## NOTICE - SOME ITEMS SUPSERSEDED OR OBSOLETE

Schedule Number: N1-095-10-003

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 12/27/2022

### **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All items except those listed below are active.

### SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

N1-095-10-003/6 superseded by DAA-0095-2018-0071-0002 N1-095-10-003/7 superseded by DAA-0095-2018-0071-0002 N1-095-10-003/10 superseded by DAA-0095-2018-0072-0001 N1-095-10-003/11 superseded by DAA-0095-2018-0072-0001 N1-095-10-003/12 superseded by DAA-0095-2018-0072-0002 N1-095-10-003/13 superseded by DAA-0095-2018-0072-0001 N1-095-10-003/14 superseded by DAA-0095-2018-0072-0001 N1-095-10-003/15 superseded by DAA-0095-2018-0072-0001 N1-095-10-003/16 superseded by DAA-0095-2018-0072-0002 N1-095-10-003/18 superseded by DAA-0095-2018-0075-0001 N1-095-10-003/20 superseded by DAA-0095-2018-0075-0001 N1-095-10-003/21 superseded by DAA-0095-2018-0077-0001 N1-095-10-003/22 superseded by DAA-0095-2018-0092-0001 N1-095-10-003/23 superseded by DAA-0095-2018-0092-0001

## NOTICE - SOME ITEMS SUPSERSEDED OR OBSOLETE

# NOTICE - SOME ITEMS SUPSERSEDED OR OBSOLETE

N1-095-10-003/25 superseded by DAA-0095-2018-0092-0001 N1-095-10-003/26 superseded by DAA-0095-2018-0092-0001 N1-095-10-003/35 superseded by DAA-0095-2018-0063-0001 N1-095-10-003/36 superseded by DAA-0095-2018-0063-0001 N1-095-10-003/40 superseded by DAA-0095-2018-0064-0001 N1-095-10-003/41 superseded by DAA-0095-2018-0064-0001 N1-095-10-003/43 superseded by DAA-0095-2018-0066-0001 N1-095-10-003/44 superseded by DAA-0095-2018-0066-0001 N1-095-10-003/45 superseded by DAA-0095-2018-0066-0001 N1-095-10-003/46 superseded by DAA-0095-2018-0067-0001 N1-095-10-003/47 superseded by DAA-0095-2018-0067-0001 N1-095-10-003/48 superseded by DAA-0095-2018-0067-0001 N1-095-10-003/48 superseded by DAA-0095-2018-0067-0002 N1-095-10-003/51 superseded by DAA-0095-2018-0067-0002

| REQUEST                                | FOR RECORDS DISPO   | JOB NUMBER  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|
|  |   |   | N1-095-  | 10-5   |  |  |  |
| 1                                      | ONAL ARCHIVES & RECORDS<br>ML, ROOM 2100  | ADMINISTRATION  | N(- 695-<br>Date received  | 19/10  |  |  |  |
|  | ADELPHI ROAD COLLEGE PA   | rk, md 20740-6001   | 7 - 0/   | 1110   |  |  |  |
| 1. FROM (Age                           | ency or establishment)  |   | NOTIFICATION TO AGENCY   |  |  |  |  |
| USDA                                   | A/Forest Service  | <u> </u>  | **************************************   | TO AGENC?  |  |  |  |
| MAJOR SU     MINOR SU                  |   |   | In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. |  |  |  |  |
|  |   |   |  |  |  |  |  |
| 4. NAME OF PE<br>Monica McC            | ERSON WITH WHOM TO CONFER<br>Gee  | 5. TELEPHONE NUMBER<br>(202) 205-0442   | DATE ARCHIVIST   | OF THE UNITED STATES                               |  |  |  |
| I hereby c<br>records pro<br>needed af | CERTIFICATION certify that I am authorized to accoposed for disposal on the attached ter the retention periods specific of Title 8 of the GAO Manual for is not required    SIGNATURE OF AGENCE   | ed  | d now for the business for t   | his agency or will not be unting Office, under the |  |  |  |
| 08/04/2010                             | Monica  | P. M. Gee   | Forest Service Rec   | cords Officer                                      |  |  |  |
| 7. ITEM\$NO.                           | 8. DESCRIPTION OF ITEM A  | ND PROPOSED DISPOSITION   | 9. GRS®R<br>SUPERSEDED JOB<br>CITATION   | 10. ACTION TAKEN<br>(NARA USE ONLY)                |  |  |  |
| •                                      | U.S. Department of Agricultu Forest Service Record Group  |   |  |  |  |  |  |
| /                                      | See attached.   |   |  |  |  |  |  |
|  | Note: As electronic reconunstructured data is impushed USDA/Forest Service, the maintain electronic versithe attached schedule acfor their entire life cycle transfer the records to the accordance with the appin place at the time of transfer the records to the nutual agreement betwee NARA. | e agency agrees to ions of the records on cording to 36 CFR 1236. USDA also agrees to he National Archives in dicable NARA standards ansfer. Additionally, records is authorized by |  |  |  |  |  |

