FNBA - $100MM Jul 03 - Summary Results
Exhibit 1 - FNBA - $81MM Aug 03 - Summary Results
Exhibit 1 - Greenpoint $16MM August 03 Summary Results
Exhibit 1 - Greenpoint $17MM May 03 Summary Results
Exhibit 1 - Greenpoint $230MM Sept 03B Summary Results
Exhibit 1 - Greenpoint $33MM June 03 Summary Results
Exhibit 1 - Greenpoint Sept 03 $39MM Summary Results
Exhibit 1 - Nat City $71MM Sept 03 Summary Results
Exhibit 1 - Nat City $79MM Feb 03
Exhibit 1 - NovaStar Sept 03 - $29MM - Summary Results
Exhibit 1 - Peoples Choice $27MM May 03 Summary Results
Exhibit 1 - Peoples Choice $50MM Dec. 03 Summary Results
Exhibit 1 - SIB $32MM July 03 Summary Results
Exhibit 1 - Summary Homestar Alt A - 07-03
Exhibit 1 - Summary Long Beach $53MM Jan 03
Exhibit 1 - Nat City $92MM Oct 03 - Summary Results
FNBA - $41MM - Exhibit 1
FNBA - $77MM - 09-03 Exhibit 1
HomeStar $25MM - 12-03 - Exhibit 1
Homestar 3 011003 exh 1 clayton
Homestar 3 100902 exh 1 clayton
Homestar 3 101802 exh 1 clayton
Homestar 3 110802 exh 1 clayton
Homestar 3 112002 exh 1 clayton
Homestar 3 120602 exh 1 clayton
Homestar 3 121902 exh 1 clayton
HomeStar Alt A - $39MM Exhibit 1
Nat City $427MM Exhibit 1 5-03
NCEN - 07-03 - $416MM Exhibit 1
NCEN -$504MM 05-03 Exhibit 1
Peoples Choice $27MM Exh 1 0103 Clayton

2. 2004 Final
Exhibit 1 - Barrington $9MM July 04 - Summary Results
Exhibit 1 - Chapel $27MM 10-20-04 - Summary Results
Exhibit 1 - Chapel $30MM 10-29-04 - Summary Results
Exhibit 1 - Chapel $4MM Sept. 04 - Summary Results
Exhibit 1 - CIT $52MM Apr 04 - Summary Results
Exhibit 1 - Countrywide A $125MM May 04 - Summary Results
g. Exh 1 - Encore $150MM May 04 - Summary Results
h. Exh 1 - First St $11MM Dec04 - Summary Results
i. Exh 1 - First St $11MM Jul 04 - Summary Results
j. Exh 1 - First St $13MM Nov04 - Summary Results
k. Exh 1 - First St $14MM Oct 04 - Summary Results
l. Exh 1 - First St $7.3MM Feb 04 - Summary Results
m. Exh 1 - First Street $10MM Apr 04 - Summary Results
n. Exh 1 - First Street $8MM Exhibit 1 - 01-2004
o. Exh 1 - First Street $8MM Exhibit 1 - 05-2004
p. Exh 1 - FNBA $115MM April 04 - Summary Results
q. Exh 1 - FNBA $180MM June 04 - Summary Results
r. Exh 1 - FNBA $200MM May 04B - Summary Results
s. Exh 1 - FNBA $300MM Feb 04B - Summary Results
t. Exh 1 - FNBA $75MM Feb 04 - Summary Results
u. Exh 1 - FNBA $75MM May 04A - Summary Results
v. Exh 1 - FNBA Mar. 04 - $85MM - Summary Results
w. Exh 1 - Fremont $1B May-June 04 - Summary Results
x. Exh 1 - Fremont $540MM Sept 04 - Summary Results
y. Exh 1 - Fremont $558MM Oct 04 - Summary Results
z. Exh 1 - Fremont $589MM May 04 - Summary Results
aa. Exh 1 - Fremont $625MM Dec 04 - Summary Results
ab. Exh 1 - Greenpoint $139MM 4-04F - Summary Results
ac. Exh 1 - Greenpoint $511MM April 04D - Summary Results
ad. Exh 1 - Greenpoint $79MM 4-04B - Summary Results
ae. Exh 1 - Greenpoint $7MM Apr 04A - Summary Results
af. Exh 1 - Greenpoint $83MM 3-04 - Summary Results
ag. Exh 1 - Greenpoint $84MM 02-04 - Summary Results
ah. Exh 1 - Greenpoint $97MM 4-04E - Summary Results
ai. Exh 1 - Greenpoint $97MM Mar 04 - Summary Results
aj. Exh 1 - Greenpoint $98MM 4-04C - Summary Results
ak. Exh 1 - Home Loan Center $15MM Nov 04 - Summary Results
al. Exh 1 - Impac $33MM Dec04 - Summary Results
am. Exh 1 - Impac $54MM Oct 04 - Summary Results
an. Exh 1 - IndyMac $350MM Mar. 04 - Summary Results
ao. Exh 1 - Key Bank $490MM 12-04 Exhibit 1
ap. Exh 1 - Metrociti $10MM Dec 04 - Summary Results
aq. Exh 1 - Metrociti $7MM June 04 - Summary Results
ar. Exh 1 - Metrociti $8.6MM July 04 - Summary Results
as. Exh 1 - MILA $21.5MM Dec 04 - Summary Results
at. Exh 1 - MILA $28MM Dec 04B - Summary Results
au. Exh 1 - Millennium $10MM Nov 04 - Summary Result
av. Exh 1 - Millennium $11MM June 04 - Summary Result
aw. Exh 1 - Nat City $355MM April 04 - Summary Results
ax. Exh 1 - Oakmont $30MM 3-04 - Summary Results
ay. Exh 1 - Oakmont $68MM Mar 04 - Summary Results
az. Exh 1 - OOMC $1.6B March 04 - Summary Results
ba. Exh 1 - OwnIt $103MM Dec 04 - Summary results
bb. Exh 1 - OwnIT Mortgage $165MM July 04 Summary Results
bc. Exh 1 - Peoples Choice $109MM Jan 04 - Summary Results
bd. Exh 1 - Peoples Choice $450MM Sept 04 - Summary Results
be. Exh 1 - Pinnacle Direct $13MM Mar 04 - Summary Results
bf. Exh 1 - Pinnacle Direct $20MM Nov 04 - Summary Results
bg. Exh 1 - Pinnacle Direct $33MM May 04 - Summary Results
bh. Exh 1 - Pinnacle Financial $15MM Apr 04 - Summary Results
bl. Exh 1 - Pinnacle Financial $21MM May 04 - Summary Results
See text below for summarized results of various mortgage-related transactions:

1. Summary Results

   Exh 1 - Realty Mortgage $2MM June 04 - Summary Results
   Exh 1 - Realty Mortgage $4.5MM Mar 04 Summary Results
   Exh 1 - ResMAE $101MM Apr 04 - Summary Results
   Exh 1 - ResMAE $130MM Mar 04 - Summary Results
   Exh 1 - ResMAE $250MM Sept 04 - Summary Results
   Exh 1 - ResMAE $265MM Oct 04 - Summary Results
   Exh 1 - ResMAE $50.1MM Jan 04 - Summary Results
   Exh 1 - ResMAE $580MM Dec 04 - Summary Results
   Exh 1 - ResMAE $75MM 2-04 - Summary Results

2. Summary Results

   Exh 1 - Millenium $10MM Mar 04 - Summary Results
   Exh 1 - Millenium $7MM Feb 04 - Summary Results
   Exh 1 - Millenium $109MM May 04 - Summary Results

3. Summary Results

   Exh 1 - AHL $5.1MM Nov 05 - Summary Results
   Exh 1 - AHL $5.3MM Aug 05 - Summary Results
   Exh 1 - AHL $5.9MM Nov 05 - Summary Results
   Exh 1 - Alliance Bancorp $177MM June 05 - Summary Results
   Exh 1 - Alterna $6.2MM Nov 05 - Summary Results
   Exh 1 - American Home Mortgage $69MM Dec 05 - Summary Results
   Exh 1 - American Home Mortgage $91MM - Summary Results
   Exh 1 - Americorp $5.8MM Dec 05 - Summary Results
   Exh 1 - Americorp $5.9MM Oct 05 - Summary Results
   Exh 1 - Ameriquest $160MM June 05 - Summary Results
   Exh 1 - AMQ $158MM 2nd Liens May 05 - Summary Results
   Exh 1 - Avaris $15.2MM SubPrime July 05 - Summary Results
   Exh 1 - Avaris $17MM June 05 - Summary Results
   Exh 1 - Avaris $20.5MM AltA-PayOption July 05 - Summary Results
   Exh 1 - Avaris $20.5MM AltA-PayOption July 05 - Summary Results
   Exh 1 - Avaris $20MM Feb 05 - Summary Results
   Exh 1 - Avaris $28MM May 05 - Summary Results
   Exh 1 - Avaris $4.4MM SubPrime & 2nd Liens August 05 - Summary Results
   Exh 1 - Avaris $6.4MM Aug 05B - Summary Results
   Exh 1 - Avaris $6.8MM Option ARMs June 05 - Summary Results
   Exh 1 - Avaris $8.9MM 10-27-05 - Summary Results
   Exh 1 - Baltimore America 2nds $5.6MM Aug 05 - Summary Results
   Exh 1 - Cameron Financial $12MM Aug 05 - Summary Results
   Exh 1 - Casablanca $1.1MM Aug 05 - Summary Results
   Exh 1 - Centennial $1.4MM Nov 05 - Summary Results
   Exh 1 - Chapel $114MM July 05 - Summary Results
   Exh 1 - Chapel $65MM Feb 05 - Summary Results
   Exh 1 - Chapel $70MM Sept 05 - Summary
   Exh 1 - Chapel $74.7MM May 05 - Summary
   Exh 1 - CIT $18MM Jul 05 - Summary Results
   Exh 1 - CIT $71.5MM Apr 05 - Summary Results
   Exh 1 - Comunity Lending $3.2MM Nov 05 - Summary Results
   Exh 1 - Countrywide $135MM Aug 05 - Summary Results
   Exh 1 - Countrywide $50MM Aug 05B - Summary Results
   Exh 1 - Countrywide $528MM Sept 05C - Summary Results
   Exh 1 - Cypress $6MM Feb 05 - Summary Results
   Exh 1 - Empire $4.3MM Sept 05 - Summary Results
   Exh 1 - Empire Bancorp $4.4MM Oct 05 - Summary Results
   Exh 1 - Equity Resources $1.3MM Nov 05 - Summary Results
   Exh 1 - Equity Resources $1.5MM Dec 05 - Summary Results
Exh 1 - Greenpoint $85MM 1-05 - Summary Results
Exh 1 - Greenpoint $86MM Apr 05 - Summary Results
Exh 1 - Greenpoint $88MM Oct 05B - Summary Results
Exh 1 - Greenpoint $89MM Sept 05 - Summary Results
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<td>03-29-07</td>
</tr>
<tr>
<td>dj.</td>
<td>Exh 1</td>
<td>Opteum</td>
<td>$25(1.5)MM</td>
<td>03-23-07</td>
</tr>
<tr>
<td>dk.</td>
<td>Exh 1</td>
<td>Pinnacle Financial</td>
<td>$25(1.5)MM</td>
<td>03-12-07</td>
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<tr>
<td>dl.</td>
<td>Exh 1</td>
<td>Pinnacle Financial</td>
<td>$25(1.5)MM</td>
<td>01-12-07</td>
</tr>
<tr>
<td>dm.</td>
<td>Exh 1</td>
<td>Platinum Direct</td>
<td>$5MM</td>
<td>03-16-07</td>
</tr>
<tr>
<td>dn.</td>
<td>Exh 1</td>
<td>PMC</td>
<td>$244MM</td>
<td>01-09-07</td>
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<td>do.</td>
<td>Exh 1</td>
<td>SBMC</td>
<td>$3MM</td>
<td>06-19-07</td>
</tr>
<tr>
<td>dp.</td>
<td>Exh 1</td>
<td>SBMC</td>
<td>$4.3MM</td>
<td>06-19-07</td>
</tr>
<tr>
<td>dq.</td>
<td>Exh 1</td>
<td>Sea Breeze</td>
<td>$1(1.4)MM</td>
<td>06-29-07 (FXD)</td>
</tr>
<tr>
<td>dr.</td>
<td>Exh 1</td>
<td>Seabreeze $3</td>
<td>7MM</td>
<td>06-29-07 (ARMs)</td>
</tr>
<tr>
<td>ds.</td>
<td>Exh 1</td>
<td>Shearson</td>
<td>$2(1.9)MM</td>
<td>1-19-07</td>
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<tr>
<td>dt.</td>
<td>Exh 1</td>
<td>Taylor Bean Whitaker</td>
<td>$15(1.5)MM</td>
<td>07-06-07</td>
</tr>
<tr>
<td>du.</td>
<td>Exh 1</td>
<td>Taylor Bean Whitaker</td>
<td>$6MM</td>
<td>07-27-07</td>
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<tr>
<td>dv.</td>
<td>Exh 1</td>
<td>TBW</td>
<td>$2.2MM</td>
<td>6-29-07</td>
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<tr>
<td>dw.</td>
<td>Exh 1</td>
<td>TBW</td>
<td>$39MM</td>
<td>6-15-07</td>
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<tr>
<td>dx.</td>
<td>Exh 1</td>
<td>Trans Financial Network</td>
<td>$1(1.4)MM</td>
<td>02-16-07</td>
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<td>dy.</td>
<td>Exh 1</td>
<td>UBS</td>
<td>$61(1.6)MM</td>
<td>01-25-07</td>
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<tr>
<td>dz.</td>
<td>Exh 1</td>
<td>Wachovia</td>
<td>$107.5MM</td>
<td>06-21-07</td>
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<td>ea.</td>
<td>Exh 1</td>
<td>Wachovia</td>
<td>$60MM</td>
<td>7-24-07</td>
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</tbody>
</table>
b. Exhibit 4s
1. 2003
   a. Exhibit 4 - Final Reject List Nat City May 03-$524MM
2. 2005
   a. Exhibit 4 - Allstate $1.4M 11-30-05- Final Rejections
   b. Exhibit 4 - Avaris $10MM June 05B - Final Rejects
   c. Exhibit 4 - Avaris $2.6MM Alt A August 05 - Final Rejects
   d. Exhibit 4 - CastlePoint $2.6MM 11-10-05 - Final Rejects
   e. Exhibit 4 - CastlePoint $2.9M 8-25-05 Exclusions from Settlemen
   f. Exhibit 4 - ComUnity $2.1MM 09-30-05 - Final Rejection
   g. Exhibit 4 - Dynamax $2.8M Nov 05 - Final Rejections
   h. Exhibit 4 - First Financial $2.5MM Sept 05 - Final Rejects
   i. Exhibit 4 - First St $13MM Feb 05 - Final Rejections
   j. Exhibit 4 - First Street $15MM 06-05 Final Rejections
   k. Exhibit 4 - Fremont $18.5MM S& D Final Rejections
   l. Exhibit 4 - Greenpoint $7MM Aug 05C - Final Rejects
   m. Exhibit 4 - Impac $25MM Sept 05 - Final Rejects
   n. Exhibit 4 - Imperial Lending $ 5.3M 11-30-05 - Final Rejects
   o. Exhibit 4 - IndyMac $25MM 6MO Libor June 05 - Final Rejects
   p. Exhibit 4 - IndyMac $25MM Jumbo July 05 - Final Rejects
   q. Exhibit 4 - Innovative $14MM Oct 05 - Final Rejects
   r. Exhibit 4 - Loan Center CA $14MM Oct 05 - Final Rejects
   s. Exhibit 4 - Madison Oct 05 S& D - Final Rejects
   t. Exhibit 4 - Maxim $.5M 2nd Liens Aug 05 - Final Rejects
   u. Exhibit 4 - Maxim $2.2MM Oct 05A - Final Rejects
   v. Exhibit 4 - Maxim $2.5MM Oct 05 - Final Rejects
   w. Exhibit 4 - Millenium $3.3 MM Nov 05 - Final Rejects
   x. Exhibit 4 - Millenium $4.2MM Apr 05 - Final Rejection List
   y. Exhibit 4 - National City $6.1MM Sept 05A - Final Rejects
   z. Exhibit 4 - National City $61.5MM Apr 05 - Final Rejections
   aa. Exhibit 4 - New Century $37M 8-30-05 - Final Rejects
   ab. Exhibit 4 - Pinnacle Financial $6.2MM Sept 05A - Final Rejects
   ac. Exhibit 4 - Plaza $9.2MM June 05 - Final Rejection List
   ad. Exhibit 4 - QLF $9MM SD 12-21-05 - Final Rejects
   ae. Exhibit 4 - QLF S&D $8.2MM Oct 05 - Final Rejects
   af. Exhibit 4 - ResMAE $130MM 03-29-05 - Final Rejections Credit-Com
   ag. Exhibit 4 - RightAway $14MM May 05B - Final Rejects
   ah. Exhibit 4 - Sierra Pacific $1.2MM Oct 05A - Final Rejections
   ai. Exhibit 4 - Gateway $9MM 2nd June 05 - Final Rejects
   aj. Exhibit 4 - Liberty $17.3MM July 05 - Final Rejects
3. 2006
   a. Exhibit 4 - Access National $1.9MM May 06 - Final Rejects REVISE
   b. Exhibit 4 - Access National $5.6MM May 06A - Final Rejects REVIS
   c. Exhibit 4 - Accredited $42MM May 06 - Final Rejects
   d. Exhibit 4 - ACT $2.1MM July 06 - Final Rejects
   e. Exhibit 4 - ACT $6.0MM July 06A - Final Rejects
   f. Exhibit 4 - ACT Mtge $5.2MM 2nd Liens Apr 06 - Final Rejects
   g. Exhibit 4 - Aegis $10.6MM 8-24-06 - Aug 06 - Final Rejects
   h. Exhibit 4 - Aegis $11MM Apr 06A - Final Rejects
   i. Exhibit 4 - Aegis $11MM S&D May 06 - Final Rejects
   j. Exhibit 4 - Aegis $2MM Non Performing May 06A - Final Rejects
bn. Exh 4 - KHovnanian $995K 10-6-06 - Final Rejects - Revised (bn.
bo. Exh 4 - Lancaster $2.6MM S & D July 06 - Final Rejects
bp. Exh 4 - Lancaster $7MM 2nd Liens Mar 06A - Final Rejects
bq. Exh 4 - Legacy $393K 09-28-06 - Final Rejects
br. Exh 4 - Lender's Direct $20MM 5-26-06 - Final Rejects
bs. Exh 4 - Lime Financial $3.3MM S & D - Final Rejects
bt. Exh 4 - Long Beach $384MM Jan 06 - Final Rejects
bu. Exh 4 - Madison $11.3MM S & D Aug 06 - Final Rejects
bv. Exh 4 - Madison $6MM S & D Jan 06 - Final Rejects - After Tail
bw. Exh 4 - Madison $7.2MM Mar 06 - Final Rejects
bx. Exh 4 - Madison S & D $20MM July 06 - Final Rejects 08-02
by. Exh 4 - Master Financial S & D $2.1MM 10-18-06 - Final Rejects
bz. Exh 4 - Matrix $2MM 05-15-06 - Final Rejections (05-25)
ca. Exh 4 - Matrix $9.5MM 02-23-06 - Final Rejections (02-21)
cb. Exh 4 - Meridias $1.3MM S & D 10-19-06 - Final Rejects
cd. Exh 4 - Meridias $1MM S & D 11-9-06 - Final Rejects
ce. Exh 4 - Meridias $5MM May 06C - Final Rejects - Revised (2)
cf. Exh 4 - Meridias $6.8MM Apr 06 - Final Rejects
cg. Exh 4 - Meridias $7.1MM 2nds May 06A - Final Rejects
ch. Exh 4 - Meridias $7.3MM May 06 - Final Rejects
ci. Exh 4 - Metrocities $17MM 6-29-06 - Final Rejects
cj. Exh 4 - MILA $1.4MM S & D June 06 - Final Rejects
ck. Exh 4 - MILA $1MM S & D 11-30-06 - Final Rejects
cl. Exh 4 - MILA $2.8MM S & D 10-30-06 - Final Rejects - Revised
cm. Exh 4 - MILA $3.1MM 09-28-06 - Final Rejects
 cn. Exh 4 - Mortgage IT $5MM S & D Aug 06 - Final Rejects
cn. Exh 4 - MortgageIT $11MM S & D 12-28-06 - Final Rejects
cp. Exh 4 - MortgageIT $4.5MM 10-19-06 - Final Rejects
cq. Exh 4 - MortgageIT $5.5MM S & D 9-14-06 - Final Rejects
cr. Exh 4 - MortgageIT $8.6MM S & D 11-9-06 - Final Rejects
cs. Exh 4 - MortgageIT S & D $1MM 10-12-06 - Final Rejects
cr. Exh 4 - MortgageIT SD $7.7MM Apr 06 - Final Rejects
cu. Exh 4 - National City $105MM Mar 06 - Final Rejects REVISED
cv. Exh 4 - NationOne $2.9MM 2nd Liens - Final Rejects
cw. Exh 4 - North Pacific $3.4MM May 06A - Final Rejects
cx. Exh 4 - North Pacific $4.8MM May 06 - Final Rejects
cy. Exh 4 - Oak St $4MM July 06 - Final Rejects
cz. Exh 4 - Oak Street $6.7MM 08-31-06 - Final Rejects
da. Exh 4 - OSB $89MM Apr 06 - Final Rejects
db. Exh 4 - Ownlt $19MM S & D July 06 - Final Rejects
dc. Exh 4 - PFC $17MM July 06A - Final Rejects
dd. Exh 4 - Pinnacle Direct $30MM June 06 - Final Rejects - 6-23
dc. Exh 4 - Pinnacle Financial $22MM S & D 10-31-06 - Final Rejects
df. Exh 4 - Pinnacle Financial $5.8MM May 06 - Final Rejects
dg. Exh 4 - Pinnacle Financial $5.9MM Aug 06 - Final Rejects
dh. Exh 4 - Pinnacle Financial $7 2MM Apr 06A - Final Rejects
di. Exh 4 - Platinum $3MM S & D Aug 06 - Final Rejects
dj. Exh 4 - Platinum $4MM 06-30-06 - Final Rejections
dk. Exh 4 - Platinum $5.5MM 8-25-06 - Final Rejects
dl. Exh 4 - Platinum Direct $3MM S & D 10-12-06 - Final Rejects -
dm. Exh 4 - Plaza $9MM Jul 06 - Final Rejects
dn. Exh 4 - PMC $14MM Jul 06 - Final Rejects REVISED
do. Exh 4 - QLF $10MM Feb 06A - Final Rejects
dp. Exh 4 - QLF $17MM 01-30-06 - Final Rejections (01-30)
dq. Exh 4 - QLF $20.5MM S& D Jan 06 - Final Rejects
dr. Exh 4 - QLF $29.7MM Feb 06 - Final Rejects
ds. Exh 4 - QLF $37MM 03-09-06 - Final Reject (03-08)
dt. Exh 4 - Quicken Loans $1MM S& D 10-20-06 - Final Rejects
du. Exh 4 - SBMC $36MM May 06 - Final Rejects
dv. Exh 4 - Seabreeze $1.9MM Jul 06 - Final Rejects
dw. Exh 4 - Seabreeze $4MM 8-17-06 - Final Rejects
dx. Exh 4 - Security Atlantic $2MM S& D 11-27-06 - Final Rejects
dy. Exh 4 - Shearson $4.5MM 06-16-06 - Final Rejects
dz. Exh 4 - Shearson $5.9MM 09-08-06 - Final Rejects
ea. Exh 4 - Sierra Pacific $36MM May 06 - Final Rejects
eb. Exh 4 - Sierra Pacific $42MM May 06A - Final Rejects
eb. Exh 4 - Silver State $22MM 03-09-06 - Final Rejects
eb. Exh 4 - Silver State $2MM S& D 10-20-06 - Final Rejects
eb. Exh 4 - Silver State $45MM 6-27-06 - Final Rejects
ee. Exh 4 - Silver State $6.5MM June 06 - Final Rejects
ef. Exh 4 - Silver State $68MM June 06 - Final Rejects
eg. Exh 4 - Silver State $7.8MM 8-31-06 (9-5-06) - Final Rejects
eh. Exh 4 - Silver State $9.5MM Jul 06 - Final Rejects
ei. Exh 4 - Suburban $4.2MM July 06 - Final Rejects
ej. Exh 4 - Tribeca Lending $11MM 09-08-06 - Final Rejects Revis
ek. Exh 4 - WAMU $24MM 09-26-06 - Final Rejects
el. Exh 4 - Weichert $7.1MM 08-28-06 - Final Rejects
em. Exh 4 - Weststar $1.8MM 07-06-06 Final Rejects
en. Exh 4 - Zone Funding $5MM S& D May 06 - Final Rejects
eo. Exh 4 - Zone Funding S&D $4.8MM April 06 - Final Results
ep. Exh 4- Greenpoint $97 MM Apr 06 - Final Rejects
eq. Exh 4- Greenpoint$22MM 05-24-06 - Final Rejections

2007

4. a. Exh 4 - AHM $38.4MM ITIN 1-26-07 - Final Rejects
b. Exh 4 - American Residential $16.7MM S& D - Final Rejects
c. Exh 4 - Financial Freedom $437MM 2-07 - Final Rejections - R
d. Exh 4 - HNMA $1.2MM 01-25-07 ITIN - Final Rejects
e. Exh 4 - Home Savings $5.9MM S& D - Final Rejects
f. Exh 4 - Just Mortgage $958K S& D 12-14-06 - Final Rejects
g. Exh 4 - Loan Center of CA $2MM S& D - Final Rejects
h. Exh 4 - MortgageIT $5.4MM S& D 1-9-07 - Detail of Cures
i. Exh 4 - Platinum $6MM S& D 2-12-07 - Final Rejects
j. Exh 4 - Power Express $650K 3-10-07 - Final Rejects
k. Exh 4 - Pro30 Funding $14[1].7MM 03-15-07 Final Rejects 03-
l. Exh 4 - Structured Funding $324K S&D 01-30-07 - Final Reject
m. Exh 4 - Sunset Direct $4.3MM S& D 2-9-07 - Final Rejects
n. Exh4 - Pro30 Funding $2[1].4MM 03-15-07 (Seconds) Final Rej
o. Exh4 - SBMC $42[1].8MM 03-15-07 - Final Rejects 03-13-07
p. Exh4 Pro30 Funding $1[1].8MM 03-15-07 - Final Rejects 03-09
q. Exh4 SBMC $1MM 03-09-07 Final Rejects

2. Answer to Interrogatory No. 1
3. Answer to Interrogatory No. 10
4. Answer to Interrogatory No. 11
5. Answer to Interrogatory No. 12
6. Answer to Interrogatory No. 2(a-p)
7. Answer to Interrogatory No. 2(q)
8. Answer to Interrogatory No. 2(r)
9. Answer to Interrogatory No. 2(r)(iii)
10. Answer to Interrogatory No. 3(a-r)
11. Answer to Interrogatory No. 3(r)(iii)
Answer to Interrogatory No. 6
Answer to Interrogatory No. 9

Deutsche Bank CDO Transactions

DOJ
2010-03-12
FBI mort frd chart
DOJ Produced Docs FCIC_REQ_A242-443
FBI Budgets

DOL
DOC (77) FANNIE MAE COMPLAINT #2 TO SEND TO FCIC

FDIC
2010-06-11 Production
Bank of America
011609_Secy.Paulson_BankofAmerica
1-15-09 Board Meeting Case
Certified BOA Resolution (2)
Min 1-15-09
Transcript 1-15-09 Closed
Citigroup
11-23-08 Citibank Resolution
2008-11-23 FDIC Board of Directors Memo re Citi
November 23, 2008 Board minutes
Paulson
Transcript 11-23-08 Closed
Guaranty of Bank Debt
10-15-08 Systemic Risk Letter
Certified Board Resolution
FDIC Guarantee of Debt Board Case
Min 10-13-08
Transcript 10-13-08 Closed
PPIP 3_26_09
Legacy Loans Program - Signed Board Case Memo and Resolution
Min 3-26-09
Revised Resolution to Accompany Systemic Risk Recommendation for Public-Private Investment Fund Case
Systemic Risk Recommendation PPIF
Transcript 3-26-09 Closed
PPIP 7_7_09
7-7-09 Closed Minutes signed
7-7-09 PPIP Board Case
7-7-09 PPIP resolution
PPIP Letter 7_7_09
Transcript 7-7-09 Closed
Wachovia
9-29-08 Certified Wachovia Resolution
Min 9-29-08
Transcript 9-29-08 Closed
Wachovia Bank Board Case 9_29_08
FDIC Bair testimony Jan 14 FCIC
FDIC Response Letter 11June10

2010-06-22 Production- Disk # 411
Bank Fraud Working Group
1Q2004
1Q2006 BFWG Meeting Memo
1Q2007 BFWG Meeting Memo
1Q2008 BFWG Meeting Memo
1Q2009 BFWG Meeting Memo
2. CFFC Reports
   a. 123105CFFCR
   b. 1Q06CFFC
   c. 1Q09CFFC
   d. 2Q06CFFC
   e. 2Q08CFFC
   f. 2Q09CFFC
   g. 3Q06CFFC
   h. 3Q07CFFC
   i. 3Q08CFFC
   j. 3Q09CFFC
   k. 4Q06CFFC
   l. 4Q07CFFC
   m. 4Q08CFFC
   n. CFFCR01Q07
   o. CFFCR2Q07
   p. CFFCReport1q08
   q. DSC 3Q09Cyber Fraud & Financial Crime Report

3. Docs on FDIC's web site
   a. 2007 FBI MortgageFraudReport
   b. Anatomy of a Fraud
   c. Chicago 5-16-2005 D0FD29BD
   d. CHIRO-13-2005
   e. Conduits
   f. CT Housing Task Force 5-3-2007
   g. DIL_Suprime
   h. DIR Graph - Mortgage Fraud is on the Rise
   i. DIR Graph - Mortgage Fraud is on the Rise 2
   j. DIR Hot Topics 3-23-06
   k. DIR Mortgage Fraud Increasing in the Southeast
   l. DIR NAIOP September 2007
   m. DIR Perspectives Early Payment Default 12-21-06
   n. DIR Report May 16, 2005 Economy_2005_02
   o. DOF News and Views Fall_2007
   p. Emerging Risks in Banking 4-19-06
   q. Emerging Risks in Banking 5-9-06
   r. FBI 0-17-04 Press Release on Operation Continued Action
4. CDS_0206
5. CDS_Operational_Risk_0306
6. CDSmarket8-3-04
7. Chairman FDIC Speech 2009
8. CSFB_on_interest_rate_derivatives
9. Derivative_Week_4-4-04
10. derivatives_concentration_risk
11. derivatives_exposure
12. doug Jones Testimony 98
13. EcNews070713
14. ecNews080104
15. economist_article
16. FDIC_Speeches & Testimony - 11_02_2009
17. FDIC_Speeches & Testimony - 4_12_2010
18. Homebuilders_ATL_1105
19. HotTopics_CDS_Data_1009
20. HotTopics_CDS_Defined_1009
21. HotTopics_CDS_Market_Reform_0510
22. HotTopics_credit_0606
23. HotTopics_FinancialInnovation_0507
24. HT_Derivatives_Revisited_1106
25. OFHEO_and_FannieMae
26. Public FDIC webpages
27. RE_Derivatives_0406
28. Risk_Case_Spring06 Board
29. Risk_Case_Spring06 NRC
30. Spring 2007 Risk Case FINAL
31. TAKE_CreditDerivatives_113006
32. Takeaway_AEI_Fannie_Mae
33. Takeaways_CFRA_021607
34. Takeaways_Senate_Hearing_CFTC_09-08-05
35. takeaways_yieldcurves_derivatives
36. UFMK0705
37. ufmk0805a
38. www.washingtonpost.com-wp-dyn-content-article-2010-05-03

d. 2010-07-02 Production
   1. 07-08 Alvin Tracking and Surveillance
   2. 08-08 Provider Summary
   3. 08-08 Provider Summary-modified
   4. 3Q08 Deposit History
   5. 9_28_08 10_21 am
   6. 9_28_08 11_58 pm
   7. 9_28_08 8_13 am
   8. 9_29_08 12_08 am
   9. Approval of Systemic Risk Exception
   10. AW_
   11. Board Resolution
   12. Business - WSJ.com
   14. Draft - please acknowledge receipt
   15. Draft press release
   16. Draft WB RESOLUTIONciti3
   17. FDIC Board Action
   18. FW__9_29_08 5_41 am
   19. Fw_ Board meeting tonight 9_29_08 5_44 am
20. Fw_ Board meeting tonight 9_29_08 5_45 am
21. FW_ Confidential
22. Fw_ Memo 9_28_08 10_50 pm
23. FW_ Memo 9_28_08 10_58 pm
24. Fw_ Memo 9_28_08 11_01 pm
25. FW_ Memo 9_28_08 11_15 pm
26. FW_ Memo 9_28_08 11_16 pm
27. FW_ Statement by Secretary Paulson on the Sale of Wachovia Bank
28. Fw_ Systemic risk portion of Wachovia board case 9_28_08 10_31 pm.doc
29. Fw_ Systemic risk portion of Wachovia board case 9_28_08 10_39 pm.doc
30. FW_ SystemicCase.doc
31. FW_ SystemicCase-4am 9_29_08 5_35 am
32. FW_ SystemicCase-4am 9_29_08 5_36 am
33. FW_ SystemicCase-4am 9_29_08 5_40 am
34. Fw_ SystemicCase-4am.doc
35. FW_ Treas statement - close to final
36. Fw_ Wachovia Deal - Board Case_Draft Resolution and a WSJ Article w_some detail
37. FW_ WB stuff 9_28_08 5_49 pm
38. Fw_ WB stuff 9_28_08 6_19 pm
39. Fw_ Weekend Transaction 9_27_08 1_43 pm
40. Fw_ Weekend Transaction 9_27_08 1_56 pm
41. Fw_ Weekend Transaction 9_27_08 2_48 pm
42. Fw_ Weekend Transaction 9_27_08 3_08 pm
43. Fw_ WM Materials 9_24_08 1_21 pm
44. Good morning
45. Liquidity Run-off scenario 9.24.08 v2
46. MANY ARTs
47. Memorandum
48. Paulson Statement on the Sale of Wachovia Bank
49. Press Release
50. Re_ AW_
51. RE_ Board meeting tonight
52. RE_ Board meeting tonight 9_28_08 9_40 pm
53. RE_ Board meeting tonight 9_29_08 5_40 am
54. Re_ FDIC Board Action 9_29_08 6_40 am
55. RE_ FDIC Board Action 9_29_08 6_44 am
56. Re_ Good morning
57. Re_ IIF's Daily Comment for September 29, 2008
58. RE_ I'm available 9_28_08 10_44 am
59. Re_ I'm available 9_28_08 11_07 am
60. Re_ I'm available 9_28_08 11_13 am
61. Re_ I'm available 9_28_08 11_21 am
62. Re_ I'm available 9_28_08 3_25 pm
63. Re_ I'm available 9_28_08 3_27 pm
64. Re_ Q&A for the Chairman, Wachovia
65. Re_ Senate Briefing on Citi_Wachovia
66. Re_ Systemic risk portion of Wachovia board case.doc
67. RE_ Treas statement - close to final
68. Re_ Update
69. RE_ Wac Liquidity Update 9_29_08 1_45 pm
70. Re_ Weekend Transaction 9_27_08 1_28 pm
71. Re_ Weekend Transaction 9_27_08 1_35 pm
72. Re_ Weekend Transaction 9_27_08 1_44 pm
73. Re_ Weekend Transaction 9_27_08 1_49 pm
74. Re_ Weekend Transaction 9_27_08 1_51 pm
75. Re_ Weekend Transaction 9_27_08 2_01 pm
76. Re_ Weekend Transaction 9_27_08 2_08 pm
77. Re_ Weekend Transaction 9_27_08 3_03 pm
78. Re_ Weekend Transaction 9_27_08 5_50 pm
79. Re_ Weekend Transaction 9_27_08 5_52 pm
80. Re_ Weekend Transaction 9_27_08 5_58 pm
81. Re_ Weekend Transaction 9_29_08 4_12 pm
82. Re_ Weekend Transaction 9_29_08 4_19 pm
83. RE_ WM Materials 9_24_08 11_58 am
84. RE_ WM Materials 9_24_08 12_19 pm
85. Systemic risk
86. Systemic risk portion of Wachovia board case
87. Systemic risk portion of Wachovia board case 9_28_08 10_16 pm.doc
88. Systemic risk portion of Wachovia board case 9_28_08 10_23 pm.doc
89. SystemicCase
90. SystemicCase4am
91. SystemicCase-4am.doc
92. the case
93. vfcc-maturitycalendarpool
94. Wachovia -- systemic risk exception_
95. Wachovia Liability Breakout 9.08-v1
96. wachoviaquestions-answers
97. WB Debt Maturities
98. WB Lg Funds Providers-9-2008
99. WB Lg Funds Providers-9-2008
100. WB Liabilities-9-2008 v2
101. WB Liabilities-9-2008 v2
102. wb memo board
103. Weekend Transaction 9_27_08 1_17_pm

e. 2010-07-14 Production- Disk # 453
   1. 06 WM Final Presentation
   2. FCIC_SYSRISK_PPIP_A
   3. FCIC_SYSRISK_PPIP_B
   4. FCIC_SYSRISK_TLGP_2

f. 2010-07-15 Production- Disk # 461
   1. FCIC_SYSRISK_BOA_2
   2. FCIC_SYSRISK_CITI_2_chmn
   3. FCIC_SYSRISK_CITI_2_staff

 g. 2010-07-28 Production
    1. Disk # 489
       a. AGD Sim
          1. 1. FDIC Simulation May 1 Workshop Brief FINAL
          2. 2. FDIC Simulation Scenario Fact Book May 1 FINAL
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          4. 4. May 6 -12 Compiled Updates
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          6. 6. May 13 Intro Brief
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c. MOU files
   1. Interagency MOU signed Board Case package July 9 2010
   2. Jan 29, 2002, Minutes
   3. Jan. 18, 2005, Board jacket
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d. RAM Sim
   1. Comparison Charts2
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   3. Ram Bank Resolution Cash Disbursement Estimates v3 (2)
   4. RAM Bank Scenario FINAL
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   7. Systemic Risk Tabletop Overview and Agenda

e. a. LIDI User Guide
   f. LIDI RD Memo
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2. a. WA000001
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   i. WA000057
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   1. 1-29-08 Problem Memo
   2. 2008 Camels Rating Discussion Paper
   3. 2008 Camels Rating Discussion Paper Wash input
   4. 3-6-08 Rating Difference Memo
   5. 6-17-08 Streicher email
   6. CWB-RatingsDiffMemo5-20-08
   7. Discussion with Dochow 12-17-07
   8. OTS response to FDIC followup Questions - Countrywide
   9. OTSExamRequest9-4-07
  10. Visitation Comments Nov 1 2007 (Eagar)
  11. Visitation email cover 11-1-07

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   1. Downey 2007 Exam Recap 7-18-07
   2. Downey 8-20-07 Problem Bk Memo
   3. Downey FDIC Participation in 3-10-08 Exam
   4. Downey Supervisory History 11-13-08
   5. IMB Memo Downgrade to Composite 5 as af 6_25_08
   6. IndyMac 5-7-08 email re Capital
   7. IndyMac Briefing Memo by Hoyer June 19
   8. IndyMac FDIC Findings Memo 5-27-08
   9. IndyMac FDIC Participation in OTS Exam
  10. IndyMac Hoyer Capital Issues 5-26-08
  11. IndyMac June 12 Capital Analysis (Burns)
  12. IndyMac LIDI 5-22-08
  13. IndyMac Problem Bk Memo addendum 6-26-08
10. Correspondence MOU to the Bank Board
11. Correspondence review of Assessment Risk Classification
12. Correspondence signed copy of the MOU
13. Correspondence to Bank re Receipt of Quarterly Progress Report
14. First Quarter 2004 Progress Report
15. Fourth Quarter 2003 Progress Report
16. Fremont Investment & Loan
17. Letter to Board re 2004 Quarterly Progress Report
18. Liquidity and Funds Management Management's Perspective
19. Memorandum re Loan Documents
20. MOU
21. Quarterly Progress Report
22. Quarterly Written Progress Report re MOU
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24. Report of Management and fax Cover Sheet
25. Response to Joint REO 06-30-04
26. response to Joint Report of Examination of 8-4-03
27. Response to Joint Report of Examination of 8-9-05
30. ROE 03-31-2005
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35. ROE 03-23-2005
36. S& S Transmittal of Joint ROE 1-14-2005
37. Second Quarter 2004 Progress Report
38. Summary of Examination Findings
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40. Transmittal of IT Report of Examination

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   1. 12-08-03 FYI
   2. 4-21-03 FYI
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   4. Basel II August 22 2005
   5. Basel II implementation Jan 2010
   6. Basel II implementation January 2010 redacted
   7. Basel II policy and implementation 11-27-06
   8. Calibration_Treasury
   9. Chairman Bair to Sen. Collins
   10. Powell Letter to Greenspan
   11. TPS Risk Analysis

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   2. DSC Memo-RAC Mortgage Credit Trends Project
   3. DSC_DR_09062010_1
   4. Exhibit 2 Final
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   6. Exhibit 4 Final
   7. FCIC Spoth
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   1. Transcript 10-13-08 (Reviewed)
   2. Transcript 11-23-08 (Reviewed)
   3. Transcript 1-15-09 (Reviewed)
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      a. Copy of FHLB Advance Repayments for 2008 Closings 10-14-10

r. 2010-10-15 Production- Disk # 703
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s. 2010-12-13 Production
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   2. FDIC_HSOB Bank & Thrift Failures 1934-1980
   3. FDIC_HSOB Bank & Thrift Failures 1940-1949
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t. FDIC OIG Response to FCIC Request Mar 16 10pdf

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ba. FM-FCIC-00172489 Follow Up to Urban Institute Meeting
bb. FM-FCIC-00172491 2007 Mission Report Excerpts
bc. FM-FCIC-00172515 Fannie Memo re Mainstreaming the Mission (2007-09-11)
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bf. FM-FCIC-00172547 Record SF Volumes Create Significant Challenges (2002)
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      2. Chairman Greenspan Testimony before Committee on Banking,etc Feb 24,2004
      3. Chairman Greenspan Testimony before Committee on Banking etc April 6,2005
      4. FCIC-111934-112230 (GSEs)
      5. References Concerning Government Sponsored Enterprises (GSEs) Feb 16,2007
      6. The GSE Implicit Subsidy and Value of Govt. Ambiguity
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  15. Fed Provides Credit to Bear Stearns  
  16. Impact of AIG Failure - Feb. 09  
  17. Staff Memo on AIG - Sept. 08  
  18. Staff Memo on PDCF  
  19. Systemic Risks of AIG  

e. 2010-07-03 Production  
   1. FCIC-136409-136413  
   2. FCIC-136414-136419  
   3. FCIC-136420-136424  
   4. FCIC-136425-136429  
   5. FCIC-136430-136436  
   6. FCIC-136437-136441  
   7. FCIC-136442-136444  
   8. FCIC-136445-136451  
   9. FCIC-136452-136454  
  10. FCIC-136455-136459  
  11. FCIC-136460-136465  
  12. FCIC-136466-136469  
  13. FCIC-136470-136485  
  14. FCIC-136486-136488  
  15. FCIC-136489-136492  
  16. FCIC-136493-136505
f. 2010-07-15 Production
   1. 2009 Wachovia IO-RAP-Supervisory Plan - FINAL
   2. Draft 2008 Wachovia Risk Assessment

g. 2010-07-19 Production- Disk # 463
   1. 04-04 attach-1 Interag Advis on Acctg for Deferred Comp agre
   2. 2010_07 EU CRD draft leg
   3. A Theory of Bank Regulation
   4. AFinalFSFCompensationPrinciples
   5. Ahn Dewynne Hua Penoud & Wilmott The EOY bonus How to opt re
   6. APRA Consults on Remuneration
   7. APRA PPG511 Remuneration_Sept_Consultation
   8. APRA RP Remuneration_Sept_Consultation
   9. APS-510-Sept-Consultation
  10. Are American CEO's Overpaid
  11. AXP 2007 Compensation and Benefits Committee Charter 070423
  12. AXP 2008 10-k 090227
  13. AXP 2008 AR
  14. AXP 2009 proxy
  15. AXP 2009 proxy supplement
  16. AXP SCAP presentation 090507
  17. BAC 2008 10-k 090227
  18. BAC 2008 AR
  19. BAC 2008 Investor Fact Book Full Year
  20. BAC 2009 Proxy
  21. BAC 2009 SH Meeting presentation 090428
  22. BAC Compensation and Benefits Committee Charter 1_23_08 at w
  23. BBT 2008 AR Annual Review
  24. BBT 2009 Compensation Committee Charter 090224
  25. BBT 2009 Proxy with 2008 10-K
  26. BBT 2009 SH meeting Presentation 090428
  27. BCBS Compensation letter and survey 27Aug09
  29. Bebchuk-SSRN-id1416227
  30. Bentson & Evan Perf comp contracts & CEO inc to shift risk to
  31. BK 2008 10-K
  32. BK 2008 AR
  33. BK 2008 AR financial section
  34. BK 2009 HR and Compensation Committee Charter 090414
  35. BK 2009 Proxy
  36. BoardFSFCompSummaryMemo20090325
  37. brian breheny testimony before CFS 61109
  38. BW Rethink that deferred comp plan now 30Jun2008
  39. C 2008 10-k
  40. C 2008 AR
  41. C 2009 Corporate Governance Guidelines 090313
  42. C 2009 Personnel and Compensation Committee Charter 090121
  43. C 2009 Proxy
  44. CEBS-High Level principles for Remuneration Policies
  45. CEO Compensation and the Transformation of Banking
  46. CFO-dot-com Employment contracts How to cut the best deal 23
  47. CFO-dot-com For the first time restricted shares outstrip op
  48. CH FINMA consultation circular on remuneration in German ber
  49. CH FINMA key points of the circular on remuneration systems
  50. CH FINMA pr opening consultation period for circular on remu
51. Chemmanur & Peaglis Why issue tracking stock J Appld Corp Fi
52. Chen-Steiner-Whyte Does stock-option-bsd comp induce risk-ta
53. COF 2008 10-k 090226
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55. COF 2009 Compensation_Committee_Charter 090129
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58. Comp APRA Executive-remuneration the-regulatory-debate 09031
59. Comp APRA pr apra consults on remuneration 090528
60. Comp APRA remuneration discussion paper 090528
61. Comp APRA remuneration Draft Prudential Practice Guide 511 0
62. Comp APRA remuneration Draft Prudential Standard APS-510 090
63. Comp APRA remuneration Draft Prudential Standard GPS-510 090
64. Comp APRA remuneration Draft Prudential Standard LPS-510 090
65. Comp CEBS High-level-principles-for-remuneration-policies 09
66. Comp IIF Compensation in Financial Services Progress Report-
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78. Discussion Paper Remuneration
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83. DJ Merrill Lynch offers deferred pay plan for some execs 6Ju
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96. Fidelity About restricted stock awards from Web site 1May200
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99. FINMA-Circular on Executive Compensation 2009
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102. FITB 2008 AR
103. FITB 2009 Proxy 090310a
104. FMCG Value-based management Jun2008
105. FMCG Value-based management KPMs 2003
k. 2010-07-29 Production - Disk # 495
   1. FRB to LEH Examiner 1656-2408
   2. FRB to LEH Examiner-0783-1655

l. 2010-07-30 Production - Disks 506 and 507
   1. Disk # 506
      a. Wachovia Golden West Case Files
         1. FCIC-156813-157112
         2. FCIC-157113-157412
         3. FCIC-157413-157712
         4. FCIC-157713-158012
         5. FCIC-158013-158312
         6. FCIC-158313-158612
         7. FCIC-158613-158912
         8. FCIC-158913-159212
         9. FCIC-159213-159512
        10. FCIC-159513-159812
        11. FCIC-159813-160270
      b. Wachovia South Trust Case File
         1. Notation Voting Records (Application) 20041018
            a. FCIC-163626-163854
         2. Notation Voting Records (Reconsideration) 20041018
            a. FCIC-163855-163866
         3. Notation Voting Records (Reconsideration) 20041122
            a. FCIC-163867-163877
         4. FCIC-160271-160570
         5. FCIC-160571-160870
         6. FCIC-160871-161170
         7. FCIC-161171-161470
         8. FCIC-161471-161770
         9. FCIC-162071-162370
        10. FCIC-162371-162670
        11. FCIC-162671-162970
        12. FCIC-162971-163270
        13. FCIC-163271-163625
      c. Wells Fargo- Wachovia Case File
         1. FCIC-163878-164185
      d. FCIC-15060-156493
      e. FCIC-156494-156812
   2. Disk # 507
      a. Request 3
         1. FCIC-164186-164269
         2. FCIC-164270-164306
         3. FCIC-164307-164424
         4. FCIC-164425-164819
         5. FCIC-164820-164833
      b. Request 4
         1. FCIC-164834
      c. Request 7
         1. 2004-12-02 Countrywide ReconTrust
            a. FCIC-164835-164848
         2. 2005-08-10 Regulation with Exemption Request
            a. FCIC-164849-164864
         3. 2006-06-08 Regulation W Exemption Request
            a. FCIC-164865-164888
         4. 2007-08-21
            a. 08-24-07
               1. FCIC-167731-167732
b. Liquidity Updates
   1. FCIC-167733-167827
   c. FCIC-164869-165388
   d. FCIC-165389-165888
   e. FCIC-165889-166388
   f. FCIC-166389-166888
   g. FCIC-166889-167388
   h. FCIC-167389-167730

d. Request 8
   1. FCIC-167828-167829

m. 2010-08-06 Production
   1. FCIC-167830-167971

n. 2010-08-13 Production - Disk # 546
   1. Board and Richmond Citi-Wells-Wach emails (Bates Numbered 167972-171822) CONFIDENTIAL DO NOT REPRODUCE
   2. FRBSF Citi-Wells-Wach emails (Bates Numbered 171823-173483) CONFIDENTIAL DO NOT REPRODUCE
   3. Section 13 and least-cost emails (Bates Numbered 173484-176598) CONFIDENTIAL DO NOT REPRODUCE

o. 2010-08-18 FRB Production - Disk # 555
   1. Daily Liquidity Reports
      a. FCIC-176612-176808
   2. Liquidity Packages
      a. August
         1. 07-08 Provider Summary
            a. FCIC-176912
         2. WMFSBAugust08OTS
            a. FCIC-177103-177411
            3. FCIC-176809-177102
      b. October
         1. FCIC-177412-177438
      c. September
         1. FCIC-177439-177501
   3. FCIC-176599-176611

p. 2010-09-24 Production
   1. cgfs_oct2004_review
   2. Default_Model_Eval
   3. details_about_csi_memo
   4. FCIC-178070-178151
   5. FCIC-178152-178157
   6. FCIC-178158-178160
   7. FCIC-178161-178164
   8. FCIC-178165-178169
   9. FCIC-178170-178196
  10. FCIC-178197-178201
  11. FCIC-178202-178210
  12. FCIC-178211-178253
  13. FCIC-178254-178266
  14. FCIC-178267-178289
  15. FCIC-178290-178292
  16. FCIC-178293-178299
  17. FCIC-178300-178315
  18. FCIC-178316-178321
  19. FCIC-178322-178329
  20. FCIC-178330-178332
  21. FCIC-178333-178347
  22. FCIC-178348-178367
  23. FCIC-178368-178402
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bm. The Current State of the U.S. and Mexican Economies Where Do
bn. The Economic Outlook - Federal Reserve Bank of Richmond
bo. The Economic Outlook for 2006 - Federal Reserve Bank of Rich
bp. The Evolution of the Subprime Mortgage Market
bq. The Price of Residential Land in Large U.S. Cities
br. The Regional Economic Outlook - Federal Reserve Bank of Rich
bs. The United States Still the Growth Engine for the World Econ
bt. Uncertainty and Transparency in the Conduct of Monetary Poli
bu. Using State and Metropolitan Area House Price Cycles to Inte
bv. What do Financial Asset Prices Say about the Housing Market
bw. What Happens to Banks when House Prices Fall
bx. Your House just Doubled in Value

