

Senior Agency Official for Records Management 2016 Annual Report



The [OMB/NARA Managing Government Records Directive \(M-12-18\)](#) requires Senior Agency Officials for Records Management (SAORM) to provide an annual report to NARA. This report demonstrates how your organization is achieving the goals of the *Directive* and other important initiatives as identified by NARA.

NARA uses the reports to determine the overall progress of the Federal Government in meeting the goals of the *Directive*, including implementing proper email management and transitioning to electronic recordkeeping. Additionally, NARA uses the report for information sharing purposes to provide best practices and model solutions with Federal agencies.

The reporting period begins on January 9, 2017 and reports are due back to NARA no later than March 17, 2017.

NARA plans to post your 2016 SAORM report on the NARA website upon receipt. Please ensure that your agency's report is a publicly releasable version. This action is in the interest of transparency in Government and to promote collaboration and communication among agencies.

Instructions for Reporting

- This template covers progress through December 31, 2016.
- Please be brief and precise in your answers. Limit answers to each question to no more than 500 words.
- Please complete the questions/items below and send the report to PRMD@nara.gov. Include the words "SAORM annual report" in the subject line of the email.
- If you are responsible for records management in multiple agencies, components, or bureaus, please determine how you will submit reports to NARA. While NARA prefers a comprehensive report, you may submit separate reports for each component.

Provide the following information (required):

- Name of SAORM: **A. Jerome Fowlkes**
- Position title: **Director, Office of Agency Services**
- Address: **1501 Farm Credit Drive, McLean, VA 22102**
- Office telephone number: **(703) 883-4069**

1. What agencies, bureaus, components, or offices are covered by this report and your position as SAORM?

Farm Credit Administration

2. Did your agency and components meet the M-12-18, target goal 1.2 to manage all email records in an electronic format by December 31, 2016? (M-12-18, Goal 1.2 and OMB Circular A-130 5(h), 3(b), page 19)

Yes

No

If No, please list and explain which part of your agency or components did not meet the deadline?

All components.

(Please note: Through a separate reporting request we will be asking your agency records officers to provide additional information regarding compliance with this target according to the email success criteria published by NARA in April 2016. [Criteria for Managing Email Records in Compliance with the Managing Government Records Directive \(M-12-18\)](#))

3. Did your agency and its components meet the M-12-18 target deadline to schedule all existing paper and non-electronic records by December 31, 2016? (M-12-18, Part I, Section 2.5)

Yes

No

If No, please list which part of your agency or components did not and why?

4. Is your agency and its components making progress toward meeting the M-12-18 deadline to manage all permanent electronic records electronically? (M-12-18, Goal 1.1)

Yes

No

If Yes, please describe this progress.

In the next year, we will begin taking the initial steps in identifying and selecting an enterprise-wide records management application. For example, we will conduct an analysis and inventory of our paper-based system; we will conduct an inventory of our electronic documents on shared drives and in SharePoint; we will work to begin implementation of Capstone as our agency's email management solution; we will conduct a work-flow analysis of paper and electronic documents throughout our agency; and, we will begin developing a requirements document for an electronic records management system. In addition, the FCA records officer has been attending vendor webinars and records management conference sessions to view product demonstrations of potential applications/solutions.

If No, please list which part of your agency or components did not and why?

5. Has your agency developed plans or taken actions to evaluate and implement the digitization of permanent records created in hard copy or other analog formats (e.g., microfiche, microfilm, analog video, analog audio)? (M-12-18 Goal 1.1)

Yes

No

Please describe your specific plans or actions.

6. Have you, as the SAORM, taken steps to include records management as a key component of your agency's information resources management strategy in accordance with the revised OMB Circular A-130, Managing Information as a Strategic Resource? (OMB A-130 5.h, page 19)

Yes

No

If Yes, please describe what steps have been taken.

As part of the 2016-2020 Farm Credit IRM Plan, and in order to meet compliance with the Managing Government Records Directive, we have listed the selection and implementation of an electronic recordkeeping system as one of our new development projects.

In addition, under the guidance of the FCA records officer (RO), we have been able to promote records management across the enterprise and incorporate it into our IRM strategy. Since coming on board in 2014, the RO has met with all program managers, including the CIO, and has been able to raise awareness on the importance of properly capturing, maintaining, and disposing of records. Over the last year, the RO has met with all program managers to discuss email records management and to gather their input on system requirements for implementing an electronic system for managing email under the Capstone approach.