| NARA<br>ITEM | PROPOSED<br>FS FILE<br>CODE | HEADING                                     | SUB-HEADINGS                       | DESCRIPTION  | PROPOSED<br>RETENTION | CURRENT<br>FILE CODE | CURRENT NARA JOB NUMBER AND RETENTION PERIOD |
|--------------|-----------------------------|---|------------------------------------|--|-----------------------|----------------------|--|
| 1            | 1020                        | Forest Service<br>Mission                   | General                            | General: Includes general correspondence related to the Forest Service Mission.  Also include general records relating to the Forest Service Vision and Guiding Principles.  Note: Close file at end of fiscal year andapply retention period.   | Temporary<br>6 years  | 1020                 | Unscheduled                                  |
| 2            | <b>1310</b>                 | Methods of Doing<br>Business                | Sustainable<br>Operations          | Sustainable Operations – Managing the Forest Service Environmental Footprint: Includes records regarding the Agency's actions under Public Law 109-58, Public Law 110-140, as well as applicable Executive Orders and USDA regulations.  Note: Close file at end of fiscal year and apply retention period.  Note 2: Transfer closed file to NARA in 5 year blocks when most recent is 15 years old. Prior to transfer, submit SF 258 to FS National Records Officer for approval. Preaccession is authorized by mutual consent of both NARA and Forest Service. | PERMANENT             | New                  | New, not previously scheduled                |
| 3            | 1330                        | Management<br>Systems                       | General                            | General: Includes general correspondence and other general records regarding establishment of a management system to enhance environmental performance and accountability through continual improvement.  Note: Close file at end of fiscal year and apply retention period.   | Temporary<br>25 years | 1330                 | Unscheduled                                  |
| 4            | 1330                        | Management<br>Systems                       | EMS Technical<br>Guide             | Envionmental Management System (EMS) Technical Guide: All records pertaining to the development and production (including annual updates) of the EMS Technical Guide. The Guide contains the procedues the Agency must follow to implement the national environmental management system and addresses all EMS requirements identified in ISO 14001, as well as EMS requirements of 36 CFR&19.5.  Note: Close file at end of fiscal year and apply retention period.  | Temporary<br>25 years | 1330-1               | Unscheduled                                  |
| 5            | 1330                        | Management<br>Systems                       | Establishing/Transi<br>tioning EMS | Establishing/Transitioning EMS: Includes records of all actions taken to implement EMS requirements nationally and locally (including audits). Includes records of pre-national EMS developed as pilot projects and efforts to transition such projects into the National EMS.  Note: Close file at end of fiscal year and apply retention period.   | Temporary<br>25 years | 1330-1               | Unscheduled                                  |
| 6            | 1340                        | Management<br>Improvement<br>Administration | Pilot Proposals                    | Pilot Proposals: Records related to projects that foster an innovative management climate. The file includes submitted proposals that address employee participation in the improvement of the workplace.  Note 1: Retention period begins after proposal approved/disapproved.  Note 2: File under this heading by case file.   | Temporary<br>10 years | 1340-4               | Unscheduled                                  |
| 7            | 1340                        | Management                                  | Value                              | Value Management: Records documenting implementation of value management   | Temporary             | New, not             | New, not                                     |