3. 2007
   a. A Guide to Aggregate House Price Measures
   b. A Matter of Main Streets
   c. Armed against ARMs
   d. Booms and Busts, the Case of Subprime Mortgages, Gramlich
   e. Bridges Federal Regulators Seek Comment on Subprime Mortgag
   f. Bridges Foreclosures—Let's Talk about the Solution Counsel
   g. Bridges Implications of the New Pricing Data from the Home
   h. Bridges Implications of the New Pricing Data from the Home
   i. Bridges Subprime ARMs Popular Loans, Poor Performance
   j. Bridges Subprime ARMs Popular Loans, Poor Performance
   k. Bubble Bubble Toil and Trouble
   l. Bubble Bubble Toil and Trouble
   m. Chicago, Fudan University, the Making of Monetary Policy in
   n. Chicago, Fudan University, the Making of Monetary Policy in
   o. Chicago, Global Interdependence Center, Moskow
   p. Chicago, Global Interdependence Center, Moskow
   q. Chicago, Kenilworth, US Economic Outlook
   r. Chicago, Kenilworth, US Economic Outlook
   s. Chicago, US Economic Outlook Jewish United Fund, 03-07-2007
   u. Chicago, US Economic Outlook, 01-10-2007
   v. Chicago, US Economic Outlook, 01-10-2007
   w. Chicago, US Economic Outlook, University Club, 02-16-2007
   x. Chicago, US Economic Outlook, University Club, 02-16-2007
   y. Community Affairs Research Conference -- Opening Remarks - S
   z. Community Affairs Research Conference -- Opening Remarks - S
   aa. Credit Markets Innovations and Their Implications - Federal
   ab. Credit Markets Innovations and Their Implications - Federal
   ac. Do High Debt Payments Hinder Household Consumption Smoothing
   ad. Do High Debt Payments Hinder Household Consumption Smoothing
   ae. Economic Outlook - Federal Reserve Bank of Richmond
   af. Economic Outlook - Federal Reserve Bank of Richmond
   ag. Economic Outlook2 - Federal Reserve Bank of Richmond
   ah. Economic Outlook2 - Federal Reserve Bank of Richmond
   ai. Fall07JacksonSymposium
   aj. Fall07JacksonSymposium
   ak. Forecasting Real Housing Price Growth in the Eighth District
   al. Forecasting Real Housing Price Growth in the Eighth District
   am. Four Images of Ned Gramlich
   an. Four Images of Ned Gramlich
   ao. FRB Bernanke, Housing, Housing Finance, and Monetary Policy
ap. FRB Bernanke, Housing, Housing Finance, and Monetary Policy
aq. FRB Kroszner--Risk Management and the Economic Outlook
ar. FRB Kroszner--Risk Management and the Economic Outlook
as. FRB Speech by Governor Kroszner on outlook and risks for the
at. FRB Speech by Governor Kroszner on outlook and risks for the
au. FRB Speech, Bernanke--GSE Portfolios, Systemic Risk, and Aff
av. FRB Speech, Bernanke--GSE Portfolios, Systemic Risk, and Aff
aw. FRB Speech, Bernanke--The Subprime Mortgage Market--May 17, 
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ay. FRB Speech, Bies--Economic Outlook and Developments in Mortg
az. FRB Speech, Bies--Economic Outlook and Developments in Mortg
ba. FRB Speech, Chairman Bernanke on the housing market and subp
bb. FRB Speech, Chairman Bernanke on the housing market and subp
bc. FRB Speech, Kohn--Asset-Pricing Puzzles, Credit Risk, and Cr
bd. FRB Speech, Kohn--Asset-Pricing Puzzles, Credit Risk, and Cr
be. FRB Speech, Kohn--The Economic Outlook--January 8, 2007
bf. FRB Speech, Kohn--The Economic Outlook--January 8, 2007
bg. FRB Speech, Mishkin--Enterprise Risk Management and Mortgage
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bi. FRB Speech, Mishkin---The U.S. Economic Outlook--April 20, 2
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bn. FRB Speech--Kohn, Economic Outlook--October 5, 2007
bo. FRB Speech--Kohn, Financial Markets and Central Banking--Nov
bp. FRB Speech--Kohn, Financial Markets and Central Banking--Nov
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bu. FRB Speech--Mishkin, Financial Instability and Monetary Poli
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bw. FRB Speech--Mishkin, Outlook and Risks for the U.S. Economy-
bx. FRB Speech--Mishkin, Outlook and Risks for the U.S. Economy-
by. FRB Supervisory Letter SR 07-1 on interagency guidance on Co
bz. FRB Supervisory Letter SR 07-1 on interagency guidance on Co
ca. FRB Supervisory Letter SR 07-12 - CA 07-3 on Statement on Su
cb. FRB Supervisory Letter SR 07-12 - CA 07-3 on Statement on Su
cc. FRB Supervisory Letter SR 07-16 - CA 07-4 on statement on lo
cd. FRB Supervisory Letter SR 07-16 - CA 07-4 on statement on lo
ce. FRB Supervisory Letter SR 07-6 on Working with Mortgage Borr
cf. FRB Supervisory Letter SR 07-6 on Working with Mortgage Borr
cg. FRB Testimony, Bernanke, Semiannual Monetary Policy Report t
ch. FRB Testimony, Bernanke, Semiannual Monetary Policy Report t
cl. FRB Testimony, Bernanke-Monetary Policy Report to the Congre
cj. FRB Testimony, Bernanke-Monetary Policy Report to the Congre
ck. FRB Testimony, Bernanke--The economic outlook--March 28, 200
cl. FRB Testimony, Bernanke--The economic outlook--March 28, 200
cm. FRB Testimony, Braunstein--Bank mergers, Community Reinvestm
cn. FRB Testimony, Braunstein--Bank mergers, Community Reinvestm
cp. FRB Testimony, Braunstein--Subprime mortgages--March 27, 200
cq. FRB Testimony--Bernanke, Subprime mortgage lending and mitig
ev. News and Events - Stern Speech - Perspectives on the Economy -
ew. Nonprime Mortgage Woes—How We Got There, Where To Go Next
ex. Nonprime Mortgage Woes—How We Got There, Where To Go Next
ey. Optimal Retirement Asset, the Impact of Housing Wealth
ez. Optimal Retirement Asset, the Impact of Housing Wealth
fa. Responding to Financial Crisis, What Role for the Fed
fb. Responding to Financial Crisis, What Role for the Fed
fc. Rising Foreclosures in the United States, a Perfect Storm
fd. Rising Foreclosures in the United States, a Perfect Storm
fe. Risk Is a Many Splendored Thing Lessons Learned - Richard F
ff. Risk Is a Many Splendored Thing Lessons Learned - Richard F
fg. Servicers Key to Limiting Loan Losses
fh. Servicers Key to Limiting Loan Losses
fi. Sources and Uses of Equity Extracted from Homes
fj. Sources and Uses of Equity Extracted from Homes
fk. Speech Economic Outlook and Central Bank Policy (November 27
fl. Speech Economic Outlook and Central Bank Policy (November 27
fm. Speech House Prices and Monetary Policy (July 11, 2007) - Ph
fn. Speech House Prices and Monetary Policy (July 11, 2007) - Ph
fo. Speech The Economic Outlook Prospects for 2007 (February 7,
fp. Speech The Economic Outlook Prospects for 2007 (February 7,
fq. St. Louis Reserve Bank State of the U.S. Economy
fr. St. Louis Reserve Bank State of the U.S. Economy
fs. St. Louis Reserve Bank, Market Healing
ft. St. Louis Reserve Bank, Market Healing
fu. St. Louis Reserve Bank, Real Estate in the US Economy
fv. St. Louis Reserve Bank, Real Estate in the US Economy
fw. St. Louis Reserve Bank, Reputation and the Non-Prime Mortgag
fx. St. Louis Reserve Bank, Reputation and the Non-Prime Mortgag
fy. St. Louis Reserve Bank, The GSEs, Where do we Stand
fz. St. Louis Reserve Bank, The GSEs, Where do we Stand
ga. Subprime Side Effects inthe Federal Funds Market
gb. Subprime Side Effects inthe Federal Funds Market
gc. Symposium Foreward Hoenig
gd. Symposium Foreward Hoenig
ge. The 2006 HMDA data
gf. The 2006 HMDA data
gg. The Economic Outlook - Federal Reserve Bank of Richmond
gth. The Economic Outlook - Federal Reserve Bank of Richmond
gi. The GSEs, Where do we Stand
gj. The GSEs, Where do we Stand
gk. The Housing Market, After the Boom
gl. The Housing Market, After the Boom
gm. The Inflation Outlook - Federal Reserve Bank of Richmond
gn. The Inflation Outlook - Federal Reserve Bank of Richmond
go. The Inflation Outlook - Federal Reserve Bank of Richmond
gp. The Inflation Outlook - Federal Reserve Bank of Richmond
gq. The Inflation Outlook - Federal Reserve Bank of Richmond
gr. The Inflation Outlook - Federal Reserve Bank of Richmond
gs. The Inflation Outlook - Federal Reserve Bank of Richmond
gt. The Inflation Outlook - Federal Reserve Bank of Richmond
gu. The Inflation Outlook2 - Federal Reserve Bank of Richmond
gv. The Inflation Outlook2 - Federal Reserve Bank of Richmond
gw. The Inflation Outlook2 - Federal Reserve Bank of Richmond
gx. The Life-Cycle Effects of House Price Changes
3. 2005-08-17 Minutes of August 18, 2005 meeting between NYFed examiners and Citigroup
x. 2010-11-03 Seefer notes from review of FOMC minutes
y. 2010-06-21 Production- Disk # 402
  1. FCIC-SSI 0000001-00002001
  2. FCIC-SSI 0000201-0000400
  3. FCIC-SSI 0000401-0000414

b. 2010-06-24- Disk # 416
  1. FCIC-SSI 0000415-00006141
  2. FCIC-SSI 0000615-0000641

c. 2010-06-26 Production
  1. Disk # 421
    a. FCIC-OTC0000001-0000200
    b. FCIC-OTC0000201-0000400
    c. FCIC-OTC00004012.0000600
    d. FCIC-OTC0000601-0000800
    e. FCIC-OTC0000801-0001000
    f. FCIC-OTC0001001-0001200
    g. FCIC-OTC0001201

d. 2010-06-29 Production- Disk # 428
  1. FCIC-BA-ML0000001-00002001
  2. FCIC-BA-ML0000201-0000400
  3. FCIC-BA-ML0000401-0000600
  4. FCIC-BA-ML0000601-0000800
  5. FCIC-BA-ML0000801-0001000
  6. FCIC-BA-ML0001001-0001200
  7. FCIC-BA-ML0001201-0001400
  8. FCIC-BA-ML0001401-0001600
  9. FCIC-BA-ML0001601-0001800
 10. FCIC-BA-ML0001801-0002000
 11. FCIC-BA-ML0002001-0002200
 12. FCIC-BA-ML0002201-0002400
 13. FCIC-BA-ML0002401-0002600
 14. FCIC-BA-ML0002601-0002800
 15. FCIC-BA-ML0002801-0003000
 16. FCIC-BA-ML0003001-0003200
 17. FCIC-BA-ML0003201-0003400
 18. FCIC-BA-ML0003401-0003600
 19. FCIC-BA-ML0003601-0003800
 20. FCIC-BA-ML0003801-0004000
 21. FCIC-BA-ML0004001-0004200
 22. FCIC-BA-ML0004201-0004400
 23. FCIC-BA-ML0004401-0004600
 24. FCIC-BA-ML0004601-0004800
25. FCIC-BA-ML0004801-0005000
26. FCIC-BA-ML0005001-0005200
27. FCIC-BA-ML0005201-0005400
28. FCIC-BA-ML0005401-0005600
29. FCIC-BA-ML0005601-0005800
30. FCIC-BA-ML0005801-0006000
31. FCIC-BA-ML0006001-0006200
32. FCIC-BA-ML0006201-0006311

e. 2010-07-06 Production
   1. Disk # 436
      a. FCIC-SSI0000642-0000682
   2. Disk # 437
      a. FCIC-SSI 0000683-0000710
   3. Disk # 438
      a. FCIC-SSI 0000711-0000910
      b. FCIC-SSI 0000911-0001110
      c. FCIC-SSI 0001111-0001310
      d. FCIC-SSI 0001311-0001361

f. 2010-07-07 Production
   1. Disk # 439
      a. FCIC-SSI 0001362-0001412

g. 2010-07-09 Production Disk # 446
   1. FCIC-OTC0001202-0001401
   2. FCIC-OTC0001202-FCIC-OTC0009225
   3. FCIC-OTC0001402-0001601
   4. FCIC-OTC0001602-0001801
   5. FCIC-OTC0001802-0002001
   6. FCIC-OTC0002002-0002201
   7. FCIC-OTC0002202-0002401
   8. FCIC-OTC0002402-0002601
   9. FCIC-OTC0002602-0002801
   10. FCIC-OTC0002802-0003001
   11. FCIC-OTC0003002-0003201
   12. FCIC-OTC0003202-0003401
   13. FCIC-OTC0003402-0003601
   14. FCIC-OTC0003602-0003801
   15. FCIC-OTC0003802-0004001
   16. FCIC-OTC0004002-0004201
   17. FCIC-OTC0004202-0004401
   18. FCIC-OTC0004402-0004601
   19. FCIC-OTC0004602-0004801
   20. FCIC-OTC0004802-0005001
   21. FCIC-OTC0005002-0005201
   22. FCIC-OTC0005202-0005401
   23. FCIC-OTC0005402-0005601
   24. FCIC-OTC0005602-0005801
   25. FCIC-OTC0005802-0006001
   26. FCIC-OTC0006002-0006201
   27. FCIC-OTC0006202-0006401
   28. FCIC-OTC0006402-0006601
   29. FCIC-OTC0006602-0006801
   30. FCIC-OTC0006802-0007001
   31. FCIC-OTC0007002-0007201
   32. FCIC-OTC0007202-0007401
   33. FCIC-OTC0007402-0007601
   34. FCIC-OTC0007602-0007801
h. 2010-07-12 Production
   1. Disk # 447
      a. FCIC-GS0009258-0009457
      b. FCIC-GS0009458-0009657
      c. FCIC-GS0009658-0009857
      d. FCIC-GS0009858-0009883

i. 2010-07-13 Production- Disk # 452
   1. FRBNY-FCIC-General0060651

j. 2010-07-14 Production
   1. Disk # 456
      a. Ford Motor Credit
         1. FCIC-SSI0001748-0001947
         2. FCIC-SSI0001948-0002147
         3. FCIC-SSI0002148-0002347
         4. FCIC-SSI0002348-0002547
         5. FCIC-SSI0002548-0002747
         6. FCIC-SSI0002748-0002947
         7. FCIC-SSI0002948-0003037
      b. GMAC
         1. FCIC-SSI0003038-0003237
         2. FCIC-SSI0003238-0003437
         3. FCIC-SSI0003438-0003637
         4. FCIC-SSI0003638-0003837
         5. FCIC-SSI0003838-0004037
         6. FCIC-SSI0004038-0004237
         7. FCIC-SSI0004238-0004437
         8. FCIC-SSI0004438-0004637
         9. FCIC-SSI0004638-0004837
        10. FCIC-SSI0004838-0005037
        11. FCIC-SSI0005038-0005237
        12. FCIC-SSI0005238-0005437
        13. FCIC-SSI0005438-0005637
        14. FCIC-SSI0005638-0005837
        15. FCIC-SSI0005838-0006037
        16. FCIC-SSI0006038-0006237
        17. FCIC-SSI0006238-0006437
        18. FCIC-SSI0006438-0006489
      c. GMAC- Credit Stuff
         1. FCIC-SSI0006490-0006517
      d. TARP Related Proposals
         1. FCIC-SSI0006518-0006717
         2. FCIC-SSI0006518-0006717
         3. FCIC-SSI0006718-0006917
         4. FCIC-SSI0006718-0006917
         5. FCIC-SSI0006918-0007040
         6. FCIC-SSI0006918-0007040
      e. FCIC-SSI001413-001612
      f. FCIC-SSI001613-001747
   2. Disk # 457
a. FCIC-SSI0007041-0007200

3. Disk # 458
a. FCIC-OTS0009226-0009425
b. FCIC-OTS0009426-0009625
c. FCIC-OTS0009626-0009825
d. FCIC-OTS0009826-0010025
e. FCIC-OTS0010026-0010225
f. FCIC-OTS0010226-0010425
g. FCIC-OTS0010426-0010625
h. FCIC-OTS0010626-0010825
i. FCIC-OTS0010826-0011025
j. FCIC-OTS0011026-0011225
k. FCIC-OTS0011226-0011425
l. FCIC-OTS0011426-0011625
m. FCIC-OTS0011626-0011825
n. FCIC-OTS0011826-0012025
o. FCIC-OTS0012026-0012225
p. FCIC-OTS0012226-0012425
q. FCIC-OTS0012426-0012625
r. FCIC-OTS0012626-0012825
s. FCIC-OTS0012826-0012981

k. 2010-07-20 Production
1. Disk # 464
a. FCIC-SSI0007201-0007400
b. FCIC-SSI0007401-0007600
c. FCIC-SSI0007601-0007800
d. FCIC-SSI0007801-0008000
e. FCIC-SSI0008001-0008200
f. FCIC-SSI0008201-0008400
g. FCIC-SSI0008401-0008485

2. Disk # 468
a. FCIC-OTS0013557-0013733

l. 2010-07-21 Production- Disk # 475
1. FCIC-Wachovia0000001-0000200
2. FCIC-Wachovia0000201-0000400
3. FCIC-Wachovia0000401-0000600
4. FCIC-Wachovia0000601-0000800
5. FCIC-Wachovia0000801-0001000
6. FCIC-Wachovia0001001-0001200
7. FCIC-Wachovia0001201-0001400
8. FCIC-Wachovia0001401-0001514

m. 2010-07-22 Production
1. Disk # 477
a. FCIC-SSI0008486-0008491

2. Disk # 478
a. FCIC-SSI0008492-0008691
b. FCIC-SSI0008692-0008820

n. 2010-07-29 Production- Disk # 498
1. FCIC-SSI0008821-0009020
2. FCIC-SSI0009021-0009220
3. FCIC-SSI0009221-0009420
4. FCIC-SSI0009421-0009620
5. FCIC-SSI0009621-0009820
6. FCIC-SSI0009821-0010020
7. FCIC-SSI0010021-0010220
8. FCIC-SSI0010221-0010420
9. FCIC-SSI0010421-0010620
2010-07-31 Production - Disk # 513
1. FCIC-Wachovia0001515-0001714
2. FCIC-Wachovia0001715-0001914
3. FCIC-Wachovia0001915-0002036
4. FCIC-Wachovia0002037-0002236
5. FCIC-Wachovia0002237-0002436
6. FCIC-Wachovia0002437-0002478
7. FCIC-Wachovia0002479-0002678
8. FCIC-Wachovia0002679-0002878
9. FCIC-Wachovia0002879-0003078
10. FCIC-Wachovia0003079-0003278
11. FCIC-Wachovia0003279-0003478
12. FCIC-Wachovia0003479-0003678
13. FCIC-Wachovia0003679-0003878
14. FCIC-Wachovia0003879-0003983
15. FCIC-Wachovia0003884-0004083
16. FCIC-Wachovia0004084-0004283
17. FCIC-Wachovia0004284-0004449

2010-08-02 Production
1. Disk # 515
   a. FCIC-BA-ML0006312-0006394
2. Disk # 516
   a. FRBNY-FCIC-General0060652-0060851
   b. FRBNY-FCIC-General0060852-0061051
   c. FRBNY-FCIC-General0061052-0061251
   d. FRBNY-FCIC-General0061252-0061451
   e. FRBNY-FCIC-General0061452-0061623
3. Disk # 517
   a. FCIC-SSI00111077-00111276
   b. FCIC-SSI0011277-00111476
   c. FCIC-SSI00111477-00111676
   d. FCIC-SSI00111677-00111876
   e. FCIC-SSI00111877-00112076
   f. FCIC-SSI00112077-00112276
   g. FCIC-SSI00112277-00112476
   h. FCIC-SSI00112477-00112676
   i. FCIC-SSI00112677-00112876
   j. FCIC-SSI00112877-00113076
   k. FCIC-SSI00113077-00113276
   l. FCIC-SSI00113277-00113476
   m. FCIC-SSI00113477-00113676
   n. FCIC-SSI00113677-00113876
   o. FCIC-SSI00113877-00114076
   p. FCIC-SSI00114077-00114276
   q. FCIC-SSI00114277-00114476
   r. FCIC-SSI00114477-00114665
4. DISK # 518
   a. FCIC-OTC0013734-0013933
   b. FCIC-OTC0013934-0014133
   c. FCIC-OTC0014134-0014333
   d. FCIC-OTC0014334-0014533
   e. FCIC-OTC0014534-0014733
   f. FCIC-OTC0014734-0014933
q. 2010-08-17 Production
   1. Disk # 560
      a. FRBNY-FCIC-General0076134-0076139
   2. Disk # 561
      a. FCIC-MS0002308-0002507
      b. FCIC-MS0002508-0002707
      c. FCIC-MS0002708-0002907
      d. FCIC-MS0002908-0003107
      e. FCIC-MS0003108-0003170
   3. Disk # 562
      a. FCIC-MS0003171-0003370
      b. FCIC-MS0003371-0003570
      c. FCIC-MS0003571-0003770
      d. FCIC-MS0003771-0003849

r. 2010-08-24 Production- Disk # 574
   1. FCIC-OTC0018245-18444
   2. FCIC-OTC0018445-18644
   3. FCIC-OTC0018645-18844
   4. FCIC-OTC0018845-19044
   5. FCIC-OTC0019045-19244
   6. FCIC-OTC0019245-19444
   7. FCIC-OTC0019445-19644
   8. FCIC-OTC0019645-19844
   9. FCIC-OTC0019845-20044
  10. FCIC-OTC0020045-20244
  11. FCIC-OTC0020245-20444
  12. FCIC-OTC0020445-20544

s. 2010-09-15 Production- Disk # 624
   1. FRBNY-FCIC-General0078393-0078941

t. 2010-10-06 Production
   1. Disk # 675
      a. FRBNY-FCIC-General 0079061-0079660
      b. FRBNY-FCIC-General 0079661-0080260
      c. FRBNY-FCIC-General 0080261-0080860
      d. FRBNY-FCIC-General 0080861-0081471
   2. disk # 678
      a. FRBNY-FCIC-General 0082303-0082356

u. 2010-10-19 Production- Disk # 706
   1. FRBNY-FCIC-General0082373-0082489

v. 2010-10-27 Production- Disk # 724
1. FRBNY-FCIC-General0082490-0082729
w. FCIC-ML 0000001-0000064

30. FrontPoint
   a. 071907 FrontPoint SubPrime Discussion, Conference Call
   b. FP-FCIC 000001-67 (Shorting Home Mezzanine Tranches)
   c. UNTITLED_DISC
   d. VIDEO_TS
   e. VIDEO_TS
   f. VIDEO_TS
   g. VOB_DATA
   h. VTS_01_0
   i. VTS_01_0
   j. VTS_01_0

31. GE
   a. GECIC 00023-30

32. Genworth
   a. 2010-09-27 Production
      1. 9 27 10 GENWORTH Response to FCIC Request

33. GMAC
   a. 2010-07-21 Production
      1. gmac
      2. LAW000000001
      3. LAW000000002
      4. LAW000000003
      5. LAW000000007
      6. LAW000000008
      7. LAW000000009
      8. LAW000000010
      9. LAW000000011
     10. LAW000000012
     11. LAW000000013
     12. LAW000000014
     13. LAW000000015
     14. LAW000000016
     15. LAW000000017
     16. LAW000000018
     17. LAW000000019
     18. LAW000000020
     19. LAW000000021
     20. LAW000000022
     21. LAW000000023
     22. LAW000000024
     23. LAW000000025
     24. LAW000000026
     25. LAW000000027
     26. LAW000000028
     27. LAW000000029
     28. LAW000000030
     29. LAW000000031
     30. LAW000000032
     31. LAW000000035
     32. LAW000000036
     33. LAW000000037
     34. LAW000000038

34. Goldman Sachs
   a. 2010-04-21 Goldman Sachs Production
1. 2006
   a. GS FCIC 000000566
   b. GS FCIC 000006066
   c. GS FCIC 000009285
   d. GS FCIC 000012769
   e. GS FCIC 000016412

2. 2007
   a. GS FCIC 000019016
   b. GS FCIC 000030545
   c. GS FCIC 000035819
   d. GS FCIC 000040555
   e. GS FCIC 000046048

3. 2008
   a. GS FCIC 000049756
   b. GS FCIC 000053915
   c. GS FCIC 000063956
   d. GS FCIC 000066376
   e. GS FCIC 000069914

4. 2009
   a. GS FCIC 000074578
   b. GS FCIC 000075305
   c. GS FCIC 000082689
   d. GS FCIC 000083373
   e. GS FCIC 000084056

5. 27d Notional YE Summary
   a. GS FCIC 000000564
   b. GS FCIC 000000564

b. 2010-05-03 Production
   1. 2010-05-03 Goldman (S&C - T Edelman) Ltr to C Seeser re Doc Production
   2. GS FCIC 000000564
   3. GS FCIC 000000564
   4. GS MBS 0000018045
   5. GS MBS 0000024956
   6. GS-PSI-00172-264
   7. GS-PSI-00197
   8. GS-PSI-00265
   9. GS-PSI-00265
   10. May 4, 2010 letter to FCIC

c. 2010-05-04 Goldman Sachs Production
   1. GS MBS 0000024916-24955

d. 2010-05-05 Production
   1. GS FCIC 000000564
   2. GS MBS 0000018045
   3. GS MBS 0000024956
   4. GS-PSI-00172-264
   5. GS-PSI-00265

e. 2010-05-11 Production
   1. Disk #251
      a. GS 00001-GS 00062
      b. GS 00063-GS 00798
      c. GS 00799-GS 01568
      d. GS 01569-GS 02049
      e. GS 02050-GS 02570
      f. GS 02571-GS 03132
      g. GS 03133-GS 03816
      h. GS 03617-GS 04438
      i. GS 04439-GS 05151
Disk #252
a. GS 00001 - GS 00062
b. GS 00063 - GS 00798

Disk #253
a. 2007.08.09 T Harrop to J Luks and J McNeila. Re Fw. CDO CDS BWIC
b. 2007.08.14 (2_01pm) T Harrop to J McNeila and J Luks. Re CDO CDS BWIC Due Friday Aug 17
c. 2007.08.14 (2_34pm) T Harrop Re CDO CDS BWIC Due Friday, August 17
d. 2007.08.14 (3_14pm) T Harrop to J McNeila, J Luks, et al. Re. FW CDO CDS BWIC Due Friday Aug 17
e. 2007.08.15 (10_42am) T Harrop to J Luks. Re CDO CDS BWIC Due Friday Aug 17
f. 2007.08.15 (10_47am) T Harrop to J McNeila and J Luks. Re CDO CDS BWIC Due Friday Aug 17
g. 2007.08.15 (08_31am) T. Harrop to W. Saft Re RE CDO CDS BWIC Due Friday, August 17
h. 2007.08.15 (08_39m)T Harrop to W Saft. Re CDO CDS BWIC Due Friday Aug 17
i. 2007.08.17 (1_05pm) T Harrop to C Creed and G Williams. Re FW. CDO CDS BWIC - nothing traded
j. 2007.08.17 (1_12pm) T Harrop Re. CDO CDS BWIC - nothing traded
k. 2007.08.17 (1_18pm) T. Harrop to T. Enright Re RE CDO CDS BWIC-- nothing traded
l. 2007.08.17 (1_21pm) T Harrop to B Marshall. Re CDO CDS BWIC - nothing traded
m. 2007.08.17 (1_38pm) T Harrop to B Marshall. Re CDO CDS BWIC - nothing traded
n. 2007.08.17 (11_08am) T Harrop to H Roeder. Re FW. CDO CDS BWIC Due Friday Aug 17
o. 2007.08.17 (11_29am) T Harrop to M Braselton Re. CDO CDS BWIC Due Friday Aug 17
p. 2007.08.17 (11_43am) T Harrop. Re CDO CDS BWIC Due Friday Aug 17
q. 2007.08.17 (11_45am) T Harrop to K Blaney. Re FW. CDO CDS BWIC Due Friday Aug 17
r. 2007.08.17 (11_50am) T Harrop to K Alexander Re. CDO CDS BWIC Due Friday Aug 17
s. 2007.08.17 (12_16pm) T Harrop to K Blaney Re CDO CDS BWIC Due Friday Aug 17
t. 2007.08.17 (12_16pm) T Harrop to S Haider. Re CDO CDS BWIC- nothing traded
u. 2007.08.21 (10_20am) T Harrop to C Creed and G Williams. Re CDO CDS BWIC- nothing traded
v. 2007.08.21 (10_26am) T Harrop to C Creed and G Williams. Re CDO CDS BWIC - nothing traded
w. 2008.04.24 Goldman Sachs to AIG FP. RE Amended Side Letter Agreement
x. 2008.05.06 T Harrop to T Athan Re. Credit Event Notice and Notice of Publicly Available
y. BWIC POLL INFOR - BWICect Spreadsheet
z. Copy of bwicetc

Disk #255
a. GS10063
b. GS10064
c. GS10065
d. GS10066
e. GS10067
f. GS10068
g. GS10069
h. GS10070
i. GS10071
j. GS10072

Disk #256
a. GS100073-93 w redactions

GS MBS 0000018045-GS MBS 0000018052
7. GS MBS 0000021129-GS MBS 000021133
8. GS MBS 0000022668
9. GS MBS 0000026537

f. 2010-05-14 Goldman Production
   1. Appendix 15
   2. Appendix 5
      a. GS FCIC 000000169 - GS FCIC 000000173
      b. GS FCIC 000000174 - GS FCIC 000000214

g. 2010-05-19 Production - CD 305
   1. GSMBS-E-000412141
   2. GSMBS-E-000412142
   3. GSMBS-E-000412143
   4. GSMBS-E-000412144
   5. GSMBS-E-000412145
   6. GSMBS-E-000412146
   7. GSMBS-E-000412147
   8. GSMBS-E-000412148
   9. GSMBS-E-000412149
  10. GSMBS-E-000412150
  11. GSMBS-E-000412151
  12. GSMBS-E-000412156
  13. GSMBS-E-000412157
  14. GSMBS-E-000412234
  15. GSMBS-E-000412235
  16. GSMBS-E-000412236
  17. GSMBS-E-000412237
  18. GSMBS-E-000412238
  19. GSMBS-E-000412239
  20. GSMBS-E-000412264
  21. GSMBS-E-000412706
  22. GSMBS-E-000412717
  23. GSMBS-E-000412943
  24. GSMBS-E-000413189
  25. GSMBS-E-000413952
  26. GSMBS-E-000413953
  27. GSMBS-E-000413954
  28. GSMBS-E-000413955
  29. GSMBS-E-000413956
  30. GSMBS-E-000413957
  31. GSMBS-E-000413958
  32. GSMBS-E-000413959
  33. GSMBS-E-000415142
  34. GSMBS-E-000415311
  35. GSMBS-E-000415312
  36. GSMBS-E-000415313
  37. GSMBS-E-000415314
  38. GSMBS-E-000415315
  39. GSMBS-E-000415316
  40. GSMBS-E-000415317
  41. GSMBS-E-000415318
  42. GSMBS-E-000415319
  43. GSMBS-E-000415574
  44. GSMBS-E-000415575
  45. GSMBS-E-000415604
  46. GSMBS-E-000415605
  47. GSMBS-E-000415606
74. GSMBS-E-007838113
75. GSMBS-E-007839371
76. GSMBS-E-007840588
77. GSMBS-E-007841953
78. GSMBS-E-007843612
79. GSMBS-E-007843622
80. GSMBS-E-007843698
81. GSMBS-E-007843778
82. GSMBS-E-007843789
83. GSMBS-E-007844015
84. GSMBS-E-009238909
85. GSMBS-E-009461852
86. GSMBS-E-009615725
87. GSMBS-E-011176400
88. GSMBS-E-012476371
89. GSMBS-E-013100361
90. GSMBS-E-013101569
91. GSMBS-E-013102773
92. GSMBS-E-013103940
93. GSMBS-E-013105261
94. GSMBS-E-013106521
95. GSMBS-E-013107815
96. GSMBS-E-013109130
97. GSMBS-E-013621287
98. GSMBS-E-013637578
99. GSMBS-E-014302980
100. GSMBS-E-015542073
101. GSMBS-E-016598298
102. GSMBS-E-016627903
103. GSMBS-E-016924546
104. GSMBS-E-016981347
105. GSMBS-E-017021030
106. GSMBS-E-017509556
107. GSMBS-E-017777843
108. GSMBS-E-017865530
109. GSMBS-E-018127065
110. GSMBS-E-018320391
111. GSMBS-E-018640865
112. GSMBS-E-018640871
113. GSMBS-E-018873879
114. GSMBS-E-019225176
115. GSMBS-E-019710908

i. 2010-05-28 Goldman Sachs Production
   1. GS MBS 0000026981 - 0000027041
   2. GS MBS 0000027042 - 0000027043
   3. GS MBS-E-003461487 - 003461698
   4. GS MBS-E-017485839 - 017485904
   5. GS MBS-E-017485905 - 017485983
   6. GS MBS-E-017486026 - 017486105

j. 2010-06-04 Production
   1. GS MBS 0000027481 - 0000027658
   2. GS MBS 0000027659 - 0000027875
   3. GS MBS 0000027876 (ABACUS CDS Cashflows)
   4. GS MBS 0000027877 (Non-ABACUS CDS Cashflows)
   5. GS MBS 0000027878 (CUSIP Overlap Analysis)
   6. GS MBS 0000027879 (2004-2007 GS Synthetic and Partially Synthetic CDOs)
k. 2010-06-11 Production
   1. Binder1 - GSMB020315038
   2. GS MBS 0000027880 - Notional amount by counterparty
   3. GS020315038-60373
   4. GS020317038-8037

l. 2010-06-12 Goldman Production

m. 2010-06-14 Goldman Production
   1. GS MBS 0000027892 Nov 2006 IRP June2010
   2. GS MBS 0000027893 Nov 2006 FX June2010
   3. GS MBS 0000027894 Nov 2006 Equities June2010
   4. GS MBS 0000027895 Nov 2006 Commodities June2010
   5. GS MBS 0000027896 Nov 2006 CD June2010
   6. GS MBS 0000027897 Nov 2007 IRP June2010
   7. GS MBS 0000027898 Nov 2007 FX June2010
   8. GS MBS 0000027899 Nov 2007 Equity June2010
   9. GS MBS 0000027900 Nov 2007 Commodities June2010
  10. GS MBS 0000027901 Nov 2007 CD June2010
  11. GS MBS 0000027902 Nov 2008 IRP June2010
  12. GS MBS 0000027903 Nov 2008 FX June2010
  13. GS MBS 0000027904 Nov 2008 Equities June2010
  14. GS MBS 0000027905 Nov 2008 Credit Derivatives June2010
  15. GS MBS 0000027906 Nov 2008 Commodities June2010
  16. GS MBS 0000027907 Dec 2009 IRP June2010
  17. GS MBS 0000027908 Dec 2009 FX June2010
  18. GS MBS 0000027909 Dec 2009 Equities June2010
  19. GS MBS 0000027910 Dec 2009 Commodities June2010
  20. GS MBS 0000027911 Dec 2009 CD June2010
  21. GS MBS 0000027965
  22. GS MBS 0000027966
  23. GS MBS 0000027967
  24. GS MBS 0000027968
  25. GS MBS 0000027969
  26. GS MBS 0000027970
  27. GS MBS 0000027971
  28. GS MBS 0000027972
  29. GS MBS 0000027973
  30. GS MBS 0000027974

n. 2010-06-21 Production
   1. GS MBS 0000035841 - GS MBS 0000035858
   2. GS MBS 0000035859
   3. GS MBS 0000035860

o. 2010-07-08 Prod - GS 0000038786 Replacement Doc
   1. GS MBS 0000038786

p. 2010-07-14 Production- Disk 455
   1. GS MBS 0000038855

q. 2010-07-16 Goldman Prod per Hearing Questions
   1. Email - FW Response to FCIC Hearing requests
   2. GS MBS 0000038839
   3. GS MBS 0000038840
   4. GS MBS 0000038841 - 38843

r. 2010-07-23 Goldman Production
   1. 2010-07-22 Goldman (Klapper) ltr to Seefer re Doc Prod (copy)
   2. Appendix 1 (GS MBS 0000038992)
   3. Appendix 11 (GS MBS 0000038997)
   4. Appendix 12 (GS MBS 0000038998)
   5. Appendix 2 (GS MBS 0000038993)
6. Appendix 3 (GS MBS 0000038994)
7. Appendix 4 (GS MBS 0000038995)
8. Appendix 6 (GS MBS 0000038996)

s. 2010-08-05 Production- Disk # 522
1. GS MBS 0000039138 - 39150
2. GS MBS 0000039151 - 39165
3. GS MBS 0000039166 - 39171
4. GS MBS 0000039172 - 39186
5. GS MBS 0000039187 - 39201
6. GS MBS 0000039202 - 39217
7. GS MBS 0000039218 - 39228
8. GS MBS 0000039229 - 39243
9. GS MBS 0000039244 - 39310
10. GS MBS 0000039311 - 39385
11. GS MBS 0000039386 - 39394
12. GS MBS 0000039395 - 39401
13. GS MBS 0000039402 - 39408
14. GS MBS 0000039409 - 39411
15. GS MBS 0000039412 - 39457
16. GS MBS 0000039458 - 39515
17. GS MBS 0000039516 - 39522
18. GS MBS 0000039523 - 39607
19. GS MBS 0000039608 - 39695
20. GS MBS 0000039696 - 39702
21. GS MBS 0000039703 - 39706
22. GS MBS 0000039707 - 39770
23. GS MBS 0000039771 - 39773
24. GS MBS 0000039774 - 39834
25. GS MBS 0000039835 - 39906
26. GS MBS 0000039907 - 39910
27. GS MBS 0000039911 - 39985
28. GS MBS 0000039986 - 39987
29. GS MBS 0000039988 - 39992
30. GS MBS 0000039993 - 40060
31. GS MBS 0000040061 - 40065
32. GS MBS-E-000540726 - 540785

t. 2010-08-13 6-23 Production
1. GS MBS 0000040143
2. GS MBS 0000040144

u. 2010-08-23 Production- Disk # 573
1. GS MBS 0000040189 - 40492
2. GS MBS 0000040493 - 40838
3. GS MBS 0000040839 - 41175
4. GS MBS 0000041176 - 41436
5. GS MBS 0000041437 - 41738
6. GS MBS 0000041739 - 42028
7. GS MBS 0000042029 - 42306
8. GS MBS 0000042307 - 42586
9. GS MBS 0000042587 - 42871
10. GS MBS 0000042872 - 43160
11. GS MBS 0000043161 - 43395
12. GS MBS 0000043396 - 43698
13. GS MBS 0000043699 - 44038
14. GS MBS 0000044039 - 44324
15. GS MBS 0000044325 - 44661
16. GS MBS 0000044662 - 44996
127. GS MBS 0000076207 - 76478
128. GS MBS 0000076479 - 76743
129. GS MBS 0000076744 - 77047
130. GS MBS 0000077048 - 77298
131. GS MBS 0000077299 - 77659
132. GS MBS 0000077660 - 78105
133. GS MBS 0000078016 - 78512
134. GS MBS 0000078513 - 78901
135. GS MBS 0000078902 - 79328
136. GS MBS 0000079329 - 79715
137. GS MBS 0000079716 - 80059
138. GS MBS 0000080060 - 80461
139. GS MBS 0000080462 - 80878
140. GS MBS 0000080879 - 81295
141. GS MBS 0000081296 - 81605
142. GS MBS 0000081606 - 81607
143. GS MBS 0000081608 - 81972
144. GS MBS 0000081973 - 82311
145. GS MBS 0000082312 - 82717
146. GS MBS 0000082718 - 83084
147. GS MBS 0000083085 - 83437
148. GS MBS 0000083438 - 83854
149. GS MBS 0000083855 - 84117
150. GS MBS 0000084118 - 84542
151. GS MBS 0000084543 - 84966
152. GS MBS 0000084967 - 85153
153. GS MBS 0000085154 - 85543
154. GS MBS 0000085544 - 85884
155. GS MBS 0000085885 - 86025
156. GS MBS 0000086026 - 86197
157. GS MBS 0000086198 - 86372
158. GS MBS 0000086373 - 86685
159. GS MBS 0000086686 - 86979

v. 2010-09-01 Production- Disk # 590
1. GS-MBS-E 021817224-576
2. GSMBS-E-021817225
3. GSMBS-E-021817228
4. GSMBS-E-021817231
5. GSMBS-E-021817234
6. GSMBS-E-021817237
7. GSMBS-E-021817240
8. GSMBS-E-021817243
9. GSMBS-E-021817246
10. GSMBS-E-021817249
11. GSMBS-E-021817252
12. GSMBS-E-021817255
13. GSMBS-E-021817258
14. GSMBS-E-021817261
15. GSMBS-E-021817264
16. GSMBS-E-021817267
17. GSMBS-E-021817270
18. GSMBS-E-021817273
19. GSMBS-E-021817276
20. GSMBS-E-021817279
21. GSMBS-E-021817282
35. Harding Advisory LLC
   a. 888 Tactical Fund Final OC
   b. Adrastea Final OC
   c. Cloverie Final Series Prospectus
   d. Jupiter 1 Final OC
   e. Jupiter 2 Final OC
   f. Jupiter 3 Final OC
   g. Jupiter 4 Final OC
   h. Jupiter 4 Final OC Supplement
   i. Jupiter 5 Final OC
   j. Jupiter 6 Final OC
   k. Jupiter 7 Final OC
   l. Lexington 1 Final OC
   m. Lexington 2 Final OC
   n. Lexington 3 Final OC
   o. Lexington 3 Final OC Supplement
   p. Lexington 5 Final OC
   q. Neo Final OC
   r. Octans 1 Final OC
   s. Octans 1 Final OC Supplement

x. 2010-09-30 Production - Disk # 659
   1. GS MBS 0000087084

y. Goldman Securitizations
   1. Goldman Sachs Securitizations Jan 06 to Present

z. 2010-07-30 Prod - GS MBS 0000039106

aa. 2010-08-03 Prod - GS MBS 0000039110 - Aggregate Net Revenues and Pre-Tax Profit and Loss (1) from Pr

ab. Goldman PSI Log - GS MBS 0000027891 (copy)

ac. GS FCIC 0001C

ad. GS MBS 0000038049

ae. GS MBS 0000038055-58 38984-89 (2)

af. GS MBS 0000039095

ag. GS MBS 0000039095 (copy)

ah. GS MBS 0000039096

ai. GS MBS 0000039112

aj. GS MBS 0000039113

ak. GS MBS 0000039114

al. GS MBS 0000039115

am. GS MBS 0000039117-39137

an. GS MBS 0000040066-40067

ao. GS MBS 0000040068

ap. GS MBS 0000040145 - 40185

aq. GS MBS 0000086980

ar. GS MBS 0000086981

as. GS MBS 0000086982
t. Octans 2 Final OC
u. Octans 3 Final OC
v. Octonion Final OC
w. Tigris Final OC Notes
x. Tigris Final OC Preference Shares
y. Tribune Final Base Prospectus

36. HSBC
   a. 2010-07-26 Production - Disk # 486
      1. HBUS-FCIC-0000000001-0000000034
   b. 2010-08-02 Production - Disk # 512
      1. HBUS-FCIC-0000000035-0000000043
   c. 2010-08-23 Production - Disk # 572
      1. HBUS-FCIC-0000000044-0000000078
   d. 2010-10-01 Production - Disk # 661
      1. HBUS-FCIC-0000000079
      2. HBUS-FCIC-0000000080

37. ING
   a. 2010-10-14 Production
      1. ING CLOs - Interrogatory 1
      2. ING CSO Listing
   b. 2010-10-26 Production
      1. Ghent CDOs

38. ISDA
   a. 2010-02-19 ISDA Docs ISDA00001-00003
   b. Position Definitions and Layout
   c. Transaction Definitions and Layout

39. JPMorgan Chase
   a. 2010-02-24 Production
      1. Response to Request 2
   b. 2010-04-24 Production
      1. 00000690
      2. BSC-FCIC-00000690-00000905
   c. 2010-06-30 Production Disk #431
      1. Bear Stearns June 30 FCIC Production
      2. JPMorgan June 30 FCIC Production
   d. 2010-07-28 Production
      1. Disk # 492
         a. JPM-FCIC 00000661-00000860
         b. JPM-FCIC 00000861-00001060
         c. JPM-FCIC 00001061-00001260
         d. JPM-FCIC 00001261-00001460
         e. JPM-FCIC 00001461-00001754
      2. Disk # 493
         a. BSC-FCIC-c00000001-00000489
         b. BSC-FCIC-c000000269
         c. BSC-FCIC-c000000271
         d. BSC-FCIC-c000000276
         e. BSC-FCIC-c000000281
         f. BSC-FCIC-c000000286
         g. BSC-FCIC-c000000293
         h. BSC-FCIC-c000000319
         i. BSC-FCIC-c000000345
         j. BSC-FCIC-c000000371
         k. BSC-FCIC-c000000396
         l. BSC-FCIC-c000000421
         m. BSC-FCIC-c000000464
         n. BSC-FCIC-c000000471
   e. 2010-08-18 Production - Disk # 559
1. JPM-FCIC 00001755
2. JPM-FCIC 00001757
3. JPM-FCIC 00001758
4. JPM-FCIC-00001755-000017774

f. 2010-08-24 Production- Disk # 575
  1. JPM-FCIC 00001778
  2. JPM-FCIC 00001793
  3. JPM-FCIC-00001778-00001800

g. 2010-09-27 Production- Disk # 650
  1. JPM-FCIC 00001814-00002291
  2. JPM-FCIC 00002292-00002759

h. 2010-09-27 Production Disk # 651
  1. BSAM-FCIC-00000001
  2. BSAMFCIC-e00000001

i. 2010-09-28 Production- Disk # 652
  1. JPM-FCIC 00002761-00003260
  2. JPM-FCIC 00003261-00003760
  3. JPM-FCIC 00003761-00004260
  4. JPM-FCIC 00004261-00004760
  5. JPM-FCIC 00004761-00005260
  6. JPM-FCIC 00005261-00005780

j. 2010-10-06 Production- Disk # 671
  1. JPM-FCIC 00005781
  2. JPM-FCIC 00005782
  3. JPM-FCIC 00005783
  4. JPM-FCIC 00005784
  5. JPM-FCIC 00005785
  6. JPM-FCIC 00005786
  7. JPM-FCIC 00005787

k. 2010-10-12 Production
  1. JPM-FCIC 00005814
  2. JPM-FCIC 00005815
  3. JPM-FCIC 00005816

l. 2010-10-13 Production- Disk # 697
  1. Interrogatory 13
     a. BSAMFCIC 00001050
  2. Request 1
     a. BSAM-FCIC 00000007-0000467
     b. BSAM-FCIC 00000468-0000986
  3. Request 2
     a. BSAMFCIC 00000987_HG, 11-03
     b. BSAMFCIC 00000988_HG, 12-03
     c. BSAMFCIC 00000989_HG, 01-04
     d. BSAMFCIC 00000990_HG, 02-04
     e. BSAMFCIC 00000991_HG, 03-04
     f. BSAMFCIC 00000992_HG, 04-04
     g. BSAMFCIC 00000993_HG, 05-04
     h. BSAMFCIC 00000994_HG, 06-04
     i. BSAMFCIC 00000995_HG, 07-04
     j. BSAMFCIC 00000996_HG, 08-04
     k. BSAMFCIC 00000997_HG, 09-04
     l. BSAMFCIC 00000998_HG, 10-04
     m. BSAMFCIC 00000999_HG, 11-04
     n. BSAMFCIC 00001000_HG, 12-04
     o. BSAMFCIC 00001001_HG, 01-05
     p. BSAMFCIC 00001002_HG, 02-05
     q. BSAMFCIC 00001003_HG, 03-05
4. Request 4
   a. BSAM-FCIC 00001042-00001049
m. 2010-10-14 Production Disk # 698
   1. JPM-FCIC 00005788
   2. JPM-FCIC 00005789
   3. JPM-FCIC 00005790
   4. JPM-FCIC 00005791
   5. JPM-FCIC 00005792
   6. JPM-FCIC 00005793
   7. JPM-FCIC 00005794-00005797
   8. JPM-FCIC 00005796
   9. JPM-FCIC 00005798
  10. JPM-FCIC 00005799
  11. JPM-FCIC 00005800
  12. JPM-FCIC 00005801
  13. JPM-FCIC 00005802
  14. JPM-FCIC 00005803
  15. JPM-FCIC 00005804
a. 3Q Market Coverage-CDO
b. RE 3Q Market Coverage-CDO
c. RE 3Q Market Coverage-CDO
d. Re 3Q Market Coverage-CDO
e. RE 3Q Market Coverage-CDO

41. Lehman Brothers
   a. 2010-07-30 Production
      1. Disk # 509
         a. Natives
            1. LBHI_FCIC_0128870
            2. LBHI_FCIC_0129080
            3. LBHI_FCIC_0129260
            4. LBHI_FCIC_0129448
            5. LBHI_FCIC_0129646
            6. LBHI_FCIC_0129848
            7. LBHI_FCIC_0130043
            8. LBHI_FCIC_0130088
            9. LBHI_FCIC_0130287
           10. LBHI_FCIC_0130480
            11. LBHI_FCIC_0130682
            12. LBHI_FCIC_0130898
            13. LBHI_FCIC_0131101
            14. LBHI_FCIC_0131280
            15. LBHI_FCIC_0131445
            16. LBHI_FCIC_0131660
            17. LBHI_FCIC_0131842
            18. LBHI_FCIC_0132038
            19. LBHI_FCIC_0132231
            20. LBHI_FCIC_0132437
            21. LBHI_FCIC_0132465
            22. LBHI_FCIC_0132476
            23. LBHI_FCIC_0132681
            24. LBHI_FCIC_0132896
            25. LBHI_FCIC_0132949
            26. LBHI_FCIC_0133119
            27. LBHI_FCIC_0133324
            b. LBHI_FCIC_0128733-0129058
            c. LBHI_FCIC_0129059-0129428
            d. LBHI_FCIC_0129429-0129845
            e. LBHI_FCIC_0129846-0130282
            f. LBHI_FCIC_0130283-0130679
            g. LBHI_FCIC_0130680-0131099
            h. LBHI_FCIC_0131100-0131442
            i. LBHI_FCIC_0131443-0131840
            j. LBHI_FCIC_0131841-0132223
            k. LBHI_FCIC_0132224-0132473
            l. LBHI_FCIC_0132474-0132871
            m. LBHI_FCIC_0132872-0133321
            n. LBHI_FCIC_0133322-0133572
            o. LBHI_FCIC_0133573-0133883
            p. LBHI_FCIC_0133884-0134146
            q. LBHI_FCIC_0134147-0134396
            r. LBHI_FCIC_0134397-0134406
      2. Disk # 510
         a. 2006 Bonus - Top 100 Total Comp - REV 10_13_2009
         b. 2007 Bonus - Top 100 Total Comp - REV 10_13_2009
Disk # 531

Native Files

1. LBHI_FCIC_0256583
2. LBHI_FCIC_0256591
3. LBHI_FCIC_0256595
4. LBHI_FCIC_0256608
5. LBHI_FCIC_0256630
6. LBHI_FCIC_0256646
7. LBHI_FCIC_0256659
8. LBHI_FCIC_0256721
9. LBHI_FCIC_0256727
10. LBHI_FCIC_0256748
11. LBHI_FCIC_0256754
12. LBHI_FCIC_0256760
13. LBHI_FCIC_0256795
14. LBHI_FCIC_0256820
15. LBHI_FCIC_0256822
16. LBHI_FCIC_0256834
17. LBHI_FCIC_0256835
18. LBHI_FCIC_0256885
19. LBHI_FCIC_0256888
20. LBHI_FCIC_0256902
21. LBHI_FCIC_0256905
22. LBHI_FCIC_0256947
23. LBHI_FCIC_0256958
24. LBHI_FCIC_0256961
25. LBHI_FCIC_0256986
26. LBHI_FCIC_0257039
27. LBHI_FCIC_0257042
28. LBHI_FCIC_0257005
29. LBHI_FCIC_0257086
30. LBHI_FCIC_0257087
31. LBHI_FCIC_0257108
32. LBHI_FCIC_0257116
33. LBHI_FCIC_0257135
34. LBHI_FCIC_0257155
35. LBHI_FCIC_0257175
36. LBHI_FCIC_0257202
37. LBHI_FCIC_0257280
38. LBHI_FCIC_0257338
39. LBHI_FCIC_0257368
40. LBHI_FCIC_0257372
41. LBHI_FCIC_0257442
42. LBHI_FCIC_0257446
43. LBHI_FCIC_0257485
44. LBHI_FCIC_0257600
45. LBHI_FCIC_0257604
46. LBHI_FCIC_0257608
47. LBHI_FCIC_0257673
48. LBHI_FCIC_0257726
49. LBHI_FCIC_0257729
50. LBHI_FCIC_0257758
51. LBHI_FCIC_0257814
52. LBHI_FCIC_0257839
53. LBHI_FCIC_0257841
2. Disk # 532
   a. LBHI_FCIC_0259946-0260270
   b. LBHI_FCIC_0260271-0260615
   c. LBHI_FCIC_0260616-0260912
   d. LBHI_FCIC_0260913-0261187
   e. LBHI_FCIC_0261188-0261446
   f. LBHI_FCIC_0261447-0261809
   g. LBHI_FCIC_0261810-0262112
   h. LBHI_FCIC_0262113-0262380
   i. LBHI_FCIC_0262381-0262514

c. 2010-08-18 Production -Disk # 558
   1. LBHI_FCIC_0262515
   2. LBHI_FCIC_0262516
   3. LBHI_FCIC_0262517
   4. LBHI_FCIC_0262518
   5. LBHI_FCIC_0262519
   6. LBHI_FCIC_0262520
   7. LBHI_FCIC_0262521

d. 2010-10-27 Production- Disk # 726
   1. LBHI_FCIC_1085236
   2. LBHI_FCIC_1085237
   3. LBHI_FCIC_1085238
   4. LBHI_FCIC_1085239

42. LexisNexis
   a. 2010-04-07
      1. MBA2ndCaseRpt
      2. MBA2ndCaseRpt (bob's changes)
      3. MBA2ndCaseRpt wMD
      4. MBA8thCaseRpt 4 17 06
      5. MBA8thCaseRpt with cover letter
      6. mbarpt2
      7. MIDEX Agreement for Services 2009 01 21 FINAL (2)
      8. MIDEX Policy Procedures Guide
   b. List of Subscribers
   c. Sample MARI Reports

43. Magnetar
   a. 2010-05-20 Production Disk #310
      1. Magnetar-FCIC-000001
   b. 2010-05-27 Production Disk #338
      1. Magnetar-FCIC-000183
      2. Magnetar-FCIC-000317
      3. Magnetar-FCIC-000446
      4. Magnetar-FCIC-000683
      5. Magnetar-FCIC-001302
      6. Magnetar-FCIC-003545
      7. Magnetar-FCIC-003559
   c. 2010-06-04 Production Disk #
      1. Magnetar-FCIC-003629
      2. Magnetar-FCIC-004729
      3. Magnetar-FCIC-005988
   d. 2010-06-11 Production Disk # 379
      1. MAGNETAR-FCIC-006739-7122
      2. MAGNETAR-FCIC-007123-7557
      3. MAGNETAR-FCIC-007558-7813
4. MAGNETAR-FCIC-007814-8241
5. MAGNETAR-FCIC-008242-8496
6. MAGNETAR-FCIC-008497-8754
7. MAGNETAR-FCIC-008755-9243
8. MAGNETAR-FCIC-009244-9360

e. 2010-07-07 Production
   1. Magnetar-FCIC-040981 to 041339
   2. Magnetar-FCIC-041340 to 041629
   3. Magnetar-FCIC-041630 to 042302
   4. Magnetar-FCIC-042303 to 042787
   5. Magnetar-FCIC-042788 to 043272
   6. Magnetar-FCIC-043273 to 043420
   7. Magnetar-FCIC-043421 to 045159
   8. Magnetar-FCIC-045160 to 046128
   9. Magnetar-FCIC-046129 to 046628
  10. Magnetar-FCIC-046629 to 047569
  11. Magnetar-FCIC-047570 to 048544
  12. Magnetar-FCIC-048545 to 048620

f. 2010-08-26 Production Disk #576
   1. Magnetar-FCIC-068699

2010-08-26 Production Disk #576
   1. MAGNETAR-FCIC-068699

2010-09-17 Production Disk #629
   1. MAGNETAR-FCIC-068953
   2. MAGNETAR-FCIC-069094

g. 2010-10-22 Production Disk # 712
   1. MAGNETAR-FCIC-069096

h. 2010-10-22 Production Disk # 712
   1. MAGNETAR-FCIC-069096

44. Markit
   a. 2010-05-14 Production
      1. CONFIDENTIAL ABX.HE History 5-14-10
      2. CONFIDENTIAL TABX.HE history 5-14-10
   b. 2010-05-27 Production
      1. CONFIDENTIAL CDX History 2004 - 2008
      2. CONFIDENTIAL CDX History 2009
      3. CONFIDENTIAL CDX History 2010
      4. CONFIDENTIAL CMBX History 20100527
      5. CONFIDENTIAL iBoxx $ Overall
      6. CONFIDENTIAL iBoxx £ Overall
      7. CONFIDENTIAL iBoxx Asia
      8. CONFIDENTIAL iBoxx EUR High Yield
      9. CONFIDENTIAL iBoxx Euro Overall
     10. CONFIDENTIAL iBoxx GEMX Global USD
     11. CONFIDENTIAL iBoxx indices list - 20100524
     12. CONFIDENTIAL iBoxx Sovereign Japan local currency
     13. CONFIDENTIAL iBoxx Sterling Inflation-Linked Index
     14. CONFIDENTIAL iBoxx TIPS Inflation-Linked Index
     15. CONFIDENTIAL iTraxx Asia History - Full
     16. CONFIDENTIAL iTraxx Europe History - Full
     17. CONFIDENTIAL LCDX History
     18. CONFIDENTIAL Markit iBoxx USD Leveraged Loans Index
   c. 2010-06-10 Production
      1. CONFIDENTIAL Historical Liquidity Metrics
   d. 2010-05-19 G Cohen confi ltr to Markit
   e. 2010-05-20 Markit (A Kansler) to G Cohen re Doc request and Confidentiality letter

45. Merrill Lynch
   a. 2010-07-27
      1. 3(b) BAC-ML-CDO-00000001
      2. 3(d) BAC-FCIC-0000057324 - 26
   b. 2010-08-13 Production Disk # 541
1. BAC-FCIC-0000071582-0000071600
   c. 2010-08-18 Production - Disk # 557
      1. BAC-FCIC-0000071601-0000071745
   d. 2010-08-23 Production - Disk # 571
      1. BAC-FCIC-0000071746-0000071896
   e. 2010-08-27 Production - Disk # 581
      1. BAC-FCIC-0000071897-0000072009
   f. 2010-08-30 Production - Disk # 588
      1. BAC-FCIC-0000072105-0000072237
   g. 2010-09-02 Production - Disk # 594
      1. BAC-FCIC-0000078444-0000078937
   h. 2010-09-03 Production
      1. Disk # 599
         a. BAC-FCIC-0000078938
         b. BAC-FCIC-0000078941
         c. BAC-FCIC-0000078955
      2. Disk # 600
         a. BAC-ML-CDO-000098763-0000989009
   i. 2010-09-06 Production
      1. BAC-FCIC-E-0000891844 to 0000894235
      2. BAC-FCIC-E-0000894236 to 0000895076
      3. BAC-FCIC-E-0000895077 to 0000896136
      4. BAC-FCIC-E-0000896137 to 0000897008
      5. BAC-FCIC-E-0000897009 to 0000897759
   j. 2010-09-07 Production - Disk # 605
      1. BAC-FCIC-E-0000897624-0000899081
   k. 2010-09-10 Production
      1. BAC-FCIC-E-0000909009 to 0000909795
   l. 2010-09-14 Production - Disk # 617
      1. BAC-FCIC-0000079082-0000079237
   m. 2010-09-15 Production - Disk # 622
      1. BAC-FCIC-0000079242
      2. BAC-FCIC-0000079243
      3. BAC-FCIC-0000079244
   n. 2010-09-20 Production - Disk # 633
      1. BAC-FCIC-0000080356-0000080556
   o. Disk #345
      1. BAC-ML-CDO-000098763-000099009
      2. BAC-ML-CDO-000099763-0000999009
   p. Disk #359
      1. BAC-ML-CDO-0000997277
      2. BAC-ML-CDO-0000997947
      3. BAC-ML-CDO-0000997946
   q. Disk #393
      1. BAC-ML-CDO-0000997947
   r. Disk #427
      1. BAC-ML-CDO-0000997917
   s. Disk #435
      1. BAC-ML-CDO-0000997924
46. MGIC
   a. 2010-10-27 Production
      1. 2Q10-Radian-Earnings-Presentation-Recission-Rates
      2. FCIC 10-27-10
   b. Production 2010-10-19
      1. MGIC 2010-10-19
c. 2010-10-19 MGIC (J Lane) Response to PMI requests