| NARA<br>ITEM | PROPOSED<br>FS FILE<br>CODE | HEADING                            | Sub-Headings                                 | DESCRIPTION  | PROPOSED<br>RETENTION  | CURRENT<br>FILE CODE                  | CURRENT NARA JOB NUMBER AND RETENTION PERIOD |
|--------------|-----------------------------|------------------------------------|--|--|------------------------|---------------------------------------|--|
|              |                             | improvements Administration        | Management                                   | program.s  Note: Close file at end of fiscal year and apply retention period.  | 10 years               | previouslys<br>scheduled              | previously scheduled                         |
| 8            | 1370                        | Forms Management                   | Historic File                                | Historic File: Copy of obsolete forms and record of notification that form is obsolete  Note 1: Retain last approved copy of form, destroy all previous versions  Note 2: Retain onsite for agency use, do not send to FRC  Note 3: Retention period begins when form declared obsolete  | Temporarys<br>25 years | 1370-3                                | GRSs16-3a                                    |
| 9            | 1370                        | Forms Management                   | Log file                                     | Log file: Ongoing log of forms and associated forms actions (approval/revision/obsolete)s  Note 1: Retain onsite for agency use, do not send to FRC.  Note 2: Retention period begins when entire file is inactive and closed.   | Temporarys<br>25 years | New, not previouslys scheduled        | New, not previously scheduled                |
| 10           | 1390                        | Knowledge Sharing and Conservation | General                                      | General: Correspondence and general records regarding planning, standards based approach and framework, as well as coordination and collaboration.  Note: Close record at end of floal year and apply retention period.  | Temporarys<br>25 years | New, not<br>previouslys<br>scheduled  | New, not previously scheduled                |
| 11           | 1390                        | Knowledge Sharing and Conservation | Management and<br>Administration             | Management and Administration: Correspondence and records relating to administration and management of integrated program plans; standards based approach and framework; and agency coordination and collaboration for knowledge sharing and conservation.  Note: Close record at end of fiscal year and apply retention period.                     | Temporarys<br>25 years | New, not<br>previouslys<br>scheduled  | New,snot<br>previously<br>scheduled          |
| 12           | 1390                        | Knowledge Sharing and Conservation | Studies                                      | Studies: General studies related to knowledge sharing and conservation activities.  Note: Retention period begins when administrative use ceases.  | Temporarys<br>25 years | New, not<br>previouslys<br>scheduled  | New, not previously scheduled                |
| 13           | 1390                        | Knowledge Sharing and Conservation | Program Reviews                              | Program Reviews: Documentationof Forest Service reviews, program reviews, metrics, and quality-assurance inspections of program implementation. Records include documentation of outside-agency program wide audits and inspection reports.  Note 1: Organize by case file under this heading Note 2: Retention period begins when case file closed. | Temporarys<br>25 years | New, nots<br>previouslys<br>scheduled | New, not previously scheduled                |
| 14           | 1390                        | Knowledge Sharing and Conservation | integrateds<br>Program Plans                 | Integrated Program Plans: Approved agency field unit plans for knowledge sharing and conservation activities.  Note: Close at end of fiscal year, apply retention period.  | Temporarys<br>25 years | New, not<br>previouslys<br>scheduled  | New, not previously scheduled                |
| 15           | 1390                        | Knowledge Sharing and Conservation | Standards-based<br>Approach and<br>Framework | Standards-based Approach and Framework: Approved agency field unit plans for knowledge sharing and conservation activities.  | Temporarys<br>25 years | New, nots<br>previouslys<br>scheduled | New, not previously scheduled                |