47. MKP
   a. 2010-06-15 Production- Disk # 386
      1. Item 12
         a. CBO VI
            1. Credit Suisse
         b. VELA
            1. Letter to BoNY 3.03.08 re MKP Vela
            2. MKP Response to Trustee

   2. Item 2
      a. AIS WATERFALLS
         1. CBO I
            a. 2003
               1. MKP CBO I Waterfall 01-31-03
               2. MKP CBO I Waterfall 02-26-03 Updated CFs
               3. MKP CBO I Waterfall 03-04-03 Updated CFs
               4. MKP CBO I Waterfall 04-30-03 GT Stress
               5. MKP CBO I Waterfall 05-29-03
               6. MKP CBO I Waterfall 06-03-03 Best
               7. MKP CBO I Waterfall 07-07-03
               8. MKP CBO I Waterfall 08-19-03
               9. MKP CBO I Waterfall 09-23-03
              10. MKP CBO I Waterfall 10-02-03
              11. MKP CBO I Waterfall 11-05-03
              12. MKP CBO I Waterfall 12-03-03
            b. 2004
               1. MKP CBO I Waterfall 01-20-04 Post LIFT Trades
               2. MKP CBO I Waterfall 02-10-04
               3. MKP CBO I Waterfall 03-02-04
               4. MKP CBO I Waterfall 05-05-04
               5. MKP CBO I Waterfall 06-09-04
               6. MKP CBO I Waterfall 07-21-04 Update LIBOR + Remit
               7. MKP CBO I Waterfall 08-25-04 Remit + Updated Aircraft
               8. MKP CBO I Waterfall 09-07-04 Updated LIBOR + Misc. for Newsletter
               9. MKP CBO I Waterfall 10-20-04 Updated LIBOR
              10. MKP CBO I Waterfall 11-29-04 Updated LIBOR
              11. MKP CBO I Waterfall 12-29-04 Updated LIBOR
            c. 2005
               1. MKP CBO I Waterfall 01-18-05 Updated LIBOR
               2. MKP CBO I Waterfall 02-23-05 LIBOR + Trustee Report
               3. MKP CBO I Waterfall 03-02-05 Payment Date
               4. MKP CBO I Waterfall 04-20-05 Update LIBOR
               5. MKP CBO I Waterfall 06-06-05 Payment Date + LIBOR
               6. MKP CBO I Waterfall 08-16-05 Monthly Report + LIBOR
               7. MKP CBO I Waterfall 11-10-05 Monthly Report
               8. MKP CBO I Waterfall 12-09-05 Monthly Report
            d. 2006
               1. MKP CBO I Waterfall 01-10-06 Monthly Report
               2. MKP CBO I Waterfall 02-08-06 Monthly Report + Update CF
               3. MKP CBO I Waterfall 03-21-06 Monthly Report
               4. MKP CBO I Waterfall 06-16-06 Monthly Report
               5. MKP CBO I Waterfall 08-08-06 Monthly Report
               6. MKP CBO I Waterfall 10-23-06 Monthly Report
            e. 2007
               1. MKP CBO I Waterfall 01-30-07 Monthly Report
               2. MKP CBO I Waterfall 02-18-07 Monthly Report
               3. MKP CBO I Waterfall 03-29-07 Monthly Report
4. MKP CBO I Waterfall 04-23-07 Monthly Report
5. MKP CBO I Waterfall 05-15-07 Monthly Report
6. MKP CBO I Waterfall 06-25-07 Monthly Report
7. MKP CBO I Waterfall 07-30-07 Monthly Report
8. MKP CBO I Waterfall 08-21-07 Monthly Report
9. MKP CBO I Waterfall 09-26-07 Monthly Report
10. MKP CBO I Waterfall 10-26-07 Monthly Report
11. MKP CBO I Waterfall 11-26-07 Monthly Report
12. MKP CBO I Waterfall 12-31-07 Monthly Report

f. 2008
1. MKP CBO I Waterfall 1-29-08 Monthly Report
2. MKP CBO I Waterfall 2-28-08 Monthly Report
3. MKP CBO I Waterfall 3-28-08 Monthly Report
4. MKP CBO I Waterfall 4-29-08 Monthly Report
5. MKP CBO I Waterfall 9-12-08 Monthly Report

2. CBO II
a. 2003
1. MKP CBO II Waterfall 01-30-03
2. MKP CBO II Waterfall 02-03-03
3. MKP CBO II Waterfall 03-21-03 Forward Libor CNF and MMT w-d
4. MKP CBO II Waterfall 04-30-03 Forward Libor CNF stress
5. MKP CBO II Waterfall 05-28-03 Forward Libor CNF stress
6. MKP CBO II Waterfall 06-20-03 Forward Libor OAK stress
7. MKP CBO II Waterfall 07-02-03 A Forward Libor 07-02
8. MKP CBO II Waterfall 08-01-03 Updated Forward Libor
9. MKP CBO II Waterfall 09-15-03
10. MKP CBO II Waterfall 10-29-03
11. MKP CBO II Waterfall 11-12-03
12. MKP CBO II Waterfall 12-15-03

b. 2004
1. MKP CBO II Waterfall 01-20-04
2. MKP CBO II Waterfall 02-03-04
3. MKP CBO II Waterfall 04-30-04 Updated MID
4. MKP CBO II Waterfall 05-26-04 Updated All MH
5. MKP CBO II Waterfall 06-30-04 OAK 01-D 01-E Default
6. MKP CBO II Waterfall 07-27-04 Wholesale Changes
7. MKP CBO II Waterfall 08-31-04 Updated Aircraft + LIBOR
8. MKP CBO II Waterfall 09-08-04 Updated LIBOR + Remit
9. MKP CBO II Waterfall 11-12-04 Payment Date + Updated LIBOR

c. 2005
1. MKP CBO II Waterfall 01-19-05 Updated All Cashflows + LIBOR
2. MKP CBO II Waterfall 02-23-05 LIBOR + IC Calcs
3. MKP CBO II Waterfall 05-04-05 Payment Date
4. MKP CBO II Waterfall 06-06-05 Monthly Report + Update LIBOR
5. MKP CBO II Waterfall 08-16-05 Monthly Report + Update LIBOR
6. MKP CBO II Waterfall 10-14-05 Monthly Report + Update LIBOR
7. MKP CBO II Waterfall 11-10-05 Monthly Report + Update LIBOR
8. MKP CBO II Waterfall 12-09-05 Monthly Report + Update LIBOR

d. 2006
1. MKP CBO II Waterfall 01-10-06 Monthly Report + Update LIBOR
2. MKP CBO II Waterfall 02-08-06 Payment Date + Update CF
3. MKP CBO II Waterfall 03-22-06 Monthly Report
4. MKP CBO II Waterfall 06-12-06 Monthly Report
5. MKP CBO II Waterfall 08-10-06 Monthly Report
6. MKP CBO II Waterfall 10-23-06 Monthly Report
7. MKP CBO II Waterfall 11-20-06 Monthly Report
e. 2007
1. MKP CBO II Waterfall 01-02-07 Monthly Report
2. MKP CBO II Waterfall 02-18-07 Monthly Report
3. MKP CBO II Waterfall 03-29-07 Monthly Report
4. MKP CBO II Waterfall 04-23-07 Monthly Report
5. MKP CBO II Waterfall 05-30-07 Monthly Report
6. MKP CBO II Waterfall 06-22-07 Monthly Report
7. MKP CBO II Waterfall 07-30-07 Monthly Report
8. MKP CBO II Waterfall 08-21-07 Monthly Report
9. MKP CBO II Waterfall 09-26-07 Monthly Report
10. MKP CBO II Waterfall 10-26-07 Monthly Report

f. 2008
1. MKP CBO II Waterfall 12-3-08 Monthly Report
2. MKP CBO II Waterfall 1-30-08 Monthly Report
3. MKP CBO II Waterfall 2-28-08 Monthly Report
4. MKP CBO II Waterfall 3-28-08 Monthly Report
5. MKP CBO II Waterfall 4-28-08 Monthly Report
6. MKP CBO II Waterfall 9-12-08 Monthly Report

3. CBO III
a. 2004
1. MKP CBO III Waterfall 06-07-04
2. MKP CBO III Waterfall 07-21-04 Update LIBOR + Update Res A Speeds + Finished Ramp-Up
3. MKP CBO III Waterfall 08-27-04 Update Long Cashflows
4. MKP CBO III Waterfall 09-07-04 Update LIBOR
5. MKP CBO III Waterfall 11-22-04 Update LIBOR + Roadshow

b. 2005


c. 2006
1. MKP CBO III Waterfall 01-10-06 Monthly Report + Cashflows
2. MKP CBO III Waterfall 02-27-06 Cashflows + Reinvestments
3. MKP CBO III Waterfall 04-18-06 Reinvestments + LIBOR w AFC adj IC
4. MKP CBO III Waterfall 06-12-06
5. MKP CBO III Waterfall 08-10-06
6. MKP CBO III Waterfall 10-23-06
7. MKP CBO III Waterfall 11-24-06 Subprime Sales Final
8. MKP CBO III Waterfall 12-22-06

d. 2007
1. MKP CBO III Waterfall 01-26-07
2. MKP CBO III Waterfall 02-26-07
3. MKP CBO III Waterfall 03-27-07
4. MKP CBO III Waterfall 04-25-07
5. MKP CBO III Waterfall 05-15-07v2
6. MKP CBO III Waterfall 06-25-07
7. MKP CBO III Waterfall 07-30-07
8. MKP CBO III Waterfall 08-21-07
9. MKP CBO III Waterfall 09-26-07
10. MKP CBO III Waterfall 10-26-07
11. MKP CBO III Waterfall 11-26-07
12. MKP CBO III Waterfall 12-27-07

e. 2008
1. MKP CBO III Waterfall 12-3-08
2. MKP CBO III Waterfall 1-29-08
3. MKP CBO III Waterfall 2-28-08
4. MKP CBO III Waterfall 3-28-08
4. CBO IV
   a. 2005
      1. MKP CBO IV Waterfall 06-09-05 Initial Run
      2. MKP CBO IV Waterfall 07-13-05 Initial Run
      3. MKP CBO IV Waterfall 08-16-05 Update LIBOR
      4. MKP CBO IV Waterfall 10-17-05 Update LIBOR + Mgmt Fee Calc Adj
      5. MKP CBO IV Waterfall 11-08-05 Update LIBOR
      6. MKP CBO IV Waterfall 12-09-05 Monthly Report
   b. 2006
      1. MKP CBO IV Waterfall 02-10-06 Monthly Report + LIBOR + CF
      2. MKP CBO IV Waterfall 03-21-06 Monthly Report
      3. MKP CBO IV Waterfall 04-20-06 Payment + LIBOR
      4. MKP CBO IV Waterfall 06-12-06 LIBOR
      5. MKP CBO IV Waterfall 08-14-06
      6. MKP CBO IV Waterfall 10-19-06
      7. MKP CBO IV Waterfall 11-16-06
      8. MKP CBO IV Waterfall 12-22-06
   c. 2007
      1. MKP CBO IV Waterfall 01-22-07
      2. MKP CBO IV Waterfall 02-18-07
      3. MKP CBO IV Waterfall 03-27-07
      4. MKP CBO IV Waterfall 04-23-07
      5. MKP CBO IV Waterfall 05-15-07
      6. MKP CBO IV Waterfall 06-25-07
      7. MKP CBO IV Waterfall 07-31-07
      8. MKP CBO IV Waterfall 08-23-07
      9. MKP CBO IV Waterfall 09-26-07
     10. MKP CBO IV Waterfall 10-17-07
     11. MKP CBO IV Waterfall 11-26-07
     12. MKP CBO IV Waterfall 12-27-07
   d. 2008
      1. MKP CBO IV Waterfall 12-5-08
      2. MKP CBO IV Waterfall 1-30-08
      3. MKP CBO IV Waterfall 2-28-08
      4. MKP CBO IV Waterfall 3-28-08
      5. MKP CBO IV Waterfall 4-28-08
      6. MKP CBO IV Waterfall 9-14-08
5. CBO V
   a. 2006
      1. MKP CBO V Waterfall 04-27-06 Initial Run
      2. MKP CBO V Waterfall 06-12-06
      3. MKP CBO V Waterfall 08-14-06
      4. MKP CBO V Waterfall 10-20-06
      5. MKP CBO V Waterfall 11-20-06
      6. MKP CBO V Waterfall 12-28-06
   b. 2007
      1. MKP CBO V Waterfall 02-23-07
      2. MKP CBO V Waterfall 03-27-07
      3. MKP CBO V Waterfall 04-27-07
      4. MKP CBO V Waterfall 05-30-07
      5. MKP CBO V Waterfall 06-25-07
      6. MKP CBO V Waterfall 07-30-07
      7. MKP CBO V Waterfall 08-27-07
      8. MKP CBO V Waterfall 09-27-07
9. MKP CBO V Waterfall 10-26-07
10. MKP CBO V Waterfall 11-30-07
11. MKP CBO V Waterfall 12-31-07
12. MKP CBO V Waterfall 1-31-08

c. 2008
1. MKP CBO V Waterfall 12-5-08
2. MKP CBO V Waterfall 1-31-08
3. MKP CBO V Waterfall 2-28-08
4. MKP CBO V Waterfall 3-28-08
5. MKP CBO V Waterfall 4-29-08
6. MKP CBO V Waterfall 9-12-08

6. CBO VELA
a. 2007
1. MKP CBO VII Waterfall 03-14-07
2. MKP CBO VII Waterfall 05-29-07
3. MKP CBO VII Waterfall 06-25-07
4. MKP CBO VII Waterfall 07-30-07
5. MKP CBO VII Waterfall 08-29-07
6. MKP CBO VII Waterfall 09-21-07
7. MKP CBO VII Waterfall 10-31-07
8. MKP CBO VII Waterfall 11-29-07
9. MKP CBO VII Waterfall 12-3-07
10. MKP CBO VII Waterfall 4-8-08

7. CBO VI
a. 2007
1. MKP CBO VI Waterfall 01-31-07
2. MKP CBO VI Waterfall 02-18-07
3. MKP CBO VI Waterfall 03-27-07 Trades
4. MKP CBO VI Waterfall 04-25-07 Reinvestment100
5. MKP CBO VI Waterfall 05-30-07
6. MKP CBO VI Waterfall 06-29-07
7. MKP CBO VI Waterfall 07-30-07
8. MKP CBO VI Waterfall 08-29-07
9. MKP CBO VI Waterfall 09-28-07
10. MKP CBO VI Waterfall 10-30-07
11. MKP CBO VI Waterfall 11-28-07
12. MKP CBO VI Waterfall 12-31-07
b. 2008
1. MKP CBO VI Waterfall 12-5-08
2. MKP CBO VI Waterfall 3-31-08
3. MKP CBO VI Waterfall 4-29-08
4. MKP CBO VI Waterfall 9-14-08
b. Quarterly CBO IC Meeting 12-08
b. Quarterly CBO IC Meeting 3-09
c. Quarterly CBO IC Meeting 9-08
d. Quarterly CBO IC Meeting 9-08

3. Item 6
a. Documents re assumptions of spreadsheet
1. 20100609144914701
2. 20100609144927103
3. 20100609145019686
4. 20100609145049493
5. 20100609145055758
6. 20100609145106543
7. 20100609145137113
8. 20100609145156224
9. 20100609145201688
b. Documents re LPS model
   1. AFT Prepay Model Structure_544 doc
   2. AFT_Default_Model_544
   3. LPS MODEL
   4. ReleaseNotes_v546

c. Subprime Simulation Model New

4. Items 7 and 8
   a. CBO I
      1. CBO I Collateral Specs for Warehousing
      2. modification to side letter - Sep 12 2000
      3. Proposed change to MH collateral guidelines
      4. Risk Analysis of CBO Warehousing
   b. CBO II
      1. MKP CBO II Investment Guidelines
      2. MKP Warehouse - final
      3. Warehouse Parameter Summary
   c. CBO III
      1. GCM MKP Warehouse Agreement Final
      2. MKP CBO III Ramp-Up Date Collateral Debt Securities Schedule
      3. MKP CBO III Ramp-Up Notice - Trustee
      4. MKP CDO III FINAL Engagement Letter and Warehouse
   d. CBO IV
   e. CBO V
      1. MKP CBO V Portfolio Allocation 09-30-05
      2. MKP5Ware(Exec)
   f. CBO VELA
      1. MKP - Warehousing Agreement (EX)
      2. MKP Synthetic Master Collateral File 110806rm
      3. MKP Vela CDO Elig Criteria 091506
   g. CBO VI
      1. CSFB - MKP CBO VI - Mezz Cash Managed ABS CDO Termsheet
      2. MKP CBO VI Eligibility Criteria Table Final
      3. Wrhsng Agrmt MKP

b. 2010-10-20 Production- Disk # 710
   1. Interrogotory 6a,b,c & d
   2. Interrogotory 12
25. MOODYS-FCIC-0383554-0383555
26. MOODYS-FCIC-0383556-0383558
27. MOODYS-FCIC-0383559-0383560
28. MOODYS-FCIC-0383561-0383563
29. MOODYS-FCIC-0383564-0383566
30. MOODYS-FCIC-0383567-0383568
31. MOODYS-FCIC-0383569-0383571
32. MOODYS-FCIC-0383572-0383573
33. MOODYS-FCIC-0383574
34. MOODYS-FCIC-0383575-0383590
35. MOODYS-FCIC-0383591-0383606
36. MOODYS-FCIC-0383607-0383622
37. MOODYS-FCIC-0383623-0383638
38. MOODYS-FCIC-0383639-0383656
39. MOODYS-FCIC-0383657-0383682
40. MOODYS-FCIC-0383683-0383707
41. MOODYS-FCIC-0383708-0383732

1. MOODYS-FCIC-0383790
2. MOODYS-FCIC-0383792
3. MOODYS-FCIC-0383807
4. MOODYS-FCIC-0383816
5. MOODYS-FCIC-0383821
6. MOODYS-FCIC-0383836
7. MOODYS-FCIC-0383858
8. MOODYS-FCIC-0383859
9. MOODYS-FCIC-0383890
10. MOODYS-FCIC-0383897
11. MOODYS-FCIC-0383908
12. MOODYS-FCIC-0383909
13. MOODYS-FCIC-0383920
14. MOODYS-FCIC-0383925
15. MOODYS-FCIC-0383932
16. MOODYS-FCIC-0383936
17. MOODYS-FCIC-0383953
18. MOODYS-FCIC-0383969
19. MOODYS-FCIC-0383971
20. MOODYS-FCIC-0383999
21. MOODYS-FCIC-0384000
22. MOODYS-FCIC-0384031
23. MOODYS-FCIC-0384038
24. MOODYS-FCIC-0384049
25. MOODYS-FCIC-0384050
26. MOODYS-FCIC-0384061
27. MOODYS-FCIC-0384066
28. MOODYS-FCIC-0384173
29. MOODYS-FCIC-0384435
30. MOODYS-FCIC-0384492
31. MOODYS-FCIC-0384493
32. MOODYS-FCIC-0384984
33. MOODYS-FCIC-0385142
34. MOODYS-FCIC-0385500
35. MOODYS-FCIC-0386123
36. MOODYS-FCIC-0387057
37. MOODYS-FCIC-0387059
38. MOODY'S-FCIC-0387807
39. MOODY'S-FCIC-0387873
40. MOODY'S-FCIC-0387935
41. MOODY'S-FCIC-0390517
42. MOODY'S-FCIC-0390518
43. MOODY'S-FCIC-0390519
44. MOODY'S-FCIC-0390923
45. MOODY'S-FCIC-0390991
46. MOODY'S-FCIC-0391017
47. MOODY'S-FCIC-0391023
48. MOODY'S-FCIC-0391042
49. MOODY'S-FCIC-0391081
50. MOODY'S-FCIC-0391320
51. MOODY'S-FCIC-0391601
52. MOODY'S-FCIC-0391843
53. MOODY'S-FCIC-0391897
54. MOODY'S-FCIC-0392261-0392275

j. 2010-08-26 Production- Disk # 578
   1. Moody's-FCIC-0392261-392487

k. 2010-09-03 Production- Disk # 602
   1. Moody's-FCIC-0392488-0392521

l. 2010-09-10 Production- Disk # 612
   1. MOODY'S-FCIC 0392522-0392945
   2. MOODY'S-FCIC 0392946-0393302
   3. MOODY'S-FCIC 0393303-0393535
   4. MOODY'S-FCIC-0392964

m. 2010-09-30 Production- Disk # 658
   1. Moody's-FCIC-0393536-0393887

n. 2010-10-11 Production- Disk # 691
   1. MOODY'S-FCIC-0393888-0394166
   2. MOODY'S-FCIC-0393892
   3. MOODY'S-FCIC-0394167-0394438
   4. MOODY'S-FCIC-0394439-0394732

o. 2010-10-21 Production- Disk # 711
   1. MOODY'S-FCIC-0394733

p. 2010-11-01 Production- Disk # 729
   1. MOODY'S-FCIC-0394734-0394812

q. 2010-11-18 Production- Disk # 740
   1. MOODY'S-FCIC-0394813-0394814

r. 2010-12-17 Production- Disk # 748
   1. MOODY'S-FCIC-0394815-0394855

49. Morgan Stanley

a. 2010-09-10 Production- Disk # 613
   1. MS0002741-MS0003197
   2. MS0003198-MS0003674
   3. MS0003675-MS0003919
   4. MS0003920-MS0004344
   5. MS0004345-MS0004631
   6. MS0004632-MS0004957
   7. MS0004958-MS0005361
   8. MS0005362-MS0005662
   9. MS0005663-MS0005855
  10. MS0005856-MS0006091
  11. MS0006092-MS0006493
  12. MS0006494-MS0006676
  13. MS0006677-MS0007078
  14. MS0007079-MS0007489
70. MS0028209-MS0028392
71. MS0028393-MS0028637
72. MS0028638-MS0029082
73. MS0029083-MS0029243
74. MS0029244-MS0029608
75. MS0029609-MS0029934
76. MS0029935-MS0030313
77. MS0030314-MS0030625
78. MS0030626-MS0030783
79. MS0030784-MS0031234
80. MS0031235-MS0031548
81. MS0031549-MS0031948
82. MS0031949-MS0032299
83. MS0032300-MS0032645
84. MS0032646-MS00331123
85. MS0033124-MS0033654
86. MS0033655-MS0034137
87. MS0034138-MS0034385
88. MS0034386-MS0034908
89. MS0034909-MS0035398
90. MS0035399-MS0035699
91. MS0035700-MS0035989
92. MS0035990-MS0036243

b. 4.28.request.int.1

c. 5.18.10.cover.letter

d. MS0000089-90

e. MS0000091

f. MS002740 Confidential Treatment Requested

50. NCRC
a. 2003-11-14 Draft CRA Loan Agreement for NJ Citizen Action
b. Bank of America Details Community Development, Foreclosure Relief Goals
c. MOU of CRA Goals between JPMorgan and Chicago CRA Coalition
d. NCRC Community Reinvestment Modernization Act of 2009 Resource Toolbox

51. NCUA
a. 2010-09-15 Production
   1. Disk # 625- U.S. Central
      a. 03-0131_MGT Rpt
      b. 03-0228_MGT Rpt
      c. 03-0331_MGT Rpt
      d. 03-0430_MGT Rpt
      e. 03-0531_MGT Rpt
      f. 03-0630_MGT Rpt
      g. 03-0731_MGT Rpt
      h. 03-0831 USC Management Report 8-31-2003
      i. 03-0930 USC Management Report 9-30-2003
      j. 03-1031 USC Management Report 10-31-2003
      k. 03-1130 USC Management Report 11-30-2003
      l. 03-1231 USC Management Report 12-31-2003
      m. 04-0131 MGT Report
      n. 04-0228 MGT Report
      o. 04-0430 MGT Report
      p. 04-0531 MGT Report
      q. 04-0630 MGT Report
      r. 04-0731 MGT Report
      s. 04-0831 MGT Report
      t. 04-0831 USC Management Report 08-31-2004
2. Disk # 626- WesCorp
   a. 03-0630_MGT Rpt
   b. 03-0731_MGT Rpt
   c. 03-0831 WesCorp_MgtRpt_08302003
   d. 03-0930 WesCorp_MgtRpt_09302003
   e. 03-1031 WesCorp_MgtRpt_10312003
   f. 03-1130 WesCorp Management Report 11-30-2003
   g. 03-1231 WesCorp Management Report 12-31-2003
   h. 04-0131 WesCorp Management Report
      i. 04-0229 MGT Report
      j. 04-0331 MGT Report
      k. 04-0430 MGT Report
      l. 04-0531 MGT Report
      m. 04-0630 MGT Report
      n. 04-0731 MGT Report
      o. 04-0831 MGT Report
      p. 04-0930 MGT Report
      q. 04-1031 MGT Report
      r. 04-1130 MGT Report
      s. 04-1231 MGT Report
      t. 05-0131 MGT Report
      u. 05-0228 MGT Report
      v. 05-0331 MGT Report
      w. 05-0430 MGT Report
      x. 05-0531 MGT Report
      y. 05-0630 MGT Report
      z. 05-0731 MGT Report
     aa. 05-0831 MGT Report
    ab. 05-0930 MGT Report
     ac. 05-1031 MGT Report
    ad. 05-1130 MGT Report
     ae. 05-1231 MGT Report
    af. 06-0131 MGT Report
   ag. 06-0228 MGT Report
    ah. 06-0331 MGT Report
   ai. 06-0430 MGT Report
    aj. 06-0531 MGT Report
   ak. 06-0630 MGT Report
    al. 06-0731 MGT Report
   am. 06-0831 MGT Report
   an. 06-0930 MGT Report
    ao. 06-1031 MGT Report
   ap. 06-1130 MGT Report
    aq. 06-1231 MGT Report
   ar. 07-0131 MGT Report
   as. 07-0228 MGT Report
    at. 07-0331 MGT Report
   au. 07-0430 MGT Report
    av. 07-0531 MGT Report
   aw. 07-0630 MGT Report
ax. 07-0731 MGT Report
ay. 07-0831 MGT Report
az. 07-0930 MGT Report
ba. 07-1031 MGT Report
bb. 07-1130 WesCorp MGT Report
bc. 07-1231 WesCorp MGT Report
bd. 08-0131 WesCorp MGT Report
be. 08-0229_WesCorp MGT Report
bf. 08-0331 MGT Report
bg. 08-0430 MGT Report
bh. 08-0531 MGT Report
bi. 08-0630_MGT Report
bj. 08-0731 MGT Report
bk. 08-0831_MGT Report
bl. 08-0930_MGT Report_WesCorp_19693
bm. 08-1031_WesCorp MGT Report
bn. 08-1130_WesCorp Supervision Summary Report Effective 11302008
bo. 08-1231_MGT Report_WesCorp 01-31-2009.doc
bp. 09-0121_TCCULGP_Confirms 1
bq. 09-0131_WesCorp_MGT Report
br. 09-0331_MGT Report_WesCorp_033109
bs. 09-0430_MGT Report
bt. 09-0531_MGT_Report_WesCorp
bu. 09-0630_MGT Report
bv. 09-0731_MGT_Report_Priority 19693 WesCorp
bw. 09-0831_MGT_Report_19693_WesCorp 093009 Monthly Report
bx. 09-0930_MGT_Report_WesCorp 09-30-2009
by. 09-1031_MGT_Report_WesCorp 10-31-2009
bz. 09-1130_MGT_Report_WesCorp 19693 Monthly Management Report
ca. 09-1231_MGT_Report_WesCorp_19693_ 123109 Monthly Management Report with Task Listing
cb. 2003 ALM Management
cc. 2003 Borrowing Liquidity
cd. 2003 Exam Report
cf. 2003 Exam Report Confidential
cg. 2003 Investments
ci. 2003 Investments-Derivatives
cl. 2004 ALM
cj. 2004 Derivative Accounting
ck. 2004 Derivatives
ck. 2004 Exam Report
cf. 2004 Exam Report Confidential
cm. 2004 Exam TL
cn. 2004 Investment Credit
cf. 2004 Investments
cp. 2004 Liquidity
cq. 2005 ALM
cr. 2005 Derivative Accounting
cs. 2005 Derivatives
cu. 2005 Exam Report Confidential
cv. 2005 Exam TL
cw. 2005 Investment Credit
cx. 2005 Investments
cy. 2005 Liquidity
cz. 2006 ALM
2006 Derivative Accounting
da.

db. 2006 Derivatives
dc. 2006 Exam Report
dd. 2006 Exam Report Confidential
de. 2006 Exam TL
df. 2006 Investment Credit
dg. 2006 Investments
dh. 2006 Liquidity
di. 2007 ALM
dj. 2007 Derivative Accounting
dk. 2007 Derivatives
dl. 2007 Exam Report
dm. 2007 Exam Report Confidential
dn. 2007 Exam TL
do. 2007 Investment Credit
dp. 2007 Investments
dq. 2007 Liquidity
dr. 2008 ALM
ds. 2008 Derivatives
dt. 2008 Exam Report
du. 2008 Exam Report Confidential
dv. 2008 Exam TL
dw. 2008 Investment Credit
dx. 2008 Investments
dy. 2008 Liquidity
b. 2010-09-17 Production- Disk # 627
1. ALCO 2002
   a. April
   b. August
   c. December
   d. February
   e. January
   f. July
   g. June
   h. March
   i. May
   j. November
   k. October
   l. September
2. ALCO 2003
   a. April
      1. ALCO charts 0403
      2. ALCO charts for book 0403
      3. ALCO charts 0403
      4. ALCO Economic Section Graphs April 7 2003
      5. ALCO Economic Section Graphs April 7 20031
      6. ALCO Economic Section Graphs Mar 21 2003
      7. ALCO.econ April 7 20031
      8. ALCOEcon April 7 2003
     10. BusinessForecast200303March
     11. IdreportMar03
     12. InvestProductsMar2003
     13. Liquidity200202MarchALCO
     14. MemberTrendsMar2003
   b. August
1. ALCO charts 0703 Baoho
2. ALCO charts 0803
3. ALCO Economic Section Graphs Aug 15 2003
4. ALCO Economic Section Graphs August 18 2003
5. ALCO Economic Section Graphs August 18 2003
6. ALCOEcon08182003
7. Board Report August 18 2003
8. Business Forecast Summary 0703
9. Business Forecast 200307July
10. IdreportJuly03
11. IdreportJuly03.#2
12. InvestProductsJul2003
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14. MemberTrendsJuly2003

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7. BusinessForecast200306June
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3. ALCOEcon June 13 2003
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6. IcreportMay03
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5. InvestProductsFeb2003
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21. 6501-7000
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26. 9001-9500
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52. NIBC
   a. NIBCCM 000001-000015

53. OCC
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      1. CompressedPWG Supervision Questionnaire Final OCC
      2. Financial Market Disruption
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   h. Counterparty Credit Risk - July 2009
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11. FHLB
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205. BAC LBS Report 2007-1Q
206. BAC LBS Report 2007-2Q
207. Bachus
208. Bank Performance
209. Candl
210. Capital Key Indicators
211. Commodity
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213. Condition of the Banking System - 1Q 2009
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215. Condition of the Banking System - 4Q2009
216. Condition of the Banking System 3Q 2008
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218. Condition of the National Banking System - May 2008
219. Condition of the National Banking System - September 2008
220. CRE
221. credit gdp
222. Credit Ratings - Pending Legislation and Potential Credit Agency Downgrades - May 2010
223. Debt Sustainability
225. Developed Europe
226. Developed Europe
227. Dodd
228. Dugan Briefing - Derivatives 2005
229. EditorsNote
230. EM Risk Factors with table
231. European Industry Analysis
232. Evolving List of Lessons Learned
233. Exchange vs CCP - October 2009
234. ExCom Briefing - Hedge Funds - June 2005
235. ExCom NRC Update (Liquidity Focus) November 1, 2007
236. FAS 140 - December 2008
237. FAS 140 August 2008
238. FAS 140 Summary October 2008
239. FAS 166 and FAS 167 - August 2009
240. February 2010 Price Risk Report
241. February 2009 Price Risk Report
242. FIN 46(R) Summary October 2008
243. FIN 46(R) Summary of Proposed Changes
244. FIN 46(R) Summary of Proposed Changes December
245. Final 1Q2007 RAS - Wachovia Corporation
246. Final 2005 ROE Delaware Trust Bank CN23201
247. Final 2005 ROE Wachovia Lead Bank CN1
248. Final 2005 ROE WBoID CN 22559
249. FINAL 2006 ROE Delaware Trust Company N A CN23201 Final
250. FINAL 2006 ROE WBD N A CN22559 Final
251. FINAL 2007 ROE Delaware Trust Company N A CN23201 _2_
252. FINAL 2007 ROE WBD N A CN22559
253. FINAL 2007 ROE WCS N A CN24728
254. Final 2Q06 WACHOVIA RAS
255. FINAL 2Q07 RAS WAC
256. Final 3-31-2006 Core Assessment - Wachovia
257. Final 3Q06 WACHOVIA RAS
258. Final 4Q05 RAS - Consolidated Wachovia
2. OCC16-00303519-00305228
   a. 00NE010026 Effinity conf memo
   b. 00NE010026 Effinity CRA results
   c. 00NE010026 Effinity decision letter version 2
   d. 00NE010026 Effinity decision letter version 2 (2)
   e. 00NE010026 Effinity field inves
   f. 00NE010026 Effinity legal memo
   g. 1-Document in CSC-Treasury Bank.doc
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   i. 1-Document in CSC-Treasury Bank.doc (3)
   j. 1-Document in CSC-Treasury Bank1.doc
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   q. 2006 Supervisory Strategy (Consolidated) Countrywide
   r. 2141 ROE - Full scope as of June 30 2003
   s. 24141 2Q03 Capital Markets Exam
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   ai. 24141 New Wire Transfer Apr 2002
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   al. 24141 Retail Non-Deposit June 2004
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   ao. 24141 Wire Transfer VA Apr 2002
   ap. 2-Document in 24141 Corporate Governance 2004 exam.doc
   aq. 2-Document in 24141 Quarterly Monitoring Memo 2Q2001.doc
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   au. 2-Worksheet in Business Proposal 8-04a.doc (3)
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nx. Treasury Bank 2004-H nonconsolidation opinion CBSH1
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3. OCC16-00305229-00306426
   a. 00NE02025 Effinity conf memo
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   m. 2008-ML-12-0139 Indemnification Agreement 6-21-2008
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   q. 2008-ML-12-0230 Decision Letter
   r. 2008-ML-12-0230 Draft Decision Letter
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   w. 23A exemption request conditions
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aa. BAC_team_response_Countrywidemonedumentsquestions61008
ab. BANA Countrywide 2nd Funding Transaction
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<td>200LS_Holoman - DG</td>
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<td>200LS_Honore - JF</td>
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<td>118.</td>
<td>200LS_Kincaid - DB</td>
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<td>200LS_Larson - HP</td>
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<td>121.</td>
<td>200LS_Mistak - DB</td>
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</table>
b. Work Papers
   1. 210P_TR
   2. 210P_TR 1 Trust Committee Report June 2005 2nd qrt
   3. 210P_TR 2 AIGorgchart company wide
   4. 310P_TR
   5. 310P_TR 1 Vendor Oversight EBS May 2005
   6. 310P_TR 2 PERK Internal Controls 2005
   7. 310P_TR 3 Vendor Review
   8. 410P_TR
   9. 410P_TR 1 Audit Report
  10. 610P_TR
  11. 610P_TR 1
  12. 610P_TR 1 Filed Schedule FS December 31 2003
  13. 610P_TR 1 Filed Schedule FS December 31, 2004
  14. 610P_TR 1 Filed Schedule FS June 30 2005
  15. 610P_TR 2 Examination Memo for Schedule FS
  16. 610P_TR 3 Trustee vs Custodial Assets @Valic 06.30.05
  17. 610P_TR 4 PERK Earnings 2005
  18. 720P_TR
  19. 720P_TR 1 Administrative review
  20. 720P_TR 1 Annual Trust Account Reviews June 2004 - Administrative
  21. 720P_TR 2 Sample file account Cooke, Matthew
  22. 720P_TR 2 Sample file account Davis, Victoria P.
  23. 720P_TR 3 RIC-E trust service agreement signed copies 2002
  24. 720P_TR 3 Trust Recordkeeping June 2005 REV
  25. 730P_TR
  26. 730P_TR 1 VRSCO Site Visit May 2005
  27. 730P_TR 2 Administrative Reviews
  28. 810P_TR
  29. 810P_TR 1 Current INV TRUST Policy REV
  30. 810P_TR 1 Investment Policy needs
  31. 810P_TR 1 mutual fund policy needs
  32. 810P_TR 3 Current Infor on Asset Monitoring by EBS
  33. 810P_TR 4 Mutual Fund RIC-E 06-30-05
  34. 810P_TR 5 Ric-E trust Polaris 10-11-05(values)
  35. 810P_TR 6 aspireact
  36. D512006-25F2-4DA8-8F68-55478E47A81A
  37. OTS Closing Presentation AIG Savings Bank
  38. README

18. 14939_20060210_63
   a. Report of Examination
      1. ROEM_14939_20060210_63
   b. Work Papers
      1. Documents and Settings
         a. GRANTFW
            1. Desktop
               a. AIG Fair Lending
                  1. 14939 AIG Bank 2004 HMDA - Significant CRA Wiz data
                  2. 14939 AIG Bank 2004 HMDA - Significant Difference of Means - FL Wiz data AIG
                  3. Bank Predatory Lending review from 2004
               b. AIG memo for BA
                  1. memo
               c. HMDA Project AIG
                  1. 14939 AIG HMDA Project Phase III Tracking Sheet
                  2. AIG 14939 Denial Regression Analysis Report
                  3. AIG 14939 Pricing Regression Analysis Report
2. 5D2072CB-96CA-4027-8FCF-947BE1ED8DE9
3. README
19. 14939_20060224_43
   a. Report of Examination
      1. ROEM_14939_20060224_43
   b. Work Papers
      1. 1B6BCCEE-22E2-4C8D-A675-76ED84DBE540
      2. 90 Day Deferred 2004
      3. 90 Day Deferred 2005
      4. Alt Mtge instructions
      5. IO 2004
      6. IO 2005
      7. OTS_INNOVATIVE_PRODUCT_WORKSHEET
      8. README
20. 14939_20060327_46
   a. Report of Examination
      1. ROEM_14939_20060327_46
   b. My Secure Folder
      a. Exams
         1. AIG
            a. 2006.Oct 10 Field Visit
               1. Final Documents
                  a. 000_Administration 1.0 Scope Memo 10.10.2006
                  b. 1000_Compliance 1.0 Remediation Review Summary
                  c. 1000_Compliance 1.1 Remediation Review Presentation 12.05.06
                  d. 1000_Compliance 2.0 SAR Review Summary
   2. 78AE7EAA-BC7A-470E-ACDB-432868E45391
   3. README
21. 14939_20061010_46
   a. Report of Examination
      1. ROEM_14939_20061010_46
   b. Work Papers
      1. 1201P 017 10-05 Compliance Exam-Pricing Notes
      2. 1201P 018 AIG 2005 Denver DENIALS 2nd lien refi
      3. 1201P 019 AIG 2005 Denver DENIALS 1st lien refi
      4. 1201P 020 2005 HMDA Project Penalty Fee Table- Bobbi K
      5. 1201P 021 AIG 2005 Denver Decisioning Files used multiple times- color coded
      6. 1201P 022 AIG 2005 Denver DENIALS 1st lien refi
      7. 1201P 023 AIG 2005 Denver DENIALS 2nd lien refi
      8. 1201P 024 AIG 2005 Exam- predatory practices
      9. 1201P 025 AIG 2005 Pricing sample Chicago
     10. 1201P 026 AIG FSB #14939 profile
     11. 1201P 027 E-mail notes
     12. 1201P 028 Fair Lending Exam Sample included in remediation sample
     13. 1201P 029 HUD Row Home Settlements
     14. 1201P 030 Kennedy Pricing Determinant Penalty Table
     15. 1201P 031 Rate sheet and wholesale pricing discussion 4
     16. 1201P 032 2005 07 01 Near Prime Rate Sheets1
17. 1201P 033 2005 07 01 Score Plus Rate Sheets
18. 1201P 034 WFI - Loan Prog - Orig-Denied-SFD-1st and 2nd Liens-OO
19. 1201P 035 AIG 2005 - Orig-Den - Regr - Decision - Chicago - Detail
20. 1201P 036 AIG 2005 - Orig-Den - Regr - Decision - Denver - Race Detail
22. 1201P 038 AIG 2005 - Orig-Den - Regression - Decision - Chicago MSA
23. 1201P 039 AIG 2005 - Orig-Den - Regression - Decision - Denver MSA
25. 1201P 041 AIG 2005 All Originations by race and Appraised Value
26. 1201P 042 AIG 2005 Decisioning Analysis By 3 MSAs
27. 1201P 043 AIG 2005 Denied Minorities by Branch number only
28. 1201P 044 AIG 2005 Denied Minorities by Branch-Officer-MSA
29. 1201P 045 AIG 2005 Denied Minorities by MSA and Branch Number
30. 1201P 046 AIG 2005 Denied Minorities by MSA
31. 1201P 047 AIG 2005 Denied Minorities by OfficerN and MSA
32. 1201P 048 AIG 2005 Denied Minorities by OfficerN
33. 1201P 049 AIG 2005 Home Impr-branch-loan prog
34. 1201P 050 AIG 2005 Loan Program Originated
35. 1201P 051 AIG 2005 Loan Programs Pivot
36. 1201P 052 AIG 2005 Orig Denied - Atlanta - Compare
37. 1201P 053 AIG 2005 Originated - Denied by MSA - all races
38. 1201P 054 AIG - Chicago MSA Comparative List
39. 1201P 055 AIG - NY-White Plains MSA Comparative List
40. 1201W 001 Original FL Letter to AIG
41. 1201W 002 PERK 1-12-06 MEQ Fairlending 3qtr 2005
42. 1201W 003 PERK 1-13-06 WF Fairlending Review May 05 through June 05 data
43. 1201W 004 PERK 4-5-06 AIG Federal Savings Bank FL Review 2005 Mortgage Data
44. 1201W 005 PERK 4-06 2005 HMDA Data Analysis
45. 1201W 006 PERK 5-30-06 Pricing Variance
46. 1201W 007 PERK 6-30-06 1Q 2006 HMDA
47. 1201W 008 PERK 7-7-06 Analysis of Broker Compensation REV on 75 Files Reviewed
48. 1201W 009 PERK 7-21-06 2005 Fair Lending Pricing Review and Broker Analysis REVISED
49. 1201W 010 PERK 9-1-06 AIG Bank Side-by-Side Phase II
50. 1201W 011 PERK 9-7-06 2005 Fair Lending Pricing Review Follow-Up
51. 1201W 012 PERK 10-16-06 AIG Bank REV January-May 2006 Pricing Analysis(2)
53. 1201W 014 PERK 11-10-06 Chronology of Mortgage Data Fair Lending Reviews Conducted i
54. 1201W 015 PERK 11-16-06 fair lending phh everbank MEQ 2006
55. 1201W 016 Notes to PERK Response Review
56. 1215P 001 AIG HMDA validation sample
57. 1215P 002 FRB HMDA Sampling Standards
58. 1215P 003 HMDA Rate Spread Notes
59. 1215P 004 HMDA VALIDATION TECHNIQUES
60. 1215P 005 AIG loan sample Margaret Cullen 2
61. 156E3095-36AE-4D12-95BF-3A13B3FCF501
62. README

23. 14939_20061113_16
   a. Report of Examination
      1. ROEF_14939_20061113_16
   b. Work Papers
      1. dempseymw
         a. My Documents
            1. ROE
               a. AIG
                  1. 341P IT Risks and Controls Prg
2. PERK025 IT Update Form Completed - corrected
   a. Desktop
      1. My Folder
         a. AIG FSB
            1. 400_Earnings 2.0 AIG FSB GEN INFO
            2. 400_Earnings 3.0 Ratios Info
            3. 400_Earnings 4.0 UTPR 3yr- 9.30.2006
            4. 400_Earnings 5.0 Balance Sheet and Inc Statement 12 31 2006
            5. 400_Earnings 5.1 Detail Misc Acct Rev 12 31 2006
            6. 400_Earnings 6.0 Review of Other Assets
            7. 400_Earnings 6.1 DE Equity Fund V Summary
            8. 400_Earnings 6.2 Innovation Ventures Summary
            9. 400_Earnings 6.3 Argosy Partners II Summary
            10. 400_Earnings Program (risk-focused)
            11. 400_Earnings 1.0 Draft Comment

3. grantrb
   a. Desktop
      1. 110P_Captl Stk Prg
      2. 200RP_Asset Quality Prg (risk-focused)
      3. 300_Management Minutes
      4. 600RP_Sensitivity to Mrkt Risk Prg (risk-focused)
      5. AIG loan review
      6. AIG scope memo
      7. Capital program

4. My Secure Folder
   a. 300RP Management Prg (risk-focused)
   b. Exit Meeting 02-01-07

5. obbopn
   a. Desktop
      1. 1400 AIG Invalid TIN Listing
      2. 1400 AIG OFAC Quarterly Review Report
      3. 1400 AIG OFAC RISK ASSESSMENTS 1
      4. 1400 AIG Private Lable SAR Review
      5. 1400 AIG Risk Matrix
      6. 1400 FinCEN-AIG SARs FILINGS
      7. 1400 Private Label Credit Card CTR Review
      8. 1400P AIG BANK BSA AML Core (minimum procedures) Prg - Assessing Compliance
      9. 1415 AIG BANK ECONOMIC SANCTIONS
     10. 500 AIG SB Savings Analysis
     11. 500 Brokerage Average Balances Liquidity Balances
     12. 500 Liquidity Forecast
     13. 500RP Liquidity Prg (risk-focused)

6. PERK 005 IT
   a. Audit
      1. 1
         a. AIGFSB 06_29_05
      2. 3
         a. 2006 IT Audit Plan for FSB
      3. 4
         a. FSB - IT Risk Assessment
   b. Business Continuity
      1. 1
         a. 1BCP from SAAMCo 2005
         b. 2005 letter AIG Federal Savings Bank
         c. Business Recovery Posture OSI
d. CheckContingency[1]

e. DR Attestation - 1931 - 040806

f. Federal Reserve Account Services Business Continuity

g. FedWire Business Continuity Information

h. FedwireResilience[1]

i. OSI - TCBS Test 2006

j. Vendor Oversight SAAMCo 2005

2. DR- BCP

a. DR- BCP

3. Business Continuity testing

a. CALL TREE Test 11-2005

b. Disaster Recovery April 2006 Operations

d. FSB BCP Scorecard v2b 2005

c. General

1. Examination contact

2. Overall Architecture 2006

a. Overall Architecture 2006

d. Information Integrity

1. Information Security Policy

2. CMP 006 Privacy & Accuracy of Customer Information

b. Information Security Program AIG

3. Admin controls

b. Bisys Administrator

c. Procedures for Product Upgrades for OSI Software

d. System SecurityFinal

4. Back Office Profile

a. Branch Management Profile

b. Customer Service Representative Profile

d. Operations Management Profile

e. OSI Access

5. RTXN

a. RTXN

6. Attestation - IntelliNet Penetration Analysis July 05

b. GLB-SP_Pershing

c. Information Security Posture

e. Internet Banking - Internet Activities

1. Internet Banking

2. Internet%20Security%20and%20Usage%20for%20End%20Users%20Stan

3. 2005 SAS 70 Report - Digital Insight

b. Digital Insight SAS 70 3rd QTR 2006

4. Compliance Reference Materials Final

f. IT Management Oversight

1. ALICO Change_Management_Policy

2. SOX Testing

a. Sox Testing 1
2. Sox Testing 10
3. Sox Testing 11
4. Sox Testing 12
5. Sox Testing 13
6. Sox Testing 14
7. Sox Testing 15
8. Sox Testing 16
9. Sox Testing 17
10. Sox Testing 18
11. Sox Testing 19
12. Sox Testing 2
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45. Sox Testing 5
46. Sox Testing 50
47. Sox Testing 51
48. Sox Testing 52
49. Sox Testing 53
50. Sox Testing 6
51. Sox Testing 7
52. Sox Testing 8
53. Sox Testing 9

b. BISYS SAS 70 Oct 2005

c. BISYS Site Visit June 2006

Vendor Management

1. Primary IT Service Providers

2. TB 82 9_15_06 crmm

3. Reports
   a. AGGIC SAS70 response

b. Risk Assessments
   1. AIG- AIGGAMHC Risk Assessment Matrix 9.12.06
   2. AIG- Dovenmuehle Risk Assessment Matrix 9.12.06
   3. AIG- U of C Risk Assessment Matrix 9.12.06
   4. AIG-ALICO Risk Assessment Matrix 9.12.06
   5. AIG-CHL Risk Assessment Matrix 9.12.06
   6. AIG-EFC Risk Assessment Matrix 9.12.06
   7. AIG-Everbank Risk Assessment Matrix 9.12.06
   8. AIG-Gilpin Risk Assessment Matrix 9.12.06
   9. AIG-OSI Risk Assessment Matrix 9.12.06
   10. AIG-Pershing Risk Assessment Matrix 9.12.06
   11. AIG-SAAMCo Risk Assessment Matrix 9.12.06
   12. AIG-SBI Risk Assessment Matrix 9.12.06
   13. AIG-VALIC Risk Assessment Matrix 9.12.06
   14. AIG-Webster Risk Assessment Matrix 9.12.06

c. SAS 70s
   1. 2005 Fidelity SAS 70 Report for MSP
   2. 2005 Pershing LLC SAS 70 Final
   3. 2005 VALIC SAS 70 FINAL REPORT
   4. AIGGIG 2005 SAS 70
   5. DST SAS 70 9.30.05 - Final

4. 4
   a. OSI Info Security Posture

5. 5
   a. ADVISOR AGREEMENT
   b. LOAN CORRES EVERBANK
   c. SUBSERVICING

7. sheltonsh
c. Desktop
   a. Agreement with WFI
   2. IRR 09-30-06 Reconciliation

8. 1000 Fair Lending & Compliance Analysis AIG
9. 1000P W-Findings
10. 1100P COEP Prg
19. 730P_TR 11 Trustee v Custodial Assets 10-31-2006
20. 730P_TR 12 QA Schedule 2007 Trust
22. 730P_TR 2 RSVP Trustee v Custodial Assets 9-30-2006
23. 730P_TR 3 Account Acceptance Policy
24. 730P_TR 4 Employee Benefit Account Oversight
25. 730P_TR 5 Oversight of VRSO
26. 730P_TR 5 third party oversight
27. 730P_TR 6 File Review
28. 730P_TR 7 Meeting with management
29. 730P_TR 8 Monthly report
30. 820P_TR Asset Management 10 Accts with Loans
31. 820P_TR List of Discretionary Investments
32. 820P_TR Market Sec Prg
33. 820P_TR Ric-E 9-30-06
34. 820P_TR RIC-E Trust Investment Policy FINAL
35. 820P_TR RSVP Trustee v Custodial Assets 9-30-2006
36. AIG FSB Review Testing Leadsheet
37. CF62047F-C66A-48E0-AF01-5E26686B8E8D
38. README

25. 14939_20070416_43
   a. Report of Examination
      1. ROEM_14939_20070416_43

26. 14939_20071001_63
   a. Report of Examination
      1. ROEM_14939_20071001_63
   b. Work Papers
      1. Documents and Settings
         a. chicorikasni
            1. Desktop
               a. 1201P AIG 2005 Denver 1st lien
               b. 1201P AIG 2005 Denver 2nd lien
               c. AIG Exhibits to OTS
               d. AIG response to OTS
               e. Bank comments on Denver files
               f. CRA International's methodology exhibits
               g. CRA International's methodology
               h. Denver Study Counteroffers made not accepted
               i. Review of 18 brokers supplying targets
               j. Summary review of 25 Targets
         2. PocketDrive
            3. B6BFA44B-D95C-4B16-8D0C-C2A709B86AEF
         4. Phase III Target 1
         5. Phase III Target 10
         6. Phase III Target 11
         7. Phase III Target 12
         8. Phase III Target 13
         9. Phase III Target 14
        10. Phase III Target 15
        11. Phase III Target 16
        12. Phase III Target 17
        13. Phase III Target 18
        14. Phase III Target 19
        15. Phase III Target 2
        16. Phase III Target 20
        17. Phase III Target 21

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27. 14939_20080128_86
   a. Report of Examination
      1. ROEF_14939_20080128_86
   b. Work Papers
1. Documents and Settings
   a. donahuetj
      1. My Documents
         a. $1. AIG FSB_PERK025_IT_Update_Form
   b. montesre
      1. My Documents
         a. aig
            1. wp
               a. s&d
                  1. infosec
                     a. 300_IT D&A_FFiec workprogram
                     b. 300_IT no sql bpa

2. 000_IT Roe_Index
3. 100_IT AUD_FFiec workprogram
4. 100_IT aud_include audit report 3-28-2007
5. 100_IT aud_include audit with Business Continuity 1-18-2007
6. 100_IT aud_include discussion document audit
7. 100_IT aud_include IT committee with audit representation
8. 100_IT no charter
9. 100_IT schedule
10. 100_IT staff
11. 200_IT BCP_FFiec workprogram
12. 200_IT bcp_include backup software
13. 200_IT bcp_include tape encryption
14. 200_IT no enterprise
15. 200_IT no network
16. 500_IT edge
17. 500_IT glba policy data classification
18. 500_IT glba policy privacy
19. 500_IT glba risk
20. 500_IT GLBA_FFiec workprogram
21. 500_IT glba_include database listing 1
22. 500_IT glba_include database listing 2
23. 500_IT glba_include discussion document
24. 500_IT glba_include pershing glba audit
25. 500_IT InfoSec_FFiec workprogram
26. 500_IT MBSA
27. 500_IT no ads
28. 500_IT services
29. 700_IT mainframe
30. 700_IT Ops_FFiec workprogram
31. 700_IT ops_include IBM Mainframe
32. 700_IT ops_include updated RACF
33. FD71E308-8170-463F-8C25-C24C84615B2F
34. README
28. 14939_20080219_16
   a. Report of Examination
      1. ROEF_14939_20080219_16
   b. Work Papers
      1. Documents and Settings
         a. neidichsa
            1. Desktop
               a. Current Exam
                  1. AIG
                     a. Earnings
                        1. 400WP 06 GL Account 12.31.07
                       b. Liquidity
1. 500WP 08 Investment Securities Performance Monitoring
2. 500WP 09 Investment Securities Review
   c. Sensitivity
      1. 600WP 03a NPV Reconciliation rev 123107
      2. 600WP 03b NPV Reconciliation 093007

b. obbopn
   1. Desktop
      a. 100 AIG BANK CAPITAL CALCULATION WORK SHEET
      b. 100-1 INDEMINIFICATION AGREEMENT
      c. 100-2 MORTGAGE LOAN SERVICES AGREEMENT
      d. 100RP Capital Prg (risk-focused)
      e. 1400P BSA AML Core (minimum procedures) Prg - Assessing Compliance
      f. 300 AIG BOARD MINUTES
      g. 300 COMPLIANCE COMMITTEE REMDIATION MINUTES
      h. 300 MANAGEMENT QUESTIONNAIRE
      i. 300RP Management Prg (risk-focused)
      j. 400RP Earnings Prg (risk-focused)
      k. 500RP Liquidity Prg (risk-focused)
      l. 600RP Sensitivity to Mrkt Risk Prg (risk-focused)
      m. AIG BANK AGENDA FOR EXIT MEETING