| NARA<br>ITEM | PROPOSED<br>FS FILE<br>CODE | HEADING                             | Sub-Headings                     | DESCRIPTION   | PROPOSED<br>RETENTION     | CURRENT<br>FILE CODE                  | CURRENT NARA JOB NUMBER AND RETENTION PERIOD |
|--------------|-----------------------------|-------------------------------------|----------------------------------|---|---------------------------|---------------------------------------|--|
|              |                             |                                     |                                  | Note: Close at end of fiscal year, apply retention period.  |                           |                                       |  |
| 16           | 1390                        | Knowledge Sharing and Conservation  | Projects                         | Projects: Records Include special project case files and information not filed under any other 1390 listing.  Note 1: Create case folders by project under this heading  Note 2: Retention period begins when project case flies closed.  | Temporaryss<br>25 years   | New, not<br>previouslyss<br>scheduled | New, not previously scheduled                |
| 17           | 1410                        | Managements<br>Reviews              | Special WO Action<br>Teams       | Special Washington Office Action Teams: Includes records related to specials problem solving trips which focus on complex problems or emerging situations that need more in-depth technical or managerial review or resolution.  Note 1: Organize by case file  Note 2: Retention period begins once case is closed.  Note 3: Record copy maintained by reviewing office.   | Temporaryss<br>10 years   | 1410 <del>-4</del>                    | Unscheduled                                  |
| 18           | 1480                        | Environmental<br>Compliance Program | General                          | General: Includes general correspondence and records pertaining to the Environmental Compliance program, which provides compliance, restoration, abandoned mine lands hazard mitigation and compliance audit services to USFS units so that they can administer programs and activities in a manner that protects forest ecosystems, the agency workforce, and visitors to the National Forest Lands.  Note: Close file at end of fiscal year and apply retention period. | Temporaryss 1<br>10 years | New, not<br>previouslyss<br>scheduled | New, not previously scheduled                |
| 19           | 1480                        | Environmental<br>Compliance Program | Built Environment and Operations | Built Environment and Operations: Records include Internal and external environmental compliance audits and reviews; documentation of employee training programs; checklists or other appropriate documentation of completed environmental compliance reviews; and significant findings and corrective actions taken.  Note: Close file when audit complete or at end of fiscal year (as applicable) and apply retention period.  | Temporaryss<br>10 years   | New, not<br>previouslyss<br>scheduled | New, not<br>previously<br>scheduled          |
| 20           | 1520                        | Public Service<br>Programs          | General                          | General: Correspondence concerning public service programs that cannot be directly related to a specific program. Do not use for public Involvement programs, which are flied under 1620.  Note: Close file at end of fiscal year (as applicable) and apply retention period.   | Temporaryss<br>3 years    | 1520                                  | Unscheduled                                  |
| 21           | Superseder  DATE (MM/       | 2018-0092-0001                      | General                          | General: Correspondence and general records regarding planning, standards  beach approach and framework, as well as coordination and collaboration.  Includes Inventory, Monttoning, and Assessment records include documentation of land management activities regarding social, economic, and ecological evaluations of conditions and trends; documentation of collaboration within the Forest Service and with other land management partners                         | Temporaryss<br>10 years   | 1940-1                                | New, not previously scheduleds               |

| NARA<br>Item  | PROPOSED<br>FS FILE<br>CODE | HEADING  | Sub-Headings                                     | DESCRIPTION   | PROPOSED<br>RETENTION                                  | CURRENT<br>FILE CODE | CURRENT NARA JOB NUMBER AND RETENTION PERIOD |
|---------------|-----------------------------|--|--|---|--|----------------------|--|
| •             |                             |  |  | to provide resource information that meets a wide range of needs. Resource information includes documentation of inventory, monitoring, and assessment activities; documentation of information management framework for all Forest Service inventory, monitoring, and assessment activities, including those under the direct authority and responsibility of each Deputy Chief; and broad authorities, management objectives, policies, responsibilities, and processes that support Forest Service land management activities.  Note: Close file at end of fiscal year and apply retention period. |  |                      |  |
| 722<br>TD441A | -0015-2018-(<br>DATE        | Inventory, SARPHICARY, and Assessment (MM/DD/YYYY): 3/2018 | Management and Administration  IPerseded by:     | Management and Administration: Correspondence and records relating to administration and management of:  Integrated program plans  Standards based approach and framework  Agency coordination and collaboration for inventory, monitoring, sand ssessessment  Note:sClose file at end of fiscal year and apply retention period.   | Temporary<br>10 years                                  | 1940-2               | New, not previously scheduled                |
| 23            | 1940                        | Inventory,<br>Monitoring, and<br>Assessment<br>Activities  |  | <b>YREZORTS:</b> Reports required by OMB, USDA, and others for Inventory, monitoring, and assessment activities.  | Temporary<br>10 years                                  | 1940-3               | New, not previously scheduled                |
| 24            | 1940                        | Inventory,<br>Monitoring, and<br>Assessment<br>Activities  | Studies  | Studies: General studies related to inventory, monitoring, and assessment activities.  Notes Close file at end of study and apply retention period.   | Temporary<br>10 years                                  | 1940-4               | New, not previously scheduled                |
| 25            | 1940                        | Inventory, Monitoring, and Assessment Activities           | Integrated<br>Program Plans                      |   | ed Teynporary<br>10 years<br>5-2018-001<br>M/DD/YYYY): | 1940-5<br>2 - 000 l  | New, not previously scheduled                |
| 26            | 1940                        | Inventory, Monitoring, and Assessment Activities           | Standards-<br>based<br>Approach and<br>Framework | Standards-based Approach and Framework: Agency Implementation plans, 10/0.  reviews, and associated documentation.  Note: Close file at end of fiscal year and apply retention period.  | 3 / Temporary<br>10 years                              | 1940-6               | New,snot<br>previously<br>scheduled          |
| 27            | 1940                        | Inventory,<br>Monitoring, and<br>Assessment<br>Activities  | Coordinationsss<br>and<br>Collaboration          | Coordination and Collaboration: Agency agreements, memorandums of understanding, and related instruments for inventory, monitoing, and assessment activities.  Note: Close file when agreements/MOUs, etc., end and apply retention period.   | Temporary<br>10 years                                  | 1940-7               | New, not previously scheduled                |