2. MY DOCS 2008
   a. 00 08 16-Melded AIG
      1. 1000 SS Compliance AIG 2008 UPLOAD
         a. PERK007 2-15 Fair Lending Ques 2-19 FINAL

3. My Secure Folder
   a. Institutions
      1. AIG
         a. BSA Programs
            1. 1400P 01 SAR ComplianceAudit 2007
            2. 1400P 02 PLCC Fraud Audit
            3. 1400P 03 Risk Matrix
            4. 1400P 04 OPP 001 BSA 2-15
            5. 1400P 05 2006 B o D SAR Update 4th Qtr 2006)
            6. 1400P 06 2007 B o D SARq Update

   b. SCOPE MEMO 20080222 AIG

4. 0DC42DC-13EB-4642-906B-309F15650EC4

5. 1000 WP01 Compliance Risk Profile
   6. 1000P Compliance Exceptions
   7. 1000P Homogeneous_Loan_Review_Spreadsheet
   8. 1200P Nondiscrimination
   9. 1201 01 Fair Lending Loan File Review
   10. 1201P Fair Lending Program
   11. 1205 01 ECOA Loan File Review
   12. 1205P Equal Credit Oppor Prg
   13. 1210P Fair Housing
   14. 1215P Home Mtg Disclosure Prg
   15. 1300P FCRA
   16. 1305P TIL2 Program cover memo
   17. 1320P RESPA Prg
   18. 1340P Flood Disaster Protect Prg
   19. 1350P Fair Debt Collection Prg
   20. 1355P Unfair or Deceptive Prg
   21. 1410P EEO Prg
   22. 200RP 12 31 2007 ALLL analysis
   23. 200RP 12 31 2007 classifiedassets
24. 200RP 12 31 2007 Delinquencies
25. 200RP 12 31 2007 HLTV
26. 200RP 12 31 2007 reo parcels
27. 200RP Asset Quality Prg (risk-focused)
28. 200RP auditcommitteereprt
29. 200RP Due Diligence Credit Policy
30. 200RP Due Diligence SEL00191CreditShippingList112106
31. 200RP Due Diligence Wachovia 03 Quality Summary
32. 200RP Due Diligence Wachovia 092006 Due Diligence Final Fully Merged
33. 200RP Due Diligence Wachovia 092006 Due Diligence Final JW
34. 200RP Due Diligence Wachovia 092006 Exception Loans
35. 200RP Due Diligence Wachovia 10-23 DUE DILIGENCE SUMMARY Bob Whitby
36. 200RP Homogeneous Loan Review HELOC Spreadsheet
37. 200RP Memorandums Wachoviaport
38. 200RP OTS Talking Points - Asset Quality ao 22908
39. 200RP REO parcels
40. 200RP2-Delinquency-February 2008 (2)
41. 200RP Homogeneous Loan Review (Originated)
42. 200RP Homogeneous SF CRA Loan Review
43. 400WP 01 AIG Key Operating Ratios
44. 400WP 02 Rate-Volume Analysis
45. 400WP 03 AIG Bank Financial Highlights 2 29 08 - FINAL (3)
46. 400WP 04 BOD 2.29.08 BS and P&L
47. 400WP 05 UTPR3YrDec2007
48. 500WP 01 AIG Deposit Borrowing Changes
49. 500WP 02 Sweep Deposits Average Balances 123107
50. 500WP 03 Projected cash flow
51. 500WP 04 Brokered Deposits as of 12-31-07
52. 500WP 05 December 2007 Short-Term Liquidity Forecast
53. 500WP 06 Portfolio Analysis OTS 3_12
54. 500WP 07 Holdings report
55. 600WP 01 CMR Changes AIG
56. 600WP 02 Internal December NPV
57. 600WP 04 Earnings at Risk 11.30.2007
58. 600WP 05 Structured&Complex Sec Valuation
59. 600WP 06 Int Rate Risk Policy_8.06
60. README

29. 14939_20080225_64
   a. Report of Examination
      1. CRAE_14939_20080225_64
30. 14939_20080310_70
   a. Report of Examination
      1. ROEF_14939_20080310_70
   b. Work Papers
      1. AIG FSB
         a. 2008 Examination
            1. Exhibits
               a. 200P_TR AIG org chart company 050907 TOM
            2. Programs
               a. 210P_TR Management Prg
               b. 610P_TR Earnings Prg
               c. 810P_TR Portfolio Mgmt Prg
            2. 1375P 00 Privacy March 2008 Statement Message Custodian Code N
            3. 1375P Privacy of Consumer Finan Info Prg
            4. 1400P 00 Risk Matrix
            5. 1400P 01 FSB Audit Plan 08
            6. 1400P 02 SAAMCo 2007 New Account Review
41. H2984_20050124_93
   a. Report of Examination
      1. ROEM_H2984_20050124_93

42. H2984_20050531_90
   a. Report of Examination
      1. ROEF_H2984_20050531_90

43. H2984_20060130_93
   a. Report of Examination
      1. ROEM_H2984_20060130_93

44. H2984_20060306_93
   a. Report of Examination
      1. ROEM_H2984_20060306_93

45. H2984_20060605_90
   a. Report of Examination
      1. ROEF_H2984_20060605_90

46. H2984_20060612_87
   a. Report of Examination
      1. ROEM_H2984_20060612_87
   b. Work Papers
      1. Desktop
         a. 2006 HC Asset Mgmt PWC Related Audit Reports
            a. Advantage1
      2. My Current Examination
         a. AIG
            1. 000_IT 2004 IAD Audit Reports
            2. 000_IT 2005 IAD Audit Reports
            3. 000_IT 2006 IAD Audit Reports
            4. 000_IT 2006-116 AIG Financial Advisors General IT Controls Report
            5. 000_IT Meeting Notes
      3. 000_IT 2006 IT Audit Plan
      4. 000_IT AIG Acronyms
      5. 000_IT AIG Security Program Summary 062206
      6. 000_IT Global Infrastructure - Status and Strategy
      7. 000_IT Guided Portfolio Report
      8. 000_IT
         Information%20Systems%20Management%20OCIO%20IT%20Policies%20-%20December%20C
      9. 000_IT MJV AIG Emails and Attachments
     10. 000_IT MJV AIG Hardcopy Handouts OCR'd
     11. 000_IT Personal Lines Claims - Operational Disbursement Report
     12. 000_IT Pre-Implementation Reviews
     13. 000_IT Risk Assessment Shared Facilities
     14. 9930836A-E73D-4B6E-A3E1-96451BFA1EF1
     15. README

47. H2984_20070205_93
   a. Report of Examination
      1. ROEF_H2984_20070205_93
   b. Work Papers
      1. My Secure Folder
         a. CFG Target
            1. Uploaded CFG WPS
               a. CAP_17 CFG Funding
               b. CFG_SCOPE MEMO FINAL
            2. 720P_HC CORE Prg CFG
            3. CAP_17_CFG Capital WP
            4. CCG_Compliance Comment Edits_MAR
            5. CFG BOD
            6. CFG Owned or Managed Companies
            7. CFG_13_CCG Interaction
132. RM_355 TW6_Finance Audit Strategy
133. RM_355 TW7_IA Information Sec
134. RM_355 TW8_IA IT
135. RM_355 TW9_IA Card Production

48. H2984_20070220_93
   a. Report of Examination
      1. ROEF_H2984_20070220_93
   b. Work Papers
      1. 00PERK_09a_Response from ILFC - prepared by JTC
      2. 692F0120-3A09-4A0B-A993-46DA21BCEBCB
      3. ILFC_AD_01_Target ROE_Final_4-12-07-JTC
      4. ILFC_AD_01a_Target ROE_Draft_3-28-07-JTC
      5. ILFC_AD_01b_Target ROE_DraftII_4-3-07-BW
      6. ILFC_AD_01c_Target ROE_DraftIII_4-3-07-JTC
      7. ILFC_AD_01d_Target ROE_DraftIVnotes_4-3-07-JTC
      8. ILFC_AD_01e_Target ROE_DraftIV_4-3-07-JTC
      9. ILFC_AD_01f_Target ROE_DraftVnotes_4-3-07-JTC
     10. ILFC_Ad_02_OTS-ILFC MtgAgendaDetail_3-13-07_JTC&JD
     11. ILFC_Ad_03_OTS-ILFC MtgRecap_3-13-07_MW
     12. ILFC_ad_04_OTS-AIGSeniorMangt_Update_3-21-07-JTC
     13. ILFC_ad_05_OTS-AIGSeniorMangt_Update_4-16-07-JTC
     14. ILFC_Ad_06_OTS Recommendations_Final_JTC
     15. ILFC_Ad_06a_OTS Recommendations_Draft_3-13-07-JTC&JD
     16. ILFC_Ad_06b_OTS Recommendation_ILFCMntResp 3-13-07_IPD
     17. ILFC_Ad_06c_OTS Recommendations_ILFCMantResp2_JTC
     18. ILFC_Ad_08_Scope Memo-TargetExam-12-19-2006-JTC
     19. ILFC_Ad_08a_Scope Memo-TargetExam_initial-JTC
     20. ILFC_Ad_09_PERK Request-12-20-2006-JTC
     21. ILFC_Ad_10_Conclusion Memo 2-20-07 Target JDD
     22. ILFC_IAD_01_2007 ILFC Risk Assessment Conclusions
     23. ILFC_IAD_02_2007 ILFC Risk Assessment Tool
     24. ILFC_IAD_03_2007 ILFC Strategy Document
     25. ILFC_IAD_04_CAPS - ILFC Closed 022007
     26. ILFC_IAD_05_CAPS - ILFC Open 022007
     27. ILFC_IAD_06_CAPS Summary ILFC 022007
     28. ILFC_IAD_07a_06-119 Reserves_IPD
     29. ILFC_IAD_07b_06-158 Purchase Price Buildup
     30. ILFC_IAD_07c_06-159 Q1 2006 SI Reporting
     31. ILFC_IAD_07d_06-160 Q1 2006 Complex Structured Finance Transactions
     32. ILFC_IAD_07e_06-381 Q2 2006 SI Reporting
     33. ILFC_IAD_07f_06-382 Cash
     34. ILFC_IAD_07g_06-383 Q2 2006 Complex Structured Finance Transactions
     35. ILFC_IAD_07h_06-390 Aircraft Insurance Coverage
     36. ILFC_IAD_07l_07-046 Q3 2006 SI Reporting
     37. ILFC_IAD_07m_07-045 Lease Revenue
     38. ILFC_IAD_07n_07-046 Q3 2006 Complex Structured Finance Transactions
     39. ILFC_IAD_08_2007 ILFC Audit Plan
    40. ILFC_MD_01_Compliance Committee Records and Compliance Plan-IPD
    41. ILFC_MD_01_ERM Meeting Recap_1-30-2007_JTC
    42. ILFC_MD_02_AIG Financial services Meeting Recap_2-12-2007_JTC
    43. ILFC_MD_02_Compliance Self-Assessment ~ 2007_IPD
    44. ILFC_MD_03_AIG Financial Services Meeting Recap_3-12-2007_JTC
    45. ILFC_MD_03_Compliance internal memo re treasury commerce rules_IPD
    46. ILFC_MD_04_AIG Financial Services Meeting Recap_3-28-2007_JTC
    47. ILFC_MD_04_Compliance_2006-Q4 ~ Litigation Report_IPD
103. PERK_Copy of fleet summary as at December 31 2005_IPD
104. PERK_Copy of fleet summary as at September 29 2006_IPD
105. PERK_CSFT(Policy)_V1-1-10-2006_IPD
106. PERK_Enterprise Risk Management_Questionnaire_2006_IPD
107. PERK_Expanding Lease Contracts 1-1-2007 to 12-31-2009_IPD
108. PERK_growth history BS & IS_IPD
109. PERK_IAD - 2006 Aircraft Insurance Coverage Internal Audit Report_IPD
110. PERK_IAD - 2006 Internal Audit Lease Revenue Report_IPD
111. PERK_IAD - 2006 Overhaul Reserves Internal Audit Report_IPD
112. PERK_IAD - 2006_Q3 CSFT Internal Audit Report_IPD
113. PERK_ICMS ProjectPlan_3_12_2007_IPD
114. PERK_ILFC Board Minutes July 2006_IPD
115. PERK_ILFC Board Minutes May 2006_IPD
116. PERK_ILFC Board Minutes October 2006_IPD
117. PERK_ILFC Organization Chart 1-9-07_IPD
118. PERK_ILFC Phone List_IPD
119. PERK_Lease process - _OTS request__2007_IPD
120. PERK_Moebit2006_IPD
121. PERK_Proposed Lease Classification Finding_PwC_3-2-07_IPD
122. PERK_Schedule of Aircraft Purchases for YE 12-31-07_IPD
123. PERK_SI 2005_Q4_OTS_IPD
124. PERK_SI 2006_Q3_OTS_IPD
125. PERK_Titles List 2007_IPD
126. README

49. H2984_20070423_93
   a. Report of Examination
      1. ROEF_H2984_20070423_93
      2. ROEM_H2984_20070423_93
   b. Work Papers
      1. 98865D9F-C2DE-42D4-B0A7-A4EEA6D983EA
      2. FP_Ad_00_Target Exam ROE Draft
      3. FP_Ad_01_Scope Memo-TargetExam_4-19-07_JTC
      4. FP_Ad_02_Scaping Discussions_CW-JTC
      5. FP_Ad_03_Target prep phone discussion 4-16-2007
      6. FP_Ad_03a_Kolbert phone discussion 3-6-2007
      7. FP_Ad_04_PERP Request-4-16-2007-JTC
      8. FP_Ad_05_AIGSeniorMangt_Update_5-21-07-JTC
      9. FP_Ad_06_AIGSeniorMangt_Update_7-30-07-JTC
     10. FP_ad_07_Entrance mtg_4-23-07
     11. FP_Ad_08_Exit Mtg Agenda_7-13-07
     12. FP_Ad_09_Exit Mtg Notes_7-13-07
     13. FP_Ad_10_Monthly Recap 3-31-2007_IPD
     14. FP_Ad_11_Schedule Si_ExhibitA_31Mar07_IPD
     15. FP_Cr_01_Credit Risk Update_JTC
     16. FP_Cr_02_Ed Diaz mtg notes_JTC&NK
     17. FP_Cr_03_ABS mtg- OTS requested discussion points
     18. FP_Cr_04_IV.2 Grp_Crdt_Summary_Rpt Replacement OTS modified
     19. FP_Cr_05_NCEL exceeded
     20. FP_Cr_05a_NCEL exceeded explanations_IDP&JTC
     21. FP_Cr_06_ABS RADAR 27 April 07_IPD
     22. FP_Cr_06a_Answers to OTS questions 040507__ABS Rada r_IPD
     23. FP_Cr_07_ABS PORTFOLIO CREDIT REVIEW APRIL 2007_IPD
     24. FP_Cr_07a_CMBS PORTFOLIO Review April 2007_IPD
     25. FP_Cr_07b_CREDIT REVIEW APRIL 2007_CDOs_ + CDO Market Update_IPD
     26. FP_Cr_07c_ABS PORTFOLIO CREDIT REVIEW March 2007_IPD
     27. FP_Cr_08_Reported Exposures_Differences_IDP&JTC
83. FP_MR_11b_GAAP_DETAIL_010207_IPD
84. FP_MR_11c_GAAP_DETAIL_010306_IPD
85. FP_MR_11d_PVAHISTORICALSUMMARY_GSD010207_IPD
86. FP_MR_11e_PVAHISTORICALSUMMARY_GSD010306_IPD
87. FP_Other_01_123106_InvProtectAgmt_GAPs_IPD
88. FP_Other_02_2006600000431957 Nightingale PSR_IPD
89. FP_Other_02a_Structured Investment Vehicle_JTC
90. FP_Other_03_BAIG Update June 2007_JTC
91. FP_Other_03a_BAIG meeting memo_NK&JTC
92. FP_Other_04_Bear Streans hedge funds issues - meeting memo_JTC
93. FP_Other_05_REX Memo to Files_7-3-07_IPD
94. FP_Other_05a_Email to DC_REX consumer protection_JTC
95. FP_Other_06_Org Changes Meeting_NK&JTC
96. FP_Other_07_2007 Audit Plan Status for AIGFP_IPD
97. FP_Other_07a_Email AIGFP audits_JTC
98. FP_Other_07b_RE AIGFP audits_IPD
99. FP_Other_08_Meeting for FAS 157 & 159
100. FP_Other_09_sub-prime CDO exposure_06-30-07_JTC
101. FP_Other_09b_CDO Exposure Summary (4)_IPD
102. FP_Other_09c_Subprime Analysis (2)_IPD
103. FP_SSr_01_Risk Update_JTC
104. FP_SSr_02a_Recovery Rates -SuperSeniorAnswers_IPD
105. FP_SSr_02b_Super-prime - SS & Cash CDo mtgs_A Forster_JTC&NK
106. FP_SSr_03_Credit Derivatives Procedures Manual _rev 2-06__cln
107. FP_SSr_04_WVAR Model Description_IPD
108. FP_SSr_04a_C.2 Models for the Synthetic Credit Business
109. FP_SSr_05_WVAR_Annex A CdBamt2005
110. FP_SSr_06_WVAR_Annex B AigPkgDec2005
111. FP_SSr_07_WVAR_Annex C SummLewisExh2005
112. FP_SSr_08_TOTAL_PORTFOLIO_IPD
113. FP_SSr_09_30March2007_original_v3
114. FP_SSr_10_AIGPkgMarch2007_IPD
115. FP_SSr_11_AIGQtrReport_AgendaMarch2007
116. FP_SSr_12_AIGQtrmtg_30March2006_writeup
117. FP_SSr_13_AIG Fairfield new spreadsheet
118. FTP_SSr_14_README

50. H2984_20070425_93
   a. Report of Examination
      1. ROEF_H2984_20070425_93
      2. ROEM_H2984_20070425_93
   b. Work Papers
      1. Catastrophic Risk and Reinsurance RECAP
      2. CatManagementProgram_Dec2006_vers02-08-2007_Final
      3. OTS-Explanation of Documents Provided_April2007

51. H2984_20070529_93
   a. Report of Examination
      1. ROEM_H2984_20070529_93
   b. Work Papers
      1. 200 ASSET QUALITY
         a. 200 01 Asset Quality Prg (tailored)
         b. 200 02 AQ Draft ROE
         c. 200 03 AGFI 10Q 03312007
         d. 200 03a AGFS Risk Mgmt 03312007
         e. 200 03b AQ Accrual Accounting
         f. 200 04 CSP (Credit Strategy & Policy) Minute Review
200 04a CSP Special Topics
200 04b CSP Package Data
200 04c CSP Chart Graph Meet 05312007
200 04d CSP Credit Strategy Request
200 05 Portfolio Summary
200 05a RE Port Detail 0307
200 05b Non-RE Port Detail 0307
200 05c Retail Port Detail 0307
200 05d Loan Originations 03312007
200 06 Sample Product Analysis Summary
200 06a Sample Product Analysis Branch RE
200 06b Sample Product Info Branch RE
200 06c Sample Product Analysis WFI RE
200 06d Sample Product Info WFI RE
200 06e Sample Product Analysis NRE
200 06f Sample Product Info NRE
200 06g Sample Product Analysis Retail
200 06h Sample Product Info Retail
200 07 Underwriting Summary
200 07a Credit Score Summary
200 07b Loan Underwrite Credit Score Meet 06052007
200 07c Loan Underwrite Credit Score Meet 06122007
200 07d Credit Score Meet 06202007
200 08 Problem Assets Summary
200 08a Delinquency Grid
200 09 ALLL Summary
200 09a AGFI Loan Loss Reserve Evaluation 03312007
200 09b Monte Carlo Presentation to PWC
200 09c ALLL Meet 06062007
200 09d Charge-Offs 03312007
200 10 WFI Reserve Summary
200 10a WFI Monthly Results Memo
200 10c WFI Reserve Memo by PWC
200 10d WFI Reserve Process Overview
200 10e Repurchase Reserve Meet 06122007
200 10f Repurchase Reserve Meet 06132007
200 10g Repurchase Reserve Meet 06202007
200 10h Scratch & Dent 1Q2007
200 10i Loan Repurchases 1Q2007

2. 300 MANAGEMENT
   a. 300 00 Management Prg (risk-focused)
   b. 300 01 Major Project Sch Follow-up
   c. 300 01a APL
   d. 300 01b PPD Clarification Opinion
   e. 300 01c State Exams
   f. 300 01d1 WFI Quality Control Review
   g. 300 01d2 Risk Management Manual
   h. 300 01d3 corelogicsample1
   i. 300 01d4 dataverifysample1
   j. 300 01d5 Rec Worksheet Wholesale - 5-30
   k. 300 01e1 MorEquity Quality Control
   l. 300 01e2 MorEquity Origination Production Review
   m. 300 01e3 Re QC MorEquity
   n. 300 01e4 Summary of Findings (Booked) -Feb 2007
   o. 300 01e5 Summary of Findings (TD's) - Feb 2007
p. 300 01f1 Compliance Administrators
q. 300 01f2 Compliance Administrators by division
r. 300 01g Best Practices Resp
s. 300 01h1 ISS enhancements
t. 300 01h2 Regulatory Backfill of Data Status Report 6_6_07
u. 300 01h3 Central_MEQ_Field_Def_09-14-06-ISS deliverables
v. 300 01h4 18935 - REAC Reporting
w. 300 02 AGF Board Minutes
x. 300 03 AGF 2007 Business Plan - Final
y. 300 04 OTS Meeting with AGF 6.25
z. 300 05 OTS Meeting with AGF 6.29
aa. 300 06 Org Chart
ab. 300 07 OCEAN FINANCIAL MORTGAGE LIMITED MEETING 06
ac. 300 07a OFML info request
ad. 300 07b OTS presentation - Ocean Overview 062807
ae. 300 07c OFML Due Diligence Process (OTS)_062707 FINAL
af. 355 01a 2007 Plan Risk Weighting Template
ag. 355 01b 2007 Audit Plan
ah. 355 01c 2007 Manpower Analysis_Final
ai. 355 01d 2007 Strategy Document
aj. 355 02a Records Retention
ak. 355 02b Record Mgmt Audit Status_20070507
al. 355 03a 07-70 Excess & Surplus Insurance Lines
am. 355 03b 07-114 Record Retention Program
an. 355 03c 07-135 Income Tax Accounting Process (FAS 109)
ao. 355 03d 07-192 Accounting Pronouncements - 3rd Qtr 2006
ap. 355 03e 07-231 Network Routers_IT
aq. 355 03f 07-306 Novell OES Security
ar. 355 03g 07-364 WFI - Origination Channels
as. 355 03h 07-381 USA Patriots Act
at. 355 03i 07-382 WFI LAN Controls
au. 355 03j 07-386 Capital Market Funding - Derivatives and Hedge Accounting (FAS 133)
av. 355P Internal Audit Prg

3. 400 EARNINGS
   a. 400 02 Draft Earnings Comment
   b. 400 03 Review of Earnings Perk provided by mgmt
   c. 400 04 IPD Comparative income statement for 2005 & 2006
   d. 400 05 IPD Original comparative income statement for 3-31-07
   e. 400 06 IPD Revised comparative income statement for 3-31-07
   f. 400 07 IPD Comparative statements for 2005 & 2006
   g. 400 08 IPD Original comparative statements for 3-31-07
   h. 400 09 IPD Revised comparative statements for 3-31-07
   i. 400 10 Email Exam Quest I to Mgmt on Reserves
   j. 400 10a Mgmt Email Response to Quest I on Reserves
   k. 400 11 Email Exam Quest II & Mgmt Response on Reserves

4. Documents and Settings
   a. richardssa
      1. Desktop
         a. AGF EXAM
            1. Exam Report
               a. Orig Draft Exam
            2. Management
               a. Internal Audit
                  1. 355 04a Overview No Audit 5 yrs or more
                  2. 355 04b No Audit 5 yrs or more
            3. Prior Exam Follow-up
1. AGF Scope Memo Final
   a. AGF
2. 1000 01 Compliance Prg (tailored)
3. 400 01 Earnings Prg (tailored)
4. Draft Exam
5. 1000 02 Draft Compliance Comment
6. 1000 03 Review of AGFC Compliance Comm Minutes
7. 1000 04 IPD AGF Compliance Risk Self Assessment (2007)
8. 1000 05 Review of AGFS Branch Management and Controls
9. 1000 05a Review of AGF Legal Dept and Branch Operations
10. 1000 05b IPD Branch Span of Control Chart
11. 1000 05c IPD AGF Compliance Information Flow Chart
12. 1000 05d IPD Sample Monthly Summary COR Report
13. 1000 05e IPD Branch (Internal) Audit Program
14. 1000 06 BSA & CIP Policies and Procedures
15. 1000 06a AGFC Attorney Memo Why SARs-FinCEN Requests Are Not Done
16. 1000 06b IPD Anti-Money Laundering Policy
17. 1000 06c IPD Currency Transaction Report Policy
18. 1000 06d Review of CTR Filings Made For 1st Quarter of 2007
19. 1000 06e CTR Exceptions Correspondence
20. 1000 06f IPD WFI - CTR Policy
21. 1000 06g More CTR Exceptions Correspondance
22. 1000 06h IPD Mgmt Response to CTR Exceptions
23. 1000 06i IPD Internal Audit CTR Findings
24. 1000 07 Review Of Open State Exams as of 6-6-07
25. 1000 07a Meeting with Thomas Mountain Over State Exams
26. 1000 07b IPD AGFS, AGAF, MorEquity & ARC Open Exams at 060607
27. 1000 07c IPD WFI Open Exams at 060607
28. 1000 07d IPD List of WFI State Exams Conducted in Past Year
29. 1000 07e IPD List of AGFS, AGAF, MorEquity & ARC State Exams in Past Year
30. 1000 08 Review of Consumer Complaints
31. 1000 08a IPD AGFS, AGAF, MorEquity and Retail Complaints
32. 1000 08b IPD Insurance Related Complaints
33. 1000 08c IPD RE Insurance complaints
34. 1000 08d IPD WFI complaints jan-mar 2007
35. 1000 08e IPD Detail on Prepayment Penalty Complaints to MorEquity
36. 1000 09 Review of Consumer Litigation
37. 1000 09a IPD Pending litigation list
38. 1000 09b IPD Pending Litigation by Principal Allegation
39. 1000 09c WFI PENDING CONSUMER LITIGATION
40. 1000 09d IPD Re FW Info. Requested by OTS Examiners
41. 1000 10 Review of Prepayment Penalty Issue at AGFC and Subs
42. 1000 10a Mgmt Email with Attachments 10b thru 10e
43. 1000 10b IPD AGFS Real Estate Policy-OPP A-309.ON
44. 1000 10c IPD AGFC HOEPA Policy-OPP A-309.2E
45. 1000 10d IPD MorEquity Policy-MEQ 591.6
46. 1000 10e IPD WFI Prepayment Penalty Customer Disclosure
47. 1000 10f Mgmt Email with Attachments 10g thru 10i
48. 1000 10g IPD MorEquity Prepayment Penalty Customer Disclosure
49. 1000 10h IPD Alabama Law Grids
50. 1000 10i IPD AGF Branch Prepayment Penalty Customer Disclosure
51. 1000 10j IPD Mgmt Email with Attachment 10k
52. 1000 10k IPD Exams Last 12 Months with Prepay Penalty Exceptions
53. 500 01 Liquidity Prg (tailored)
500 02 Draft Liquidity Sensitivity to Market Risk Comment
500 03 Initial Questions with Mgmt Responses
500 04 Review of 12-31-06 10K
500 05 Review of 3-31-07 10Q
500 06 IPD AGF Liquidity & IRR Risk Assessment—See Highlights
500 07 IPD AGF Capitalization Narrative—See Highlights on Liquidity and Sensitivity
500 08 IPD AGF Asset Liability Mgmt Policy 5-03
500 08a Review of Asset Liability Mgmt Policy
500 09 Review of Asset Liability Comm (ALCO) Minutes
500 09a IPD AGF ALCO Membership
500 10 Mgmt Email Concerning Other Sub Investment Policies
500 10a IPD Merit Life Investment Plan
500 10b IPD Yosemite Investment Plan
500 11 Memo Re Resolution By the Terms and Pricing Comm On Shelf Borrowing
500 11a Attachment to Memo on Shelf Borrowing
A631122D-3840-4938-804D-4A9400D9FE96

52. H2984_20070604_93
   a. Report of Examination
      1. ROEM_H2984_20070604_93

53. H2984_20070611_90
   a. Report of Examination
      1. ROEF_H2984_20070611_90
   b. Work Papers
      1. CMPLXI
         a. AIG
            1. 2007 AIG Roll-Up Exam
               1. Roll-Up Meeting Agendas and Recaps
                  a. R_15_AIA Presentation_ver 4_Jul 10
                  b. R_16_OTS 21st Presentation 7-12-07
                  c. R_17_OTS - HSB Presentation 07 10 07
                  d. R_18_OTS - Domestic Retirements Svc Presentation - 7-27-07
                  e. R_19_OTS - Presentation - Domestic Life - 073007 v2
                  f. R_20_OTS Presentation - AIG-Foreign-Gen - 7-16-07
                  g. R_21_OTS Presentation - DBG June 2007
                  h. R_22_OTS Presentation - Transatlantic Holdings TRH 7-19-2007
                  i. R_23_OTS Presentation AIG Europe SA
                  j. R_24_Personal Lines Presentation
   2. Documents and Settings
      a. misirpn
         1. Desktop
            a. AIG H2984 2007 Exam
               1. 730PE_014 Detail of AIG Revenue by Country-Region
      b. richardssa
         1. Desktop
            a. AIG
               1. AIG Compliance Review
                  a. 1000 05 Compliance Questions & Observations
   3. 1000 01 Compliance Prg (tailored)
   4. 1000 02 Compliance PERK Document Request
   5. 1000 03 Minutes of the Regulatory Compliance and Legal Comm
   6. 1000 04 ORM-Compliance Top Down Risk Assessment Rev
   7. 1000 06 Compliance rev conclusions and exam comment
   8. 29583F3-3379-4539-A8C1-BBE8E0F5CC6
   9. 730PE_008 1Q07 Net Worth Equity
65. C_027_OTS_AIG dialogue_Hybrid Capital Treatment SCAR
66. C_028_SCAR Q42007 draft 060607
67. C_029_AIGFP ICM Recap 061907 - Detailed Q&A
68. C_030_AIGFP ICM Recap 061907
69. C_031_Quarterly Capital Meeting w AIG Treasury Recap 04 03 07FINAL
70. C_032_Quarterly Capital Meeting w AIG Treasury Recap 06182007
71. C_033_a_YE SCAR Model Analysis for Capital Committee
72. C_033_Quarterly Capital Meeting w AIG Treasury Recap 061820071
73. C_034_SCAR process 052107
74. C_035_SCAR Analysis YE2006_090507
75. C_036_SP RBC Model FP background_0700
76. C_037_ICM recap_111606
77. C_038_AIG TREASURY Mission Statement Jan 2007 w OTS points
78. C_039_AIG TREASURY CCG Continuing Exam Background on Process
79. C_040_Stat to GAAP WP 2006
80. C_041_SCAR Overview Doc_083005
81. C_042_1QTR 10-Q Financial Analysis
82. IA_000 Index Internal Audit AIG
83. IA_001 Internal Audit Contacts
84. IA_002 Audit Committee Minutes
85. IA_003 IA Report to Audit Committee
86. IA_004 Audit Committee Members
87. IA_005 Org Chart IA
88. IA_006 Feb 2007 IA Review
89. IA_007 January 2007 OTS - IA Meeting
90. IA_008 Sample Agenda
91. IA_009 Future Meeting
92. IA_010 2006 Report Comments
93. IA_011 Audit Plan 2007
94. IA_012 Audit Plan 2007 Overview Hours
95. IA_013 Audit Plan June 2007 Summary Hours
96. IA_014 Remediation May 4, 07 Status
97. IA_015 Remediation July 18, 07 Status
98. IA_016 Opinion and Financial Statements
99. IA_017 Financial Highlights
100. IA_018 Roemer Card
101. IA_019 Internal Audit Global Methodology
102. IA_020 Audit Ratings Description
103. IA_021 Audit Report Ratings Dec 06
104. IA_022 Audit Report Ratings June 07
105. IA_023 Summary List Audit Ratings Dec 2006
106. IA_024 Summary Details Audit Ratings Dec 2006
107. IA_025 Summary List & Details Rating June 07
108. IA_026 Protiviti Mar 2007 Internal Review
109. IA_027 Response to Protiviti
110. IA_028 IA Headcount Dec 2006
111. IA_029 IA Headcount June 2007
112. IA_030 Budget Fiscal 2007
113. IA_031 Audit Plan 2006 Results
114. IA_032 External Audit Hours & Fee
115. IA_034 Identity & Measure Risk
116. IA_038 Suggested IA Procedures
117. IA_039 Standards for Internal Audit
118. IA_040 Audit Report List
119. IA_041 Retention Audit #06-135
54. H2984_20070919_93
   a. Report of Examination
      1. ROEM_H2984_20070919_93

55. H2984_20071001_93
   a. Report of Examination
      1. ROEM_H2984_20071001_93
   b. Work Papers
      1. 201 00 SunAmerica PERK
      2. 201 01a State Limits ARIZ TEX
      3. 201 01b State Limits DEL NY
      4. 201%2001c%20ACL%20Comparison
      5. 201%2002%20Real%20Estate%20Policies%20Procedures
      6. 201%2003%20CRC%20Committee%20Minutes
      7. Summary of Commercial Real Estate Portfolios Rev
      8. Targeted Review Scope-PERK for GRE

56. H2984_20071228_93
a. Report of Examination
   1. ROEM_H2984_20071228_93
57. H2984_20080211_93
   a. Report of Examination
      1. ROEM_H2984_20080211_93
   b. Work Papers
      1. CMPLXI
         a. 2008
            1. Targeted Reviews 2008
               a. AIG Investments -- Residential Securities Review
                  1. 07_Operational Doc
                     1. 07_a_Review of Electronic Perk doc Operational Items
         2. 01_a_Residential_Ad_01_Scope Memo-TargetExam_2008
         3. 01_b_10K Conf Call Slides
         4. 01_c_10K info on RMBS
         5. 01_d_10K_12-31-07
         6. 01_e_CDS Valuation Methodologies
         7. 01_f_Exam notes on AIG's CDS valuation_JTC input
         8. 01_g_Exam notes related to Subprime & CDSs_JTC input
         9. 01_h_Senior Management Mtg_5-29-08
       10. 01_i_ConferenceCallCreditPresentation_05_09_08
       11. 03_a_Review of Portfolio Management_JTC
       12. 03_b_FIAAT-Month of March 2008_PBI
       13. 03_c_FIAAT-Summary for March 2008_PBI
       14. 03_d_IAD Report 08-292_PBI
       15. 03_e_IAD Report No 08-353_PBI
       16. 04_a_OTTI Process Review_MT
       17. 04_b_AIGI Pricing-OTTD Review_MMdoc
       18. 04_c_OTTI Process Review_JTC
       19. 04_d_AIG INC OTTD Detail_10K_PBI
       20. 04_f_CopY of OTTD Report Q42007_FinalDraft_v3 (2)
       21. 04_g_OTTD in 10-K
       22. 04_h_Emerging Issues Task Force 99-20 Accounting for Investors in Credit sensitive MBS and ABS
       23. 04_i_March 2008 OTTD List_AIGI_JTC
       24. 04_j_March 2008 OTTD List_exls #1555444_PBI
       25. 04_k_OTTD Questions from OTS
       26. 04_l_OTTD Report Q42007_FinalDraft_v3 (2)
       27. 04_m_OTTD_Due to Credit_Reconciliation_JTC
       28. 04_n_Accretion post-OTTD_PBI
       29. 05_a_Review of Electronic Perk doc on pricing_MT
       30. 05_b_Pricing_OTTD Review_MM
       31. 05_c_aReview of pricing and valuation_JTC
       32. 05_c_accounting policy-investments in debt and equity securities
       33. 05_d_Appendix_Approved Pricing Vendors
       34. 05_e_Credit Markets and Valuation of Illiquid Securities_PBI
       35. 05_f_Muni Challenge 3_31_#1554867 (2)_PBI
       36. 05_g_Perk 340-4th Qtr Pricing Procedures
       37. 05_h_Perk 344-Interactive Data Pricing Procedures
       38. 05_i_Perk 362-File Memo from Michael Fara on Testing Pricing Procedures
       39. 05_j_Perk 375-Memo from Spilberg on 4Q07 Price ABS_CDOVerification Process
       40. 05_k_Perk 376-Memo from Spilberg on 4Q07 Price Verification Process
       41. 05_l_PricingPolicyDraft_Clean_041207_PBI
       42. 05_m_PROCEDURE - STRUCTURED PRODUCTS_PBI
       43. 05_n_Q108 Price Testing Procedures_PBI
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   a. Report of Examination
      1. ROEM_H2984_20080219_93
   b. Work Papers
      1. Documents and Settings
         a. richardssa
            1. Desktop
               a. Workpapers for upload
                  1. ILFC
                     a. ILFC 2008 Scope Memo
      2. 1000 03 ILFC Personnel Policy Manual ~ portion on FCPA
      3. 1000 01 Key Operational and Compliance Risks ~ 2008 (September 2007)
      4. 1000 02 Compliance Committee Agenda ~ 2007-06-13
      5. 1000 04 Training ~ 2007-04-20 ~ ILFC Town Hall Meeting
      6. 1000 05 ILFC Travel Entertainment Policy ~ 2007-11-02
      7. 1000 06a ILFC ORM Review
      8. 1000 06b ILFC Fraud Risk Assessment Matrix_2007(ILFC reviewed)
      9. 1000TP Compliance Prg (tailored)
     10. 219 01 Lease Process
     11. 219 02 Outstanding Lease Payments
     12. 219 03 Airline Ratings List
     13. 219 04 Risk Assessment Comtt
     14. 219P Leasing Activities Prg
     15. 300 01 4-14-2008 OTS Presentation_w_JLP comments
     16. 300 02a BOD 9-30-06 meeting minutes
     17. 300 02b BOD 3-31-07 meeting minutes
     18. 300 02c BOD 6-30-07 meeting minutes
     19. 300 02d BOD 9-30-07 meeting minutes
     20. 300 03 ILFC Organizational Chart_2_28_08
     21. 300 04 ERM_Questionnaire_2007
     22. 300 05 ILFC Q4'07 FSDC Package
     23. 300 06 ILFC 2008 Budget
     24. 300 07 Prior Exam Follow-up
     25. 300RP Management Prg (risk-focused)
     26. 355 01a 2008 ILFC - Business Risk Profile Tool FINAL.doc
3. Liquidity
   a. 500_000 Liquidity Prg (tailored)
   b. 500_001a Liquidity & Asset Liability Management Analysis and Conclusions
   c. 500_001b - Indexed Version- Liquidity & Asset Liability Management Analysis and Conclusions
   d. 500_002 Moody's Liquidity -Global Credit Research
   e. 500_003a Review of Asset Liability Mgmt Policy
   f. 500_003b Asset-Liability Mgmt Policy
   g. 500_004 - Internal Liquidity Monitoring
   h. 500_005a Summary of Short-term debt
   i. 500_005b Long Term Debt Outstandings
   j. 500_006 IRR Monitoring latest- Asset-Liability Committee Meeting 7-9-08
   k. 500_007 Summary of Investment Securities 6-30-08
   l. 500_008 Review of Asset Liability Committee Minutes
   m. 500_009 #080708 Reply to OTS ALM Recommendation
   n. 500_010 AGFC 2nd Qtr Draft 10-Q

4. Sensitivity
   a. 600_000 Sensitivity to Mrkt Risk Prg (tailored)

5. 1000 01
6. 1000 02
7. 1000 03
8. 1000 04
9. 1000 05A State exam reviews
10. 1000 05B MorEquity exam response
11. 1000 06A Open complaints 2008
12. 1000 06B Update on selected complaints
13. 1000 07 Meeting on Plan of Remediation
14. 1000 08A Follow-up SAR Reporting for POR Loans
15. 1000 08B POR - SAR Reporting Program and Procedures
16. 1000 08C SAR Reporting Status Report April 2008
17. 1000TP Compliance Prg (tailored)
18. 217 01 AGFI RE Portfolio Vintage
19. 217 02 Credit Strategy Comm Rev
20. 217 03 AGFI Monthly Loan Volume
21. 217 04 Recommendation Subprime and Hybrid ARM loan disclosure
22. 217 05A Examiners Review of Equity One Due Diligence
23. 217 05B Equity One DD Mgt
24. 217 05C Equity One DD Mgt
25. 217 05D Equity One DD Mgt
26. 217 05E Equity One DD Mgt
27. 217 05F Equity One DD Mgt
28. 217 05G Equity One DD Mgt
29. 217BP Subprime Lend Prg
30. 300 01 AGFI Meeting Summary July 30
31. 300 02 Meeting Recap -- PWC Meeting on AGFI
32. 300 02A AGFI Board Minutes Highlights
33. 300 02B CRM Meeting 7-08-08
34. 300 02C WFI Board Minutes
35. 300 03 AIG CRC Analysis
36. 300 04A Loss Mitigation Policies
37. 300 04B Loss Mitigation Numbers thru 063008
38. 300 05 Meeting on Plan of Remediation
39. 300 06 AGFI 2008 Business Review
40. 300 07A AGF Meeting Summary 8-13
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42. 300 07C Meeting Recap -- WFI restructuring announcement
43. 300RP Management Prg (risk-focused)
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49. 355 06 Defalcation Rpt
50. 355P Internal Audit Prg
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      1. ROEM_H2984_20080609_93
   b. Workpapers
      1. 98695BF9-44A9-4259-9E76-06DF56ECAC9C

63. H2984_20080902_93
   a. Report of Examination
      1. ROEM_H2984_20080902_93
   b. Workpapers
      1. 5F9777CC-0F01-43C2-A821-F9B95EEF9B0D

64. H3048_20000118_A
   a. Report of Examination
      1. ROEF_H3048_20000118_A

65. H3048_20010220_A
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66. Supporting Documentation
   a. 14939_Examination History Report
b. Folder Structure of AIG
   c. H2984_Examination History Report
   d. H3048_Examination History Report
   e. Historical Examination Type Listing
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2. Work Papers
   a. 1Q08 PERK 001 Holding Company PERK
   b. 4Q 2007 CFC Economic Capital PERK Request
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   d. 710-01 Consideration of Enforcement Action
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   f. 710P-00 Networking Arrangements Review Index
   g. 710P-01 Holding Company Administration Program
   h. 710P-01 Review of CFC Networking Arrangement with CWIS
   i. 710P-02 1Q2008 Holding Company & Related Orgs Scope
   j. 710P-02 CWIS Subordinate Organization Questionnaire & Attachments
   k. 710P-03 CFC Exam Conclusions Summary
   l. 710P-03 CWIS Business Overview
   m. 710P-04 CFC 11-27-07 U Rating Letter
   n. 710P-04 Internal Audit Engagement Memorandum
   o. 710P-04a CFC Troubled Condition Letter 2-08-2008
   p. 710P-05 BofA & CFC Merger Agreement
   q. 710P-05 CWIS Internal Audit Report 12-15-2006
   r. 710P-05a Internal Audit Matrix Summary
   s. 710P-06 BOD Meeting 5-14-08 Slide Presentation
   t. 710Q- 01 CFC Holding Company Risk Class Checklist
   u. 720P-00 Insurance Program 720P Index
   v. 720P-01 Insurance Program 720P
   w. 720P-02 Balboa Insurance Group Organizational Structure
   x. 720P-03 CDI Exam of Balboa Insurance Co as of 12-31-2005
   y. 720P-03a Response to BIC ROE dated 8-20-2007
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   ab. 720P-05 CDI Exam of Meritplan Insurance Co as of 12-31-2005
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   ae. 730-00 CFC Capital Rating Review (9-28-07) Index
   af. 730-00 Relationship Rating Review (9-28-07) Index
   ag. 730-01 CFC Capital Rating Summary
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   ai. 730-02 CFC Capital Ratio Calculations
   aj. 730E(L)-00 Cross Reference to CWB Liquidity Reviews Index 730E(L)
   ak. 730E-00 CFC Earnings Program 730E Index
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   am. 730E-02 Draft Earnings Comment for ROE
   an. 730E-03 CFC Management's Going Concern Eval 2-13-08 for KPMG
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730E-10 CFC Business Segment Stmt of Income (2000-2007 Unaudited)
730E-11 CFC & Subs Rolling 13-month Statistical Data
730E-12 Overview of CFC 5 Business Segments
730E-13 Rapid Am Impact of HELOC ABS on CFC Earnings Summary
730E-14 CHL & CCM Cash Flow Forecast Assumptions
730E-15 CFC Liquidity Management Plan
730EC-00 CFC Economic Capital Review (4Q2007) Index
730EC-01 Draft CFC Economic Capital ROE Comment
730EC-02a OTS Economic Capital Review Procedures
730EC-02b Questions for Management - EC Review
730EC-02c EC Risk Review Follow-up
730EC-02d OTS Examiner Findings Update to FRBSF 2007 Exam
730EC-02e EC Overview Summary from Email 11-14-2007
730EC-03 CFC EC Detailed Scope Memorandum
730EC-04 Capital Scope-Economic Capital Review Q42007
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730EC-06b FRBSF Summary Matrix-2007 Review of CFC Economic Capital
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730EC-07 CFC EC Presentation to BOD 11-29-2006
730EC-08 CFC Q1 2007 Economic Capital Update (May 2007)
730EC-09 CFC Q2 2007 Economic Capital Update (August 2007)
730EC-10 CFC EC Governance Review Summary
730EC-11 CFC EC Governance Review Recommendations
730EC-12a CFC Economic Capital Policy
730EC-12b CFC Draft Economic Capital Procedures
730EC-13 CFC Snapshot Metrics Q12007 vs Q42006
730EC-14 CFC Peer Group Selected Financial Ratios 3-31-2007
730EC-15 Comparison EC Benchmarking
730EC-16 CFC BETA Analysis 06-19-2007
730EC-17 Economic Capital Framework Presentation 09-27-2007
730EC-18 Findings Memo #12 (CFC Capital - Economic Capital) FINAL
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730EC-22 EC Review Matrix - Credit Risk
730EC-23 Banking Book and Trading Book Market Risk Models Summary
730EC-24 FRBSF Market Risk Review Summary 02-14-2007
730EC-25 CFC Model Risk Committee Charter
730EC-26 CFC Model Validation Org Chart
730EC-27 Model Risk Committee Minutes 06-08-2007
730EC-28 Quantitative Modeling and Model Validation Policy
730EC-29 RORAC CFC EC Calculations
730EC-30 LEVELS EC Model Validation Report 06-08-2007
730EC-31 CCM Risk Management Summary of Concerns
730EC-32 CCM VaR Backtesting Exceptions
730EC-33 CFC EC Operational Risk Memorandum
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   a. Email from William Ackman to NYAG 2-7-2005
      1. Bloomberg Article 1_18_2005
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      2. Fitch Report on MBIA Insurance Corp. 11_28_2005
   c. Exhibits to Moody's Presentation 6-16-2005
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f. Letter to John Siffert, Esq 3-2-2007
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   2. MBIA Supporting Exhibits
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   9. ba_moodysboard_dec12_05
   10. buffett_qa_may2003
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   13. how_to_save_the_bond_insurers_11.
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   15. is_mbia_triple_a_12.9.02
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   19. Email from Chris Mahoney to William Ackman 8_9_2005
   20. Email from Forbes to William Ackman 6_24_2003
   21. Email from Moody's to William Ackman 1_25_2003
   22. Email from Moody's to William Ackman 10_21_2005
   23. Email from Moody's to William Ackman 2_24_2006
   24. Email from Moody's to William Ackman 3_2_2006
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   27. Email from PWC to William Ackman 2_23_2003
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   30. Email from Ron MacDonald to William Ackman re FCS Advisors O
   31. Email from SEC to William Ackman 2_7_2004
   32. Email from William Ackman to Bethany McLean 2_26_2005
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   a. glob_imbalances
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   a. 2010-08-05 Production- Disk # 521
      1. PIMCO-FCIC-000001
   b. 2010-08-17 Production- Disk # 556
      1. PIMCO-FCIC-000003

60. PMI
   a. 2010-10-04 Production
      1. 10-4-10 Ltr re PMI Mortgage Insurance Co

61. Princeton Advisory Group
   a. 2010-10-27 Production- Disk # 727
      1. 2004-03-15 Alexander Park
         a. PAG_FCIC_000001
         b. PAG_FCIC_000211
      2. 2004-12-15 Witherspoon
         a. PAG_FCIC_000284
         b. PAG_FCIC_000610
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      3. 2005-03-29 Palmer Square
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         b. PAG_FCIC_001313
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         b. PAG_FCIC_001525
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6. 2006-09-20 Springdale
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7. 2006-12-05 Nassau
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8. 2006-12-22 Silver Elms
   a. PAG_FCIC_002105
   b. PAG_FCIC_002311

9. 2007-01-09 Stockton
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   b. PAG_FCIC_002653
   c. PAG_FCIC_002711

10. 2007-04-25 Silver Elms II
    a. PAG_FCIC_002769
    b. PAG_FCIC_002958

11. 2007-08-28 Palmer Square 3
    a. PAG_FCIC_003011
    b. PAG_FCIC_003254

62. Putnam
   a. 2010-06-15 Production
      1. 10001-20000
         a. PAC_007_00010015
         b. PAC_007_00010299
         c. PAC_007_00010587
         d. PAC_007_00010598
         e. PAC_007_00010898
         f. PAC_007_00011198
         g. PAC_007_00011486
         h. PAC_007_00011777
         i. PAC_007_00011816
         j. PAC_007_00012107
         k. PAC_007_00012398
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         ae. PAC_007_00012831
         af. PAC_007_00012834
         ag. PAC_007_00012838
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   l. PAC_007_00021702
63. PwC
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64. Radian
   a. RESCISSION REASONS by Submitter SUMMARY

65. RBS
   a. 2010-07-23 Production- Disk # 483
      1. RBSS-FCIC-0000000001-00000000500
      2. RBSS-FCIC-00000000501-000000001000
      3. RBSS-FCIC-000000001001-00000001500
      4. RBSS-FCIC-00000001501-00000002000
      5. RBSS-FCIC-00000002001-00000002500
      6. RBSS-FCIC-00000002501-00000003000
      7. RBSS-FCIC-00000003001-00000003500
      8. RBSS-FCIC-00000003501-00000004000
      9. RBSS-FCIC-00000004001-00000004500
     10. RBSS-FCIC-00000004501-00000004854
   b. 2010-07-29 Production- Disk # 494
      1. RBSS-FCIC-00000004855-00000004863
   c. 2010-08-13 Production- Disk # 540
      1. RBSS-FCIC-00000004864-00000005165
      2. RBSS-FCIC-00000005166-00000005467
   d. 2010-08-31 Production- Disk # 589
      1. RBSS-FCIC-00000005468-00000005475
   e. 2010-09-07 Production- Disk # 604
      1. RBSS-FCIC-00000005476-00000005491
   f. 2010-11-16 Production- Disk # 738
      1. RBSS-FCIC-D-00000012-00000061

66. RMIC
   a. 2010-09-28 RMIC Production

67. S&P
   a. 2010-11-01 Production- Disk # 730
      1. S& P-FCIC 0017460-0017483
      2. S& P-FCIC 0017484-0017495
      3. S& P-FCIC 0017496-0017503
      4. S& P-FCIC 0017504-0017519
68. Scion Capital
   a. 2010-05-13 Production
      1. CDS RMBS Positions
         a. Scion ABS Portfolio
      2. Counterparties
         a. List of Counterparties
      3. Investor Letters
         a. Scion 2003 1Q
         b. Scion 2003 2Q
         c. Scion 2003 3Q
         d. Scion 2003 4Q Annual Letter
         e. Scion 2004 1Q
         f. Scion 2004 2Q
         g. Scion 2004 3Q
         h. Scion 2004 4Q Annual Letter
         i. Scion 2005 1Q
         j. Scion 2005 2Q
         k. Scion 2005 3Q
         l. Scion 2005 4Q Annual Letter
         m. Scion 2006 1Q
         n. Scion 2006 2Q
         o. Scion 2006 3Q
         p. Scion 2006 4Q Annual Letter
         q. Scion 2006 4Q Nov 6th RMBS CDS Primer and FAQ
         r. Scion 2007 1Q
         s. Scion 2007 2Q
         t. Scion 2007 3Q
         u. Scion 2007 4Q Annual Letter
         v. Scion 2008 1Q
         w. Scion 2008 2Q
         x. Scion 2008 3Q

69. SEC
   a. 2010-05-07 Production re Goldman
      1. Tab 01
      2. Tab 02
      3. Tab 03
      4. Tab 04
      5. Tab 05
      6. Tab 06
      7. Tab 07
      8. Tab 08
      9. Tab 09
     10. Tab 10
2010-06-10 SEC Chief Accountant Records (disk#374)

SEC_OCA_FCIC_000001 - 000042

2010-06-11 GEN RE and AIG Complaints

WAV Files

a. CRD_0000679-729 (03-24-05 Production)
   1. 11.14.00 1.31.16 pm holdsworth
   2. 11.14.00 1.41.38 pm holdsworth
   3. 11.14.00 1.45.07 pm holdsworth
   4. 11.14.00 1.52.29 pm holdsworth
   5. 11.14.00 12.29.29 pm holdsworth
   6. 11.14.00 12.39.50 pm holdsworth
   7. 11.14.00 12.50.14 pm holdsworth
   8. 11.15.00 10.57.48 am holdsworth
   9. 11.15.00 10.59.04 am holdsworth
  10. 11.15.00 11.00.47 am holdsworth
  11. 11.15.00 6.03.23 pm holdsworth
  12. 11.15.00 6.13.44 pm holdsworth - more of 6.03.23 call
  13. 11.15.00 6.24.08 pm holdsworth - more of 6.03.23 call
  14. 11.15.00 6.34.31 pm holdsworth - more of 6.03.23 call
  15. 11.15.00 6.44.55 pm holdsworth - more of 6.03.23 call
  16. 11.15.00 6.55.19 pm holdsworth - more of 6.03.23 call
  17. 11.15.00 7.05.42 pm holdsworth - more of 6.03.23 call
b. CRD_00030855-865
   1. 11.28.2000 5.20.41 pm houldsworth (responsive)
c. CRD_00030882-889
   1. 6.11.2001 11.10.01 am Byrne
d. CRD_00030907-919
   1. 11.14.00 1.45.07 pm holdsworth
e. CRD_00030920-930
   1. 11.14.00 1.52.29 pm holdsworth
f. CRD_00030966-972
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   2. 11.15.00 10.59.04 am holdsworth
   3. 11.15.00 11.00.47 am holdsworth
g. CRD_0030973-1049
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   3. 11.15.2000 6.24.08 pm Byrne
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   5. 11.15.2000 6.44.55 pm Byrne
   6. 11.15.2000 6.55.19 pm Byrne
   7. 11.15.2000 7.05.42 pm
h. CRD_0031070-1102
   1. 11.16.2000 11.42.53 am Houldsworth
   2. 11.16.2000 11.53.15 am Houldsworth
   3. 11.16.2000 12.03.38 pm Houldsworth
i. CRD_0031103-113
   1. 3.7.2001 4.39.25 pm Houldsworth
   2. 3.7.2001 4.49.47 pm Houldsworth
j. CRD_0031114-117
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y. CRD_0031279-295
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2. 3.14.2001 9.08.17 am Houldsworth

z. CRD_0031298-301
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aa. CRD_0031302-326
1. 12.15.00 12.33.19 pm Houldsworth
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ac. CRD_0031361-371
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ad. CRD_0031372-382
1. 12.27.00 12.19.21 pm Houldsworth

ae. CRD_0031383-393
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   1. 12.28.2001 5.02.43 pm Byrne

bb. CRD_0033322_3325
   1. 12.28.2001 5.09.27 pm Byrne
ETF Sweep

1. Individual Reports
   a. Final IAIC ETF Summary Report 1-13-2010
   b. IA2009NYRO00118 - Rafferty Asset Management LLC- IC2009NYRO00118 Direxion Shares
   c. IA2009PLRO00061 - ProShare Advisors LLC- IC2009PLRO00017 ProShares