| NARA<br>ITEM | PROPOSED<br>FS FILE<br>CODE | HEADING                                      | Sub-Headings                        | DESCRIPTION  | Proposed<br>Retention | CURRENT<br>FILE CODE                | CURRENT NARA JOB NUMBER AND RETENTION PERIOD |
|--------------|-----------------------------|--|-------------------------------------|--|-----------------------|-------------------------------------|--|
| 28           | 2020                        | Ecologicals<br>Restoration and<br>Resilience | General                             | General: Records pertaining to broad, foundational policy for using ecological restoration to manage National Forest System lands and associated resources in a sustainable manner. Includes comprehensive objectives, policy, responsibilities, definitions, and principles to guide achievement of sustainable management and ecological resilience, especially under changing and uncertain future environmental conditions, such as those driven by climate change and increasing human use. Designation reaches across all program areas and activities applicable to management of national forests and grasslands to ensure integration and coordination at all levels and within all organizational units. Record material includes correspondence.  Note 1: Use designation only for records pertaining to overall ecological restoration and resilience policy, including general correspondence.  Note 2: Records pertaining to specific resources or management should be filed under the applicable records schedule for said resource. | Temporary<br>10 years | 2020                                | New, not<br>previously<br>scheduled          |
| 29           | 2020                        | Ecologicals<br>Restoration and<br>Resilience | Classification and Inventory        | Classification and Inventory: Records include field sheets for plant species, analysis records, statistical analyses, etc., that are used in field guides, biological opinions, and other publications.  Note1: Close file at end of fiscal year and apply retention period.  Note 3: Transfer closed file to NARA in 5 year blocks when most recent is 15 years old. Prior to transfer, submit SF258 to FS National Records Officer for approval.   | PERMANENT             | New, not<br>previously<br>scheduled | New, snot<br>previously<br>scheduled         |
| 30           | 2070                        | Vegetation Ecology                           | General                             | General: Includes general records regarding use, growth, development, and storage of native plant materials. Also includes records related to service-wide direction for the components of the Biological Diversity program.  Note: Close file at end of fiscal year and apply retention period.   | Temporary<br>25 years | 2070                                | Unscheduled                                  |
| 31           | 2160                        | Hazardous Materials<br>Management            | General                             | General: Includes general correspondence related to hazardous chemical management overall policies, development, and clarifications. Includes pesticides use records, conduct of projects records; pesticide selection, application, and monitoring records; safety in pesticide uses records.  Note: Close file at end of fiscal year and apply retention period.   | Temporary<br>30 years | 2160                                | Unscheduled                                  |
| 32           | 2160                        | Hazardous Materials<br>Management            | Hazardous<br>Chemical<br>Management | Hazardous Chemical Management: Documentation regarding protection measures for use of hazardous chemicals including employee protection; controls on acquisition and use; transportation, storage, and handling; training; and protective gear.  Note: Close file at end of fiscal year and apply retention period.  | Temporary<br>30 years | New, not<br>previously<br>scheduled | New, not previously scheduled                |