2. Final IAIC ETF Summary Report 1-13-2010
3. OCIE ETF Cover Memo 12312009 (2)

Money Market Funds Exposure to SIVs 4.4.08

1. Individual Reports
   a. IA2008BRO00020 - Evergreen Investment Management Co
   b. IA2008BRO00021 - Putnam Investment Management LLC
   c. IA2008BRO00022 - Columbia Management Advisors LLC
   d. IA2008BRO00024 - Fidelity Management & Research Co.
   e. IA2008CHRO00126 - Munder Capital Management
   f. IA2008LARO0061 - ING Investments LLC
   g. IA2008NYRO000108 - Credit Suisse Asset Management, LLC MMF Letter
   h. IA2008NYRO000108 - Credit Suisse Asset management, LLC MMF Report
   i. IA2008NYRO000132 - Williams Capital Management Trust MMF Letter
   j. IA2008NYRO000132 - Williams Capital Management Trust MMF Report
   k. IA2008NYRO000105 - Neuberger Berman Management Inc.
   l. IA2008PLRO00032- Federated Money Market Fund Exam
   m. IA2008PLRO00034- SEI Investment Management Corporation
   n. IA2008PLRO00035- Utendahl Capital Management L.P.
   o. IA2008PLRO00041- Legg Mason Partners Fund Advisor, LLC
   p. IA2008SFRO00036 - HighMark Capital Management - RITES Rpt
   q. IA2008SFRO00046 - Charles Schwab Investment Mgmt Inc
   r. IA2008SFRO00047 - Wells Fargo Funds Management
   s. IA2008SFRO00048 - Barclays Global Fund Advisors
   t. IA2008SFRO00049 - Russell Investment Mgmt Company
   u. IC2008ARO00004 - STI Classic Funds
   v. IC2008BRO00011 - Eaton Vance Funds
   w. IC2008CHRO000012 - RiverSource MMF 08
   x. IC2008CHRO000013 - Thrivent MMF 08
   y. IC2008CHRO000014 - Victory MMF 08
   z. IC2008CHRO000057 - First American Funds
   aa. IC2008DRRO00006- Centennial MM Trust & IA2008DRRO00031 - Centennial Asset Mgmt Corp
   ab. IC2008DRRO00007 - Janus Inst'l MM Fund & IA2008DRRO00032 - Janus Capital Mgmt., LLC
   ac. IC2008DRRO00008 - Security Cash Fund & IA2008DRRO00030 - Security Investors, LLC
   ad. IC2008DRRO00009 W_R Advisors Cash Mgmt IA2008DRRO00034 W_R Inv Mgmt Co
   af. IC2008LARO0003 - Cash Management Trust of America
   ag. IC2008NYRO000026 - TIAA-CREF MMF Letter
   ah. IC2008NYRO000026 - TIAA-CREF MMF Report
Information Memorandum Concerning Select Money Market Funds

Portfolio Holdings of Select MM Funds
1. MM Fund II - FINAL
2. Request for MMF Portfolio Information

Q BD Prime Brokerage Activities for Compliance
1. Individual exam reports and Summary Memo (PDFs)
   a. BD2008NYRO00015
   b. CITIGROUP09NC
   c. DBSIPrimeBrokerage-Report08NC
2. Information Memo - BD Prime Brokerage Summ Rpt
3. Prime Brokerage RITE

Q Insured Principal
1. Q Insured Principal
   a. 0SF26679 - ACI
   b. 0SF32213 - Beneficial
   c. BroderickIPCE05r redact - FINAL
   d. CCMG final report
   e. CMG Cap Mgmt Grp IPS rpt-final
   f. Fairport Report - final
   g. FINAL FFP Report
   h. InsightIPCE05r redact- final
   i. LBS Report - Final
   j. McDaniel 0SF62611rl
   k. MRM Report - Final
   l. MVP report final
   m. Newport Report - final
   n. Perritt report - final
   o. Schield Report - final
   p. Summit Report - final
2. FWRO Insured Principal Sweep rpt.lar
3. IP Scope Memo

Q Mutual Funds' Investments in Exchange Traded Fun
1. Individual Exam Reports
   a. AGETF LTR2
   b. AIM-Invesco ETF final
   c. American Independence ETF Report
   d. AmericanSkandia-Pru final
   e. amsoETF
   f. BBT.rpt
   g. Columbiareport ltr-final
   h. deutscheFinal Report5
   i. DreyETF
   j. Fifth Third Rpt
   k. Gartmore ETF Report
   l. GEFundsReportETF2
   m. huntETF
   n. IC2004NERO075 - American Century Family of Funds
   o. ING ETF rttlr -final
   p. Legg Mason Report
   q. MainETF
r. Mercantile Rpt
s. Merrill Lynch ETF Report
t. Nations - ETF - final 
u. NewCenturyETFExam2
v. Old Westbury Funds4
w. OneGroup-Rpt
x. OppenETFReport 2
y. PIMCO ETF Report
z. PIMCO MMS ETF final
aa. PionETF.rpt
ab. Principal Rpt
ac. Putnam ETF Report
ad. Quaker.rpt
ae. report.advisorseriesistrust.complex2
af. report.Phoenix972
ag. ThrivETF-Rpt
ah. Waddel & ReedAppA
ai. WadReedRpt

2. ETFFOCUSMEMO

3. IC Investment in ETFs Sweep Final Summary Report

1. 06 29 2010 FCIC transmittal derivatives suppl
2. Asset-Backed Securities Proposed Rule
3. CDS Exemption Order 2008-11-12
4. citigroup_cds_charts_20081230
5. citigroup_cds_charts_20081230more
6. citigroup_production_summary_20091005
7. CME - Central Clearing of CDS (12-14-09)
8. Crisis and Management_CRS
9. Draft SEC outline on OTC Derivs Legislation Points
10. Eurex Clearing AG - Central Clearing of CDS (4-23-10)
11. Final Rule - Extension of Temporary Exemptions for Eligible CDS (9-14-09)
12. Hong-Ahn margin memo
13. Hu ORA memo 090909 final
14. ICE Trust U.S. - Central Clearing of CDS (3-05-10)
15. MERCharts_20090127
16. MS_chart_collection2
17. mutual-fund-directors-forum-speech-20100415-david-ruder-2010_04_16_15_57_43
18. Required Technical Changes
19. review on the BIS ABX paper
21. Risk Management and FR
22. STBTerm sheet-May 20, 2010
23. Summary of Risk Fin Study on CDS Trading
24. Temporary Exemptions for Eligible CDS (1-14-09)
25. UBC Derivatives notes
26. WM_charts_20090127

3. Memoranda

1. 2003 08 12 IOSCO Standing Committee 2 and Committee 5
2. 2010 03 13 Briefing memo chairman (use of derivatives)
3. 2010 05 06 ETF Derivatives Chairman talking points 5 6
4. 2010 05 28 Suggested timeline for IC Derivatives project
5. 2010 06 21 Some Discussion Points on Registered Investment Companies and Derivatives

4. Speeches and Testimony
2009 06 03 letter from Mary Schapiro to Larry Thompson
2009 06 22 Testimony Concerning Regulation of Over-The-Counter Derivatives (Chairman Mary Schapiro,
2009 07 13 SEC Speech Remarks at Corporate Directors Forum; San Diego, California; July 13,
2009 (Tr
2009 07 14 SEC Speech The New Financial Landscape Lesson of the Financial Crisis; Sydney,
Australia;
2009 07 23 Testimony Concerning Regulation of Systemic Risk (Chairman Mary Schapiro, July
23, 2009)
2009 09 22 Testimony Testimony Concerning the Over-the-Counter Derivatives Markets Act of
2009 (Mary
2009 10 02 letter from Mary Schapiro to Spencer Bachus
2009 10 07 Testimony Testimony Concerning the Over-the-Counter Derivatives Markets Act of
2009 (Henr
2009 10 09 Remarks at the Center for the Study of International Business Law at Brooklyn Law
School
2009 10 09 SEC Speech Creating Reform That Is Sustainable for Investors (Commissioner Luis A_ Aguil
A
2009 10 10 SEC Speech
2009 10 27 SEC Speech The Road to Investor Confidence; New York, N_Y_ Oct_ 27, 2009
(Chairman Mary S
2009 10 28 SEC Speech
2009 11 06 SEC Speech Investors Deserve Sustainable Reform -- Not More of the Same (Luis A_ Aguil
A;
2009 12 03 SEC Speech The Consumer in the Financial Services Revolution (Chairman Mary L_ Schapi
ro;
2010 01 14 Testimony Concerning the State of the Financial Crisis (Chairman Mary Schapiro, January 1
2010 01 20 SEC Speech Embracing the Change (Chairman Mary L_ Schapiro; January 20, 2010)
2010 02 05 SEC Speech
2010 02 05 SEC Speech Remarks at The SEC Speaks in 2010 (Commissioner Elisse B_ Walter; Febr
uary 5,
2010 03 22 Schapiro Reg Reform Letter
2010 04 16 SEC Speech Regulatory Reform That Optimizes the Regulation of Systemic Risk
(Commissioner
2010 04 20 letter from Mary Schapiro to Blanche Lincoln
2010 04 20 Lincoln4 20 2010
2010 04 23 SEC Speech Plans and Prospects for Financial Regulatory Reform (Commissioner Elisse B_ Wa
h
2010 05 04 Dodd - Reg Reform - ES134491
2010 05 07 SEC Speech Remarks at 42nd Annual Rocky Mountain Securities Conference
(Commissioner Elis
ho. 2010 05 18 SEC Speech Remarks at CFA Institute 2010 Annual Conference (SEC Chairman Mary L_ Schapi
ro
hp. 2010 05 24 SEC Speech Market Upheaval and Investor Harm Should Not be the New Normal
(Commissioner L
hq. 2010 05 26 SEC Speech Improving Municipal Securities Disclosure Our Work Is Not Yet Done
(Commission
hr. 2010 06 08 SEC Speech Remarks at CEO Quarterly Meeting of The Business Roundtable
(Chairman Mary L_ Schapi
ro
hs. 2010 06 18 House OTC Conference Ltr
ht. SEC Speech Luncheon Address
hu. SEC Speech Remarks
5. Trading and Markets
   a. Data submissions (by month)
      1. 2005-2006
         a. Bear_0806
         b. Bear_July06
         c. Bear_June
         d. Bear_May
2. 2006-2007
   a. Bear_Stearns_CDS_Metrics_October2006_FINAL
   b. Bear_Stearns_CDS_Metrics_September2006_FINAL
   c. Bear_Stearns_Cross-Product_Metrics_August2007
   d. Bear_Stearns_Cross-Product_Metrics_December2007_SEC-FINAL
   e. Bear_Stearns_Cross-Product_Metrics_February2007_SEC-FINAL
   f. Bear_Stearns_Cross-Product_Metrics_January2007_SEC-FINAL
   g. Bear_Stearns_Cross-Product_Metrics_May2007_SEC-FINAL
   h. Bear_Stearns_Cross-Product_Metrics_November2007_SEC-FINAL
   i. Bear_Stearns_Cross-Product_Metrics_October2007_SEC-FINAL
   j. Cross-Product Metrics - Proforma - Dec07 MS_FINAL
   k. Cross-Product Metrics ML - December
   l. Goldman Sachs August 2007 Fed X-Product Report vFinal
   m. Goldman Sachs December 2007 Fed X-Product Report vFinal
   o. Goldman Sachs January 2007 Cross Product Report vFinal
   q. Goldman Sachs October 2006 CDS Report vFinal
   r. Goldman Sachs October 2007 Fed X-Product Report vFinal
   s. Goldman_September06
   t. LB_Cross-Product Metrics - Proforma - December Month End regulators
   u. LB_Cross-Product Metrics - Proforma - November Month End.reg
   v. Lehman October 2007
   w. Lehman_August 2007
   x. Lehman_Cross Product Metrics - Proforma - March 2007 Revised_Reg
   y. Lehman_Cross-Product Metrics - Proforma - February 2007 v3.1 regulator
   z. Lehman_Cross-Product Metrics - Proforma - May 2007 (2)_reg.rev
   aa. Lehman_October 2006
   ab. Lehman_Sep.06
   ac. Market Data Report - April Month End 2007
   ad. Market Data Report - February 2007 - Bank D
   ae. Market Data Report - January 2007
   af. Market Data Report - May Month End 2007
   ag. Market Data Report - October 2006
   ah. Market Data Report - September Month End
   ai. Market Data Report August 2007 Month End
   aj. Market Data Report December Month End
   ak. Market Data Report July 2007 Month End
   al. Market Data Report June 2007
   am. Market Data Report Month End February - Commodities Restatement
   an. Market Data Report November Month End
   ao. Market Data Report October 2007
   ap. MarkIt_September06
3. 2008
   a. Bear_Stearns_Cross-Product_Metrics_April2008_SEC-FINAL
   b. Bear_Stearns_Cross-Product_Metrics_January2008_SEC-FINAL
   c. Cross-Product LB_Metrics - Proforma - January 08 Month End regulators
   d. Cross-Product Metrics - MSFinal_Apr08
   e. Cross-Product Metrics - Proforma - Jan08_MSKFinal
   h. LB_Cross-Product_Metrics - Proforma - April Month End regulators
   i. Market Data Report February 08 Month End
   j. Market Data Report January 08 Month End
   k. Markit April 2008
   l. ML_Cross-Product Metrics - April Month End
   m. ML_Cross-Product Metrics February Month End
   n. ML-Cross-Product Metrics-Markit-January
   o. OMG Metrics April 2008

b. Equity Der
   1. cfd-pairs-trading
   2. Contract_for_difference
   3. contracts-for-differenc
   4. Equity_swap
   5. equity_trs
   6. equity-derivatives
   7. equity swaps
   8. Equity Derivatives
   9. ML November results
   10. Notes
   11. OTC Equity Derivatives - suggested topics
   12. swapsalternative
   13. TRS

c. Monthly write-ups
   1. BS December results
   2. BS March results
   3. BS November results
   4. GS December results
   5. January 22
   6. Lehman December results
   7. Lehman November results
8. ML December results
9. ML March results
10. ML November results
11. MS December results
12. MS November results
d. Notes from calls with regulators
  1. January 22
  2. November 22
e. 2009 06 29 IOSCO and CPSS
f. 2010 04 16 IOSCO Adv Memo
g. 2010 04 23 OTC Dirivatives Regulators Forum adv memo
h. 2010 05 03 FSB OTC reform
  i. Additional Overalada\Qs7 08 08_ihb
j. Background on CDS confirmation clean-up
k. Booking of OTC derivatives
l. BS Confirmation Process
m. Central Clearing for CDS
n. Commitment letter 3.26.08
o. Copy over ora other otc derivatives
p. Credit derivatives assignment process
q. Credit Derivatives Metrics
r. Credit Derivatives Metrics_Aug
s. Credit Derivatives Metrics_July
t. Credit Derivatives Metrics_June
u. Credit Derivatives Metrics_May
v. Credit Derivatives Metrics_Oct
w. Credit Derivatives Metrics_Sept
x. Credit Derivatives Update 92805
y. Derivatives Metrics 1_07
z. Derivatives Metrics 1_08
aa. Derivatives Metrics 10_07
ab. Derivatives Metrics 11_06
ac. Derivatives Metrics 12_06
ad. Derivatives Metrics 2_07
ae. Derivatives Metrics 3_07
af. Derivatives Metrics 4_07
ag. Derivatives Metrics 5_07
ah. Derivatives Metrics 6_07
ai. Derivatives Metrics 7_07
aj. Derivatives Metrics 8_07
ak. Derivatives Metrics 9_07
al. Notes for SIFMA meeting
am. Notes_ver1
an. OMG Metrics
ao. OTC Derivatives Confirmations
ap. OTC Derivatives Confirmations Update 6_6_08
aq. Summary011706
ar. Summary120205
as. Topics for 9_26 meeting
at. Update on CDS confirmation 083006
au. Variance10_07
av. Variance9_07
e. 2010-07-16 Production (re Countrywide)
  1. 2005 Alliance Agreement
  2. 2008 Alliance Agreement
  3. Dallavecchia 6.8.10
4. Englestad 9.22.09
5. Exhibit 361
6. FNM_TSC 4
7. Hempstead 5.20.10
8. Strategic Alliance Extension Proposal

f. 2010-07-29 Production
   1. CMA Macro-Level Phase1a
   2. CMA Macro-Level Phase1b

2010-07-30 Production - Disk # 501
   1. IM
      a. COOP Exercise TM Injects with IM additions 2 (3)
      b. Hedge Fund Survey Draft July 26, 2010 - sent to MFA
      c. Hedge Fund Survey Draft July 26, 2010 Blackline - sent to MFA
      d. IOSCONEWS179
      e. lehman2
      f. RE FC-06 MESSAGE -- Evergreen Asset Management 2a-7 Request 4

2. RiskFin
   a. Briefing on Current Projects (15Oct08)
   b. Briefing on Current Projects (21Apr09)
   c. Briefing on Current Projects (24Nov08)
   d. Memo - FICC Loss Allocation
   e. Memo - MMF Risk

3. TM
   a. Crisis Management Meetings Jan. Meetings
      1. Crisis Management Meeting_BAC
      2. Crisis Management Meeting_Citigroup
      3. Crisis Management Meeting_JPMorgan
      4. Crisis_docs
      5. Draft Agenda for Crisis Management Meetings
      6. fdicpresentation
      7. FinancialInsolvancylaw
      8. Summary Memo
      9. Summary Memo as bullets
   b. Crisis Management Meetings July Meetings
      1. Resolution Frameworks
         a. Bco de Mexico Liquidity Banamex-Cit
         b. BOE Resolution Regime
         c. BOE SRR overview
         d. BOE UK SRR international context
         e. BOJ liquidity provision
         f. call-fdicpresentation
         g. call-US FinancialInsolvancylaw
         h. CBNV MexicanBkg Resolution Regime 2010 jan
   c. Lehman Stress Tests
      1. Fed_Presentation_070208_v5_(for_SEC)[1]
      2. Liquidity Stress Scenario Analysis for Fed v2 (3)
   d. Additional OveradahlQs7 08 06 lh
   e. Bearbankruptcyq4.1.08
   f. BriefCSEAuthority (6)
   g. OTC Derivatives Confirmations Update 6_6_08
   h. SIPCLehman QAs (2)7.17.09
h. 2010-09-21 Production
   1. Bear Stearns Liquidity Pool Verification - Bank Records
   2. PC Liquidity Pool Detail 1-31-08

i. 2010-10-05 Production
   1. SEC_ENF.FCIC.019128
   2. SEC_ENF.FCIC.019129

j. 2010-10-07 Production re CSE
   1. Volume 1
      a. GC-LIT-0008_Report
      b. SEC_TM.FCIC.01056119
      c. SEC_TM.FCIC.01056202
      d. SEC_TM.FCIC.01056300
      e. SEC_TM.FCIC.01056418
      f. SEC_TM.FCIC.01056512
      g. SEC_TM.FCIC.01056737
      h. SEC_TM.FCIC.01056835
      i. SEC_TM.FCIC.01056882
      j. SEC_TM.FCIC.01057090
      k. SEC_TM.FCIC.01057322
      l. SEC_TM.FCIC.01057420
      m. SEC_TM.FCIC.01057655
      n. SEC_TM.FCIC.01057857
      o. SEC_TM.FCIC.01057960
      p. SEC_TM.FCIC.01058203
      q. SEC_TM.FCIC.01058364
      r. SEC_TM.FCIC.01058584
      s. SEC_TM.FCIC.01058771
      t. SEC_TM.FCIC.01058888
      u. SEC_TM.FCIC.01059014
      v. SEC_TM.FCIC.01059122
      w. SEC_TM.FCIC.01059223
      x. SEC_TM.FCIC.01059332
   2. Volume 2
      a. SEC_TM.FCIC.01059461
      b. SEC_TM.FCIC.01059516
      c. SEC_TM.FCIC.01059620
      d. SEC_TM.FCIC.01059739
      e. SEC_TM.FCIC.01059959
      f. SEC_TM.FCIC.01060174
      g. SEC_TM.FCIC.01060276
      h. SEC_TM.FCIC.01060406
      i. SEC_TM.FCIC.01060643
      j. SEC_TM.FCIC.01060741
      k. SEC_TM.FCIC.01060870
      l. SEC_TM.FCIC.01061049
      m. SEC_TM.FCIC.01061257
      n. SEC_TM.FCIC.01061362
      o. SEC_TM.FCIC.01061475
      p. SEC_TM.FCIC.01061676
      q. SEC_TM.FCIC.01061744
      r. SEC_TM.FCIC.01062063
      s. SEC_TM.FCIC.01062145
      t. SEC_TM.FCIC.01062357
      u. SEC_TM.FCIC.01062477
   3. Volume 3
      a. SEC_TM.FCIC.01062592
4. Volume 4
   a. SEC_TM_FCIC_01067898
   b. SEC_TM_FCIC_01067900
   c. SEC_TM_FCIC_01067949
   d. SEC_TM_FCIC_01067963
   e. SEC_TM_FCIC_01067964
   f. SEC_TM_FCIC_01067965
   g. SEC_TM_FCIC_01067980
   h. SEC_TM_FCIC_01068005
   i. SEC_TM_FCIC_01068020
   j. SEC_TM_FCIC_01068053
   k. SEC_TM_FCIC_01068080
   l. SEC_TM_FCIC_01068112
   m. SEC_TM_FCIC_01068140
   n. SEC_TM_FCIC_01068176
   o. SEC_TM_FCIC_01068207
   p. SEC_TM_FCIC_01068209
   q. SEC_TM_FCIC_01068236
   r. SEC_TM_FCIC_01068273
   s. SEC_TM_FCIC_01068282
   t. SEC_TM_FCIC_01068316
   u. SEC_TM_FCIC_01068349
   v. SEC_TM_FCIC_01068350
   w. SEC_TM_FCIC_01068351
   x. SEC_TM_FCIC_01068382
   y. SEC_TM_FCIC_01068396
   z. SEC_TM_FCIC_01068404
   aa. SEC_TM_FCIC_01068405
   ab. SEC_TM_FCIC_01068433
   ac. SEC_TM_FCIC_01068538
   ad. SEC_TM_FCIC_01068553
   ae. SEC_TM_FCIC_01068554
   af. SEC_TM_FCIC_01068591
   ag. SEC_TM_FCIC_01068592
   ah. SEC_TM_FCIC_01068711
   ai. SEC_TM_FCIC_01068741
   aj. SEC_TM_FCIC_01068762
   ak. SEC_TM_FCIC_01068793
   al. SEC_TM_FCIC_01068800
   am. SEC_TM_FCIC_01068814
   an. SEC_TM_FCIC_01068842
   ao. SEC_TM_FCIC_01068936
   ap. SEC_TM_FCIC_01068950
   aq. SEC_TM_FCIC_01068977
   ar. SEC_TM_FCIC_01068978
   as. SEC_TM_FCIC_01068992
   at. SEC_TM_FCIC_01069087
   au. SEC_TM_FCIC_01069126
   av. SEC_TM_FCIC_01069143
   aw. SEC_TM_FCIC_01069198
   ax. SEC_TM_FCIC_01069225
   ay. SEC_TM_FCIC_01069226
   az. SEC_TM_FCIC_01069227
k. 2010-10-12 PrOdution
   1. SEC ENF FCIC 019130-132

l. 2010-10-21 Production
   1. 4-20-07 Risk Rpts EL HG
   2. Government Admitted trial exhibits 11-9-09
   3. SEC ENF FCIC 019191
   4. SEC ENF FCIC 019964
   5. SEC ENF FCIC 020526
6. SEC_ENF_FCIC_020979
7. SEC_ENF_FCIC_021766

2010-12-21 Production
1. 12 16 10 FCIC CF Salomon
2. Engelhard Minerals and Chem filed April 21 1981 (DEF 14A)
3. Phibro 1982 (DEF 14A) PDF
4. Phibro-Salomon Corp 1983 (DEF 14A) PDF
5. Phibro-Salomon Inc filed April 30 1984 (DEF14A) PDF
6. Phibro-Salomon Inc filed April 5 1985 (DEF 14A) PDF
7. Phibro-Salomon Inc filed March 25 1985 (DEF14A) PDF
8. Phibro-Salomon Inc filed March 30 1984 (DEF 14A) PDF
9. Salomon Inc 1987 Proxy (DEF 14A) PDF
10. Salomon Inc 1988 (DEF 14A) PDF
11. Salomon Inc 1989 (DEF 14A) PDF

SEC - Countrywide Documents

2010-08-08 Production - Depositions
a. 2010-08-05 SEC (S Forstein) ltr re Countrywide Prod per Seefer 7-28 request (copy)
1. BARTLETT_KEVIN_2008080527
2. BARTLETT_KEVIN_20080815
3. BARTLETT_KEVIN_20081107
4. BARTLETT_KEVIN_20081109
5. GARCIA_CARLOS_20080909
6. GARCIA_CARLOS_20080910
7. GARCIA_CARLOS_20081119
8. KURLAND_STANFORD_20081101
9. KURLAND_STANFORD_20081120
10. McMurray Transcript, Vol. 1
11. McMurray Transcript, Vol. 2
12. McMurray Transcript, Vol. 3
13. McMurray Transcript, Vol. 4
14. McMurray Transcript, Vol. 5

b. 2010-08-11 Prod - SEC v Countrywide Exhibits
1. SEC v Countrywide Exhibits 1-300
   a. Ex 154
   b. Ex 155
   c. Ex 156
   d. Ex 157
   e. Ex 158
   f. Ex 159
   g. Ex 16
   h. Ex 160
   i. Ex 161
   j. Ex 162
   k. Ex 163
   l. Ex 164
   m. Ex 165
   n. Ex 166
   o. Ex 167
   p. Ex 168
   q. Ex 169
   r. Ex 17
   s. Ex 170
   t. Ex 171
   u. Ex 172
   v. Ex 173
   w. Ex 174
   x. Ex 175
2. SEC v Countrywide Exhibits 301-600
   a. Ex 535
   b. Ex 536
   c. Ex 537
   d. Ex 538
   e. Ex 539
   f. Ex 540
   g. Ex 541
   h. Ex 542
   i. Ex 543
   j. Ex 544
   k. Ex 545
   l. Ex 546
   m. Ex 547
   n. Ex 548
   o. Ex 549
   p. Ex 550
   q. Ex 551
   r. Ex 552
   s. Ex 553
   t. Ex 554
   u. Ex 555
   v. Ex 556
   w. Ex 557
   x. Ex 557A
   y. Ex 558
   z. Ex 559
   aa. Ex 560
   ab. Ex 561
   ac. Ex 562
   ad. Ex 563
   ae. Ex 564
   af. Ex 565
   ag. Ex 566
   ah. Ex 567
   ai. Ex 568
   aj. Ex 569
   ak. Ex 570
   al. Ex 571
   am. Ex 572
   an. Ex 573
   ao. Ex 574
   ap. Ex 575
   aq. Ex 576
   ar. Ex 577
   as. Ex 578
   at. Ex 579
Ex 580au.
Ex 581av.
Ex 582aw.
Ex 583ax.
Ex 584ay.
Ex 585az.
Ex 586ba.
Ex 587bb.
Ex 588bc.
Ex 589bd.
Ex 590be.
Ex 591bf.
Ex 592bg.
Ex 593bh.
Ex 594bi.
Ex 595bj.
Ex 596bk.
Ex 597bl.
Ex 597Abm.
Ex 598bn.
Ex 598Abo.
Ex 599bp.
Ex 599Abq.
Ex 600br.
Ex 600Abs.
Ex 601a.
Ex 601Ab.
Ex 602c.
Ex 602Ad.
Ex 603e.
Ex 603Af.
Ex 604g.
Ex 604Ah.
Ex 605i.
Ex 605Aj.
Ex 606k.
Ex 606Al.
Ex 607m.
Ex 607An.
Ex 608o.
Ex 608Ap.
Ex 609q.
Ex 609Ar.
Ex 610s.
Ex 610At.
Ex 611u.
Ex 611Av.
Ex 612w.
Ex 612Ax.
Ex 613y.
Ex 614z.
Ex 615aa.
Ex 616ab.
Ex 617ac.

3. SEC v Countrywide Exhibits 601-900
   a. Ex 601
   b. Ex 601A
   c. Ex 602
   d. Ex 602A
   e. Ex 603
   f. Ex 603A
   g. Ex 604
   h. Ex 604A
   i. Ex 605
   j. Ex 605A
   k. Ex 606
   l. Ex 606A
   m. Ex 607
   n. Ex 607A
   o. Ex 608
   p. Ex 608A
   q. Ex 609
   r. Ex 609A
   s. Ex 610
   t. Ex 610A
   u. Ex 611
   v. Ex 611A
   w. Ex 612
   x. Ex 612A
   y. Ex 613
   z. Ex 614
   aa. Ex 615
   ab. Ex 616
   ac. Ex 617
72. State Street
   a. 2010-10-25 Production - Disk # 716
      1. Carina CDO Portfolio Holdings
      2. crina_abs_data
      3. crina_cdo_data
      4. Morgan Stanley Model - Template
      5. CDR Curves with HPA
   b. 2010-5-13 State Street (M Levy) Ltr to Seefer re Doc Prod

73. TCW
   a. 2010-06-09 Production
      1. Disk # 357
         a. Board Summaries
            1. Arca 2006-2 Board Summary
            2. Charles River I Board Summary
            3. Davis Square Board Summary
            4. Davis Square II Board Summary
            5. Davis Square III Board Summary
            6. Davis Square IV Board Summary
            7. Davis Square V Board Summary
            8. Davis Square VI Board Summary
            9. Davis Square VII Board Summary
           10. Dutch Hill Funding I Board Summary
           11. Dutch Hill Funding II Board Summary
12. Eastman Hill Funding I Board Summary
13. Grand Avenue Funding I Board Summary
14. Grand Avenue Funding II Board Summary
15. Grand Avenue Funding III Board Summary
16. Inman Square I Board Summary
17. Inman Square II Board Summary
18. Le Monde Board Summary
19. Porter Square 02 - Approval Request Email
20. Porter Square I Board Summary
21. Porter Square II Board Summary
22. Porter Square III Board Summary
23. South Coast Funding I Board Summary
24. South Coast Funding II Board Summary
25. South Coast Funding III Board Summary
26. South Coast Funding IV Board Summary
27. South Coast Funding IX Board Summary
28. South Coast Funding V Board Summary
29. South Coast Funding VI Board Summary
30. South Coast Funding VII Board Summary
31. South Coast Funding VIII Board Summary
32. Stack 2004-1 Board Summary
33. Stack 2005-1 Structure Summary
34. Stack 2005-2 Board Summary
35. Stack 2006-1 Board Summary
36. Stack 2006-2 Board Summary
37. Stack 2007-1 Board Summary
38. Stack 2007-2 Board Summary
39. Visage I PLC Board Summary
40. Visage II Board Summary
41. West Coast Funding I Board Summary
42. Westways IX Board Summary
43. Westways VI Board Summary
44. Westways VII Board Summary
45. Westways VIII Board Summary
46. Westways X Board Summary
47. Westways XI Board Summary

b. CDO Lists
   1. ABS MBS CDOs Master Spreadsheet

c. Marketing Presentations
   1. Arca Funding 2006-2 Marketing Book
   2. Arca Funding II Term Sheet-Final
   3. Charles River Mktg Book 100802
   4. Davis Square 2 - Secured Notes Presentation-v1
   5. Davis Square I Marketing Book 27-6-03
   6. Davis Square VI Marketing Book
   7. Davis Square VII Marketing Book
   8. DS V Debt Book 2005-07-20
   9. DS4 Equity Book 2005-1-10
   10. DSIII Termsheet083104
   11. Dutch Hill Funding I Marketing Book 092705
   12. Dutch Hill Funding II Marketing Book
   13. Eastman Hill flipbookmar29
   14. Grand Avenue - Pitch Book 012 Nov4
   15. Grand Avenue CDO II Marketing Book 09-08-06
   16. Grand Avenue Funding III Marketing Flip Book
   17. Inman Square Funding II Marketing Book (040405)
18. Inman Square Funding Marketing Book (052104)PRINTED
19. Le Monde Marketing Book
20. Porter Square Marketing Book 11-12-02_Final2
21. PS2 Equity Presentation 2004-05-20
22. PS3 Marketing Book 2005-06-03 FINAL
23. SCV Marketing Book_FINAL Printed May04
24. SCVII Marketing Book 2005-03-14 - Final
25. South Coast Funding I Ltd. Final Flipbook
26. South Coast Funding IX Marketing Book
27. South Coast Funding VIII Marketing Book
28. South Coast II flipbook 2-7-02
29. South Coast II flipbook for European roadshow 5-03-02_FINAL
30. South Coast III Marketing Book_Final
31. South Coast IV Mktg Bk_09_19_Revised Print Version
32. South Coast VI Marketing Book 9-01-04
33. STACK 07-2 - Equity Marketing Book - 01-22-07
34. STACK 2004-1 FINAL Marketing Book 1.14.04
35. STACK 2005-1 Marketing Book 121004 v4
37. Stack 2006-1 Marketing Book
38. Stack 2006-2 Marketing Book
39. STACK 2007-1 Final Flipbook 04-03-07
40. STACK 2007-2 - Debt Marketing Book - 02-21-07
41. Visage I Marketing Book 2006-05-04_FINAL
42. Visage II Marketing Book
43. West Coast Funding I Marketing Book
44. Westways Funding IX Marketing Finance Book
45. Westways Funding IX Marketing Flipbook
46. Westways VI Marketing Finance Book
47. Westways VII Marketing Finance Book
48. Westways VIII Marketing Finance Book
49. Westways VIII Marketing Flipbook
50. Westways X Marketing Finance Book
51. Westways XI Marketing Finance Book
d. Offering Memorandums
   1. Arca Funding 2006-2 Offering Memo
   2. Charles River I Offering Memo
   3. DAVIS OC_Final
   4. Davis Square II - Offering Circular (FINAL 05-04-04)--PRINT
   5. Davis Square IV Note OC
   6. Davis Square V - Final Note Offering Circular
   7. Davis Square VI Offering Memo
   8. Davis Square VII Offering Memo
   9. DSIII Note OC
  10. Dutch Hill Funding I Offering Memo
  11. Dutch Hill Funding II Offering Memo
  12. Eastman Hill FinalPrintedOM778162
  13. Grand Avenue Funding I Offering Memo
  14. Grand Avenue Funding II Offering Memo
  15. Grand Avenue Funding III Offering Memo
  16. Inman Sq II - Offering Memorandum
  17. Inman Square Final OM -FINALIZED with cleanups
  18. Le Monde Offering Memo
  19. Porter Square - Offering Circular--FINAL
  20. Porter Square II FINAL Offering Circular
21. PSIII Final Offering Circular
22. South Coast Funding III - Final Offering Circular
23. South Coast Funding IX Offering Memo
24. South Coast Funding VIII Offering Memo
25. South Coast I Final OC
26. South Coast II Offering Circular Final
27. South Coast IV - Final Offering Circular_Print Version
28. South Coast V - Offering Circular
29. South Coast VI Offering Circular
30. South Coast VII Offering Circular
31. STACK 2004-1 Final Offering Memo_FINAL VERSION
32. Stack 2005-1 Information Memo
33. Stack 2005-2 Offering Memo
34. Stack 2006-1 Offering Memo
35. Stack 2006-2 Offering Memo
36. Stack 2007-1 Offering Memo
37. Stack 2007-2 Offering Memo
38. Visage I Offering Memo
39. Visage II Offering Memo
40. West Coast Funding I Offering Memo
41. Westways Funding IX Offering Memo
42. Westways Funding VI final OC PRINT
43. Westways VII Offering Memo
44. Westways VIII Offering Memo
45. Westways X Offering Memo
46. Westways XI Offering Memo

Structure Charts
1. Arca Funding 2006-2 Structure Chart
2. Charles River I Structure Chart
3. Davis Square VI Structure Chart
4. Davis Square VII Structure Chart
5. Dutch Hill Funding I Structure Chart
6. Dutch Hill Funding II Structure Chart
7. EH_StructureChart
8. Grand Avenue Funding II Structure Chart
9. Grand Avenue Funding III Structure Chart
10. Grand Avenue I Structure Chart
11. Le Monde Structure Chart
12. South Coast Funding IX Structure Chart
13. South Coast Funding VIII Structure Chart
14. SouthCoast II_StructureChartfinal
15. SouthCoast III_StructureChart final
16. SouthCoast IV_StructureChart
17. SouthCoast V_StructureChart
18. SouthCoast VI_StructureChart
19. SouthCoast VII_StructureChart-Final 070705
20. SouthCoast_StructureChartFinal
22. STACK 2005 Chart - Capital Structure vi
23. Stack 2005-2 Structure Chart
24. Stack 2006-1 Structure Chart
25. Stack 2006-2 Structure Chart
26. Stack 2007-1 Structure Chart
27. Stack 2007-2 Structure Chart
28. Structure Chart - Davis Square 5
29. Structure Chart Davis Square_Oct8
30. Structure Chart Davis SquareII_FINAL
31. Structure Chart Davis SquareIII_v2
32. Structure Chart Davis SquareIV
33. Structure Chart Inman Square I
34. Structure Chart Inman Square II 091605 v2
35. Structure Chart Porter Square_Final
36. Structure Chart Porter SquareII
37. Structure Chart Porter SquareIII_v2
38. Structure Chart STACK 2004-1_Final
39. Visage I Structure Chart
40. Visage II Structure Chart
41. West Coast Funding I Structure Chart
42. Westways IX Structure Chart
43. Westways VI Structure Chart
44. Westways VII Structure Chart
45. Westways VIII Structure Chart
46. Westways X Structure Chart
47. Westways XI Structure Chart

2. Disk # 358
   a. CDO Lists
      1. CDOs Retention and Fees Information
   b. Engagement Letters
      1. Arca Funding 2006-2 Engagement Letter
      2. Charles River I Engagement Letter
      3. DSV Engagement Letter Fully Executed
      4. Dutch Hill Funding I Engagement Letter
      5. Grand Avenue Funding II Engagement Letter
      6. Inman Square II - Fully Executed Engagement Letter
      7. Le Monde Engagement Letter
      8. Porter Square II - Credit Suisse First Boston LLC Engagement Letter
      9. South Coast Funding III Engagement Letter
     10. South Coast Funding VIII Engagement Letter
     11. STACK 2004-1 Engagement Letter
     12. STACK 2005-1 Engagement Letter 121304 EXECUTION ALL SIGS
     13. Stack 2005-2 Engagement Letter
     14. Stack 2006-1 Engagement Letter
     15. Stack 2007-1 Engagement Letter
     17. Visage I Engagement Letter
     18. Westways VI Engagement Letter
   c. Government Inquiries
      1. Presentation to Senate Permanent Sub-Committee
   d. Investment Management Agreements
      1. Arca Funding 2006-2 Portfolio Selection Agreement
      2. Charles River I Investment Advisory Agreement
      3. Davis Square V Investment Advisory Agreement
      4. Dutch Hill Funding I Investment Advisory Agreement
      5. Grand Avenue Funding II Investment Advisory Agreement
      6. Inman Square II Investment Advisory Agreement
      7. Le Monde Investment Management Agreement
      8. Porter Square II - Credit Suisse First Boston LLC Investment Advisory Agreement
      9. South Coast Funding III Investment Advisory Agreement
     10. South Coast Funding VIII Investment Advisory Agreement
     11. Stack 2004-1 Portfolio Advisor Agreement
     12. Stack 2005-1 Reference Pool Management Agreement
14. Stack 2006-1 Investment Advisory Agreement
15. Stack 2007-1 Management Agreement
17. Visage I Investment Management Agreement
18. Westways VI Investment Management Agreement

74. Treasury
   a. 2010-08-13 Production
      1. AIG
         2. Lehman
            a. FCIC flagged documents from 8-13-10 reading room-Lehman
            3. Wachovia
               a. FCIC flagged documents from 8-13-10 reading room-Wachovia
      b. 2010-08-25 Production
         1. FCIC flagged documents from 6-11-10 AIG reading room
         2. Pages from Combined 8-25-10 production-2
         3. Pages from Combined 8-25-10 production-3
   c. UST redacted docs 04 06 10

75. Triad
   a. 2010 Information Request - Financial Crisis Inquiry Commission

76. UBS
   a. 2010-06-04 Production
      1. Disk #353
         a. UBSFCIC 00148380-00148523
         b. UBSFCIC 00148524-00148629
         c. UBSFCIC 00148630-00149506
         d. UBSFCIC 00149507-00149509
         e. UBSFCIC 00149510-00149518
         f. UBSFCIC 00149519-00149525
         g. UBSFCIC 00149527-00149600
         h. UBSFCIC 00149601-00149609
         i. UBSFCIC 00149610-00149620
         j. UBSFCIC 00149621-00149779
         k. UBSFCIC 00149780-00149899
         l. UBSFCIC 00149901-00149912
         m. UBSFCIC 00149913-00149922
         n. UBSFCIC 00149924-00149929
         o. UBSFCIC 00149931-00150009
         p. UBSFCIC 00150010-00150020
         q. UBSFCIC 00150021-00150031
         r. UBSFCIC 00150032-00150191
         s. UBSFCIC 00150193-00150199
         t. UBSFCIC 00150200-00150230
         u. UBSFCIC 00150231-00150243
   b. 2010-06-21 Production
      1. Disk # 409
         a. UBSFCIC 00148380-00148523
         b. UBSFCIC 00148524-00148629
         c. UBSFCIC 00148630-00149506
         d. UBSFCIC 00149507-00149509
         e. UBSFCIC 00149510-00149518
         f. UBSFCIC 00149519-00149525
         g. UBSFCIC 00149527-00149600
         h. UBSFCIC 00149601-00149609
         i. UBSFCIC 00149610-00149620
         j. UBSFCIC 00149621-00149779
         k. UBSFCIC 00149780-00149899
         l. UBSFCIC 00149901-00149912
         m. UBSFCIC 00149913-00149922
         n. UBSFCIC 00149924-00149929
         o. UBSFCIC 00149931-00150009
         p. UBSFCIC 00150010-00150020
         q. UBSFCIC 00150021-00150031
         r. UBSFCIC 00150032-00150191
         s. UBSFCIC 00150193-00150199
         t. UBSFCIC 00150200-00150230
         u. UBSFCIC 00150231-00150243
e. 2010-09-17 Production
   1. Exhibit 1
   2. Exhibit 10
   3. Exhibit 11
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   5. Exhibit 13
   6. Exhibit 14
   7. Exhibit 15
   8. Exhibit 16
   9. Exhibit 17
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  11. Exhibit 19
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  13. Exhibit 20
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  16. Exhibit 23
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  32. Exhibit 38
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  35. Exhibit 40
  36. Exhibit 41
  37. Exhibit 42
  38. Exhibit 43
  39. Exhibit 5
  40. Exhibit 6
  41. Exhibit 7
  42. Exhibit 8
  43. Exhibit 9

g. 2010-09-21 Production
   1. Disk # 637
      a. Exhibit A
      b. Exhibit B
      c. Exhibit C
      d. Exhibit D
      e. Exhibit E
      f. Exhibit F
      2. Disk # 640
77. United Guaranty
   a. FCIC Data Request from UGC100810
   b. United Guaranty Top 5 Rescissions

78. Vanderbilt Capital
   a. 2010-11-10 Production
      1. VA_FCIC0000000001-0000000005
      2. VA_FCIC00000000501-0000000010
      3. VA_FCIC00000000101-0000000015
      4. VA_FCIC000000001501-0000000020
      5. VA_FCIC00000000201-0000000025
      6. VA_FCIC000000002501-0000000030
      7. VA_FCIC000000003001-0000000035
      8. VA_FCIC000000003501-0000000040
      9. VA_FCIC000000004001-00000000421
     10. VA_FCIC000000004122
     11. VA_FCIC000000004123
     12. VA_FCIC000000004124
     13. VA_FCIC000000004125
     14. VA_FCIC000000004126
     15. VA_FCIC000000004127
     16. VA_FCIC000000004128-000000004272
     17. VA_FCIC000000004273

79. Vero
   a. 2010-10-15 Production- Disk # 702
      1. 1-Collateral Purchases
      2. 2-2007 CDOs
      3. 3-Synthetic CDOs

80. Vertical Capital
    a. VC-Excel-01
    b. VC-Excel-04
    c. VC-Excel-05
    d. VC-Excel-07
    e. VC-Excel-09
    f. VC-Excel-10 & 11
    g. VC-Excel-13

81. WFB
    a. 2010-02-25 Production
       1. FCIC_Request_Feb2310_WF_Revised
          2. S75BW-110022509570
          3. S75BW-110022509580
          4. S75BW-110022511510
    b. 2010-05-11 Production- Disk #257
       1. Request #1
          a. 20080331163607
          b. roberstonorg6-14-04
          c. Structured Credit Products v2
          d. Structured Products All - Feb2008
          e. Structured Products August
          f. Structured Products July 07
          g. Structured Products Nov 07
h. tom's org 2
i. yu-ming's org 5-18-04

2. Request #3
   a. Audit Committee 3Q07 Fixed Income - Final
   b. Board of Directors - 12-15-06
   c. Board Updated 10 25 07 - Final
   d. FIDOverviewforBoard_042108_final
   e. Market Update to Board 8.21.07_Final
   f. Market Update to WB Oper. Comm. - 8.8.07 Final
   g. Presentation to the Risk Committee - June 21, 2005
   h. Presentation to the Risk Committee 8-15-05
   i. Risk Board Presentation December 2007--FINAL

c. 2010-05-26 Production - WF-FCIC-000000538
   1. Wachovia CDO Chart WF-FCIC-000000538
   2. WF-FCIC-000000538

d. 2010-06-07 Production
   1. WF-FCIC-CRA-000000001

e. 2010-06-10 Production- Disk # 367
   1. WF-FCIC-CRA-000000001

f. 2010-07-20 Production- Disk # 466
   1. WF-WACH-000001-000509
   2. WF-WACH-000510- 001032
   3. WF-WACH-001033- 001506
   4. WF-WACH-001507- 002046
   5. WF-WACH-002047- 002548
   6. WF-WACH-002549- 002997
   7. WF-WACH-002998- 003499
   8. WF-WACH-003500- 003999
   9. WF-WACH-004000- 004499
  10. WF-WACH-004500- 004622
  11. WF-WACH-004623- 004695

g. 2010-07-26 Production- Disk # 485
   1. WF-WACH-004696-006584
      a. WF-WACH-004696
      b. WF-WACH-004697-004699
      c. WF-WACH-004700
      d. WF-WACH-004701
      e. WF-WACH-004702-004783
      f. WF-WACH-004784-004794
      g. WF-WACH-004795
      h. WF-WACH-004796-004814
      i. WF-WACH-004815
      j. WF-WACH-004816-004834
      k. WF-WACH-004835
      l. WF-WACH-004836-004851
      m. WF-WACH-004852
      n. WF-WACH-004853-004868
      o. WF-WACH-004869
      p. WF-WACH-004870-004885
      q. WF-WACH-004886
      r. WF-WACH-004887
      s. WF-WACH-004888
      t. WF-WACH-004889
      u. WF-WACH-004890
      v. WF-WACH-004891
      w. WF-WACH-004892
2. WF-WACH-006585-013201
   a. WF-WACH-006585
   b. WF-WACH-006586-006667
   c. WF-WACH-006668-006678
   d. WF-WACH-006679
   e. WF-WACH-006680
   f. WF-WACH-006681
   g. WF-WACH-006682-006683
   h. WF-WACH-006684
   i. WF-WACH-006685-006696
   j. WF-WACH-006697
   k. WF-WACH-006698-006699
   l. WF-WACH-006700
   m. WF-WACH-006701-006706
   n. WF-WACH-006707-006744
   o. WF-WACH-006745-006753
   p. WF-WACH-006754-006755
   q. WF-WACH-006756
   r. WF-WACH-006757
   s. WF-WACH-006758-006759
   t. WF-WACH-006760
   u. WF-WACH-006761
   v. WF-WACH-006762
   w. WF-WACH-006763
   x. WF-WACH-006764
   y. WF-WACH-006765-006772
   z. WF-WACH-006773
   aa. WF-WACH-006774-006954
   ab. WF-WACH-006955-007014
   ac. WF-WACH-007015
   ad. WF-WACH-007016-007192
   ae. WF-WACH-007193-007252
   af. WF-WACH-007253
   ag. WF-WACH-007254-007434
   ah. WF-WACH-007435-007496
   ai. WF-WACH-007497
   aj. WF-WACH-007498-007686
   ak. WF-WACH-007687
   al. WF-WACH-007688-008012
   am. WF-WACH-008013-008401
2010-08-04 Production- Disk # 519
  1. WF-WACH-016115-016299
j. 2010-08-09 Production
   1. WF-WACH-016300-016316
k. 2010-08-11 Production- Disk # 537
   1. WF-FCIC-DRV-000001
l. 2010-08-13 Production
   1. Disk # 542
      a. WF-FCIC-DRV-000002
      b. WF-FCIC-DRV-000003
      c. WF-FCIC-DRV-000019
      d. WF-FCIC-DRV-000025
      e. WF-FCIC-DRV-000048
      f. WF-FCIC-DRV-000062
      g. WF-FCIC-DRV-000077
      h. WF-FCIC-DRV-000093
   2. Disk # 543
      a. WF-WACH-016318
      b. WF-WACH-016319
      c. WF-WACH-016320
      d. WF-WACH-016321
      e. WF-WACH-016322
      f. WF-WACH-016323
      g. WF-WACH-016324
      h. WF-WACH-016325
      i. WF-WACH-016326
      j. WF-WACH-016327
      k. WF-WACH-016328
      l. WF-WACH-016329
m. 2010-08-17 Production- Disk # 553
   1. WF-WACH-016330-016507
n. 2010-08-18 Production
   1. WF-WACH-016508
2. WF-WACH-016535
3. WF-WACH-016538
4. WF-WACH-016574
5. WF-WACH-016598

o. 2010-09-03 Production
   1. Disk # 597
      a. WF-FCIC-CRA-000000008
   2. Disk # 598
      a. WF-FCIC-MORT-000001
         b. WF-FCIC-MORT-000002
         c. WF-FCIC-MORT-000003
         d. WF-FCIC-MORT-000004
         e. WF-FCIC-MORT-000010
         f. WF-FCIC-MORT-000011

p. 2010-10-06 Production
   1. WF-FCIC-PUTB-000001
   2. WF-FCIC-PUTB-000002
   3. WF-FCIC-PUTB-000564

q. 2010-10-25 Production - Disk # 719
   1. Document Requests
      a. WF-FCIC-SAI-000001-000117
         b. WF-FCIC-SAI-000118-000269
         c. WF-FCIC-SAI-000270-000411
         d. WF-FCIC-SAI-000412-000540
         e. WF-FCIC-SAI-000541-000721
         f. WF-FCIC-SAI-000722-000881
         g. WF-FCIC-SAI-000882-001028
         h. WF-FCIC-SAI-001029-001350
         i. WF-FCIC-SAI-001351-001581
         j. WF-FCIC-SAI-001582-001994
         k. WF-FCIC-SAI-001995-002248
         l. WF-FCIC-SAI-002249-002637
         m. WF-FCIC-SAI-002638-002938
         n. WF-FCIC-SAI-002939-0003263
   2. Interrogatories
      a. WF-FCIC-SAI-003264
         b. WF-FCIC-SAI-003265
         c. WF-FCIC-SAI-003334
         d. WF-FCIC-SAI-003422
         e. WF-FCIC-SAI-003423
         f. WF-FCIC-SAI-003424

r. 2010-10-27 Production
   1. 2010-10-27 Wells Fargo Production

ds. 2010-11-02 Production
   1. WF-FCIC-ARM-000001
   2. WF-FCIC-ARM-000002
   3. WF-FCIC-ARM-000003
   4. WF-FCIC-ARM-000004

t. WF-FCIC-PUTB-000566

u. WF-WACH-016317

G. Production Admin
   1. Media Submission Forms
      a. 2010-02-02 Submission Form 1
      b. 2010-02-02 Submission Form 2
      c. 2010-02-04 Submission Form 3
      d. 2010-02-05 Submission Form 4
      e. 2010-02-05 Submission Form 5
f. 2010-02-08 Submission Form 6
h. 2010-02-16 Submission Form 8
i. 2010-02-18 Submission Form 9
j. 2010-02-19 Submission Form 10
k. 2010-02-22 Submission Form 11
l. 2010-02-23 Submission Form 12
m. 2010-02-24 Submission Form 13
o. 2010-02-26 Submission Form 15
p. 2010-03-01 Submission Form 16
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bg. 2010-05-03 Submission Form 59
bh. 2010-05-04 Submission Form 60
4. a. Key Quotes - Dick Fuld
5. a. Key Quotes - Harvey Miller
6. a. Key Quotes - Richard Fuld
7. a. Key Quotes - Richard Fuld
8. a. Key Quotes - Robert Steel
9. a. Key Quotes - Sheila Bair
10. a. Witness Bios - Lehman
11. a. Witness Bios - Lehman
12. a. Witness Bios - Regulators
13. a. Witness Bios - Regulators
14. a. Witness Bios - Wachovia
15. Key Quotes - Thomas Baxter

B. Draft PIRs & TBTF Hearing Documents
   1. 2010-09-01 Draft Chron of Key Events - Lehman
   2. Draft Chron of Key Events - Lehman
   3. Draft Lehman PIR
   4. Draft PIR on Wachovia
   5. Draft Summary of Lehman PIR
   6. Draft Summary of PIR on Wachovia
   7. JPM Chron FINAL
   8. JPM subchron
   9. JPM subchron public
   10. OLD JPMorgan Chron
   11. Suggested TBTF Hearing Questions

C. Draft Reading List
   1. A Fall to Remember An Inside Look at Wachovia s Last Days - American Banker Article
   2. Acharya Systemic Risk March10
   3. Acharya systemic_risk
   4. Banks ’Too Big to Fail’ Have Grown Even Bigger
   5. Bent Reserve WSJ Article
   6. bernanke021009
   7. Billio Measure Systemic Risk March10
   8. CEPR TBTF Sept09
   9. Chasin_-_Interconnectedness
   10. Demos McGhee BiggerRiskier-edit19
   11. Dowd Moral Hazard
   12. Dowd Moral Hazard Cato Jan09
   13. Ennis Malek Bank Risk of Failure TBTF Spring05
   15. FDIC IG - Washington Mutual - Apr 2010
   16. Financial Crisis, Interconnectedness and Regulatory Capital
   17. FINSOB Quarterly Report -- Quarter Ending 033109 (2)
   18. GAO - Agencies Engaged in Consolidated Supervision Can Strengthen Performance Measurement and Collab
   19. GAO - Financial Crisis Highlights Need to Improve Oversight of Leverage at Financial Institutions an
   20. GAO - Review of Regulators Oversight of Risk Management Systems at a Limited Number of Large, Comple
   21. GAO Moral Hazard April10
   22. geithner_testimony
   24. HseJud TBTF 17March09
   25. Huang Systemic Risk
   26. Jorion Bank Trading Risk Dec04
   27. Laursen - Interconnectedness Discussion - 2010
   28. Markham_-_Antitrust
   29. Moody's money market fd research
   30. NERA PCI Interconnect Apr10
   31. NERA PCI TBTF
Fed, FDIC and OCC Timeline
1. Fed, FDIC and OCC Timeline
2. Fed, FDIC and OCC Timeline (2 8.5x11 pgs)
3. FedFDICevents

Lehman Risk Documents
1. 2006-04-21 Danis email re SEC-CSE monthly meeting
2. 2006-08-03 Financial Sponsors Strategies Presentation to Executive Committee
3. 2006-09-19 Email from Jeff Goodman to Mark Walsh
4. 2006-09-28 Gelband Presentation FID Operating Committee Offsite
5. 2006-10 Market Risk Management Limit Policy
6. 2006-10-03 Gelband Email to Fuld and Gregory
7. 2006-11-02 Antoncic Email re Daily Risk Appetite and VaR Report
8. 2006-11-02 Goldfarb Email re Daily Risk Appetite and VaR Report
9. 2006-11-02 O'Meara Email re Daily Risk Appetite and VaR Report
10. 2006-12 Securitized Products Outlook for 2007 Bracing for a Credit Downturn
11. 2007-01-07 2007 Risk Appetite Limit
12. 2007-01-16 Capital Markets Finance Presentation re Securitized Products, MBS
13. 2007-01-17 Goodman email re CNL
15. 2007-01-30 Kritikos Email to Goodman re Aurora originations trends and performance
16. 2007-02 Risk Review Aurora and BNC
17. 2007-02-21 Gentile Email re Balance Sheet Issue
18. 2007-03-09 Franks email re Board Presentation
19. 2007-03-12 Kritikos email re Countrywide stops 100% CLTB lending
20. 2007-03-20 Update on Lehman Brothers' Subprime Mortgage Origination Business
21. 2007-04-20 Kirk email to Gelband re HY commitments
22. 2007-05-07 Goodman Email to Antoncic re Large RE deal
23. 2007-06 Leveraged Finance Risk Presentation
24. 2007-06-01 Goldfarb email to Nagioff re Equity Bridges
26. 2007-06-29 Goldfarb email re 2 things
27. 2007-06-29 Goodman email to Antoncic re Firm-wide Risk Drivers
28. 2007-07-25 Li Email re Single transaction limit
29. 2007-08-13 O'Meara email to Goldfarb re Risk Appetite
30. 2007-08-17 Antoncic Risk Management Presentation
31. 2007-08-28 Goldfarb email to O'Meara re Daily Risk Appetite and VaR Report
32. 2007-08-30 Li Email to Mitrokostas
33. 2007-09-06 Sub Prime(r) Presentation
34. 2007-09-11 Risk Liquidity Capital Presentation to Finance Committee
35. 2007-09-27 O'Meara Email to Lowitt re Daily Risk Appetite and VaR Report
36. 2007-10-01 Goodman Email to O'Meara et al re Risk Meeting Followup
37. 2007-12-05 Email from Stewart re Repo 105-8
38. 2007-12-05 Kritikos email re Aurora
Lehman Brothers Risk Management - An Integrated Framework

F. Proxy Statements
1. Comp Charts
   a. Countrywide Comp Chart
   b. Lehman Comp Chart
   c. Wachovia Comp Chart
2. Lehman 2004 Proxy
3. Lehman 2005 Proxy
4. Lehman 2006 Proxy
5. Lehman 2007 Proxy
6. Lehman 2008 Proxy
7. Wachovia 2004 Proxy
8. Wachovia 2005 Proxy
9. Wachovia 2006 Proxy
10. Wachovia 2007 Proxy
11. Wachovia 2008 Proxy

G. Relevant Lawsuits
1. SEC vs. ICP Asset Management
2. SRM Global Fund Ltd vs. Countrywide
3. SRM vs. Countrywide - Order on MTD

H. TBTF Follow Up Folder
1. Follow up grid TBTF
2. Follow up letter summary
3. H6 Commissioner Follow-up Questions Grid

I. TBTF MFRs
1. 2010-08-18 interview with Greg Dulski (Federated)
2. 2010-08-04 Dubas MFR Rutledge Angulo Dobbeck FRBNY
3. 2010-06-15 MFR FCIC Interview w the Fed
4. Fed meeting staff questions
5. Interview on 18-08-2010 with Louis Geser (Dreyfus)
6. TBTF DAY 1

J. TBTF Requested Documents
1. TBTF Doc Requests
   a. FRB TBTF Doc Request
   b. FRB TBTF Doc Request 8.5.10
   c. NYFRB TBTF Doc Request
   d. NYFRB TBTF Doc Request
   e. NYFRB TBTF Doc Request 8.5.10
2. Fed documents guide
3. FRBNY disk list
4. FRBNY docs list
5. FW FRB Lehman documents
6. Lehman request chart
7. TBTF Document request
8. UPDATED TBTF Document Requests
9. Wachovia Request Chart

K. Wachovia Articles
1. 2006-05-09 NYT - Chief Calls Deal a Dream for Wachovia
2. 2008-09-27 NYT - Wachovia Looking for Help Turns to Citigroup
3. 2008-09-30 NYT - An Alternative to Armageddon
4. 2008-10-04 NYT - Wells Fargo Swoops In
5. 2008-10-05 NYT - For Two Longtime Bank Rivals in Charlotte, Competition Turns to Concern
6. 2008-10-10 NYT - Regulators Approve Wells Fargo Takeover of Wachovia
7. 2008-10-23 NYT - Wachovia Reports $23.9 Billion Loss for Third Quarter
8. 2008-10-23 WSJ - Wachovia’s Last Act $23.88 Billion Loss
9. 2008-11-20 Bloomberg - Wachovia, Golden West Investigated by Prosecutors Regulators
10. 2008-11-20 WSJ - Before the Bust, These CEOs Took Money Off the Table
11. 2008-11-22 NYT - Woes at Citigroup Began With Failed Bid for Wachovia
12. 2008-12-25 NYT - Once Trusted Mortgage Pioneers Now Scrutinized
13. 2009-03-13 NYT - A Beseiged Bank and Its Hometown Look Inward
14. 2009-05-15 NYT A Bank is Survived by Its Loans
15. 2009-08-04 WSJ - Geithner Has Blown His Top with Regulators Before
16. 2009-10-13 American Banker - Wachovia's End

L. Witness interview candidates
   1. Lehman counterparty Interview candidates

M. 2010 09 01 TBTF hearing tape day 1

N. Countrywide Compensation

O. Moodys sponsor support money mkt funds

P. TBTF Hearing Transcript - Day 2

Q. TBTF Hearing Transcript - Day 2

R. TBTF Hearing Transcript - Day One

S. TBTF Hearing Transcript - Day One

T. TFTF extraordinary funding test

XX. Chronology

A. Chronology Supporting Documents
   2. 2000-06-20 Treasury and HUD Report re Curbing Predatory Lending
   3. 2004-08-10 Moody's Press Release
   4. 2005 Report of Examination-FNM
   5. 2005-04-11 MOU between OTS and Commission Bancaire
   6. 2006 Report of Examination-FNM
   7. 2007 Report of Examination-FNM
   8. 20070-08-01 Mudd ltr to Lockhart
   9. 2007-04-07 AP - AIG chief executive earns compensation valued at nearly $22.5 million in 2006
   10. 2007-06-11 OTS Holding Company ROE
   11. 2007-08-01 Mudd Letter to Lockhart
   12. 2007-08-03 Lockhart letter to Mudd
   13. 2007-08-06 Countrywide Board Minutes BAC-FCIC-0000080057
   14. 2007-08-09 AIG 2Q 2007 Earnings Call
   15. 2007-08-10 Lockhart letter to Mudd
   16. 2007-08-13 Attractive upside but not without risk
   17. 2007-08-15 Liquidity is the Achilles Heel
   18. 2007-08-15 Mudd letter to Lockhart
   19. 2007-08-16 LAT - Credit crunch imperils lender
   20. 2007-08-16 Moody's lowers Countrywide debt to Baa3
   22. 2007-10-15 S&P Press Release
   24. 2007-10-25 WSJ - Pioneer Helped Merrill Move Into CDOs
   25. 2007-11-07 AIG 3Q Earnings Conference Call
   26. 2007-12-05 AIG Conference Call Transcript
   27. 2008_08_17_E_Scott_Reckard_The_Mortgage_Meltdown_LAT
   28. 2008-02-11 AP - Fitch places AIG ratings on negative watch
   29. 2008-02-29 AIG 4Q087Earnings Call
   30. 2008-03-10 Moody's Press Release
   31. 2008-05-08 AP - S& P Fitch cut AIG's ratings following big 1Q loss
   32. 2008-05-08 Fitch Discusses Rating Rationale Behind AIG Downgrade
   33. 2008-05-09 AIG Q1 2008 Earnings Call Transcript
   34. 2008-05-09 Moody's reviewing AIG's senior unsecured rating
   35. 2008-05-13 AIG Habayeb Email - HHOGR00026712
   36. 2008-05-21 NYT - AIG Raising of Capital Depresses its Share Price
   37. 2008-05-22 AIG Press Release - AIG completes capital raising program
15. 2010-03-22 G Cohen form ltr to RT Chase-RBC Capital
16. 2010-03-22 G Cohen form ltr to S Buergel-Citi
17. 2010-03-22 G Cohen form ltr to S Goldfarb-RBS Americas
18. 2010-03-22 G Cohen form ltr to Steven Merkel-Cantor& Fitzgerald

B. Articles
1. 2009-08-10 case Study - Bear Stearns and the SEC
2. 2010-02-10 fed to Reveal Its Strategy for Raising Interest Rates
3. Mellon BNY

C. Briefing Book Materials
1. Old Drafts
   a. 2010-02-23 Fidelity Investments
   b. 2010-02-23 Interview with Merrill Lynch MFR
   c. 2010-02-25 REVIEWED US Chamber re commercial paper
   d. 2010-03-03 REVIEWED Anna Lee Hewko and Steve Merriett, Federal Reserve, re accounting and capital
   e. 2010-03-05 REVIEWED MFR Jack Brennan, Jane Heinrichs, Karrie McMillan, Brian Reid, Paul Stevens of Investment Company Institute
   f. 2010-03-11 Call with BNYM re Repo
   g. 2010-03-11 REVIEWED David Kotz, SEC Inspector General
   h. 2010-03-15 Call with State Street re Repo and CP
   i. 2010-03-15 REVIEWED FDIC Interview
   j. 2010-03-16 Background interview with FRBNY Lubke
   k. 2010-03-18 MFR of Interview with M Macchiaroli re CSE and Lehman
   l. 2010-03-20 MER Warren Spector
   m. 2010-03-22 MFR REVIEWED Robert Byman and Matt Basil
   n. 2010-03-24 REVIEWED Bill De Leon, PIMCO
   o. 2010-03-25 Interview notes - FRBNY Coffey - Background sources (copy)
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      al. Citigroup Preliminary Investigative Summary - 3-30-10
2. Individual Sections
   a. 2010-02-23 K Dubas tables for Citi and CRA memo
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   v. Citi 00004244 Citigroup Liquidity Put Discussion
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   x. Citi 00037356 Citi memo re Valuation of Liquidity Puts (2006-10-19)
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   z. Citi 01611657 GSCP The Way Forward (Michael Raynes, 2007-09)
   aa. CITI-FCIC 00000444 C Prince Ltr to BOD re Leveraged Lending and Subprime (2007-08-15)
   ab. CITI-FCIC 00000673 Corporate Audit and Risk Mgmt Cmte Minutes (2007-10-15)
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F. Citibank Press Releases
   1. 01-13-08-Citi Announces Key Actions to Enhance Capital Base
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   4. 01-15-08-Citi-Full year 2007 Report
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   6. 10-01-07-Citi 3q07-earnings preannouncement
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   8. 11-26-07-Citi to Sell $7.5 Billion of Equity Units to the Abu Dhabi Investment Authority
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      b. 2008.03.27 Answer to Complaint (Citi)
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9. In re Citigroup Shareholder Derivative Litigation
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e. 2009.03.30 Order - MTD Denied

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2. 2010-03-07 Draft Invitation Letter to Greenspan wme
3. 2010-03-15 Invitation Ltr to Former OCC Comptroller John D. Hawke
4. 2010-03-15 Invitation Ltr to OCC Comptroller John C. Dugan
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6. 2010-03-16 FCIC Hearing Invitation to John D. Hawke

I. Discovery
1. 2010-01-13 OCC Docs Produced (Citi)
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2. 2010-01-15 FINRA Docs Produced (Citi)
a. 06-00282 CHD (Gary Leidecker)
b. 08-00073 CA (Bernard & Jaclyne Friedman)
c. 08-00778 FL (Leslie Feinsmith)
d. 08-00779 FL (Loyola College)
e. 08-01430 FL (VCG Special Opportunities)
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Copy of 08-03793 FL (Donald & Mary Ellen Tomasso)
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12. CITI-FCIC 00099767 CitiFinancial 1st and 2nd Mtg Delinquency by FICO 2005-9
13. CITI-FCIC 00099779 CitiFinancial 1st and 2nd Mtg Delinquency by LTV, 2005-9
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15. Outstanding Citigroup Interrogatories and Document Requests
17. Ridgeway II Comparison of Marks on RMBS Collateral
18. Ridgeway II Mortgage Originators and Sellers of Citi Underwritten RMBS
19. Ridgeway loan level data excerpt

J. Fannie Accounting matters
   1. Fannie select accounting topics

K. Fannie Mae Briefing Book Materials
   1. Briefing Book - Supporting Documents
      a. 03-19-08OFHEOGotRolled
      b. 2005-06-27 Strategic Crossroads presentation
      c. 2006 OFEHO Report of Examination on Fannie Mae
      d. 2006-05-23 Consent Agreement
      e. 2007 OFEHO Report of Examination on Fannie Mae
      f. 2007-07-17 Dallavecchia eml to Mudd
      g. 2007-12-21 American Banker - Setting and OFHEO Plan
      h. 2008-02-27 Lockhart Statement
         i. 2008-02-28 Schumer Itt to Lockhart
         j. 2008-02-28 Steel email re GSE Losses Overshadowed by Removal of Portfolio Cap
         k. 2008-03-03 Nelson email re GSEs’ credit risk
         l. 2008-03-06 Farrell eml to Steel
      m. 2008-03-07 Levin eml to Mudd re deal with OFHEO
      n. 2008-03-08 Thomas eml to Steel
      o. 2008-03-10 Barrons - The Next Gov Bailout
      p. 2008-03-16 Norton eml to Steel Nason Ryan Kashkari
      q. 2008-03-17 Lockhart eml to Mudd and Steel
      r. 2008-03-17 Mudd eml to Lockhart
      s. 2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity
      t. 2008-08-22 Dickerson Itt to Mudd
      u. 2008-09-01 Dickerson Itt to Mudd
      v. 2008-09-04 Dickerson Itt to Mudd - Mid-year letter
      w. 2008-09-06 FHFA Fannie Mae Conservatorship Memo
      x. 2008-09-08 OCC - Observations - Allowance Process and Methodology

2. 2010-03-31 Draft Fannie Mae Investigative Report
3. Chart - OFHEO Budget vs. GSE Executive Comp
4. Demonstrative Charts
5. Draft memo re FNMA (copy)
6. Draft memo re FNMA (copy)
7. Lockhart FCIC Testimony
M. Fannie Mae Research
   1. Briefing Memo
      a. FNMA - list of interviews for briefing book
   2. CBO Publications
      a. 1996-05 CBO Study on Assessing the Public Costs and Benefits of Fannie Mae and Freddie Mac
      b. 1996-06-12 CBO Statement on Assessing the Public Costs and Benefits of Fannie Mae and Freddie Mac
      c. 1998-05-14 CBO Remarks of June E. O'Neill before the Conference on Appraising FNMA and Freddie Mac
      d. 2001-06-21 CBO Letter to Franklin D. Raines
      e. 2003-01-03 CBO Letter to the Honorable Paul S. Sarbanes
      f. 2003-05-06 CBO Paper on the Effects of Repealing Fannie Mae's and Freddie Mac's SEC Exemptions
      g. 2006-06-23 CBO Paper on Measuring the Capital Positions of Fannie Mae and Freddie Mac
      h. 2010-01-13 CBO's Budgetary Treatment of Fannie Mae and Freddie Mac
   3. Civil Lawsuits
      a. In re 2008 Fannie Mae ERISA Litigation
         1. 2008-10-23 Moore v FNMA Comp Committee ERISA
         2. 2008-11-25 Gwyer v. FNMA Comp Committee ERISA
         3. 2009-05-15 Pretrial Order Consolidation
      b. In re Fannie Mae 2008 Securities Litigation
         1. 2008-09-08 Demand for Jury Trial
         2. 2010-01-20 Stipulation and Order
      c. In re Fannie Mae Securities
         1. 2004-09-23 In re Fannie Mae Securities Litigation Docket
         2. 2006-08-14 Consolidated Civil Action
         3. 2006-12-12 Fannie Mae v KPMG
      d. In re G-Fees Antitrust Litigation
         1. 2005-08-05 Consolidated Class Action Complain
         2. 2009-06-25 Order of Dismissal
      e. Kellmer v. FNMA
         1. 2004-12-01 Complaint
         2. 2004-12-01 Kellmer v. FNMA Docket
      f. Fannie Mae Research Files Index
   4. FHFA ROEs
      a. 1999 Report of Examination-FNM
      b. 2000 Report of Examination-FNM
      c. 2001 Report of Examination-FNM
      d. 2002 Report of Examination-FNM
      e. 2003 Report of Examination_Memo-FNM
      f. 2004 Report of Examination-FNM
      g. 2005 Report of Examination-FNM
2006 Report of Examination-FNM
i. 2007 Report of Examination-FNM
j. 2008 Report of Examination-FNM

5. Financial Analysis
   a. Segment Analysis

6. Research Reports
   a. 2004-11-14 JPM-FNM-Fortune Article Critique
   b. 2007-11-12 Citi-FNM-1Q to 3Q07
   c. 2007-11-13 GS-FNM-1Q to 3Q07
   d. 2008-01-22 CS-FNM-GSEs subprime exposure
   e. 2008-02-25 FBR-FNM-Downgraded to Underperform
   f. 2008-02-27 CITI-FNM-4Q07
   g. 2008-02-28 GS-FNM-4Q07
   h. 2008-03-19 GS-FNM-OFHEO lowers capital req
   i. 2008-04-08 GS-GSEs_Credit Remains our Concern
   j. 2008-05-06 CS-FNM-1Q08
   k. 2008-05-07 GS-FNM-1Q08
   l. 2008-06-25 GS-GSE Update
   m. 2008-07-14 GS-GSEs-Treasury assistance
   n. 2008-08-08-CS-FNM-2Q08pt1
   o. 2008-08-08-CS-FNM-2Q08pt2
   p. 2008-08-08-PJ-FNM-2Q08
   q. 2008-08-28 ML-FNM-Management Changes
   r. 2008-09-07 CS-FNM-Government Conservatorship
   s. 2008-09-08 ML-GSEs-Conservatorship
   t. 2008-10-02 CS-FNM-Oct08Update
   u. 2008-10-09 BC-FNM-Oct 08 update
   v. 2008-11-11 CS-FNM-3Q08
   w. 2008-11-12 FBR-3Q08
   x. 2009-01-26 CS-FNM-4Q08
   y. 2009-02-18 MT-FNM-Mtge plan to wipe out equity
   z. 2009-02-27 MT-FNM-4Q08
   aa. 2009-05-11 KBW-FNM-1Q09
   ab. 2009-05-19 MT-FNM-1Q09
   ac. 2009-07-02 KBW-GSEs raise refinance LTV limit to 125 from 105
   ad. 2009-08-20 KBW-FNM-2Q09
   ae. 2009-08-31 FBR-GSEs trade up but no fundamental value
   af. 2009-10-19 GSE-Government Exit Strategy

7. SEC Filings
   a. FNM 2Q2009 10Q
   b. FNM 3Q2009 10Q

8. 2005-6-27 Strategic Crossroads Presentation
9. 2007-3-2 Freddie Mac Business Strategy
10. 2008-03-19 OFHEO Press Release - re Increase Mortgage Mkt Liquidity
11. 2008-09-06 FHFA Fannie Mae Conservatorship Memo
12. 2008-09-06 FHFA Freddie Mac Conservatorship Memo
13. Fannie Mae Research Files Index
14. Scanned001
15. Scanned001

N. Federal Reserve Investigative Report
   1. Fed Prelim Investigative Report
   2. FRB Fair Lending Referrals to DOJ -- Notes on 3 Cases
   3. Greenspan -- Additional Proposed Questions
   4. Greenspan -- Proposed Questions
   5. Greenspan Quotes
   6. Questions for Greenspan Interview
Questions for Sandra Braunstein Interview

Government Assistance
1. 01-13-FannieFreddie[1]

HEARING 2&3 FOLLOW-UP
1. Follow-up Letters
   a. 2010-06-03_Ltr to D Mudd Re Follow-Up Questions to 4-9-10 Hearing
   b. 2010-06-03_Ltr to R Levin Re Follow-Up Questions to 4-9-10 Hearing
   c. 2010-06-04 Ltr to J Lockhart Re Follow-up Questions to 4-9-10 Hearing
   d. 2010-06-09_Ltr to A Greenspan Re Follow-Up Questions to 4-7-10 Hearing
   e. 2010-06-09_Ltr to Citi Re Follow-Up Questions to April 7-9 2010 Hearing
   f. 2010-06-09_Ltr to J Dugan OCC Re Follow-Up Questions to 4-8-10 Hearing
   g. 2010-06-09_Ltr to J Hawke OCC Re Follow-Up Questions to 4-8-10 Hearing

2. Responses to Follow-up
   a. 2010-06-17_D Mudd Response to 4-9-10 Hearing Follow-up Questions
   b. 2010-06-17_J Hawke Response to 4-8-10 Hearing Follow-up Questions
   c. 2010-06-17_R Levin Response to 4-9-10 Hearing Follow-up Questions
   d. 2010-06-23_A Greenspan Response to 4-7-10 Hearing Follow-up Questions
   e. 2010-06-23_Citi Response to April 7-9, 2010 Hearing Follow-up Questions

Hearing Transcripts
1. 2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]_FINAL
2. 2010-04-07 HEARING 2 & 3 TRANSCRIPT [DAY ONE]_FINAL
3. 2010-04-08 HEARING 2 3 TRANSCRIPT DAY TWO_FINAL
4. 2010-04-08 HEARING 2 3 TRANSCRIPT DAY TWO_FINAL
5. 2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]_FINAL
6. 2010-04-09 HEARING 2 & 3 TRANSCRIPT [DAY THREE]_FINAL

Memoranda
1. Previous Drafts
   a. 2010.01.12 Memo re Bondi Call with R. Brown (Citi counsel) - DRAFT
   b. 2010.01.12 Memo re Bondi Call with R. Brown (Citi counsel) - DRAFT KD
   c. 2010.01.15 Memo re Bondi Call with B. Karp, S. Buergel, R. Brown (Citi counsel) - DRAFT
   d. 2010.01.20 Memo re Bondi call (briefing by Citi counsel)
   e. 2010.01.22 Memo re Bondi Call with M. Macchioroli (SEC)
   f. 2010.01.22 Memo re Bondi Call with S. Buergel
   g. 2010.01.26 Memo re Bondi Call with S. Buergel

2. 2009-11-23 Memo re call with G. Theobold (Miami Chief of Police) - DRAFT
3. 2009-11-23 Memo re call with V. Spices of IL AG - DRAFT
4. 2009-11-24 Memo re call with C. Guzman - DRAFT
5. 2010-01-12 K Dubas memo re Bondi call with R Brown (Citi counsel)
6. 2010-01-15 K Dubas Memo re Bondi Call with B Karp, S Buergel, R Brown (Citi counsel)
7. 2010-01-19 K Dubas Memo re Bondi Call with L Morris (FTC)
8. 2010-01-19 K Dubas Memo re Bondi Call with S Forstein (SEC)
9. 2010-01-20 K Dubas Memo re Bondi call (briefing by Citi counsel)
10. 2010-01-21 K Dubas Memo re Bondi Call with L Morris (FTC)
11. 2010-01-21 K Dubas Memo re Bondi Call with S Schwab
12. 2010-01-22 K Dubas Memo re Bondi Call with S Buergel
13. 2010-01-26 K Dubas Memo re Bondi Call with S Buergel
14. 2010-02-01 D Noonan memo re Telecon with Federal Reserve re Citigroup
15. 2010-02-01 D Noonan memo re Telecon with OCC re Citigroup
16. 2010-02-02 K Dubas memo re Interview with Bill Arnold (Citi)
17. 2010-02-02 K Dubas memo re Interview with Janice Warne (Citi)
18. 2010-02-02 K Dubas memo re Interview with Kevin Murray and Joe Forlenza (Citi)
19. 2010-02-02 K Dubas memo re Interview with Matt Bollo (Citi)
20. 2010-02-02 K Dubas memo re Interview with Pete Steinmetz (Citi)
21. 2010-02-03 K Dubas memo re Interview with Dan Hoffman (Citi)
22. 2010-02-03 K Dubas memo re Interview with Ed Abufaris and Tony Tenore (Citi)
23. 2010-02-03 K Dubas memo re Interview with Fred Bolstad (Citi)
2010-02-03 K Dubas memo re Interview with James Ryan and John Schachtel (Citi)
2010-02-03 K Dubas memo re Interview with Phil Seares (Citi)
2010-02-03 K Dubas memo re Interview with Susan Mills (Citi)
2010-02-05 K Dubas memo re Bondi call with S Buergel and B Karp
2010-02-08 memo re B Bondi call w. S Buergel (status of requests)
2010-02-09 D Norman memo re SEC Citi Enforcement Team
2010-02-12 M Simhai Notes on Citigroup Conference Calls
2010-02-16 Memo re B Bondi call with Citi (B Karp & S Buergel) re second supplementary request
2010-02-17 B Bondi call with SEC (M Eichner) re broker-dealers
2010-02-17 K Dubas memo re Call with Citi whistleblower (D Bowen)
2010-02-23 K Dubas memo re Citi and CRA
2010-02-23 K Dubas tables for Citi and CRA memo
2010-02-24 D Norman memo re FINRA Citi Enforcement
2010-02-24 D Norman memo re SEC Enforcement of Citi CDOs
2010-02-25 Memo re B Bondi call with L Brown (Citi) re CRA REVIEWED
2010-03-01 Compensation Tables for Interrogatory Response No 7
2010-03-02 Memo re Testimony with Murray Barnes REVIEWED
2010-03-02 Memo re Testimony with Nestor Dominguez REVIEWED
2010-03-03 G Feldberg memo re interview with Hewko and Merriett (FRB) re accounting, capital
2010-03-03 Memo re Testimony with Randy Barker REVIEWED
2010-03-03 Team 2 Interview with Edward J. Kelly III
2010-03-04 Team 2 Interview with Brian R. Leach
2010-03-09 V Cunicelli memo re call with J. O'Reilly (New Century)
2010-03-10 Transcript of Interview with Tom Maheras (Condensed format)
2010-03-11 K Dubas memo re interview with Tom Maheras (Citi)
2010-03-11 K Dubas memo re interview with Tom Maheras (Citi)
2010-03-11 K Dubas memo re interview with Vikram Pandit REVIEWED
2010-03-11 Transcript of Interview with Robert Rubin (condensed format)
2010-03-12 K Dubas memo re Bondi call with S Buergel (clarification of interrogatories)
2010-03-16 V Cunicelli memo re call with D Lipshaw (New Century)
2010-03-17 K Dubas memo re interview with Chuck Prince
2010-03-17 Transcript of Interview with Charles Prince (condensed version)
2010-03-18 D Norman MFR re Interview with Ellen Bebe Duke REVIEWED
2010-03-19 K Dubas memo re interview with R Auerbach (New Century)
2010-03-19 V Cunicelli Memo re Interview of R Auerbach (New Century)
2010-03-22 REVIEWED MFR with Jeremy Burcham, former Ameriquest and other lenders
2010-03-23 G Feldberg MFR re interview with Fed’s Alvarez
2010-03-26 DRAFT MFR Dan Sokolov
2010-03-26 DRAFT MFR Glenn Canner
2010-03-29 REVIEWED Sheila Bair, FDIC
2010-03-31 K Dubas memo re Interview with Alan Greenspan
2010-04-01 G Loney MFR
2010-04-01 Transcript of Interview with David Bushnell (condensed version)
2010-04-06 Richard Bitner Q and A from website
2010-06-10 Memo re Bondi phone call with Buergel and Karp re requests
2010-06-29 FE894F73
2010-06-29 FNMA Memo Insert 1
2010-06-30 Mortgage Fraud Timeline
2010-06-30 Timeline - Fed Panel
U. Research
   1. CRA
      a. CRA and Citi Nexis Search
      b. CRA Binder Cover
      c. CRA possible data sites
      d. FR Neil Bhutta - Giving Credit where Credit is Due, the CRA and Mortgage Lending in LI Neighborhoods
      e. FR Staff Analysis of the Relationship between the CRA and the Subprime Crisis
      f. FRBSF & FRB Boston - Revisiting the CRA, Perspectives on the Future
      g. Harvard Joint Center for Housing - The 25th Anniversary of the CRA, Access to Capital in an Evolving
      h. NCRC - CRA Commitments
         i. NCRC Testimony - An Examination of Civil Rights Issues with Respect to the Mortgage Crisis 2009.03.2
         j. NCRC Testimony - The CRA, Thirty Years of Accomplishments 2008.02.13
      k. Treasury - The CRA after Financial Modernization
   2. SEIU Big Bank Profile - Citigroup

V. Testimony
   1. 2010-04-08 Hearing Transcript FINAL
   2. 2010-04-08 Hearing Transcript FINAL (copy)
   3. A. Bitner Testimony
   4. B. FCIC Testimony Dick Bowen (04-07-2010)
   5. Bowen FCIC Testimony April 7 2010 DRAFT
   6. C. FCIC Testimony of Patricia Lindsay (04-07-2010)
   7. D. Susan Mills
   8. E. Murray Barnes
   9. F. Nestor Dominguez
   10. FCIC Testimony of Patricia Lindsay (04-07-2010)
   11. G. David Bushnell
   12. H. Thomas Maheras
   13. I. Charles Prince
   14. J. FCIC Testimony of Robert Rubin (04-08-2010)
   15. JBLtestimonytoFCICv3[1]
   16. Mudd FCIC Testimony

W. Witness Files
   1. Bolstad, Fred
      a. Nexis Search
   2. Bowen, Dick
      a. 2005-2006 CMSI Non-Affiliated Originators
      b. 2006-2007 Unofficial Consumer Lending Group Organization Charts
      c. 2008-04-30 Complaint under Section 806 of SOX
      d. 2008-09-28 Confidential WSJ Commentary
      e. 2010-02-27 Team 2 Interview with Dick Bowen
f. Bowen_SOX_Complaint_w_Attachment

g. List of Citi Mortgage Securitizations

h. SEC Bowen Complaint Part II

i. SEC Bowen Transcript Part I

3. Pandit, Vikram

   a. Nexis Search Results

4. Plugues, Michael

   a. 2 Dec 2009 Telephone Interview of Michael Plugues (AHM)

5. Prince, Charles

   a. Public Statements - Charles Prince

6. Robert Rubin

   a. Rubin Public Statements

7. Warne, Janice

   a. Warne Nexis Search

8. 2010-04-15 Update to Witness List

9. 2010-04-16 H2 Interview List Update

10. Citi Witnesses BB

11. Witness List

X. a) Investigative Findings on Citigroup's Banking Supervisors - OCC

   b) FCIC_Investigative_Findings_OCC_Preemption_DRAFT

   Z. Commissioner follow up requests Hearing 2 panel 1-3

AA. C-Transcript-2001-07-16T14_00

AB. C-Transcript-2001-12-19T13_15

AC. C-Transcript-2002-04-15T14_30

AD. C-Transcript-2002-05-21T21_05

AE. C-Transcript-2002-05-29T15_00

AF. C-Transcript-2002-07-17T14_00

AG. C-Transcript-2002-10-15T12_30

AH. C-Transcript-2002-12-09T15_30

AI. C-Transcript-2002-12-23T13_00

AJ. C-Transcript-2003-01-21T19_00

AK. C-Transcript-2003-01-23T15_00

AL. C-Transcript-2003-04-14T15_00

AM. C-Transcript-2003-07-14T15_00

AN. C-Transcript-2003-07-15T21_00

AO. C-Transcript-2003-07-16T13_00

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AQ. C-Transcript-2003-10-20T14_00

AR. C-Transcript-2003-11-10T21_00

AS. C-Transcript-2003-11-18T17_00

AT. C-Transcript-2004-01-20T17_15

AU. C-Transcript-2004-01-22T14_00

AV. C-Transcript-2004-02-10T20_00

AW. C-Transcript-2004-03-29T18_00

AX. C-Transcript-2004-04-15T14_00

AY. C-Transcript-2004-05-10T12_30

AZ. C-Transcript-2004-06-02T12_00

BA. C-Transcript-2004-07-15T14_00

BB. C-Transcript-2004-07-20T14_00

BC. C-Transcript-2004-10-14T12_00

BD. C-Transcript-2004-12-08T13_30

BE. C-Transcript-2005-01-20T13_00

BF. C-Transcript-2005-01-31T16_00

BG. C-Transcript-2005-02-11T13_00

BH. C-Transcript-2005-03-31T17_30

BI. C-Transcript-2005-04-15T12_00
H2 Follow up questions to Fannie Mae & OFHEO

Hearing 2-3 Docs Tracker

mortgage fraud report summary insert

OFHEO systemic risk report 2003
A. Articles

1. Hearing Invitations (Drafts)
   a. 2010-06-14 Hearing Invite to Martin Sullivan
   b. 2010-06-14 Hearing Invite to Robert Lewis
   c. 2010-06-15 Hearing Invite to Steven Bensinger
   d. 2010-06-18 Hearing Invite to Eric Dinallo
   e. 2010-06-18 Hearing Invite to Gary Gensler
   f. 2010-06-22 Hearing Invite to Andrew Forster
   g. 2010-06-22 Hearing Invite to Joseph Cassano
   h. 2010-06-22 Hearing Invite to Michael Greenberger
   i. 2010-06-22 Hearing Invite to Michael Masters
   j. 2010-06-22 Hearing Invite to Peter Kyle
   k. 2010-06-22 Hearing Invite to Steve Kohlhagen
   l. 2010-06-22 Hearing Invite to Clarence Lee
   m. 2010-06-23 Hearing Invite to David Broderick
   n. 2010-06-23 Hearing Invite to David Lehman
   o. 2010-06-23 Hearing Invite to David Viniar
   p. 2010-06-23 Hearing Invite to Gary Cohn
   q. 2010-06-23 Hearing Invite to John Reich
   r. 2010-06-24 Hearing Invite to Elias Habayeb

2. Hearing Invitations (Final)
   a. 2010-06-14 Hearing Invite to Martin Sullivan
   b. 2010-06-14 Hearing Invite to Robert Lewis
   c. 2010-06-15 Hearing Invite to Steven Bensinger
   d. 2010-06-18 Hearing Invite to Eric Dinallo
   e. 2010-06-18 Hearing Invite to Gary Gensler
   f. 2010-06-22 Hearing Invite to Andrew Forster
   g. 2010-06-22 Hearing Invite to Joseph Cassano
   h. 2010-06-22 Hearing Invite to Michael Greenberger
   i. 2010-06-22 Hearing Invite to Michael Masters
   j. 2010-06-22 Hearing Invite to Peter Kyle
   k. 2010-06-22 Hearing Invite to Steve Kohlhagen
   l. 2010-06-23 Hearing Invite to Clarence Lee
   m. 2010-06-23 Hearing Invite to David Broderick
   n. 2010-06-23 Hearing Invite to David Lehman
   o. 2010-06-23 Hearing Invite to David Viniar
   p. 2010-06-23 Hearing Invite to Gary Cohn
   q. 2010-06-23 Hearing Invite to John Reich
   r. 2010-06-24 Hearing Invite to Elias Habayeb

C. Financial Trend Analysis
   1. GS Securitizations
      a. GS Securitizations Jan 06 to present
   2. AIG_Trend Analysis
   3. Goldman_Income Statement - revised
   4. Goldman_Trend Analysis
   5. GS Mortgage Trading Exposure
   6. Moody's Reinvestment Strategy
   7. segment descriptions

D. Follow-Up

E. Goldman
   1. ABACUS case study
   2. Amplification of Losses in Synthetic CDOs
   3. Goldman Sachs RMBS, CDOs and Synthetic CDOs 12-13-06 to 12-2008
   4. GS Synthetic CDOs Chart
5. GS-1Q07-earnings release
6. GS-1Q08-earnings-releases
7. GS-1Q09-earnings
8. GS-2Q07-earnings-releases
9. GS-2Q08-earnings-release
10. GS-2Q09-earnings-release
11. GS-3Q07-earnings-release
12. GS-3Q08-earnings-press release
13. GS-3Q08-earnings-release
14. GS-3Q09-earnings-release
15. GS-4Q07-earnings-release
16. GS-4Q08-earnings-release
17. RMBS and CDO League Tables (2002-09)

F. Hearing 5 Follow-Up
   1. Follow-Up Letters
      a. 2010-08-23 Follow-up Letter to Gary Gensler
      b. 2010-08-23 Follow-up Letter to M Greenberger
   2. Hearing 5 Response Grid

G. Material for Background Briefing Binder
   1. 2010-04-09 Interview notes - Michael Greenberger - Derivatives
   2. 2010-05-14 C Seefer interview of Gary Gensler (3) (copy)
   3. 2010-05-14 MFR Gary Gensler Interview
   4. 2010-05-24 Summary of Interview with S Kohlhagen
   5. 2010-06-10 MFR of Interview with Masters
   6. 2010-06-10 MFR of Interview with Pete Kyle
   7. 2010-06-15 MFR of Interview with Bob Lewis
   8. 2010-06-23 MFR of Interview with D Lehman
   9. 2010-06-23 MFR of Interview with D Lehman
  10. 2010-06-25 Draft PIR on AIG
  11. 2010-06-25 Draft PIR on Goldman Sachs
  12. 2010-06-27 Suggested Hearing Questions by Panel
  13. 7-1-2010 Collateral Call Timeline
  14. a. Key Quotes - Gary Gensler
  15. a. Witness Bios - AIG
  16. a. Witness Bios - AIG and Goldman
  17. a. Witness Bios - AIG and Goldman
  18. a. Witness Bios - AIG and Goldman
  19. a. Witness Bios - Experts
  20. a. Witness Bios - Goldman Sachs
  21. AIG Risk Management
  22. AIG-Goldman Sachs Collateral Call Timeline & Supporting Docs
  23. b. 2010-05-14 Transcript of Interview of Gary Gensler
  24. Collateral Call Timeline - for the record
  25. CONFIDENTIAL Collateral Call Timeline for internal use only
  26. e. 2010-06-15 Summary of Interview with Robert Lewis
  27. Habayeb quote
  28. Key Quotes - Andrew Forster
  29. Key Quotes - Andrew Forster
  30. Key Quotes - Craig Broderick
  31. Key Quotes - David Viniar
  32. Key Quotes - Eric Dinallo
  33. Key Quotes - Gary Cohn
  34. Key Quotes - Joe Cassano
  35. Key Quotes - Michael Greenberger
  36. Key Quotes - Michael Masters
  37. Key Quotes - Robert Lewis
38. Key Quotes - Steve Bensinger
39. MFR of Interview with Pete Kyle
40. Suggested Hearing Questions
41. Summary of AIG 12-5-07 Statements for Hearing

H. Memoranda

1. Derivatives Background Research
   a. 18 Mar 2009 - Appendix to Transcript - House Financial Services Subcommittee Hearing re AIG
   b. 18 Mar 2009 - E. Liddy testimony - House Financial Services Subcommittee
   c. 18 Mar 2009 - Transcript - House Financial Services Subcommittee Hearing re AIG
   d. 23 Oct 2008 - A. Greenspan Testimony - House Oversight Committee
   e. 23 Oct 2008 - Transcript - House Oversight Comm Hearing (with Greenspan)
   g. 28 Jul 2009 - WSJ - (CFTC Reverses Position) - Traders Blamed for Oil Spike - WSJ.com
   h. AIG fiduciary responsibility case 2009
   i. CFTC Concept Release - Fed Reg - 12 May 1998
   k. IMF - The Influence of Credit Derivatives and Structured Finance on Financial Stability - April 2006
   l. Investors’ Working Group Report (July 2009)
   m. Jul 2008 - CFTC - Interim Report on Crude Oil
   n. mengle, cds overview
   o. NPR
   p. RE- Information Needed for Derivatives Hearing

2. 2010-04-22 REVIEWED, Jamie Mai & Ben Hockett, Cornwall Capital
3. 2010-04-28 Goldman Insert to Briefing Memo (copy)
4. 2010-05-17 DRAFT H5 Goldman Memo
5. 2010-06-10 Draft Exec Summary of AIG Investigative Report
6. 2010-06-14 Draft Goldman Memo
7. 2010-06-15 Draft Goldman Executive Summary Preliminary Investigative Report
8. 2010-06-15 Exhibits to Draft Goldman Memo Summary
9. 2010-06-20 Draft Goldman Summary Preliminary Investigative Report
10. 2010-06-27 Risk Management Failures summary for Hearing
11. Draft Briefing Memo re AIG
12. Goldman Executive Summary Preliminary Investigative Report
13. Memo re Goldman’s CDS Protection and other Hedges Against AIG

I. Organizational Charts

1. AIG Org Chart

J. Witness Files

1. Das Satyajit
   a. derivativevestango
   b. risk-talesofleverage(july2009)
   c. sdas-biography(professional)
   d. Tranquillisersolutions
   e. wilmott-cdsquantumhedge(july2009)
   f. wilmott-creditcrunch-part1(jan2008)
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   h. wilmott-creditcrunch-part2(march2008)
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L. 2010-06-16 Transcript of Lloyd Blankfein Interview
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O. AIG Document Request Chart
P. AIG-Goldman Sachs Collateral Call Timeline & Supporting Docs [FCIC]
Q. Draft Cohn transcript

XXIV. Market Risk Survey
A. Broker-Dealers
   1. BrokerDealer_ScheduleA
   2. BrokerDealer_ScheduleB

B. Extensions
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   2. Email - Schedule B
   3. FW FCIC request
   4. FW FCIC Survey
   5. FW Financial Crisis Inquiry Commission Industry Survey
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   7. FW Financial Crisis Inquiry Commission Survey
   8. FW response to surveys
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20. RE Oakmark Survey
21. RE Request for Extension
22. RE Response to Survey Forwarded by ICI
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      a. Revised hedge fund survey calculations used in FCIC report
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   4. Mark to Market Survey Response Spreadsheet
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      c. BAC Sched B
      d. Barclays Sched B Cover Letter
      e. Barclays Sched B Leverage POC sheet
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      g. BNP Paribas Securities Corp. Response to FCIC Industry Survey
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         k. Citigroup Broker Dealer Sched A
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      m. Credit Suisse Response to Broker Dealer Survey
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      o. Daiwa
      p. Deutsche - Broker Dealer Schedule A
      q. Email - FCIC Schedule B Submission - Multiple Companies
      r. Email - FCIC Survey - Sec Lending
      s. Email - Financial Crisis Inquiry Commission (FCIC) - Schedule B - MetLife
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      u. Email - RE Response to FCIC Mutual Fund Industry Survey
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f. Legg Mason and Royce Data
g. Morgan Stanley Data
h. RBC Data
i. RBS Data
j. T Rowe Price Data
k. UBS Data
l. Vanguard Data

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K. Survey Precedents
   1. Dec-09 European Repo Market Survey
   2. ICMA European Repo Survey

L. Contacts for Market Risk Survey

M. Counterparty Exposure for Repurchase Agreements with Lehman

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Q. Market Exposure Survey

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9. Additional Witness Bios
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12. Key Quotes - Brian Clarkson
13. Key Quotes - Eric Kolchinsky
14. Key Quotes - Gary Witt
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19. Moody's Org Chart
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   2. CRA Hearing follow up Question 17
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   4. McClesky Scott
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   7. Warren Buffett Moody's Holdings
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   g. South Coast Funding Flipbook
   h. South Coast Funding Letter

4. 2010-09-01 Notes from SEC Call re CDO Investigations & Merrill
5. Draft Merrill Lynch PIR
6. Insert to Merrill PIR RE Charts for CDO Performance
7. Merrill 2006 Board minutes review
8. Merrill 2007 Board minutes Review
9. Merrill 2008 Board minutes review
10. Merrill Request Chart
11. Merrill Selected Financial Data & Leverage Metrics Charts
12. ML Draft Org Chart

XXIX. Whistleblowers Public Respondents
   A. Cohen email with Rutherford & CII letters
   B. Cohen Interview notes 1
   C. Dost Interview 1
   D. Dost subprime complaint
   E. Dost subprime complaint 2

XXX. Research
   A. Admin and Planning
      1. 2010-08-19 work plan
      2. Action Plan 20100614
      3. Interesting Firms
   B. Contacts and Instructions
      1. administrative_information
      2. Do Not USE Commissioner Instructions for Accessing Reading Lists in NetDocuments
      3. FCIC Staff Guide to the Research Library
      4. fcic_staff_roster
      5. Full FCIC Contact List
      6. NetDocuments Quick Reference
      7. PSR - Template
      8. Research File System Instructions
      9. Staff Instructions for Accessing Reading Lists in Netdocuments
   C. Data and Charts
      1. 4 Economic Crisis
         a. Credit Crunch
            1. Bernanke_Lowen-Credit Crunch- 1991
            2. Clair-Whats a Crunch-1993
            3. Credit_Sep_Oct1992
            4. EXCRESNS
            5. Owens- ID Crunches-1993
            7. St Fed- Horse to Water
            8. Valencia-Crunches-2008
10. What is a Credit Crunch

b. International Economic Impact
   1. acharya_schnabl
   2. Chronology Economic Financial Crisis
   4. Country Case Studies_v5_ANM_08.12.10
   5. Credit_Lost_-_summary_of_the_report_118-206545
   6. Cross_border_banking_and_the_international_transmission_of_financial_distress
   7. EU Commission Financial Crisis Report
   8. ifdp994
   9. IMF_2010
   10. kamin_pounder

11. Spain Dynamic Provisioning and Other Tools_BBVA
12. Spain Dynamic Provisioning_World Bank
14. turner_review

c. affordability

d. Almeida_etal_NBER(2010)
e. campello_jfe(2010)
g. Corporate_Bonds
h. Duchin_etal_JFE(2010)
i. FDIC Failed Banks
j. FRBP-Coincident State Indicator
k. Ivashina_scharfstein_JFE(2010)
l. Unemployment rate

2. Credit Rating Agencies
   a. CDO Market Share by ABAlert
   b. RMBS_correlation_upg_dng_v2
   c. Upg_dng_US_33_RMBS

3. Derivatives
   a. CDOs
      1. ABAlert - All ABS deal level data
      2. AK - FCIC LehmanLive 2010 Update
      3. AK - FCIC LehmanLive Original
      4. AK - Open Source Model v 1
      5. Bloomberg - Issuance level CDO 2001 to 2010 in USD
      6. RE- CM Alert
      7. US synthetic ABS CDO - Thomson Reuters
   b. Credit Derivatives PSR
      1. ABX charts for Kim
      2. AIG exposures in Citi data
      3. CLEANED CITI DATA
      4. derivatives mengle tables
      5. Synthetic CDO Diagram

4. Monolines
   a. Individual Monoline Yearly Reports
      1. Reinsurers
         a. ACEGuarantyReInc 2001
         b. ACEGuarantyReInc 2002
         c. ACEGuarantyReInc 2003
         d. RadianGuarantyInc - 2003
         e. RadianGuarantyInc - 2004
         f. RadianGuarantyInc - 2006
         g. RadianGuarantyInc - 2007
5. Shadow Banking
   a. Moody's ABCP Program Index
      1. Moody's ABCP program index as of 30 Jun 2007
      2. Moody's ABCP program index as of 30 Sep 2007
      3. Moody's ABCP program index as of 31 Mar 2007
   b. 3M TED Spread
   c. ABCP Conduit Figure
   d. CraneData - Money Fund Intelligence - MMF family rankings
   e. Financial Intermediation Figure
   f. FRB CP volumes chart
   g. FRB CP yields chart
   h. FRB Z1 - Financial Assets Chart
   i. FRB_CP Outstandings
6. **Subprime Lending and Securitization**

   a. **Bank Supervision and Regulation**
      1. Other fact checking
         a. 2008 FDIC AR
         b. 2008 Fed AR Excerpt
         c. 2009 OCC AR
         d. 2009 OTS AR
      2. Unused and Duplicates
         a. Data for Tables 1 and 3 in Bank Sup PSR
      3. 2008 FBI Mortgage Fraud Report
      4. Data for Tables 1 and 3 in Bank Sup PSR
      5. FDIC ILCs for Fed PSR
      6. FinCEN Mortgage Fraud Chart
      7. PSR on Fed - Figure 2
      8. Supervision Diagram for Fed PSR

   b. **GSEs**
      1. fannie_5_yr_credit_spreads_over_treasury
      2. fannie_freddie_assets_and_mortgage_backed_securities
      3. fannie_freddie_mkt_capitalization
      4. fannie_freddie_new_business_as_share_of_originations
      5. fannie_freddie_total_assets_and_mbs_outstanding
      6. fannie_mae_comparative_returns (bloomberg)
      7. fannie_mae_cram_data
      8. fannie_single_family_cumulative_default_rate
      9. freddie_mac_comparative_returns (bloomberg)
      10. GSE Swap Diagram for Stanton
      11. gse_actual_low_and_mod_income_goal_performance
      12. gse_single_family_credit_guaranty_business

   c. **Securitization**
      1. Lehman - who holds residential credit risk (original copy)
      2. Lehman who holds private label MBS
      3. origination diagrams
      4. PSR on securitization data
      5. top_gse_bank_thrift_investors_in_non_agency_mbs_in_2007
      6. top_non_agency_mbs_sponsors_in_2006
      7. z1_data HOLDERS of mbs_cdo_debt (psr - securitization)

   d. **US Mortgage Markets and CRA**
1. data_used_in_psr  
   a. homeownership_rates_for_US_and_regions  
   b. house_price_appreciation_by_selected_states (FHFA)  
   c. inflation_adjusted_home_prices (shiller)  
   d. nominal_home_prices (S&P-FHFA-FirstAm)  
   e. originations_of_mortgages (IMF)  
   f. sand_state_foreclosure_delinquency_data (MBA_NDS)  
2. Copy of Sand State Data from NDS  
3. fcic_nonagency_rxb  
4. FHA_VA_90.FCInv_SD  
5. HMDA_originations 1990-2008  
6. house_price_appreciation_by_selected_states  
7. IMF_originations  
8. IMF_originations  
9. main_fed_housing  
10. prices_intl_House_price_indices (nominal and real+average)  
11. prime_servicing_data_rxb  
12. Sand State Data from NDS with Graphs Sheet 2  
13. Sand State Data from NDS with Graphs Sheet 2_v2  
e. Copy of Foreclosures started for FCIC  
f. mayer_international_house_prices  
7. affordability  
8. US Housing Starts  
D. Drafts  
1. Credit Rating Agencies  
   a. Plans  
      1. Credit Rating Agencies Reading List  
      2. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP  
      3. FCICHearings_H5A_Credit_Rating_Agencies RIP - 2010-03-02 am  
      4. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP - 3_02 10pm  
      5. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP - 3_03  
      6. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP - 3_03  
      7. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP - 3_03 with tracked changes  
      8. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP - 3_09  
      9. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP - 3_11  
     10. FCIC_Hearings_H5A_Credit_Rating_Agencies RIP 3 02  
      11. H5 outline 2 24  
   b. Preliminary Staff Reports  
      1. RA_RIR_outline 508  
2. Derivatives  
   a. Plans  
      1. FCIC_Hearings_H5_Derivatives RIP  
      2. Research Outline  
   b. Preliminary Staff Reports  
      1. Derivatives plan staff report and research  
      2. Derivatives plan staff report and research - 0429  
      3. derivatives_psr (part I & II)  
      4. Derivatives PSR B - 613  
      5. Derivatives PSR B - 615  
      6. Derivatives PSR B - Credit Deriv, esp Mtgg Related  
      7. derivatives_overview_psr_6 25  
      8. derivatives_psr_6 17  
      9. derivatives_psr_credit_derivatives - Jun 15 - wme 6 16 ghf 6 16 clean  
     10. derivatives_psr_credit_derivatives - Jun 17- kls  
     11. derivatives_psr_credit_derivatives - Jun 20
12. derivatives_psr_credit derivatives - Jun 21 clean
13. derivatives_psr_credit derivatives - Jun 25
14. derivatives_psr_credit derivatives - Jun 25 800 am
15. derivatives_psr_credit derivatives - Jun 25 800 am
16. PSR - Derivatives and the Financial Crisis
17. PSR - Derivatives and the Mortgage Crisis

3. Excess Risk and Speculation
   a. ERS Research and Investigative Plan_2010-06-24
   b. ESR Research and Investigative Plan
   c. ESR Research and Investigative Plan 0522

4. Governance

5. Hearing 1

6. Macroeconomic Factors
   a. Plans
      1. macroeconomic research plan
   b. Preliminary Staff Reports
      1. Draft Reading List for Macro

7. Magic
   a. 2007-04 New Century Financial Counterparties
   b. CMLTI 2006 NC2 Performance Moodys June 30, 2010
   c. cmli_2006_nc2_prospectus
   d. FNM Term Sheet for A1
   e. magic_data_description
   f. Magic_loans_summary
   g. Pages from CITI-FCIC 00110379-00112160

8. Magic - Final Doc Set
   a. August 2006 Loan sale to Citi corr
   b. Broker info - cmli_loans_matched_Oct28 (no bates-see e-mail
   c. Broker info e-mail
   d. Citi August 29 2010 Loan Sale
   e. cmli_2006_nc2_prospectus (Bloomberg-no bates)
   f. DD Accepts (CITI-FCIC 00122232)
   g. DD Additional (CITI-FCIC 00112161)
   h. DD Rejects (CITI-FCIC 00122231)
   i. Fannie Term Sheet (FM-FCIC-2_00004763.pdf)
   j. Guaranty - Executed
   k. JPMC Sec Lending (2010-11-16 JPMorgan (E Goldstein) Itr to C Seefer re Doc Production)
   l. Mexx Investors (Letter 11 4 2010 (2)
   m. Moody's Invoice (MOODYS-FCIC-0394813-0394814)
   n. Morgan 2nd Amended & Restated Guaranty
   o. Morgan Master Loan and Security Agreement
   p. Pooling and Svcing Agreement (CITI-FCIC 00110379-00112160)
   q. S& P Rating Docs (S& P-FCIC 0017730-0018495)
   r. Senior Investors (Citi Letter 10 14 2010 (2))

9. Shadow Banking
   a. Plans
      1. H4 outline
      2. H4 outline 2 12
      3. H4 outline 2 12
      4. SB Development Doc
      5. Shadow Banking RIP and hearing draft
      6. Shadow Banking RIP wme-1
      7. Shadow Banking RIP wme-1
      8. shadow_bankruptcy_reading_list
      9. Shadow_Banking_RIP and hearing draft
      10. Shadow_Banking_RIP_and_hearing_draft 03-04
11. Shadow_Banking_RIP_and_hearing_draft 03-04
12. Shadow_Banking_RIP_and_hearing_draft 03-09kls
13. Shadow_Banking_RIP_and_hearing_draft 03-11
14. Shadow_Banking_RIP_and_hearing_draft 03-11 wme
15. Shadow_Banking_RIP_v2

b. Preliminary Staff Reports
   1. PSR - Shadow Banking
   2. PSR - Shadow Banking_0417
   3. PSR - Shadow Banking_0417_2
   4. PSR - Shadow Banking_0421
   5. PSR - Shadow Banking_0426
   6. PSR - Shadow Banking_0428
   7. Shadow_Banking_PSR_Outline

10. Subprime Lending and Securitization
    a. Plans
    b. Preliminary Staff Reports
    c. [Untitled]
    d. [Untitled]
    e. AAA_Reading_List_Housing
    f. AAA_Reading_List_Housing_primary
    g. Fannie Freddie execs knew of risks WSJ 12-2008
    h. Fannie ignored risks 08-2008
    i. Fannie Mae 6 theories
    j. FHFA selected historical data on Fannie Mae to 2008
    k. housing outline v3
    l. housing_bib_v1
    m. housing_bib_v2
    n. housing_bib_v3
    o. housing_outline_v2
    p. mort compare
    q. overview --- fannie_mae
    r. PSR - Recent Experience in US Mortgage Market 3 29
    s. PSR The Role of the FR in BS& R
    t. PSR The Role of the FR in BSR version 5 - inc mortgage gfh
    u. PSR The Role of the FR in BSR version 5 - inc mortgage gfh wme
    v. PSR, CRA
    w. PSR, Fed regulation of housing
    x. PSR, Recent Experience in US Mortgage Market
    y. PSR, Recent Experience in US Mortgage Market 3 27
    z. PSR, Securitization and the Financial Crisis
    aa. Staff report, Secondary market
    ab. Staff report, Secondary market, with extra material on agency MBS
    ac. Wendy Note -- Tom, Ron and Shaista, please read

11. TBTF
    a. TBTF Research and Investigative Plan
    b. TBTF Research and Investigative Plan_2010-06-24

E. Interview Notes
   1. Background Interviews
      a. Derivatives
         1. 2010-03-16 Interview notes - FRBNY Lubke - Background sources
      b. Shadow Banking
         1. 2010-03-25 Interview notes - FRBNY Coffey - Background sources
         2. 2010-03-26 Interview notes - FRBNY Peters and Davis - Background sources
   2. MFRs
      a. 2010-03-30 G Feldberg MFR re interview with Pat Parkinson
      b. 2010-03-31 G Feldberg MFR re interview with Norah Barger
c. 2010-4-14 REVIEWED Jane D'Arista

3. Interview with Sean Dobson - 28 Apr 2010

F. Library Inbox

1. Data
   a. Data Update 1
   b. Excel file

2. Emails
   a. (no subject)
   b. 2001 rule -- rating agencies and capital standards
   c. Article re Home Owners Loan Corporation
   d. Background information on efforts to stem foreclosures
   e. Background information on efforts to stem foreclosures
   f. catching up from PIIE
   g. DATA!!!!!!!!
   h. FW-
      i. FW-
      j. FW-
      k. FW-
      l. FW- August 9, 2007
   m. FW- Bank Failures and Suspensions in the US
   n. FW- Blog List
   o. FW- Certainly worth looking into-similar to the Goldman scam
   p. FW- CFA Documents
   q. FW- Credit Rating Agencies
   r. FW- electronic introduction
   s. FW- Fannie and Countrywide engagement plan
   t. FW- Fannie Request letter and indices
   u. FW- FAS 157
   v. FW- Financial Crisis Panel
   w. FW- Financial Institution Self-Assessments
   x. FW- Follow up
   y. FW- Freddie emails and indices
   z. FW- From Mitch- 'A Race to the Bottom'- Assigning Responsibility for the Financial Crisis
aa. FW- From Mitch- Causes of the Financial Crisis
ab. FW- From Mitch- Understanding the Credit Crisis of 2007 to 2008
ac. FW- FT Jan 16 -- US regulators subpoena big banks over CDOs
ad. FW- Fwd- Brad, Year-End Foreclosure Report released
ae. FW- GAO Question
af. FW- GAO Question
ag. FW- Initial recommended list of former Regulators, FBI and Industry Experts for informal phone inter
ah. FW- Letter & Corporate Governance, by Robert Monks
ai. FW- Losses from Subprime and Alt-A mortgages
aj. FW- More stuff from Lehman
ak. FW- must read -- note the key phrase -- banks intermediate the trade deficit
al. FW- must read from Simon Johnson on the structure of the financial system and the need for meaningfu
am. FW- NCRC Subprime studies
an. FW- Paper re Management and the Financial Crisis
ao. FW- Papers and data on housing
ap. FW- Sample Timeline Graph
aq. FW- SEC Charges State Street for Misleading Investors About Subprime Mortgage Investments
ar. FW- Short Paper on Basel I and II
as. FW- Special Report on AIG Cover-Up
at. FW- Strongin Reports- GSCO
au. FW- Testimony before the Commission
av. FW- Triggers memo with exhibits
aw. FW- US Burry Story Betting against Subprime 10
ax. FW- Willen Report (Federal Reserve Bank of Boston)
ay. FW- WSJ - Examiner- Lehman Torpedoed Lehman ...300 pages alone to balance-sheet manipulation...Repo 1
az. FW- WSJ.com - Prosecutors Are Poised to Impanel AIG Grand Jury
ba. FW- Yale economist paper on crisis (including role of otc derivatives)
b. Fwd- #21 Kubik 300 190 0483 (Financial Package-Complaint) Follow Up
bc. Fwd- A washingtonpost.com article from- geegc@aol.com
bd. Fwd- CRA studies and testimony
be. Fwd- Edward Pinto Memorandum
bf. Fwd- Meeting with Huff Post
bg. Fwd- Regulatory failure and the case for the CFPA
bh. FYI -- a banker's view of banks and mortgages
bi. GAO & SSG Reports
bj. GAO report on nonprime mortgages
bk. Geithner's NY Fed told AIG to keep quiet
bl. Greenspan Concedes That the Fed Failed to Gauge the Bubble
bm. Greenspan paper - being presented tomorrow
bn. Harvard Student Good Paper
bo. Hearing 1 - press clips
bp. I would hope the report does a little comparative analysis
bq. Interesting
br. Interesting Reading
bs. Interview notes - ex-Fed source
bt. It was good to talk -- following up
bu. Jim Cramer article re who the FCIC should investigate
bv. Josh Rosner memo
bw. JPMorgan, Citigroup Helped Cause Lehman's Collapse (Update5)
bx. Krugman NYTimes.com- An Irish Mirror
by. Krugman Slaps the Deficit Commission
bz. Krugman's Blog on Bernanke's speech
ca. Losses from Subprime and Alt-A mortgages
cb. MBA's 2010 Priorities and Economic Forecast
cc. MBA's New Forbearance Proposal, etc.
cd. Monday afternoon
ce. Mtg with Board research staff
cf. Notes on And then the roof caved in
cg. NYT and Reuters - Poll Shows Vast Majority for More Wall St. Regulation
ch. NYTimes.com- A New Chapter for Bankruptcy
ci. NYTimes.com- G.E., a Giant of Lending, Is Dragged Down Along With Banks
cj. NYTimes.com - Greenspan Concedes That the Fed Failed to Gauge the Bubble
ck. OCC and MBS
cl. One more
cm. Papers and data on housing
cn. PIMCO article on Minsky and Shadow Banking
co. Pinto Triggers memo
cp. Potential interviewee on derivatives
cq. Potentially really interesting data re- Keith's four groups
cr. preliminary staff report- securitization
cs. Press Clips 1.14.10
c. RE-
cu. RE- 2001 rule -- rating agencies and capital standards
cv. RE- accounting standards for depositories and bank holding companies for capital purposes
cw. RE- Article re- Subprime Panic
cx. Re- could i chat with you briefly
cy. RE- CRA materials
cz. RE- crisis economic background
Email - Writings on housing issue
Email - WSJ article on Fannie and Freddie
FCIC Briefing Paper
FCIC- Hearing One Followup
Federal Reserve - SR 07-1 - Interagency Guidance on Concentrations in Commercial Real Estate - 4 January
Federal Reserve - SR 07-12 - Statement on Subprime Mortgage Lending - 24 July 2007
Federal Reserve - SR 07-5 - Interagency Statement on Sound Practices Concerning Elevated Risk Complex
 FW- Book references
 FW- Draft
 FW- FCIC forum
 FW- Financial Turmoil Timeline
 Fwd- Housing Red Flags Ignored (A Pretty Explicit Warning in 2005-06 JC)
 Fwd- THE QUANTS- How a New Breed of Math Whizzes Conquered Wall Street and Nearly Destroyed It
 Gensler
 Housing Reading List (zip file)
 IMN September 2006
 JEP special issue on the financial crisis
 Jo of Struct Finance Article
 koppell gsas
 Lewis-AIG
 link as promised -- look forward to chatting in a few
 Link to Dinallo
 Link to Turner
 MANUFACTURING TAIL RISK-
mengle, cds overview
names and ideas
NYU_CFA_NOV_2005[1]
osu_114
R40877[1]
RE- Confidential Commissioner Communication- Reading list for housing
Re- Financial crisis inquiry commission
Re- financial crisis inquiry commission
Re- Gretchen Morgenson series
Re- Gse cap requirements
Re- Introductions
Re- Ken Rosen final testimony
Re- Ken Rosen final testimony
Re- research
Refuting Criticisms to CRA
S&P - Revised Methodology And Assumptions For Assessing ALM Risk In CB Dec 09
Scanned001
SEC - Summary Report of Issues Identified in the Commission Staff's Examinations of Select CRAs - July
sec17
sec21
Senior Supervisors Group - Risk Management Lessons from the Global Banking Crisis of 2008 - 21 October
Senior Supervisors Group - Observations on Risk Management Practices during the Recent Market Turbulence
Shadow Banking- What It Is, How It Broke, and How to Fix It Atlantic Monthly
Significant document document on housing policy
split chapters
Systemic Risk Through Securitization - Week of 9.21.09
Task Force on Tri-Party Repo Infrastructure - Progress Report - 22 December 2009
textchap1721
The HUD perspective on GSEs and the goals (2006)
Turner Review - A regulatory response to the financial crisis - March 2009
what data do we need for March 3098.
what makes great boards—sonnenfeld99.

H. Staff Outlines and Reports

1. Credit Rating Agencies
   a. Plans
      1. FCIC_Hearings_H5A_Credit Rating Agencies RIP
      2. Questions for Moodys Investigation
   b. Preliminary Staff Reports
      1. RA RIR outline

2. Derivatives
   a. Plans
      1. derivatives draft R and I plan 3 03
      2. derivatives draft R and I plan 3 12
      3. RIP - Derivatives - Hearing 5b - 4 14
   b. Preliminary Staff Reports

3. Governance

4. Hearing 1

5. Macroeconomic Factors
   a. Plans
   b. Preliminary Staff Reports
   c. macroeconomic research plan 3 23

6. Public Commentary on PSRs
   a. RE- Financial Crisis Inquiry Commission Industry Survey- Completed Federated Submission

7. Shadow Banking
   a. Plans
      1. RIP and Hearing Draft - Shadow_Banking
   b. Preliminary Staff Reports
      1. PSR_-_Shadow_Banking_0504
      2. PSR_-_Shadow_Banking_0504_final

8. Subprime Lending and Securitization
   a. Plans
      1. Housing_Briefing_Plan
      2. RIP - Housing
   b. Preliminary Staff Reports
      1. glossary
      2. PSR - CRA and the Mortgage Crisis
      3. PSR - GSEs and the Financial Crisis
      4. PSR - Role of Federal Reserve in Bank Supervision and Regulation
      5. PSR - Securitization and the Mortgage Crisis
      6. PSR - The Mortgage Crisis

XXXI. Horizontals

A. Capital
   1. Treasury - Principles for Reforming the US and International Regulatory Capital Framework for Bankin
   2. Walter - Basel II and Revisions to the Capital Requirements Directive - May 2010

B. Housing
   1. Library
      a. 2007-03-07 FDIC Cease and Desist Order to Fremont Investment and Loan

C. Models
   2. Danielson - The Emperor Has No Clothes - 2000

D. Securitization
   1. Library
a. 2003-02 Systemic Risk Fannie Mae Freddie Mac and the Role of OFHEO
b. 2006-03 A Frankel - Prime or Not So Prime - An Exploration of US Housing Finance in the New Century
c. 2006-09-13 Consumer Mortgage Coalition Comments on OFHEO Strategic Plan
d. 2007-02-15 J Rosner and J Mason - How Resilient Are MBS to CDO Market Disruptions
e. 2007-07-26 J Rosner - Financial Services Exposures to Subprime - Why We Are Not 'Seeing Red'
f. 2008-05-16 Lessons Learned from Mortgage Market Turmoil
g. 2008-07-21 A Primer on the Secondary Mortgage Market
h. 2009-06 A Brief Examination of Previous House Price Declines
i. 2009-07 Redefaults Self-Cures and Securitization
j. 2009-07-07 Recourse and Residential Mortgage Default
k. 2009-07-28 GAO - Characteristics and Performance of Nonprime Mortgages
l. 2009-08-30 Taking the Lie Out of Liar Loans
m. 2009-09 Liar's Loans - Effects of Origination Channel and Information Falsification
n. 2009-09-22 Securitization and Mortgage Default - Reputation vs Adverse Selection
o. 2009-10 FHFA Default Risk Evaluation in the SF Mortgage Market
p. 2010-04-12 Permissible Securities Activities of Commercial Banks Under Glass-Steagall and GLBA
q. Appraisals, Automated Valuation Models, and Mortgage Default

E. Library

1. CRS Reports
   a. 1999-12-16 CRS Report - Major Financial Services Legislation - GLBA
      Ba
   c. 2006-08-29 CRS Report - Mergers and Consolidation Between Banking and Financial Services Firms
   d. 2008-12-31 CRS Report - The US Financial Crisis, The Global Dimension with Implications for US Polic
   e. 2010-02-04 CRS Report - Financial Market Supervision, European Perspectives

b. IG Reports
   a. 2008-11-12 DNI OIG - Critical Intelligence Community Management Challenges
   b. 2009-04-01 House Committee on Intelligence Hearing on 'Management Issues in the Intelligence
      Communi
   c. FDIC and Treasury IG - Evaluation of Fed Reg Oversight of WaMu Bank (2010-04)
   d. FDIC HUD IGs - Taylor Bean Whitaker Chairman Indicted (2010-06-16)
   e. FDIC IG - Material Loss Review of Colonial Bank, Montgomery AL (2010-04)
   f. FDIC IG - Material Loss Review of First Priority Bank, Bradenton FL (2009-02)
   h. FDIC IG - Material Loss Review of The Community Bank, Loganville GA (2009-08)
   i. FDIC IG - PSI Testimony re Supervision of WaMu Bank (2010-04-16)
   j. FDIC IG - The FDIC's Role in the Monitoring of IndyMac Bank (2009-08)
   k. FHFA Default Risk Evaluation Report (2009-10-30)
   l. FRB IG - Semiannual Report to Congress (April 2007 - September 2007)
   m. FRB IG - Semiannual Report to Congress (April 2008 - September 2008)
   n. FRB IG - Semiannual Report to Congress (April 2009 - September 2009)
   o. FRB IG - Semiannual Report to Congress (October 2007 - March 2008)
   p. FRB IG - Semiannual Report to Congress (October 2008 - March 2009)
   q. HUD IG - Fraud, MBS, and Ginnie Mae (Spring-Summer 2008)
   s. OTS IG - Material Loss Review of IndyMac Bank (2009-02-26)
   t. SEC IG - SEC's Oversight of Bear Stearns and Related Entities - Broker-Dealer Risk Assessment
      Progra
   u. SEC IG - SEC's Oversight of Bear Stearns and Related Entities - The CSE Program
   v. SEC IG - Semi-Annual Report to Congress (April 2007 - September 2007)
   w. SEC IG - Semi-Annual Report to Congress (April 2008 - September 2008)
   x. SEC IG - Semi-Annual Report to Congress (April 2009 - September 2009)
   y. SEC IG - Semi-Annual Report to Congress (October 2007 - March 2008)
   z. SEC IG - Semi-Annual Report to Congress (October 2009 - March 2010)
   AA. SEC IG - The SEC's Role Regarding and Oversight of NRSROs (2009-08-27)
c. Responses to Treasury Survey
   1. 2009-07 Supervision and Regulation Questionnaire
   2. 2010-06-21 Supervisors Follow-up
   3. FDIC - Response to PWG Questionnaire on Supervision (2009-07-16)
   4. FHFA - Response to PWG Questionnaire on Supervision (2009-07)
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4. New Landscape, New Challenges - Structural Change and Regulation in the US Financial Sector (IMF)

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   c. Dimon articles Jan 07 - Feb 09 factiva1
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   a. Key Articles
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      2. 2009-10-24 NYT - Short Memories at Goldman
      3. 2009-11-19 McClatchy - Goldman's Chief Executive Apologizes for Part in Financial Crisis
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     16. 2010-1 Vanity Fair - The Bank Job
   b. Quotes by Blankfein
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   c. Testimony Speeches and Interviews
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      9. 2009-11-23 Goldman Sachs Responds to Questions from NYT About the SIGTARP Report on AIG
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4. JPMorgan
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      1. 2006-3-29 Fortune - In This Corner! The Contender!
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   a. Blankfein
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      2. Blankfein Compensation Principles
      7. Blankfein effective-regulation-part-3[1]
      8. Blankfein FCIC Testimony
9. Blankfein Handelsblatt Speech
10. Blankfein The Financial Times, To Avoid Crises, we need more transparency, By Lloyd Blankfein, Octob
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c. Bair Testimony Final
d. Bass testimony exhibits
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6. BNP Paribas v BofA - 09-9783 SDNY COMPLAINT
7. Deutsche Bank v BofA - 09-9784 SDNY COMPLAINT
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2. FW- BERNSTEIN RESEARCH
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   3. Bank of America Quarterly Segment Reporting-2007-08
   4. Bank of America Quarterly Segment Reporting-2007-09
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   6. Goldman Quarterly Segment Reporting
   9. JPMorgan Chase Co 1995- YTD 2009
   10. JPMorgan Chase Co Segments by Q 2007-2009
   12. MS Segment Annual Segment 06-08
   13. Trend Analysis -Printed Version

J. Info
   2. Bill Ackman
   3. Bill Ackman Factiva news broke news on mortgage problems 2002

K. JPMorgan WaMu Investigation
   1. JPMorgan Chase’s Internal Documents, Puget Sound Biz Journal article, 8 Jan 2010
   2. WaMu Bankruptcy Court Exhibits (link from Pug Sound Biz Journal article 8 Jan 10)

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      c. Banc of America Securities LLC Cover Letter and Form 17-H Risk Assessment Report
      d. Banc of America Securities LLC Investment List as of 9-30-09
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      g. Goldman Sachs & Co 10-31-2009 monthly financial report
      h. Goldman Sachs Execution & Clearing LP 10-30-2009 monthly financial report
      i. Goldman Sachs Org Chart - Risk Filings as of 11-30-07
      j. JP Morgan Clearing Corp 10-31-2009 monthly financial report
      k. JP Morgan Futures Inc 10-31-2009 monthly financial report
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      m. JPMorgan Org Charts - Risk Filing 2008-12-31
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      b. Bof A 2007 1st Quarter Jason Goldberg CFA Lehman 01 March 2007
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   a. JPM 2007 1st Quarter John McDonald CFA Bank of America 10 April 2007
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   r. JPM 2009 1st Quarter Keith Horowitz CFA Citi 20 April 2009
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8. 2007-02-28 Email from Jay Remis re Bear Res January 2007 Business Update
9. 2007-03-19 Email from George Zahringer re Bear Stearns Sub-prime-Prime Mortgage Watch
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4. 2006-02-28 Email from Park to Cassano re exiting business-AIG-FCIC00109490
5. 2006-07-17 CRC Memo West Coast Funding I AIG-FCIC00384171
6. 2007-03-23 Timberwolf Offering Circular GS-MBS-E 000673671
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37. 2007-12-14 Forster email re Collateral Dispute
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39. 2007-12-23 email from Sherwood to Cassano & Viniar re CDO spreadsheet
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7. 2000-12-05 FRB Memo
8. 2001 Report of Examination-FNM
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13. 2002-12-17 Minutes of CMAC meeting approving Liquidity Put
15. 2003-02-19 Joint Letter from OCC & FRBNY to Citi Risk Managers
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29. 2005-12-15 OCC Findings on North American Global Structured Credit Derivatives Examination
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34. 2006-01-18 Memo reflecting CMAC approval of subprime back ABS CDOs
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36. 2006-03-21 Email fr. Leonard Zawistowski to John McCormick re Mortgage Fraud discussion with FBI
37. 2006-06 OCC Review Check List of CMAC Approval of CDS Issued on ABS CDOs for compliance with Formal
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39. 2007 FHFA Report of Examination on Fannie Mae
40. 2007 Report of Examination-FNM
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21. 2007-07-06 Email from Jeff Chan to Alan Greenberg, et al. re BSSC Weekly Net Capital Report
22. 2007-07-07 Email from John Mauldin and InvestorsInsight to Ralph Cioffi
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55. ABACUS 2005-CB1 information- AIG-FCIC00098415-00098425
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59. AIG Compensation Information AIG-FCIC00562661-678
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61. AIG Hedged January 2006-December 2008 GS FCIC 000000550-551
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       1. OLD - 12-19-2010 (1700) - Findings and Conclusions- OLD
       2. OLD - 2010-12-22 (0200) - Chapter Conclusions - commissioner revision - OLD
V. Part A
    A. Part A Quote requests
VI. Section IV -- Economic
    A. OLD
       1. #2010-10-03 (1200) - Section IV - OUTLINE in progress
    B. Prepping
VII. Section II -- Housing
    A. Old versions Section II
       1. #2010-10-28 - Section II Outline (revised)
       2. 2010-11-27 - Section II, Intro - MB edit
       3. 2010-17-10 - SUBSECTION - Section II, Ch. 4 subsection on mortgage fraud - to commissioners
       4. OLD-2010-10-25 (1800) - OLD Section II, Ch. 2-- OLD
       5. OLD-2010-11-19 (1200) - OLD Section II, Ch. 4 as renumbered - OLD
    B. Prepping
VIII. Section III -- Financial
    A. OLD
       1. #2010-10-03 (1300) - Section III - OUTLINE in progress
       2. 2010-11-27 - Section III, Intro - MB edit
    B. Prepping
    C. Section II
       1. #2010-10-03 (1300) - Section III - OUTLINE in progress
       2. 2010-10-25 (2000) - Section III, Ch. 5 - sent to commissioners; AF ed
       3. 2010-10-29 (1600) - Section III - Ch. 8 - to commissioners; AF ed; GS check
       4. 2010-10-29 (1700) - Section III, Ch. 4 - to commissioners; ed by AF; BK-Mina
       5. 2010-11-01 (2100) - Section III, Ch. 2 - ed by AF; RC factchecked
IX.  Tracking
   A.  Prepare for 12-22
   B.  Versions back from Jane L.
      1.  I.4 jl 2010-10-24 (2300) - Section I, Chapter 4 - Subprime - for Jane
      2.  II.1 jl 2010-10-21 (1800) - Section II, Ch. 1 - for Jane
      3.  III.1 jl 2010-10-18 (1600) - Section III, Ch. 1
      4.  III.2 j 2010-10-22 (1730) - Section III, Ch. 2
      5.  Jane- 2010-10-17 (1300) Section 1, Chapter 3 - GSEs
      6.  Jane- 2010-10-20 (1000) Section I, Ch. 1 - Parallel Banking
      7.  Jane-2010-10-20 (1000) - Section I, Ch. 2
      8.  Jane-2010-18-10 (1500) - Part A - Draft
   C.  FCIC Chapter Status Report
   D.  glossary Lewin 11.2
   E.  Graphics tracking
   F.  MH notes--quote checks

X.  Additional Views
   A.  Bill Thomas
      1.  Bill Thomas additional or dissenting view
   B.  Doug Holtz-Eakin
      1.  Doug Holtz-Eakin additional or dissenting view
   C.  Keith Hennesey
      1.  FCIC draft dissenting or additional view Hennessey (v14)
   D.  Peter Wallison
      1.  Dissenting statement Commissioner Wallison Long Form 12-17 draft
      2.  Dissenting statement Wallison Short Form 12-17 draft (2)

XI. Cabinet Inbox
Preliminary Book Material

NAID: 24823587
Extent: 2,293 data files

This series contains a copy of the final hearing material from the Commissioner’s Workspace cabinet as well as early drafts of the final report from the beginning of the process in the summer of 2010.

File list
I. Supporting Materials
   A. Commissioners
      1. 2010-09-01 Commissioners meeting
   B. Forum
      1. Brunnermeir
         a. g1Brunnermeier_Bio
         b. g2Brunnermeier_paper
         c. g3Brunnermeier_slides
         d. g4Brunnermeier_followup_questions
         e. g5Brunnermeier_followup_response
      2. Geanakoplos
         a. c1Geanakoplos_Bio
         b. c2Geanakoplos_paper
         c. c3Geanakoplos_slides
         d. c4Geanakoplos_followup_questions
      3. Gorton
         a. i1Gorton_Bio
         b. i2Gorton_paper
         c. i3Gorton_followup_questions
      4. Gourinchas
         a. b1Gourinchas_Bio
         b. b2Gourinchas_paper
         c. b3Gourinchas_followup_questions
         d. b4Gourinchas_followup_responses
         e. b5Gourinchas_glob_imbalances
         f. b6Gourinchas_ITA_Table
         g. b7Gourinchas_slides
         h. b8Gourinchas_Treasury_survey_2010
      5. Jaffee
         a. f1Jaffee_bio
         b. f2Jaffee_paper
         c. f3Jaffee_slides
         d. f4Jaffee_followup_questions
         e. f5Jaffee_followup_responses
         f. f6Jaffee_Quinn_Shreds_and_Patches_0310
      6. Kashyap
         a. h1Kashyap_Bio
         b. h2Kashyap_paper
         c. h3Kashyap_supplemental_paper
         d. h4Kashyap_slides
         e. h5Kashyap_followup_questions
         f. h6Kashyap_followup_responses
      7. Kroszner
         a. a1Kroszner_Bio
         b. a2Kroszner_paper
C. Hearing Transcripts
   1. 2010-01-13 HEARING 1 TRANSCRIPT - DAY 1
   2. 2010-01-14 HEARING 1 TRANSCRIPT - DAY 2
   3. 2010-04-07 HEARING 2& 3 TRANSCRIPT [HOUSING, SECURITIZATION, GSEs] - DAY 1
   4. 2010-04-08 HEARING 2& 3 TRANSCRIPT [HOUSING, SECURITIZATION, GSEs] - DAY 2
   5. 2010-04-09 HEARING 2& 3 TRANSCRIPT [HOUSING, SECURITIZATION, GSEs] - DAY 3
   6. 2010-05-05 HEARING 4 TRANSCRIPT [SHADOW BANKING] DAY 1
   7. 2010-05-06 HEARING 4 TRANSCRIPT [SHADOW BANKING] DAY 2
   8. 2010-06-02 HEARING 5A TRANSCRIPT [RATING AGENCIES]
   9. 2010-06-30 HEARING 5 TRANSCRIPT [DERIVATIVES] - DAY 1
   10. 2010-07-01 HEARING 5 TRANSCRIPT [DERIVATIVES] - DAY 2

D. Hearing Videos
   1. Credibility of Credit Ratings, the Investment Decisions Made Based on Those Ratings, and the Financi
   2. First Public Hearing on the FDIC
   3. Forum to Explore the Causes of the Financial Crisis
   4. Hearing Videos
   5. Subprime Lending and Securitization and Government-Sponsored Enterprises
   6. The Role of Derivatives in the Financial Crisis
   7. The Shadow Banking System

E. mPIRs
   1. m - 2010-06-11 (Bubb) Financial Guarantors and the Financial Crisis
   2. m - 2010-08-21 (Kahn) FinGuar outline

F. PIRs
   1. PIR - AIG
   2. PIR - AIG (Summary)
   3. PIR - Bear Stearns
   4. PIR - Bear Stearns (Summary)
   5. PIR - Citigroup
   6. PIR - Citigroup (Summary)
   7. PIR - Citigroup's Banking Supervisors - OCC
   8. PIR - Credit Ratings and the Financial Crisis (Moody's)
9. PIR - Fannie Mae
10. PIR - Fannie Mae (Summary)
11. PIR - Federal Reserve’s Regulation of Subprime Mortgage Lending
12. PIR - Financial Narrative for Citigroup (Summary)
13. PIR - GE
14. PIR - GE (Summary)
15. PIR - Goldman Sachs
16. PIR - Goldman Sachs (Summary)
17. PIR - Lehman Brothers
18. PIR - Lehman Brothers (Summary)
19. PIR - OCC and Preemption (Summary)
20. PIR - Wachovia
21. PIR - Wachovia (Summary)

G. PSRs
1. PSR - Credit Derivatives and Mortgage-Related Credit Derivatives
2. PSR - Credit Ratings and the Financial Crisis
3. PSR - Government Sponsored Enterprises and the Financial Crisis
4. PSR - Mortgage Crisis
5. PSR - Overview on Derivatives
6. PSR - Securitization and the Mortgage Crisis
7. PSR - Shadow Banking and the Financial Crisis
8. PSR - The Community Reinvestment Act and the Mortgage Crisis
9. PSR - The Role of the Federal Reserve in Banking Supervision and Regulation
10. PSR - Too-Big-To-Fail Financial Institutions

H. TopIRs
1. Z - OLD
   a. 2010-07-20 (Paul) History of Subprime
   b. 2010-08-20 (Paul) Housing Bubble Dynamics
   c. 2010-09-17 Securitization Report
   d. T - 2010-08-20 (Wahl) Fraud Draft
   e. T - 2010-08-24 (Maasry) Macro and Housing Draft
   f. T - 2010-08-27 (Hinkle, Krebs) Securitization Draft
   g. T - 2010-08-31 (Miller, Stroebel) Shadow Banking & Supervision (copy)
   h. T - 2010-09-01 (Paul) Regional - Bakersfield
   i. T - 2010-09-01 (Paul) Regional - Las Vegas
   j. T - 2010-09-01 (Paul) Regional - Miami
   k. T - 2010-09-01 (Paul) Regional - Sacramento
2. 2010-08-12 (Simhai) SEC supervision of CSEs
3. 2010-08-23 (Easterly) Regulatory change in financial services, 1980-2000 Draft
4. 2010-08-31 (Easterly) - History of corporate governance
5. 2010-08-31 (Easterly) - Housing bubble debate
6. 2010-08-31 (Easterly) - Monoline bond insurers Draft
7. 2010-10-12 - Use of off-balance-sheet entities (JPoulin)
8. 2010-10-12 - Regulators and capital TopIR rough draft (Sanderford)
9. 2010-10-15 - Draft Compensation TopIR (Dangaman)
10. T - 2010-08-08 (Stanton) Risk Management
11. T - 2010-08-12 (Dubas, Cunicelli) CRA Draft
II. Charts and Figures
   A. Financial Sector Lobbying and Political Contributions

III. Housing
   A. Section II drafts-post Mike Bryan, round 1
      1. Sec. II, ch. 1, Mike Edit
   B. Section II drafts pre-Mike Bryan
      1. Section II chapter 1 09-24-2010 revised draft without comments
      2. Section II chapter 2 09-27-2010 draft
      3. Section II chapter 3 09-22-2010 draft
   C. 2010-07-20 Section II early draft
   D. 2010-08-16 Section II outline
   E. 2010-08-24 Section II NOTES
   F. 2010-09-04 - Section II draft
   G. 2010-09-29 Draft II-2
   H. 2010-11-02 (2000) Section II.1

IV. Economic
   A. 2010-09-03 Section IV draft
   B. 2010-10-30 Section IV draft
   C. 2010-11-02 Section IV draft, Nov. 2, incorporating MH edits
   D. S4 090310
   E. S4 Outline
   F. Section 4
   G. Section 4
   H. Section IV draft notes
I. tasklist

V. 1. Landscape
   A. OLD Section 1 (Landscape)
   B. 2010-09-02 Section I draft
   C. 2010-09-11 Section I draft
   D. 2010-09-12 Section I draft
   E. 2010-09-12 Section I draft CHRON
   F. 2010-10-08 (1000) Section I, Chapter 1 - Parallel Banking me
   G. 2010-10-15 (1800) - Section I, Chapter 2 - Crises and Consolidation me
   H. 2010-10-15 (1800) Section I, Chapter 1 - Parallel Banking me
   I. Section 1, Chapter 1 - Parallel Banking 2010-10-07
   J. Section 1, Chapter 2 - Crises and Consolidation 2010-10-10 me

VI. 3. Financial
   A. Chair and Vice Chair comments
      1. 2010-09-24 - Section III - Chapter 1 - cites added
      2. 2010-09-24 - Section III - Chapter 11 post Phil--OLD VERSION
   B. Sent to All Commissioners
      1. 2010-09-26 section III through SIVs to commissioners
   C. Sent to Chair and Vice Chair
      1. 2010-09-25 - Section III - ratings agencies on
      2. 2010-09-25 - Section III - ratings agencies on - with cites
   D. 2010-08-26 - Section III draft
   E. 2010-09-03 - Section III draft
   F. 2010-09-05 - Section III draft
   G. 2010-09-13 - Section III draft
   H. 2010-09-14 - Section III draft - WME
   I. 2010-09-15 - Section III draft KMD
   J. 2010-09-25 - Section III - ratings agencies on

VII. Timeline
   A. Sent to All Commissioners
      1. 2010-09-26 Draft_timeline_-_2008 to commissioners
   B. Downey timeline, April 2005-yearend 2006
   C. FCIC Crisis Timeline (updated 8-23)

VIII. R&I Plans
   A. Complex Financial Derivatives
      1. Document Requests
         a. 2010-02-09 G Cohen Ltr to BofA (E O'Keefe) (copy)_1
         b. 2010-02-09 G Cohen Ltr to Goldman Sachs (G Palm) (copy)_1
      2. Hearing 5
         a. Documents
            1. 2003-08-19 ISDA Master Agreement Between AIGFP and Goldman AIG-FCIC
            00384254_1
            2. 2005-07-21 Email from Forster re Topics to discuss AIG-FCIC00145574_1
            3. 2005-12-01 Schedule to the Master Agreement AIG-FCIC00000110_1
            4. 2005-12-07 CRC Memo Broderick CDO I AIG-FCIC00098115_1
            5. 2005-12-20 CRC memo re AIGGIG Global Securities Lending Cash Policy
               AIG-FCIC00550171-00550175_1
            6. 2006-02-28 Email from Park to Cassano re exiting business-
               AIG-FCIC00109490_1
130. GS MBS-E-009635410_1
131. GS MBS-E-009726498_1
132. GS MBS-E-010381094-95_1
133. GS MBS-E-010381411-12_1
134. GS00067_1
135. GS08318_1
136. H5 Document Long List_1
137. H5 Document Short List_1
138. HHOGR 00057174-57176_1
139. HHOGR00001823-1832_1
140. ISDA Master Agreement 2003-08-19 AIG-FCIC000384254—AIG-FCIC00384295_1
141. Listing of collateral movements from 2007-08-10 to 2008-12-31 GS-FCIC000000537-539_1
142. Meeting notes 2008-02-08 PWC-FCIC 000209-211_1
143. Memo to File by AIG FSD CFO Elias Habayeb PWC-FCIC 000101-108_1
144. Multisector CDO portfolio information AIG-FCIC00535400-FCIC00535402_1
145. Notes of 2007-11-29 meeting produced by PWC PWC-FCIC000111-113_1
146. Notes of 2008-02-07 meeting PWC-FCIC 000206-208_1
147. PSI Exhibit 103- Goldman Sachs internal email, dated May 2007 re Lester called_1
148. PSI Exhibit 107- Goldman Sachs internal email, dated December 2006, re Paulson_1
149. PSI Exhibit 11- Goldman Sachs internal email, dated February 2007 re goals_1
150. PSI Exhibit 112- Goldman Sachs internal email, dated January 2007 re GSC Post_1
151. PSI Exhibit 12- Goldman Sachs document, Mortgage VaR change_1
152. PSI Exhibit 130- Goldman Sachs internal email, dated February 2007 re Mortgage Risk_1
153. PSI Exhibit 135- Goldman Sachs internal email, dated September 2007, re Fortune- How Goldman Sachs D
154. PSI Exhibit 151- Goldman Sachs internal email, dated December 2006, re Mezz Risk_1
155. PSI Exhibit 158- Thomson StreetEvents Final Transcript, dated December 2007_1
156. PSI Exhibit 159- Goldman Sachs, 4Q07 Fact Sheet_1
157. PSI Exhibit 16- Goldman Sachs internal email, dated March 2007 re Mortgage Taking Points for Earning
158. PSI Exhibit 160- Goldman Sachs, Securities Division Summary Highlights - Week Ending November 30, 20
159. PSI Exhibit 161- Goldman Sachs Risk Management and the Residential Mortgage Market, April 2010_1
160. PSI Exhibit 169- Goldman Sachs internal email, dated January 2007, re Sub-Prime Presentation to Vini
161. PSI Exhibit 17- Goldman Sachs internal email, dated March 2007 re Mortgage Persentation to the board_1
162. PSI Exhibit 170d- Goldman Sachs Internal Email, dated October 2006 re Structured Product New Issues_
163. PSI Exhibit 172- Goldman Sachs internal emails, dated March 2007, re Sale of Anderson CDO_1
164. PSI Exhibit 19- Goldman Sachs internal memorandum, dated March 2006_1
165. PSI Exhibit 2- Goldman Sachs internal email, dated December 2006 re Subprime
198. PSI Exhibit 84- Goldman Sachs internal email, dated May 2007 - re CDOs-Mortgages
199. PSI Exhibit 9- Goldman Sachs internal email, dated February 2007
200. PSI Exhibit 91- Goldman Sachs internal email, dated January 2007 re MTModel
201. PSI Exhibit 92- Goldman Sachs internal email, dated February 2007- Second lien deal performance and
202. PSI Exhibit 96- Goldman Sachs internal email and attachment, dated March 2007 re Anderson Mezzanine
203. PSI Exhibit 98- Goldman Sachs internal Memorandum, dated November 10, 2006 re Timberwolf CDO
204. PWC F07 audit workpaper PWC-FCIC 000021 - 28
205. PWC-FCIC 000001 - 12 (copy)
206. PWC-FCIC 000019-28
207. PWC-FCIC 000114-115
208. PWC-FCIC 000214-216
209. PWC-FCIC 000217
210. PWC-FCIC 000220-223
211. SEC vs. Cioffi & Tannin
212. SSCDS contract between AIGFP and Goldman Sachs GS 00001-62
213. Transcript of 2007-07-11 call AIG-SEC1910855
214. Transcript of 2007-07-30 Forster call AIG-SEC1361798

b. Hearing Background Materials
   1. I. Background Reading
      a. 1. AIG
         1. a. 2008-05-10 WSJ - AIG's Black-Box Pickle
         2. b. 2008-09-08 WSJ - Bad Bets and Cash Crunch Pushed Ailing AIG to Brink
         3. c. 2008-10-11 WSJ - Documents Show AIG Knew of Problems with Valuations
         4. d. 2008-10-31 WSJ Behind AIG's Fall, Risk Models Failed to Pass Real-World Test
         5. e. 2008-12-29 WPost - The Beautiful Machine
         6. f. 2008-12-30 WPost - A Crack in the System
         7. g. 2008-12-31 WPost - Downgrades and Downfall
         8. h. 2009-02-05 WSJ - An AIG Unit's Quest to Juice Profit
         9. i. 2009-03-30 Time - How AIG Became Too Big the Fail
         10. j. 2009-04-28 WSJ - Criminal Authorities Focus on 3 in Investigation of AIG
         11. k. 2010-04-07 Institutional Invester - The Inside Story of Hank Greenberg and the rise and demise
      b. 2. Goldman Sachs
         1. a. 2008-09-28 NYTimes - Behind Insurer's Crisis, Blind Eye to a Web of Risk
         2. b. 2010-01 Vanity Fair - The Bank Job
         3. c. 2010-04-18 NYTimes - Senior Executives at Goldman Had a Role in Mortgage Unit
         4. d. 2010-04-20 NYTimes - Gambling with the Economy
         5. e. 2010-06-19 Bloomberg - Goldman's Hudson CDO Said to Be Target of 2nd SEC Probe
      c. 3. AIG and Goldman
1. 2010-02-07 NYTimes - Testy conflict with Goldman Helped Push AIG to Edge
2. b. 2010-04-12 WSJ - AIG, Goldman Unwind Soured Trades
3. d. 4. Regulators
   1. a. 2010-01-06 FT - CFTC Head Blames OTC Derivatives for Crisis
   2. b. 2009-03-30 FT We modernised ourselves into this ice age
2. II. Day 1, Panel 1 - Experts
   a. 1. Witness Bios - Experts
      1. a. Witness Bios - Experts
      b. 2. Transcripts or MFRs of Pre-Interviews
         1. a. 2010-06-10 MFR of Interview with Pete Kyle
         2. b. 2010-06-10 MFR of Interview with Michael Masters
         3. c. 2010-05-24 MFR of Interview with Steve Kohlhagen
         4. d. 2010-02-25 MFR of Interview with Michael Greenberger
   c. 3. Documents of Selected Quotes
      1. b. Key Quotes - Michael Masters
      2. d. Key Quotes - Michael Greenberger
   d. 4. Significant Past Testimony
      1. a. 2009-02-03 M Greenberger Testimony Before House Ag Committee
      2. b. 2009-02-04 M Masters Testimony Before House Ag Committee
      3. c. 2009-06-04 M Masters Testimony Before Senate Ag Committee
      4. d. 2009-08-05 M Greenberger Testimony Before the CFTC
      5. e. 2010 Greenberger Out of the Black Hole - Make Markets be Markets
      7. g. Albert Kyle - Cash Settlement, Price Manipulation and the Modigliani-Miller Theorem
      8. h. Albert Kyle - How to Define Illegal Price Manipulation
      9. i. Albert Kyle - Causes and Cures to Financial Crisis
     10. j. 20100629_Kyle_for_FCIC_FER_statement_Reforming the OTC Derivatives Market
3. III. Day 1, Panel 2 - AIG
   a. 1. Witness Bios - AIG
      1. a. Witness Bios - AIG
      b. 2. Transcripts or MFRs of Pre-Interviews
         1. a. 2010-06-25 MFR of Interview with Joe Cassano
         2. b. 2010-06-25 Draft Transcript of Joe Cassano Interview
         3. c. 2010-06-17 MFR of Martin Sullivan Interview
         4. d. 2010-06-17 Transcript of Interview with Martin Sullivan
         5. e. 2010-06-15 Summary of Interview with Robert Lewis
         6. f. 2010-06-15 MFR of Interview with Robert Lewis
   c. 3. Documents of Selected Key Quotes
      1. a. Key Quotes - Martin Sullivan
      2. b. Key Quotes - Robert Lewis
      3. c. Key Quotes - Joe Cassano
   d. 4. Significant Past Testimony
      1. a. 2008-10-07 Martin Sullivan Testimony Before the House Oversight
IV. Day 1, Panel 3 - Goldman Sachs

1. Witness Bios
   a. Witness Bios - Goldman Sachs

2. Transcripts or MFRs of Pre-Interviews
   a. 2010-06-16 Summary of Interview with Lloyd Blankfein
   b. 2010-06-16 Transcript of Interview with Lloyd Blankfein
   c. 2010-06-17 MFR of Interview with C Broderick
   d. 2010-06-16 MFR of Gary Cohn Interview
   e. 2010-06-17 Draft Transcript of Interview with Gary Cohn

3. Documents of Selected Key Quotes
   a. Key Quotes - Craig Broderick
   b. Key Quotes - Gary Cohn

4. Significant Past Testimony
   a. 2010-01-13 L Blankfein Testimony Before the FCIC

V. Day 2, Panel 1 - AIG and Goldman

1. Witness Bios
   a. Witness Bios - AIG and Goldman

2. Transcripts or MFRs of Pre-Interviews
   a. 2010-06-15 MFR of Interview with Steven Bensinger
   b. 2010-06-16 MFR of David Viniar Interview
   c. 2010-06-18 MFR of Andrew Davilman Interview

3. Documents of Selected Key Quotes
   a. Key Quotes - Steve Bensinger
   b. Key Quotes - David Viniar
   c. Key Quotes - Elias Habayeb
   d. Key Quotes - Andrew Forster

4. Significant Past Testimony
   a. 2010-01-27 E Habayeb Testimony before the House Oversight Committee

VI. Day 2, Panel 2 - Regulators

1. Witness Bios
   a. Witness Bios - Regulators

2. Transcripts or MFRs of Pre-Interviews
   a. 2010-05-14 Summary of Gary Gensler Interview
   b. 2010-05-14 Transcript of Interview of Gary Gensler
   c. 2010-05-27 MFR of Interview with Gary Gensler
   d. 2010-06-04 MFR of Interview with Eric Dinallo

3. Documents of Selected Key Quotes
   a. Key Quotes - Gary Gensler
   b. Key Quotes - Eric Dinallo

4. Significant Past Testimony
   a. 2008-10-07 Testimony of E Dinallo Before the House Oversight Committee
   b. 2009-10-07 G Gensler Testimony Before House Financial Services Committee
   c. 2008-10-14 Dinallo Testimony Before the Senate Ag Committee
   d. 2009-03-05 E Dinallo Testimony Before the Senate Banking Committee
   e. 2009-06-22 G Gensler Testimony Before the House Financial Committee
1. I. Agendas, Logistics, and Media Advisory
   a. Agenda for Commission Briefing Session on 6-29-2010
   b. Agenda and Witness List FOR Hearing June 30-July 1, 2010
   c. H5_logistics_Commissioners
   d. Media Advisory 6 25 10

2. II. DAY ONE, WEDNESDAY, JUNE 30, 2010
   a. SESSION 1 – Experts
      1. a. derivatives_overview_psr_6 25
      2. b. derivatives_psr_credit derivatives - Jun 25
      3. c. Witness Bios - Experts
      4. d-i. FCIC-Final_Greenberger_Testimony
      5. d-ii-a. 20100629_Kyle_for_FCIC_FER_statement_Reforming the OTC Derivatives Market (copy)
      6. d-iii. Steven W. Kohlhagen testimony
      7. d-iv. MichaelMastersFCICTestimony2
      8. e. Witness Questions - Expert Panel - Day 1_Session 1
      9. OLD FCIC Michael Greenberger Testimony June 27 2010
      10. OLD MichaelMastersTestimonyFCIC
   b. SESSION 2 – AIG
      1. a. 2010-06-24 Draft Summary of PIR on AIG
      2. b. Witness Bios - AIG
      3. c-i. Cassano Statement
      4. c-ii. Lewis Testimony
      5. c-iii. OLD Lewis Testimony
      6. c-iii. Martin Sullivan FCIC Prepared Testimony
      7. d. Witness Questions - AIG Panel - Day 1_Session 2
   c. SESSION 3 – Goldman Sachs
      1. a. 2010-06-24 Draft Summary of PIR on Goldman Sachs
      2. b. Witness Bios - Goldman Sachs
      3. c-i. Testimony from Craig Broderick to FCIC 063010
      4. c-ii. Testimony from Gary Cohn to FCIC 063010
      5. d. Witness Questions - Goldman Sachs Panel - Day 1_Session 3

3. III. DAY TWO, THURSDAY, JULY 1, 2010
   a. SESSION 1 – AIG_GOLDMAN
      1. a. Witness Bios - AIG and Goldman
      2. b-i. S. Bensinger - FCIC Statement
      3. b-ii. Elias Habayeb Testimony
      4. b-iii. Testimony of David Lehman to FCIC 07-01-10
      5. b-iv. Testimony from David Viniar to FCIC 070110
      6. b-v. Andrew_Forster Testimony
      7. c. Witness Questions - AIG and Goldman Sachs Panel - Day 2 Session 1
   b. SESSION 2 – Regulators
      1. a. Witness Bios - Regulators
      2. b-i. Dinallo written tstmny for FCIC 7-1-10
      3. b-ii. Gensler_Gary. Testimony final clean
IV. SUPPLEMENTAL INVESTIGATIVE DOCUMENTS
   a. 2010-06-25 Draft PIR on AIG_1
   b. 2010-06-25 Draft PIR on Goldman Sachs_1

5. OUTLINE - H5 Briefing book for Commissioners (1)_1
   d. Hearing Follow-Up
      1. Follow-up Letters
         a. 2010-08-23 Follow-up Letter to Gary Gensler_1
         b. 2010-08-23 Follow-up Letter to M Greenberger_1
         c. 2010-08-26 Follow-Up Letter to David Lehman (copy)_1
         d. 2010-08-30 Follow-up Letter to Mr. Broderick (copy)_1
         e. 2010-08-30 Follow-Up Letter to Mr. Cassano (copy)_1
         f. 2010-08-31 Follow-Up Letter to Mr. Lee (copy)_1
         g. 2010-08-31 Follow-Up Letter to Mr. Viniar (copy)_1
         h. 2010-09-13 Follow-Up Letter 2 to Joseph Cassano_1
      2. Responses
         a. 2010-09-09 CK Lee Response to Follow-Up_1
         b. 2010-09-10 Broderick Response to Follow-Up(2)_1
         c. 2010-09-10 Broderick Response to Follow-Up_1
         d. 2010-09-10 Viniar Response to Follow-Up_1
         e. 2010-09-14 Gensler Response to Hearing Follow-Up_1
      3. 2010-08-30 Derivatives Takeaways_1
      4. H5 Commissioner Follow-up Questions (original)_1
      5. H5 Commissioner Follow-up Questions Grid_1
   e. Hearing transcripts
      1. FCIC Derivatives_hearing_day_one_transcript_FINAL_1
      2. FCIC Derivatives_hearing_day_two_transcript_FINAL_1
   f. MFRs_Transcripts_Interview Notes
      1. 2010-02-22 MFR re Interview with Frank Partnoy_1
      2. 2010-03-10 Interview notes - IMF Randall Dodd - Background - Derivatives_1
      3. 2010-03-16 Interview notes - FRBNY Theo Lubke - Background sources_1
      4. 2010-03-30 Transcript - Interview of Patrick Parkinson_1
      5. 2010-04-09 Interview notes - Michael Greenberger - Derivatives_1
      6. 2010-04-20 Interview notes - FRBNY Theo Lubke - Background - Derivatives_1
      7. 2010-05-04 MFR re Interview with Andrew Feldstein_1
      8. 2010-05-04 MFR re interview with Laurie Goodman_1
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     11. 2010-05-11 MFR re Interview with Adam White_1
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     13. 2010-05-11 MFR re Interview with Richard Bookstaber_1
     14. 2010-05-12 MFR re Interview with Emanuel Derman_1
     15. 2010-05-13 MFR re Interview with Henry Hu_1
     16. 2010-05-14 REVIEWED Darrell Duffie, Stanford Univ.
     17. 2010-05-14 Transcript - Interview of Gary Gensler_1
     18. 2010-05-17 MFR re Interview with Anthony Sanders_1
     19. 2010-05-19 G Feldberg MFR re interview with Martin Mayer_1
20. 2010-05-20 Interview notes - FRB Mark Van der Weide - Background sources
21. 2010-05-24 Interview notes - Steve Kohlhagen - Derivatives
22. 2010-05-27 G Feldberg MFR re interview with Bob Pickel
23. 2010-05-27 G Feldberg MFR re interview with Gary Gensler
24. 2010-06-10 MFR re Interview with Darryl Hendricks

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3. Memorandum
   a. 2010-08-22 Memo re Goldman's CDS Protection and other Hedges Against AIG

4. PSR Outline - Derivatives - Hearing 5b - 4 29
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B. Credit Rating Agencies
   1. Document Requests
      a. 2010-02-03 G Cohen ltr to ISDA (Tew Darras) (copy)
      b. 2010-02-09 G Cohen ltr to JPMorgan (S Cutler) (copy)
      c. 2010-02-09 G Cohen ltr to WFB (J Strother) re Doc Production (copy)
      d. 2010-03-10 G Cohen ltr to Moody's (S Ross) re Doc Production (copy)
      e. 2010-03-10 G Cohen ltr to S&P (B Markley) re Doc Production (copy)
   2. Hearing 5a
      a. Documents
         1. berkshire hathaway ltr to Shareholders
            a. 2001pdf
            b. 2002pdf
            c. 2003ltr
            d. 2004ltr
            e. 2005ltr
            f. 2006ltr
            g. 2007ltr
            h. 2008ltr
            i. 2009ltr
         2. 2000-09-15 Moody's Approach to Rating Multisector CDOs
         3. 2002 - Moody's Corporation Achieves 1 Billion in Revenue in 2002 (commemorative plaque)
         4. 2004-10-1 Moody's Investors Service Record Retention Policy for Non-Public Records Used in the Credit
         5. 2005-06-27 Moody's Revisits its Assumptions Regarding Structured Finance Default and Asset Correlati
         7. 2006 - Moody's Corporation Achieves 2 Billion in Revenue in 2006 (commemorative plaque)
         8. 2006-08-17 Yoshizawa Email to Derivatives Monitoring Group re Deal Assignment - Muni Healthcare Edu
         9. 2007-01-04 Email from Sunil Surana to MDs re 2006 US Derivative Market Share
         10. 2007-02-05 Email from Sunil Surana to MDs re Jan 07 US Derivatives Market Share
         11. 2007-02-20 Email from Kolchinsky to Clarkson re Subprime ABS CDO Update
         12. 2007-03-28 Yoshizawa email to Kirnon, McDaniel, Kolchinsky re CSFB Pipeline Info
91. MOODYS-FCIC-0008593 Rating Committee Memo CMLTI 206-NC2 (2006-08-29)
92. MOODYS-FCIC-0012073 - Email From Jay Siegel Regarding Revised Silent Second
93. MOODYS-FCIC-0012075 - Email from Jay Siegel Regarding Benefit For SQ1 Servicer
94. MOODYS-FCIC-0012079 - Email from Jay Siegel Regarding the Interim Rule
95. MOODYS-FCIC-0012103 - Email from Jay Siegel Regarding Second Liens IOs
96. MOODYS-FCIC-0014789 Complex Collateralized Bond and Loan Obligations Rating Fee Schedule
97. MOODYS-FCIC-0014809 Residential Mortgage Securitizations (Home Equity and Overcollateralization Stru
98. MOODYS-FCIC-0016904 - Email from Michael Zoccoli Regarding the Sep 2002YTD Distribution
99. MOODYS-FCIC-0033971 - Email from Jay Siegel Subject-Rating Feedback Discussion
100. MOODYS-FCIC-0035795 - Email from Brian Clarkson Subject-June YTD AFG by Analyst
101. MOODYS-FCIC-0035795 (2001-07-05) B Clarkson email re June YTD AFG by Analyst - 1st Half 2001 AFG
102. MOODYS-FCIC-0038399 (2010-04-02) Moody's Wells Submission to SEC
103. MOODYS-FCIC-0052868 - Email from Mandy Roberts to Wendy Lynn
104. MOODYS-FCIC-0055776 - Email from Ray McDaniel Subject-Fitch Criticises Ratings of CPDOs
105. MOODYS-FCIC-0184795 - Email from Ray McDaniel Subject-Re Short Guide to Subprime
106. MOODYS-FCIC-0207797 Memo from J Goggins re Record Retention Policy 2005-02-15
107. MOODYS-FCIC-0207805 Memo from J Goggins re Record Retention Policy 2006-02-15
108. MOODYS-FCIC-0284278 (2007-07-19) Memo from B Clarkson to BOD re Subprime Update
109. PSI-MOODYS-000072 [Ex16] (2006-01-12) W May Email to G Harris re BES and PEs
110. PSI-MOODYS-000090 [Ex 19] (2006-04-27) Email from O'Driscoll to Yoshizawa re Magnolia 2006-5 Class D
111. PSI-MOODYS-000096 [Ex 15] B Clarkson Email to N Kirnon re performance evaluation (2003-12-01)
112. PSI-MOODYS-MS-000001 [Ex 24a] (2007-10-05) Email from Y Yoshizawa to MDs re 3Q Mkt Coverage CDOs
113. SEC_OCIE_FCIC_000001 - SEC Letter to Ray McDaniel
114. SEC_OCIE_FCIC_000010 -SEC Fax to Ray McDaniel
115. SEC_OCIE_FCIC_000496 - Examination Report to Moody's Investor Services, Inc
116. SEC_OCIE_FCIC_000512 - SEC Credit Rating Agencies Report 1
117. SEC_OCIE_FCIC_000512 - SEC Credit Rating Agencies Report 1
118. SEC_OCIE_FCIC_000550 - The Office of Compliance Inspections & Examinations Memo
119. Subprime Methodology Changes
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      17. OLD b) 2010-05-28 Investigative Report Credit Ratings v3_1
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   b. Summary Report of Issues Identified in SEC Examinations of Select Rating Agencies (July 2008)_1
   c. 2010-04-23 Levin and Coburn memo re Wall Street and the Financial Crisis - The Role of Rating_1
d. d. 2010-04-23 Senator Levin PSI Opening Statement on The Role of Credit Rating Agencies_1
e. e. 2009-06 Stocktaking on the Use of Credit Ratings (Joint Forum of Basel, BIS, Intl Org Securities
f. f. 2010-04-23 Michalek Testimony to Senate Permanent Subcommittee on Investigations_1
g. g. 2008-04-11. Article. LUCCHETTI_ AARON_ As Housing Boomed_ Moodys Opened Up_ Wall Street Journal_1
h. h. 2008-04-11. Article. Excerpts from Moody’s interviews. Wall Street Journal_1
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1. Documents

2. Hearing Background Materials
   a. I. Background Reading
      1. Goldman Sachs
         a. 08-09-17 NYT - Goldman Posts Profit, and Morgan Follows Suit_1
         b. 08-09-22 NYT - Radical Shift For Goldman and Morgan_1
         c. 08-10-02 FT.com - Eased mark-to-market rule lifts banks_1
         d. 08-12-17 NTY - Goldman Sachs Reports $2.1 Billion Quarterly Loss_1
         e. 09-07-13 Rolling Stone - The Great American Bubble Machine_1
         f. 09-08-06 NYT - Despite Bailouts, Business as Usual at Goldman_1
         g. 09-09-29 NYPost - The Secret to Goldman Sachs' Good Fortune_1
         h. 09-10-10 WSJ - The Bank Everybody Loves to Hate_1
         i. 09-10-12 FT.com - To avoid crises, we need more transparency_1
         j. 09-10-24 NTY - Short Memories at Goldman_1
k. 09-11-02 McClatchy - Goldman takes on new role- taking away people's homes
l. 09-11-06 McClatchy - Goldman left foreign investors holding the subprime bag
m. 09-11-06 McClatchy - How Goldman secretly bet on the U.S. housing crash
n. 09-11-06 McClatchy - Why did blue-chip Goldman take a walk on subprime's wild side
o. 09-11-08 Times - I'm doing 'God's work'. Meet Mr Goldman Sachs
p. 09-11-11 Blankfein defends pay levels for 'more productive' Goldman staff
q. 09-11-17 SIGTARP - Factors Affecting Efforts to Limit Payments to AIG Counterparties
r. 09-11-18 - $500 Million and Apology From Goldman
s. 09-12-10 Goldman Sachs - Goldman Sachs Announces Changes to 2009 Compensation Program
t. 09-12-10 WSJ - CORRECT-UPDATE-Goldman Sachs Top Execs Get No Cash Bonus For 2009
u. 09-12-11 FT.com - Goldman scraps cash bonuses for 30 top executives
v. 09-12-11 LATimes - Goldman scraps cash bonuses for 30 top executives
w. 09-12-11 NYT - Goldman Sachs Alters Its Bonus Policy to Quell Uproar
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y. 09-12-16 NYPost - Goldman Suit Over Bonus
z. 09-12-16 NYT - As Goldman Thrives, Some Say an Ethos Has Faded
aa. 09-12-24 NYT - Banks Bundled Bad Debt, Bet Against It and Won
ab. 09-12-30 McClatchy - Goldman's offshore deals deepened global financial crisis
ac. 10-01-04 VanityFair - The Bank Job
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ae. 10-01-07 - Supreme Court of the State of New York, County of New York
af. 10-01-07 Reuters - Goldman sued by pension fund over bonus plans
ag. 10-01-11 - Goldman Sachs Weighs Requirements for Charity
ah. 10-01-11 Bloomberg - Geithner rejects Goldman Sachs Assertion It Didn't Need U.S. Help
ai. 10-01-11 Westchester County - Goldman Sachs subsidiary files flurry of mortgage lawsuits
aj. 10-01-12 Bloomberg - Goldman Trades Shouldn't Get U.S. Aid, Volcker Says (Update 1)

2. Morgan Stanley
a. 05-12-20 TheStreet - Morgan Stanley Shines
b. 06-07-03 BusinessWeek - Mack Attack
c. 07-06-21 NYT - Morgan Stanley's Profits Rise, Defying Subprime Slump
d. 07-09-20 NYT - Write-Downs Push Morgan's Profit Lower
e. 07-10-03 NYT - Morgan Stanley Will Eliminate 600 Jobs
f. 07-11-07 NYT - Morgan Stanley May be Next to Write Down Assets
g. 07-12-20 NYT - $9.4 Billion Write-Down at Morgan Stanley
h. 07-12-21 SouthChinaMorningPost - Morgan Stanley a good bet until wall
3. JPMorgan Chase
   a. 07-03-06 Dow Jones - UPDATE-Dimon, Retail Cheif Bullish On Mortgage Business
   b. 07-11-26 JPMorganChase - Barry Zubrow Appointed Chief Risk Officer Of JPMorgan Chase
   c. 08-01-14 LexisNexis - Boomerang Effect is Citi's Latest SIV Strategy
   d. 08-03-18 NYTimes - Rallying the House of Morgan
   e. 08-07-07 PBS - Interview with Jamie Dimon
   f. 08-07-08 PBS - War Powers Act; Evaluating the Economic Situation
   g. 08-09-15 Forbes - BofA Gets Risk Along With Rewards With Merrill
   h. 09-01-29 FT - Dimon urges US leaders to solve crisis
   i. 09-03-11 WSJ - Dimon Optimistic About Economy
   j. 09-03-27 FEDNWS - CNBC Interview with JPMorgan Chase CEO Jamie Dimon (Part 1)
   k. 09-04-16 MarketWatch - Dimon gets a little rough
   l. 09-06-05 NIKKEI - Experts From Interview With JPMorgan Chase CEO Jamie Dimon
   m. 09-06-29 WSJ - A Unified Bank Regulator Is a Good Start
   n. 09-07-16 NYT - Federal Inquity Looks at Derivatives Data Providers
   o. 09-07-18 NYT - In Washington, One Bank Still Holds Sway
   p. 09-08-06 boston.com - Goldman faces credit-swap inquiry
   q. 09-08-24 Chicago Tribune - Jamie Dimon doesn't buy into notion that government bailed out JPMorgan C
   r. 09-08-25 - Will Time Prove Ben Bernanke Wrong
   s. 09-09-21 Newsweek - The Banker Who Saved Wall Street
   t. 09-09-28 Puget Sound Business Journal - The downfall of Washington Mutual
   u. 09-10-14 The Christian Science Monitor - JPMorgan earnings show 'too big to fail' banks getting bigg
   v. 09-10-15 The Daily Telegraph - JPMorgan's $29bn bonus pot reignites row over pay
   w. 09-11-13 The Washington Post - No more 'too big to fail'
   x. 09-11-14 NYT - JPMorgan Faces New Suit in Alabama County's Woes
   y. 09-11-30 Forbes - Master Banker, Master Schmoozer
   z. 09-12-07 Why Did They Close WaMu
   aa. 09-12-11 Dept of Treasury - Treasury Department Announces Pricing of Public Offering of Warrants to
   ab. 09-12-15 Reuters - Wamu asks to probe Fed over collapse
   ac. 09-12-28 - What a Deal
   ad. 09-12-28 Telegraph - JP Morgan may scrap 1.5bn London HQ Plan over Labour's attacks on City
   ae. 10-01-02 NYT - U.S. Loan Effort Is Seen as Adding to Housing Woes
   af. 10-01-06 iStonckAnalyst - JPMorgan Chase & Co (NYSE-JPM) Fourth Quarter Earnings Preview 2009
   ag. 10-01-08 BusinessJournal - JPMorgan Chase's Internal Documents
   ah. 10-01-10 Bloomberg - JPMorgan Ends SEC Alabama Swap Probe for $722 Million (update 2)
   ai. 10-01-10 Businessweek - German and French banks got $36 billion from AIG Bailout
   aj. Andrew M Cuomo - No Rhyme of Reason-The 'Heads I Win, Trails You
4. Bank of America
   a. 08-01-11 The Charlotte Observer - BofA May Buy Troubled Lender Countrywide Stock Surges Based on New
   b. 08-01-12 WSJ - Behind Bank of America's Big Gamble
   c. 08-01-14 BusinessWeek - Bank of America Bags Countrywide
   d. 08-03-12 CNNMoney - FBI probes Countrywide for possible fraud
   e. 08-09-14 - Bank of America Said to Walk Away From Lehman Talks (Update 1)
   f. 08-10-06 PRNewswire - Bank of America Announces National Homeownership Retention Program for Country
   g. 08-10-07 WaPo - Bank of America To Modify Mortgages From Countrywide
   h. 09-01-15 WSJ - Bank of America to Get Billions in U.S. Aid
   i. 09-03-15 BusinessWeek - German and French banks got $36 billion from AIG Bailout
   j. 09-03-28 NYT - Bank of America Accused in Ponzi Lawsuit
   k. 09-08-20 NYT - Countrywide Loses Ruling in Loan Suit
   l. 09-09 The Atlantic Online - The Final Days of Merrill Lynch
   m. 09-09-14 Fortune - Checkmate for a Wall Street Wizard
   n. 09-10-06 PRNewswire - Bank of America Announces National Homeownership Retention Program for
   o. 09-10-19 LexisNexis - Bank of America Announces Third-Quarter Net Loss of $1.0 Billion
   p. 09-10-28 DowJones - Merrill Bonuses Raised Issues In Merger With Bank of America
   q. 09-11-17 DowJones - Ex-general counsel of Bank of America says he had no role in controversial Merri
   r. 09-12-09 CNNMoney - Bank of America-Paid in full
   s. 09-12-11 US Securities and Exchange Commission - Testimony Concerning Events Surrounding Bank of America
   t. 10-01-04 - BofA-We'll bank on balance
   u. 10-01-05 BusinessWeek - Bailed-Out Banks Profit on Rescued Assets
   v. 10-01-05 The Charlotte Observer - Bank of America CEO
   w. 10-01-08 WSJ - For BofA Investment Bankers, Bonuses Likely Close to 2007
   x. 10-01-11 Bank of America Corporation - US Securities and Exchange Commission
   y. 10-01-12 WSJ - BofA to Limit Curl's Duties; SEC Won't Sue Top Officers
   z. 10-12-01 Bloomberg - Bank of America may Not Guarantee Countrywide's Debt (Update 6)

b. II. Day 1, Panel 1
   1. 1. Witness Bios
      a. a. Witness Bios
   2. 2. Transcripts or MFRs of Pre-Interviews
   3. 3. Documents of Selected Quotes
      a. Key Quotes - Brian Moynihan
      b. Key Quotes - Jamie Dimon
      c. Key Quotes - John Mack
4. Significant Past Testimony
   a. 07-06-22 L Blankfein Interview with Chrystia Freeland, Financial Times US
   b. 08-04-03 J Dimon Before the Senate Committee on Banking, Housing and Urban Affairs
   c. 09-02-11 J Dimon Before House Financial Services Committee
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   z. 2010-03-05 T Greene Ltr to FRB (D Caperton) re Document Production (copy)
   aa. 2010-03-10 G Cohen to Citi (S Buergel) re Doc Production (copy)

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            1. 4. Selected Quotes_Chairman Alan Greenspan_Housing_Regulation_GSEs_misc
         d. 4. Relevant Articles
            2. b. PBS Greenspan Admits Flaw to Congress_PBS NewsHour Transcript_2008-10-23
3. c. Excerpt from And Then The Roof Caved In _ Faber, David_pgs 50-55_2009-06_1
4. d. The Fed Didn't Cause the Housing Bubble_A. Greenspan_Wall Street Journal_2009-03-11_1
5. e. Fed Held Back as Evidence Mounted on Subprime Loan Abuses_B. Appelbaum_Wash Post_2009-09-27_1
6. f. Greenspan Concedes Fed Failed to Gauge Bubble_S. Chan_NYT 2010-03-18_1
7. g. Fed Shrugs as Subprime Crisis Spread_E. Andrews_New York Times_2007-12-18_1
8. h. Fortune Alan Greenspan Fights Back_G. Colvin_Fortune Magazine_2010-02-05_1
9. i. Fed's Approach to Regulation Left Banks Exposed to Crisis_B. Appelbaum_Wash Post_2009-12-21_1
10. j. The Next Government Bailout_J. Laing_Barrons_2008-03-10_1
e. 5. Greenspan Testimony before House Oversight Committee_2008-10-23_1
f. 6. The Crisis, Alan Greenspan. Paper Presented to Brookings Institute_2010-03-09_1

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   8. j. Transcript of Interview with Robert Rubin (condensed format)_2010-03-11_1
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   2. b. Key Quotes - Robert Rubin_1
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   1. a. Harvard Case Study - What happened to Citigroup Harvard July 2009_1
   2. b. The Reckoning - Citigroup Saw No Red Flags Even as It Made Bolder Bets_E. Dash_2008-11-22_1

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5. Relevant Articles
   a. Does this Bank Watchdog -OCC- Have Bite_A. Martin_NYTimes_2010-03-26

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      5. e. 2010-03-29 Falcon MFR
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      1. 3 Fannie Mae Key Quotes
   d. 4. Relevant Articles
      2. b. Fannie Mae's Last Stand_B. McLean_Vanity Fair_2009-02
      3. c. The Endgame Nears for Fannie and Freddie_J. Laing_Barrons_2008-08-18
      5. e. Geithner Backs Gov Role in Mortgage Market_S. Timiraos_Wall Street Journal_2010-03-23
   e. 5. Relevant Speeches and Previous Testimony
      1. c. 2006-06-06 Lockart Testimony before House Financial Services Committee
      2. d. 2007-04-17 Mudd Testimony before House Financial Services Committee
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   c. housing_results_20100707
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7. g. 2009-10-21 Bloomberg - Lehman Hedge Fund Clients to Get $3.3 Billion Payout

3. Wachovia
   1. a. 2008-10-05 NYTimes - Citigroup Says Judge's Order Suspends Wachovia Deal
   2. b. 2008-10-06 Crowell & Moring - Tax Notice Drives Wachovia Takeover Turmoil
   3. c. 2008-10-19 ABA - What It Means to Banks Acquiring Other Banks
   4. d. 2008-10-30 Bloomberg - Schumer Challenges IRS Rule Sparking Bank Merger
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Preparing – Current Versions of the Final Report

NAID: 24823588
Extent: 1,496 data files

This series contains the final iteration of report materials. The “Ready to Go” records contain all of the chapters as they went to the publisher, along with all of their earlier versions.

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   E. FCIC - Chapter 11 - The Bust
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Memoranda for the Record and Interview Lists

NAID: 24823589
Extent: 1,327 data files

This series contains summaries, transcripts, and audio files of interviews with witnesses interviewed by commission staff. The Memoranda for the Record are summaries of interviews conducted by FCIC staff with a variety of outside people. The staff interviewed employees of banks, brokerages, holding companies, state and Federal government agencies, rating agencies, mortgage companies. They also spoke with authors, lawyers, financial advisers, and economics professors. Some of these interviews were transcribed and recorded.

There are multiple drafts of many of these files. Some scans of handwritten notes are also included. Many of these documents were released by the FCIC in the “Resource Library” section of its website.

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   6. Kling, Arnold Notes (Adam Paul) 7-28-2010
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B. 2010-02-02 FCIC memo of staff interview with William Arnold, Citigroup Alternative Investments
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ag. 2010-07-29 FCIC staff audiotape of interview with David Lehman, Goldman Sachs
ah. 2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 1)
ai. 2010-07-30 FCIC staff audiotape of interview with Lewis Ranieri, Salomon Brothers (Part 2)
aj. 2010-08-03 FCIC staff audiotape of interview with Kim Scherer, Office of the Comptroller of the Currency
ak. 2010-08-04 FCIC staff audiotape of interview with Doug Roeder, Office of the Comptroller of the Currency (Part 1)
al. 2010-08-04 FCIC staff audiotape of interview with Doug Roeder, Office of the Comptroller of the Currency (Part 2)
am. 2010-08-05 FCIC staff audiotape of interview with Harvey Miller, Weil, Gotshal & Manges LLP
an. 2010-08-05 FCIC staff audiotape of interview with Robert Kuttner, The American Prospect
ao. 2010-08-05 FCIC staff audiotape of interview with Rodgin Cohen, Sullivan & Cromwell
ap. 2010-08-09 FCIC staff audiotape of interview with Bart McDade, Lehman Brothers
aq. 2010-08-09 FCIC staff audiotape of interview with Frank Filipps, Clayton Holdings LLC
ar. 2010-08-12 FCIC staff audiotape of interview with Scott Patterson, Wall Street Journal
as. 2010-08-16 FCIC staff audiotape of interview with Alex Kirk, Lehman Brothers
at. 2010-08-18 FCIC staff audiotape of interview with Dean Baker, Center for Economic & Policy Research
au. 2010-08-18 FCIC staff audiotape of interview with Robert (Bob) K. Steel, Wachovia
av. 2010-08-19 FCIC staff audiotape of interview with Richard Levy, Wells Fargo
aw. 2010-08-20 FCIC staff audiotape of interview with Christopher Cruise
ax. 2010-08-24 FCIC staff audiotape of interview with Richard (Dick) S. Fuld, Lehman Brothers
ay. 2010-08-24 FCIC staff audiotape of interview with Richard Kovacevich, Wells Fargo
az. 2010-08-25 FCIC staff audiotape of interview with Rich Delmar, Department of the Treasury
ba. 2010-09-02 FCIC staff audiotape of interview with Eugene Ludwig, Promotory Financial Group
bb. 2010-09-09 FCIC staff audiotape of interview with Dow Kim, Merrill Lynch
bc. 2010-09-10 FCIC staff audiotape of interview with Diane Thompson and Margot Saunders, National Consumer Law Center
bd. 2010-09-10 FCIC staff audiotape of interview with Vincent Reinhart, Federal Reserve Board
be. 2010-09-14 FCIC staff audiotape of interview with Ray Romano, Freddie Mac
bf. 2010-09-15 FCIC staff audiotape of interview with Chris Ricciardi, Merrill Lynch (Part 1)
bg. 2010-09-15 FCIC staff audiotape of interview with Chris Ricciardi, Merrill Lynch (Part 2)
bh. 2010-09-15 FCIC staff audiotape of interview with William Buell, JPMorgan Chase
bi. 2010-09-16 FCIC staff audiotape of interview with Julia Gordon and Mike Calhoun, Center for Responsible Lending
bj. 2010-09-17 FCIC staff audiotape of interview with Alan Blinder, Princeton University
bk. 2010-09-21 FCIC staff audiotape of interview with Michael Lamont, Deutsche Bank
bl. 2010-09-22 FCIC staff audiotape of interview with Herb Sandler, Golden West Financial Corporation
bm. 2010-09-23 FCIC staff audiotape of interview with John Stumpf, Wells Fargo
bn. 2010-09-23 FCIC staff audiotape of interview with John Taylor, Stanford University (Part
1) 2010-09-23 FCIC staff audiotape of interview with John Taylor, Stanford University (Part 2)

bp. 2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 1)

bq. 2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 2)

br. 2010-09-24 FCIC staff audiotape of interview with Angelo Mozilo, Countrywide (Part 3)

bs. 2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 1)

bt. 2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 2)

bu. 2010-09-27 FCIC staff audiotape of interview with David Sambol, Countrywide (Part 3)

bv. 2010-09-27 FCIC staff audiotape of interview with Sihan Shu, Paulson & Co.

bw. 2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 1)

bx. 2010-09-28 FCIC staff audiotape of interview with Nestor Dominguez, Citigroup (Part 2)

by. 2010-10-01 FCIC staff audiotape of interview with Arthur Levitt, Securities and Exchange Commission

bz. 2010-10-05 FCIC staff audiotape of interview with Jim Chanos, Kynikos Associates

c. 2010-10-06 FCIC staff audiotape of interview with Alphonso Jackson, Department of Housing and Urban Development (Part 1)

cb. 2010-10-06 FCIC staff audiotape of interview with Alphonso Jackson, Department of Housing and Urban Development (Part 2)

c. 2010-10-06 FCIC staff audiotape of interview with Susan Wachter, University of Pennsylvania

cd. 2010-10-11 FCIC staff audiotape of interview with Paul Volcker, Federal Reserve Board

ce. 2010-10-11 FCIC staff audiotape of interview with Susan Bies, Federal Reserve Board

cf. 2010-10-13 FCIC staff audiotape of interview with Henry Cisneros, Department of Housing and Urban Development

cg. 2010-10-15 FCIC staff audiotape of interview with David Wong, Morgan Stanley

ch. 2010-10-15 FCIC staff audiotape of interview with Prentiss Cox, Minnesota, Office of the State Attorney General

cl. 2010-10-18 FCIC staff audiotape of interview with Jim Callahan, PentAlpha

cj. 2010-10-19 FCIC staff audiotape of interview with Ralph Cioffi, Bear Stearns Asset Management

ck. 2010-10-20 FCIC staff audiotape of interview with Jamie Dimon, JPMorgan Chase

cj. 2010-10-27 FCIC staff audiotape of interview with John Taylor and Josh Silver, National Community Reinvestment Coalition (Part 1)

cm. 2010-10-27 FCIC staff audiotape of interview with John Taylor and Josh Silver, National Community Reinvestment Coalition (Part 2)

cn. 2010-10-28 FCIC staff audiotape of interview with John Paulson, Paulson & Co.

co. 2010-10-29 FCIC staff audiotape of interview with Andrew Davidson, Andrew Davidson & Co.

cp. 2010-11-01 FCIC staff audiotape of interview with Alberto Gonzales, United States Attorney General

cq. 2010-11-02 FCIC staff audiotape of interview with David Grais, Grais & Ellsworth LLP

cr. 2010-11-02 FCIC staff audiotape of interview with John Mack, Morgan Stanley

cs. 2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 1)

cr. 2010-11-08 FCIC staff audiotape of interview with Jim Rokakis, Ohio Cuyahoga County (Part 2)

cu. 2010-11-10 FCIC staff audiotape of interview with Ed Lazear, Council of Economic Advisors

cv. 2010-11-11 FCIC staff audiotape of interview of Wing Chau, Harding Advisory LLC
2010-11-17 FCIC staff audiotape of interview with Marc Savitt, National Association of Independent Housing Professionals

cx. 2010-11-18 FCIC staff audiotape of interview with Sujit CanagaRetna, Council of State Governments

cy. 2010-11-22 FCIC staff audiotape of interview with Raghuram Rajan, University of Chicago

cz. 2010-11-30 FCIC staff audiotape of interview with Lord Adair Turner, Financial Services Authority (UK)
da. 2010-12-17 FCIC staff audiotape of interview with Andrew Cuomo, Department of Housing and Urban Development

2. Batch 2 (2-5-10)
a. 2010-03-16 FCIC staff audiotape of interview with Mickey Bhatia, Citigroup
b. 2010-03-23 FCIC staff audiotape of interview with Amy Manning, CitiFinancial
c. 2010-03-23 FCIC staff audiotape of interview with Mary McDowell, CitiFinancial
d. 2010-03-30 FCIC staff audiotape of interview with Patrick Parkinson, Federal Reserve Board

e. 2010-04-01 FCIC staff audiotape of interview with Jim Michaels, Federal Reserve Bank of New York
f. 2010-04-01 FCIC staff audiotape of interview with Sandra Braunstein, Federal Reserve Board
g. 2010-04-26 FCIC staff audiotape of interview with Antonio Alvarez, Federal Reserve Bank of New York
h. 2010-04-26 FCIC staff audiotape of interview with Randolph Brown, Federal Reserve Bank of New York
i. 2010-05-03 FCIC staff audiotape of interview with Scott Eichel, Bear Stearns
j. 2010-05-04 FCIC staff audiotape of interview with David Teicher, Moody’s Investors Service
k. 2010-05-07 FCIC staff audiotape of interview with Alex Luk, Office of Thrift Supervision
l. 2010-05-07 FCIC staff audiotape of interview with Richard Cantor, Moody’s Corporation (Part 1)
m. 2010-05-07 FCIC staff audiotape of interview with Richard Cantor, Moody’s Corporation (Part 2)
n. 2010-05-07 FCIC staff audiotape of interview with Satyajit Das
o. 2010-05-11 FCIC staff audiotape of interview with Robert (Bob) Litan, Brookings Institution (Part 1)
p. 2010-05-12 FCIC staff audiotape of interview with Robert (Bob) Litan, Brookings Institution (Part 2)
q. 2010-05-14 FCIC staff audiotape of interview with Henry Alblescu, Standard & Poor’s
r. 2010-05-18 FCIC staff audiotape of interview with Scott Taub, Securities and Exchange Commission
s. 2010-05-18 FCIC staff audiotape of interview with Yuri Yoshizawa, Moody’s Investors Service (Part 1)
t. 2010-05-18 FCIC staff audiotape of interview with Yuri Yoshizawa, Moody’s Investors Service (Part 2)
u. 2010-05-19 FCIC staff audiotape of interview with Rachel Dollar, Mortgage Fraud Blog
v. 2010-05-20 FCIC staff audiotape of interview with Brian Clarkson, Moody’s Corporation
w. 2010-05-20 FCIC staff audiotape of interview with Eugene Xu, Deutsche Bank (Part 1)
x. 2010-05-20 FCIC staff audiotape of interview with Eugene Xu, Deutsche Bank (Part 2)
y. 2010-05-24 FCIC staff audiotape of interview with Steve Kohlhagen, University of California Berkeley
z. 2010-05-27 FCIC staff audiotape of interview with John Rutherford, Moody’s Corporation

aa. 2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and
Exchange Commission (Part 1)
ab. 2010-06-07 FCIC staff audiotape of interview with James Kroeker, Securities and Exchange Commission
ac. 2010-06-16 FCIC staff audiotape of interview with Blythe Masters, JPMorgan Chase
ad. 2010-06-16 FCIC staff audiotape of interview with David Viniar, Goldman Sachs
ae. 2010-06-16 FCIC staff audiotape of interview with Gary Cohn, Goldman Sachs
af. 2010-06-17 FCIC staff audiotape of interview with Jonathan Ego, Goldman Sachs (Part 1)
ag. 2010-06-17 FCIC staff audiotape of interview with Jonathan Ego, Goldman Sachs (Part 2)
ah. 2010-06-18 FCIC staff audiotape of interview with Michael Finn, Office of Thrift Supervision
ai. 2010-06-19 FCIC staff audiotape of interview with Scott Polakoff, Office of Thrift Supervision
aj. 2010-06-24 FCIC staff audiotape of interview with Amy Stroupe, BB&T
ak. 2010-07-16 FCIC staff audiotape of interview with Chris O'Meara, Lehman Brothers
al. 2010-07-22 FCIC staff audiotape of interview with Vicki Beal, Clayton Holdings LLC (Part 1)
am. 2010-07-22 FCIC staff audiotape of interview with Vicki Beal, Clayton Holdings LLC (Part 2)
an. 2010-07-23 FCIC staff audiotape of interview with Duff McDonald, Author
ao. 2010-07-23 FCIC staff audiotape of interview with Richard Posner, Author
ap. 2010-07-26 FCIC staff audiotape of interview with James Grant, Grant's Publishing
aq. 2010-07-28 FCIC staff audiotape of interview with Edwin Chow, Office of Thrift Supervision
ar. 2010-07-28 FCIC staff audiotape of interview with Jeff Madrick, The Cooper Union (Part 1)
as. 2010-07-28 FCIC staff audiotape of interview with Jeff Madrick, The Cooper Union (Part 2)
at. 2010-07-28 FCIC staff audiotape of interview with Lawrence Carter, Office of Thrift Supervision
au. 2010-07-29 FCIC staff audiotape of interview with Michael Gelband, Lehman Brothers
av. 2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 1)
aw. 2010-07-29 FCIC staff audiotape of interview with Michael Swenson, Goldman Sachs (Part 2)
ax. 2010-07-30 FCIC staff audiotape of interview with Angus McBryde, Wachovia
ay. 2010-07-30 FCIC staff audiotape of interview with William Cohan, Author
az. 2010-08-02 FCIC staff audiotape of interview with Barry Zubrow, JPMorgan Chase
ba. 2010-08-02 FCIC staff audiotape of interview with Patrick McKenna, Deutsche Bank
bb. 2010-08-04 FCIC staff audiotape of interview with Jacqueline Becker, Anthony Castaldo, Elizabeth Coleman and Andrew Patchman, Federal Reserve Board
bc. 2010-08-04 FCIC staff audiotape of interview with Darrell Dochow, Office of Thrift Supervision
bd. 2010-08-05 FCIC staff audiotape of interview with Kerry O'Neill, Clayton Holdings LLC
be. 2010-08-11 FCIC staff audiotape of interview with John Cassidy, The New Yorker
bf. 2010-08-11 FCIC staff audiotape of interview with Tom Baxter, Federal Reserve Bank of New York
bg. 2010-08-13 FCIC staff audiotape of interview with Tom Fontana, Citigroup
bh. 2010-08-17 FCIC staff audiotape of interview with Mary Haggerty, Bear Stearns
bi. 2010-08-19 FCIC staff audiotape of interview with Barry Zubrow, JPMorgan Chase
bj. 2010-08-20 FCIC staff audiotape of interview with Tom Russo, Lehman Brothers
bk. 2010-08-23 FCIC staff audiotape of interview with Hugh (Skip) McGee, Lehman Brothers
bl. 2010-08-24 FCIC staff audiotape of interview with Satyajit Das
bm. 2010-08-31 FCIC staff audiotape of interview with William (Bill) Sharpe, Stanford University
bn. 2010-09-07 FCIC staff audiotape of interview with Mark Kemp, Countrywide
bo. 2010-09-08 FCIC staff audiotape of interview with William (Bill) Black, University of Missouri (Part 1)
bp. 2010-09-08 FCIC staff audiotape of interview with William (Bill) Black, University of Missouri (Part 2)
 bq. 2010-09-10 FCIC staff audiotape of interview with Charles Calomiris, Columbia University
br. 2010-09-13 FCIC staff audiotape of interview with Harry Markowitz, University of California San Diego
bs. 2010-09-14 FCIC staff audiotape of interview with Michael Raynes, Citigroup
bt. 2010-09-16 FCIC staff audiotape of interview with Elizabeth Warren, Congressional Oversight Panel
bu. 2010-09-16 FCIC staff audiotape of interview with Kenneth Feinberg, Department of the Treasury
bv. 2010-09-16 FCIC staff audiotape of interview with Stanley O'Neal, Merrill Lynch
bw. 2010-09-17 FCIC staff audiotape of interview with Harvey Pitt, Securities and Exchange Commission
bx. 2010-09-20 FCIC staff audiotape of interview with Nicolas Retsinas, Harvard University
by. 2010-09-21 FCIC staff audiotape of interview with Tom Boemio, Federal Reserve Board
bz. 2010-09-22 FCIC staff audiotape of interview with Robert Shiller, Yale University
ca. 2010-09-24 FCIC staff audiotape of interview with Nellie Liang and Dan Covitz, Federal Reserve Board
cb. 2010-09-28 FCIC staff audiotape of interview with Mel Martinez, Department of Housing and Urban Development
cc. 2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 1)
cd. 2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 1)
ce. 2010-09-28 FCIC staff audiotape of interview with Michael Palumbo and Patrick McCabe, Federal Reserve Board (Part 2)
 cf. 2010-09-29 FCIC staff audiotape of interview with John Mongelluzzo, GMAC
cg. 2010-09-30 FCIC staff audiotape of interview with Karen Pence, Federal Reserve Board
ch. 2010-10-01 FCIC staff audiotape of interview with Frederic Mishkin, Federal Reserve Board
cl. 2010-10-04 FCIC staff audiotape of interview with George Soros, Soros Fund Management, LLC
 cj. 2010-10-04 FCIC staff audiotape of interview with Mark Olson, Federal Reserve Board
ck. 2010-10-04 FCIC staff audiotape of interview with Michael Steinhardt, Steinhardt Management
cl. 2010-10-08 FCIC staff audiotape of interview with Stanley Sporkin, United States District Court for the District of Columbia
cm. 2010-10-15 FCIC staff audiotape of interview with Bill Dudley, Federal Reserve Bank of New York
 cn. 2010-10-18 FCIC staff audiotape of interview with Mike Harter, Wells Fargo
co. 2010-10-22 FCIC staff audiotape of interview with Donald Kohn, Federal Reserve Board
3. Batch 3 (2-6-10)
   a. 2010-03-31 FCIC staff audiotape of interview with Norah Barger, Federal Reserve Board
   b. 2010-05-07 FCIC staff audiotape of interview with Brad Waring, Office of Thrift Supervision
   c. 2010-05-07 FCIC staff audiotape of interview with Joseph Gonzalez, Office of Thrift Supervision
   d. 2010-05-11 FCIC staff audiotape of interview with Nicholas Weill, Moody's Investors Service
   e. 2010-05-19 FCIC staff audiotape of interview with Martin Mayer, Brookings Institution
   f. 2010-06-02 FCIC staff audiotape of interview with William (Bill) Isaac, LECG
   g. 2010-06-10 FCIC staff audiotape of interview with Kevin McGinn, American International Group, Inc. (Part 1)
   h. 2010-06-10 FCIC staff audiotape of interview with Kevin McGinn, American International Group, Inc. (Part 2)
   i. 2010-07-23 FCIC staff audiotape of interview with Ronald Marcus, Office of Thrift Supervision
   j. 2010-07-29 FCIC staff audiotape of interview with Scott Alvarez, Bill English, Kieran Fallon and Bill Nelson, Federal Reserve Board
   k. 2010-08-10 FCIC staff audiotape of interview with Peter Shapiro, Swap Financial Group (copy)
   l. 2010-08-10 FCIC staff audiotape of interview with Deborah Bailey, Federal Reserve Board
   m. 2010-08-11 FCIC staff audiotape of interview with Arthur B. Laffer, Laffer Associates
   n. 2010-08-24 FCIC staff audiotape of interview with Pat Parkinson, Federal Reserve Board
   o. 2010-09-02 FCIC staff audiotape of interview with D. Keith Johnson, Clayton Holdings LLC
   p. 2010-09-13 FCIC staff audiotape of interview with Nell Minow, The Corporate Library
   q. 2010-09-17 FCIC staff audiotape of interview with John Thain, Merrill Lynch
   r. 2010-09-20 FCIC staff audiotape of interview with Michael Solomon and Fred Phillips-Patrick, Office of Thrift Supervision
   s. 2010-09-20 FCIC staff audiotape of interview with Seth Carpenter, Federal Reserve Board
   t. 2010-09-24 FCIC staff audiotape of interview with Jim Embersit, Federal Reserve Board
   u. 2010-10-06 FCIC staff audiotape of interview with Paul Krugman, Princeton University
   v. 2010-10-08 FCIC staff audiotape of interview with Michael Vardas, Northern Trust
   w. 2010-10-14 FCIC staff audiotape of interview with Tony Peterson, Morgan Stanley (Part 1)
   x. 2010-10-14 FCIC staff audiotape of interview with Tony Peterson, Morgan Stanley (Part
2)
  y. 2010-10-19 FCIC staff audiotape of interview with David Jones, Federal Reserve Board
  z. 2010-10-20 FCIC staff audiotape of interview with Judge Michael Mukasey, United States
     Attorney General
  aa. 2010-10-21 FCIC staff audiotape of interview with Viral Acharya, New York University
  ab. 2010-10-22 FCIC staff audiotape of interview with Mark Adelson, Standard & Poor's
  ac. 2010-11-10 FCIC staff audiotape of interview with Yves Smith, Aurora Advisors
  ad. 2010-11-15 FCIC staff audiotape of interview with Nouriel Roubini, New York University
  ae. 2010-11-15 FCIC staff audiotape of interview with Robert Willumstad, American
     International Group, Inc.
  af. 2010-11-19 FCIC staff audiotape of interview with William (Bill) Isaac, LECG

4.  Batch 4 (2-8-10)
  a. 2010-02-23 FCIC staff audiotape of interview with Kevin Gaffney, Scott Goebel, Norm
     Lind and Kevin Mahar, Fidelity Investments
  b. 2010-03-10 transcript of FCIC staff interview with Thomas Maheras, Citigroup
  c. 2010-03-11 transcript of FCIC staff interview with Robert Rubin, Citigroup
  d. 2010-03-12 FCIC staff audiotape of interview with John Lyons, Office of the Comptroller
     of the Currency
  e. 2010-03-17 transcript of FCIC staff interview with Charles Prince, Citigroup
  f. 2010-03-30 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller
     of the Currency
  g. 2010-04-01 FCIC staff audiotape of interview with Glenn Loney, Federal Reserve Board
  h. 2010-04-01 transcript of FCIC staff interview with David Bushnell, Citigroup
  i. 2010-04-21 FCIC staff audiotape of interview with Gary Witt, Moody's Investors Service
  j. 2010-04-23 FCIC staff audiotape of interview with Bard Stermasi, Federal Reserve Bank
     of New York
  k. 2010-04-23 FCIC staff audiotape of interview with Glenn Snajder, Federal Reserve Bank
     of New York
  l. 2010-04-23 FCIC staff audiotape of interview with William Hallacy, Federal Reserve Bank
     of New York
  m. 2010-04-26 FCIC staff audiotape of interview with Dianne Dobbeck and Steve Manzari,
     Federal Reserve Bank of New York (Part 1)
  n. 2010-04-26 FCIC staff audiotape of interview with Dianne Dobbeck and Steve Manzari,
     Federal Reserve Bank of New York (Part 2)
  o. 2010-04-27 Transcript of Interview with Eric Kolchinsky
  p. 2010-05-11 FCIC staff audiotape of interview with Alan Frost, American International
     Group, Inc. (Part 1)
  q. 2010-05-11 FCIC staff audiotape of interview with Michael Kanef, Moody's Investors
     Service
  r. 2010-05-14 FCIC staff audiotape of interview with Gary Gensler, Commodity Futures
     Trading Commission
  s. 2010-05-14 FCIC staff audiotape of interview with William May, Moody's Investors
     Service
  t. 2010-05-17 FCIC staff audiotape of interview with Anthony Sanders, George Mason
     University
  u. 2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American
     International Group, Inc. (Part 1)
  v. 2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American
     International Group, Inc. (Part 2)
  w. 2010-05-19 FCIC staff audiotape of interview with Maurice (Hank) Greenberg, American
     International Group, Inc. (Part 3)
x. 2010-06-14 FCIC staff audiotape of interview with Pierre Micottis, American International Group Financial Products

y. 2010-06-18 FCIC staff audiotape of interview with Stephanie Mudick and Lela Wingard, JPMorgan Chase

z. 2010-06-22 FCIC staff audiotape of interview with Mark Hutchings, American International Group, Inc.

aa. 2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 1)

ab. 2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 2)

ac. 2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 3)

ad. 2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 4)

ae. 2010-06-25 FCIC staff audiotape of interview with Joe Cassano, American International Group, Inc. (Part 5)

af. 2010-07-21 FCIC staff audiotape of interview with Joe Swartz, Deutsche Bank

ag. 2010-08-02 FCIC staff audiotape of interview with Roger Cole, Federal Reserve Board

ah. 2010-08-04 FCIC staff audiotape of interview with David Wilson, Office of the Comptroller of the Currency

ai. 2010-08-05 FCIC staff audiotape of interview with Art Angula, Bill Rutledge and Dianne Dobbeck, Federal Reserve Bank of New York

aj. 2010-08-06 FCIC staff audiotape of interview with John Dugan, Office of the Comptroller of the Currency

ak. 2010-08-13 FCIC staff audiotape of interview with Richard Westerkamp, Federal Reserve Board

al. 2010-08-16 FCIC staff audiotape of interview with Mark Zandi, Moody's Analytics

am. 2010-08-25 FCIC staff audiotape of interview with Ian Lowitt, Lehman Brothers

an. 2010-09-09 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 1)

ao. 2010-09-14 FCIC staff audiotape of interview with Greg Coleman, Office of the Comptroller of the Currency

ap. 2010-09-14 FCIC staff audiotape of interview with Jeff Kronthal, Merrill Lynch

aq. 2010-09-20 FCIC staff audiotape of interview with Larry Lindsey, National Economic Council

ar. 2010-09-29 FCIC staff audiotape of interview with Donald J. Bisenius, Freddie Mac

as. 2010-10-04 FCIC staff audiotape of interview with Henry Tabe, Moody's Investors Service

at. 2010-10-04 FCIC staff audiotape of interview with Sandy Weill, Citigroup

au. 2010-10-13 FCIC staff audiotape of interview with Henry Cisneros, Department of Housing and Urban Development

av. 2010-10-22 FCIC staff audiotape of interview with Donald Kohn, Federal Reserve Board

aw. 2010-10-25 FCIC staff audiotape of interview with Sabeth Siddique, Federal Reserve Board (Part 2, continued from 2010-09-09 interview)

ax. 2010-10-28 FCIC staff audiotape of interview with Kevin M. Warsh, Federal Reserve Board

ay. 2010-11-02 FCIC staff audiotape of interview with Neel Kashkari, Department of the Treasury

5. Batch 5 (2-08-10)

a. 2009-11-17 FCIC staff audio tape of interview with Timothy Geithner, Department of the Treasury
b. 2010-03-11 FCIC staff audiotape of interview with Ron Frake, Office of the Comptroller of the Currency

c. 2010-03-17 FCIC staff audiotape of interview with Scott Waterhouse, Office of the Comptroller of the Currency (copy)

d. 2010-04-02 FCIC staff audiotape of interview with John Hawke, Office of the Comptroller of the Currency

e. 2010-05-04 FCIC staff audiotape of interview with Laurie Goodman, Amherst Securities Group

f. 2010-05-10 FCIC staff audiotape of interview with Laura Schwartz, ACA Capital Holdings, Inc.

g. 2010-05-11 FCIC staff audiotape of interview with Adam White, White Knight Research & Trading LLC

h. 2010-05-11 FCIC staff audiotape of interview with Alan Frost, American International Group, Inc. (Part 2)
i. 2010-05-13 FCIC staff audiotape of interview with Lynn Turner, Securities and Exchange Commission

j. 2010-05-21 FCIC staff audiotape of interview with Raymond McDaniel, Moody's Corporation

k. 2010-05-26 FCIC staff audiotape of interview with Jay Siegel, Moody's Investors Service

l. 2010-05-27 FCIC staff audiotape of interview with James (Jim) Tisch, Loews Corporation

m. 2010-05-27 FCIC staff audiotape of interview with Robert Pickel, International Swaps and Derivatives Association, Inc. (Part 1)

n. 2010-06-14 FCIC staff audiotape of interview with John H. Neel Foster, Financial Accounting Standards Board

o. 2010-06-16 FCIC staff audiotape of interview with Mark Feldman, JPMorgan Chase

p. 2010-06-18 FCIC staff audiotape of interview with Andrew Feldstein, Blue Mountain Capital

q. 2010-07-19 FCIC staff audiotape of interview with Ed Pinto, Fannie Mae

r. 2010-07-28 FCIC staff audiotape of interview with Arnold Kling, Cato Institute

s. 2010-07-30 FCIC staff audiotape of interview with Eileen Foster, Countrywide

t. 2010-08-11 FCIC staff audiotape of interview with Jonathan Wood, Whitebox Advisors

u. 2010-08-12 FCIC staff audiotape of interview with John McMurray, Washington Mutual

v. 2010-08-18 FCIC staff audiotape of interview with Jeff Solomon, Ramius

w. 2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 1)

x. 2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 2)

y. 2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 3)

z. 2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 4)

aa. 2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 5)

ab. 2010-08-18 FCIC staff audiotape of interview with Sheila Bair, Federal Deposit Insurance Corporation (Excerpt 6)

ac. 2010-08-25 FCIC staff audiotape of interview with Shawn Fahey, Albert Marino and Wendy Ruberti, Claren Road

ad. 2010-08-30 FCIC staff audiotape of interview with Donald Marron, Council of Economic Advisors

ae. 2010-08-31 FCIC staff audiotape of interview with Richard Syron, Freddie Mac

af. 2010-09-13 FCIC staff audiotape of interview with Steven Einhorn, Greenlight Capital
2010-09-21 FCIC staff audiotape of interview with George French and Steve Fritts, Federal Deposit Insurance Corporation

2010-09-30 FCIC staff audiotape of interview with Frank San Pedro, Countrywide

2010-10-07 FCIC staff audiotape of interview with John Snow, Department of the Treasury

2010-10-12 FCIC staff audiotape of interview with Julian Robertson, Tiger Management

2010-10-14 FCIC staff audiotape of interview with Richard Breeden, Securities and Exchange Commission

2010-10-15 FCIC staff audiotape of interview with Stephen Friedman, Federal Reserve Bank of New York

2010-10-21 FCIC staff audiotape of interview with Michael Karp, Option Group

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<td>FCIC staff audiotape of interview with Richard (Dick) S. Fuld, Lehman Brothers</td>
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482. 2010-12-17 FCIC staff audiotape of interview with Andrew Cuomo, Department of Housing and Urban Development
483. 2010-12-20 FCIC staff audiotape of interview with Dawn Hunt

B. MFRs

1. Batch 1 -- MFRs
   a. 2010-03-04 FCIC memo of staff interview with Thomas Lund, Fannie Mae
   b. 2010-03-08 FCIC memo of staff interview with Chris Swecker, Federal Bureau of Investigation and Bank of America
   c. 2010-03-18 FCIC memo of staff interview with Ellen (Bebe) Duke, Citigroup
   d. 2010-03-25 FCIC memo of staff interview with Bob Gnaizda, Orson Aguilar, Chris Vaeth, Greenlining Institute
   e. 2010-03-26 FCIC memo of staff interview with Dan Mudd, Fannie Mae
   f. 2010-03-31 FCIC memo of staff interview with Alan Greenspan Interview, Federal Reserve Board
   g. 2010-04-02 FCIC memo of staff interview with Henry Paulson, U.S. Treasury
   h. 2010-04-23 FCIC memo of staff interview with Joseph St. Denis, American International Group, Inc.
   i. 2010-06-08 FCIC memo of staff interview with D. Keith Johnson, Clayton Holdings LLC
   j. 2010-06-11 FCIC memo of staff interview with William H. Brewster, Fannie Mae

2. Batch 2 -- MFRs
   a. 2010-02-24 FCIC memo of staff interview with Office of Compliance Inspections and Examinations team, SEC
   b. 2010-03-05 FCIC memo of staff interview with Kenneth Bacon, Fannie Mae
   c. 2010-03-15 FCIC memo of staff interview with Lisa Arquette, Robert Burns, Patricia Colohan, Diane Ellis, Christopher Spath, John Thomas, FDIC
d. 2010-03-18 FCIC memo of staff interview with Ed DeMarco, Federal Housing Finance Agency

e. 2010-03-18 FCIC memo of staff interview with Mike Macchiaroli, Securities and Exchange Commission

f. 2010-03-19 FCIC memo of staff interview with James Lockhart, Federal Housing Finance Agency

g. 2010-03-19 FCIC memo of staff interview with Scott Smith, John Kerr, Steve Corona, Chris Dickerson, Federal Housing Finance Agency

h. 2010-04-01 FCIC memo of staff interview with Annette Nazareth, Securities and Exchange Commission

i. 2010-04-01 FCIC memo of staff interview with Eric Sirri, Securities and Exchange Commission

j. 2010-04-30 FCIC memo of staff interview with James Mahoney, Federal Reserve Bank of New York

k. 2010-04-30 FCIC memo of staff interview with Michael Alix, Bear Stearns

l. 2010-04-30 FCIC memo of staff interview with Sarah Dahlgren, New York Fed

3. Send or not

a. 2010-03-04 FCIC memo of staff interview with Nancy Fryer, Scott Goebel, Norm Lind, Kevin Mahar, Fidelity Investments

4. 2010-02-01 FCIC memo of staff interview with Art Angulo, Federal Reserve Bank of New York

5. 2010-02-01 FCIC memo of staff interview with Mike Halloran, Securities and Exchange Commission

6. 2010-02-02 FCIC memo of staff interview with Janice Warne, Citigroup

7. 2010-02-02 FCIC memo of staff interview with William Arnold, Citigroup Alternative Investments

8. 2010-02-03 FCIC memo of staff interview with Ed Abufaris and Tony Tenore, CitiMortgage

9. 2010-02-03 FCIC memo of staff interview with James Ryan and John Schachtel, CitiFinancial

10. 2010-02-03 FCIC memo of staff interview with Susan Mills, Citigroup

11. 2010-02-15 FCIC memo of staff interview with Mark Cahn, Securities and Exchange Commission

12. 2010-02-17 FCIC memo of staff interview with Matt Eichner, Securities and Exchange Commission

13. 2010-02-19 FCIC memo of staff interview with Janice Kuhl, Paul Manchester, Mike Price, Charlotte Reid, Kevin Sheehan, Federal Housing Finance Agency

14. 2010-02-19 FCIC memo of staff interview with Kevin Bailey, Susan Eckert, Kristina Whittaker, Office of the Comptroller of the Currency

15. 2010-02-23 FCIC memo of staff interview with Timothy Clark, Scott Alvarez, Federal Reserve Board

16. 2010-02-24 FCIC memo of staff interview with Dan Chaudoin, Securities and Exchange Commission

17. 2010-02-24 FCIC memo of staff interview with Doug Scheidt, Bob Plaze, Securities and Exchange Commission

18. 2010-02-24 FCIC memo of staff interview with Office of Compliance Inspections and Examinations team, SEC

19. 2010-02-25 FCIC memo of staff interview with Jonathan Jachym, Bradley Fox, Thomas Deas, Jeff Agosta, Jeff Overman, Mark Lovejoy, U.S. Chamber of Commerce

20. 2010-02-25 FCIC memo of staff interview with Lloyd Brown, Citigroup

21. 2010-03-02 FCIC memo of staff interview with Murray Barnes, Citigroup

22. 2010-03-02 FCIC memo of staff interview with Nestor Dominguez, Citigroup

23. 2010-03-03 FCIC memo of staff interview with Irma Aninger, Clayton Holdings LLC

24. 2010-03-04 FCIC memo of staff interview with Nancy Fryer, Scott Goebel, Norm Lind, Kevin Mahar, Fidelity Investments
25. **2010-03-04** FCIC memo of staff interview with Sandy O'Connor, JPMorgan Chase
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29. **2010-03-11** FCIC memo of staff interview with David Kotz, Pete Kyle, Securities and Exchange Commission
30. **2010-03-11** FCIC memo of staff interview with John Weicher, Hudson Institute
31. **2010-03-12** FCIC memo of staff interview with David Nason, Jeremiah Norton, Tony Ryan, Department of the Treasury
32. **2010-03-15** FCIC memo of staff interview with Lisa Arquette, Robert Burns, Patricia Colohan, Diane Ellis, Christopher Spoth, John Thomas, Federal Deposit Insurance Corporation
33. **2010-03-16** FCIC memo of staff interview with Enrico Dallavecchia, Fannie Mae
34. **2010-03-17** REVIEWED Robert Levin, Fannie Mae
35. **2010-03-18** FCIC memo of staff interview with Ed DeMarco, FHFA
36. **2010-03-18** FCIC memo of staff interview with Ellen (Bebe) Duke, Citigroup
37. **2010-03-18** FCIC memo of staff interview with Mike Macchiaroli, Securities and Exchange Commission
38. **2010-03-19** FCIC memo of staff interview with James Lockhart, FHFA
39. **2010-03-19** FCIC memo of staff interview with Robin Auerbach, New Century Financial Corporation
40. **2010-03-19** FCIC memo of staff interview with Scott Smith, John Kerr, Steve Corona, Chris Dickerson, FHFA
41. **2010-03-23** FCIC memo of staff interview with Rubin Mark, Citigroup
42. **2010-03-24** FCIC memo of staff interview with William McKay, New Century Financial Corporation
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44. **2010-03-25** FCIC memo of staff interview with Kathy Birch, Mike Luciano, Dan Bruch, Ryan Perry, Reserve Primary Fund
45. **2010-03-26** FCIC memo of staff interview with Dan Mudd, Fannie Mae
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47. **2010-03-26** FCIC memo of staff interview with Dan Sokolov, Department of the Treasury and Federal Reserve Board
48. **2010-03-29** FCIC memo of staff interview with Glenn Canner, Federal Reserve Board
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III.  Ranieri
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NAID: 24823590
Extent: 852 data files

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21. Frame White - Fussing and Fuming over Fannie and Freddie How Much Smoke How Much Fire - Spring 2005
23. Calomiris Wallison - Last Trillion Dollar Commitment Fannie Mae and Freddie Mac - Spring 2009
24. FHFA - Report to Congress - 2008
25. JPMorgan Cannella Loeys - How Will the Crisis Change Markets - 14 Apr 2008

G. Too Big To Fail Reading List
   1. Baker Mcarthur - The Value of the Too Big to Fail Big Bank Study - Sept 2009
   3. Haldane - The $100 billion question - 30 March 2010
   4. Kaufman - Too Big To Fail in Banking What Remains - 8 April 2002
   5. Mishkin - How Big a Problem is Too Big to Fail A Review of Stern and Feldman - December 2006
   6. Stern Feldman - Too Big to Fail The Hazard of Bank Bailouts - 2004
   8. Fettig - The History of a Powerful Paragraph - 2008

IX. Official Memos and Testimony
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   B. Anzalone Hogan - Voters Want Major Financial Reform and Strongly Support Components of Obama - 8 Dec
   C. Baily - Financial Crisis Inquiry Commission - Cause of Financial Crisis
   E. Bernanke - Virginia Association of Economics - 10 Mar 2005
   G. Calomiris - Committee on Oversight - GSEs - 9 Dec 2008
   H. Committee on Financial Services - Federal Reserve Efforts to Provide Liquidity - 10 Feb 2009
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   J. Committee on Financial Services - Regulation of Systemic Risk in Financial Services - 17 Mar 2009
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   L. Committee on Oversight - Financial Crisis and Role of Federal Regulators - 23 Oct 2008
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   N. Committee on Oversight - Public Disclosure of AIG Counterparties - 25 Jan 2010
   O. Dinallo - Senate Agriculture Committee - Role of Financial Derivatives in Crisis - 14 Oct 2008
   P. Ely - Committee on Financial Services - Covered Bonds - 15 Dec 2009
   Q. Ely - Financial Institutions Subcommittee - TARP Oversight - 4 Mar 2009
   R. Fishbein - Assorted Congressional Testimony and Statements - 4 Jan 2010
FRB Bernanke - CRA Impact on Subprime - 25 Nov 2008
FRB Canner Bhutta - Relationship between CRA and Subprime Crisis - 21 Nov 2008
FRB FDIC OCC OTS - Interagency Guidance on Subprime Lending - 1 Mar 1999
GAO - Joint Economic Committee - Loan Performance and Negative Equity in Nonprime - 16 Dec 2009
Gensler - Keynote Address - Markit Conference - 9 Mar 2010
Greenberger - Out of the Black Hole - Make Markets be Markets - 2010
LaMalfa - Observations on the Mortgage Market - 19 Jan 2010
Litan - Derivative Dealers Club - Apr 2010
LJ White Testimony - Committee on Oversight and Government Reform - 24 Sep 2009
Mengle - Credit Derivatives - An Overview - May 2007
Mengle - Empty Creditor Hypothesis - Nov 2009
Minow - Committee on Financial Services - Compensation Structure - 22 Jan 2010
Minow - Committee on Oversight - AIG - 7 Oct 2008
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OCC FRB FDIC - Interagency Guidance on Concentrations in Commercial Real Estate - 12 Dec 2006
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OCC FRB FDIC OTS NCUA - Statement on Subprime Lending - 28 Jun 2007
OTS Riccobono - Expanded Guidance for Subprime Lending Programs - 2 Feb 2001
Rosner - Housing In the New Millennium A Home Without Equity is Just a Rental with Debt - 29 June 20
Saunders - Subcommittee on Housing and Community Opportunity - Preventing Abusive Lending - 5 Nov 2003
Schwartz - Senate Banking Committee - Bear Stearns Demise - 3 Apr 2008
Scott - Responses to FCIC Additional Questions - Nov 2009
SIFMA Norwood - House Committee on Financial Services - ARS - 18 Sep 2008
Stiglitz - Responses to Additional Questions from the FCIC - 17 Nov 2009
Supreme Court - Watters vs Wachovia - 17 Apr 2007
Taylor - Committee on Financial Services - 16 Sep 2009
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Volcker - Committee on Banking and Financial Services - 24 Sep 2009
Volcker - Committee on Banking Housing and Urban Affairs - 2 Aug 1990
Volcker - Committee on Banking Housing Urban Affairs - Safety Soundness of GSEs - 2 Aug 1990
Wallison - Everything You Wanted to Know about CDS but Were Never Told- Dec 2008
Wallison - Investigation of the Housing Issue Memo - 6 Jan 2010
White - Committee on Oversight and Govt Reform - Credit Rating Agencies - 24 Sep 2009
Zandi - Financial Crisis Inquiry Commission - Causes of the Financial Crisis - 13 Jan 2010
Zingales - Response to FCIC - Nov 2009
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This series contains interview files copied from the MFR and Interviews cabinet. The items in this cabinet were made available by the FCIC to the interviewees’ lawyers to review on behalf of their clients.

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Extent: 2 data files

This series contain two log files and can be considered non-record material.

File list
I. Cabinet Inbox
II. Email of Commissioners and Staff

This series contains email of Commissioners and staff. All of this material requires screening before it can be released. As with any email system, there is substantial duplication throughout.

NAID: 24823593
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III. Loose Media

This series contains 743 CDs and DVDs and 57 hard disk drives supplied to the FCIC in response to documents requests. The producing entities include Bank of America, JP Morgan, Federal Reserve Board, Securities and Exchange Commission, Moody’s, Standard and Poor’s, Vero, Deutsche Bank, Goldman Sachs, AIG, Wells Fargo, Citi, and others. Please note: most of these records are closed because they contain proprietary business information and highly sensitive information about the regulation and supervision of financial institutions.

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