| NARA<br>ITEM   | PROPOSED<br>FS FILE<br>CODE                   | HEADING                            | Sub-Headings               | DESCRIPTION  | PROPOSED<br>RETENTION             | CURRENT<br>FILE CODE                  | CURRENT NARAJOB NUMBERAND RETENTION PERIOD |
|----------------|---|------------------------------------|----------------------------|--|-----------------------------------|---------------------------------------|--|
| 33             | 2160  | Hazardous Materials<br>Management  | Programs<br>Administration | Program Administration: Records regarding administrative components of the hazardous materials program.  Note: Close file at end of fiscal year and apply retention period.  | Temporary<br>30 years             | New, not previously scheduled         | New, not previously scheduled              |
| 34             | 2840  | Reclamation                        | General                    | General: Contains records, including correspondence, regarding the FS reclamation program.  Note: Close file at end of fiscal year and apply retention period.   | Temporary<br>30 syears            | New, not previously scheduled         | New, not<br>previously<br>scheduled        |
| <del>-35</del> | Superseded DAA - OOR DATE (MM/                | 5 -2018 -00-3<br>DD/YYYY):         | Plans of Operation         | Plans of Operation: Records covering the administrative and environmental components of reclamation plan of operations. Includes, but not limited to, a written description of planned on-the-ground mineral activities, including reclamation, conducted by the mineral operator for locatable, leasable, or common variety minerals.  Note 1: Organize by case file.  Note 2: sRetention period begins when reclamation case file closed.  Note 3: Some files may contain PII, store securely, control access.  Note 4: Privacy Act System of Records USDA/FS-16 – Mineral Lessees and Permittees covers all or some of the results retained under this file code. Review PA SOR USDA/FS-16 for additional access and site and store and services and some of the results retained under this file code. | Temporary<br>30 years             | New, not<br>previously<br>scheduled   | New, not previously scheduled              |
| ~36            | 2840<br>Superseded<br>DAA - OSS<br>DATE (MM/I | - 3018 · 0063 !<br>- 3018 · 0063 ! | Reclamation<br>Bonding     | Reclamation Bonding: Records perbining to bonds or other financial guarantees for specific reclamation activities or standards to facilitate full or partial release of the instruments.  Note 1: Retention period begins when reclamation activity complete and bond released.  Note 2: Some files may contain PII, store securely, control access.  Note 3: Privacy Act System of Records USDA/FS-16 – Mineral Lessees and Permittees covers all or some of the records retained under this file code. Review PA SOR USDA/FS-16 for additional access and storage regularements.   | Temporary<br>30 years             | New, not<br>previously<br>scheduled   | New,snot<br>previously<br>scheduled        |
| 37             | 2840  | Reclamation                        | Reclamation<br>Monitoring  | Reclamation Monitoring: Records pertaining to reclamation monitoring, including assessments of condition and environmental quality of reclaimed sites following release of bonds or other other financial guarantees. Includes monitoring of revegetated areas, large waste embankments, tailing dams and impoundments, french drains, stream diversions, dam structures on permanent water impoundments, and water treatment facilities.  Note: Close file and apply retention period when administrative use ends.   | Temporary<br>30 years             | New, not<br>previouslyss<br>scheduled | New,snot<br>previously<br>scheduled        |
| 38             | 2840  | Reclamation                        | Information and            | Information and Technology Transfer: Records include memorandums of understanding amoung regions, stations, and areas regarding reclamation  | Temporary<br>30 <sub>ye</sub> ars | New, not previously                   | New, not previously                        |

| NARA<br>ITEM | PROPOSED<br>FS FILE<br>CODE             | HEADING  | Sub-Headings                | DESCRIPTION   | Proposed<br>Retention  | CURRENT<br>FILE CODE                | CURRENT NARA JOB NUMBER AND RETENTION PERIOD |
|--------------|---|--|-----------------------------|---|------------------------|-------------------------------------|--|
|              |   |  | Technologys<br>Transfer     | assistance or technology transfer to units. Includes documentation of responsibilities such as technical assistance, reclamation training, field contacts, and expenditures.  Note: Close file and apply retention period when administrative use ends.   |                        | scheduled                           | scheduled                                    |
| 39           | 2840                                    | Reclamation  | Cooperatives<br>Agreements  | Cooperative Agreements: Records documenting joint jurisdiction between more than one agency, Federal and/or State, over a mineral operation, and the role of each agency.s  Note 1: Establish case files.  Note 2: Retention period begins when case file closes.   | Temporarys<br>30 years | New, not<br>previously<br>scheduled | New, not previously scheduled                |
| 40           | 2860                                    | Forest Service Authorized Prospecting and Mineral Collecting | Geophysicals<br>Prospecting | Geophysical Prospecting: Includes records (applications, authorizations, etc.) regarding FS authorization of prospecting and mineral collecting, including but not limited to  Seismic activities; Geophysical prospecting on unleased NFS lands  | Temporarys<br>50 years | New, not previously scheduled       | New, not previously scheduled                |
|              | Superseded  DAR-COTS  DATE (MM/I  SISSO | - 2618- € 4<br>0D/YYYY):                                     | -0001                       | Indirect and direct data gathering techniques     Note 1: Organize case files by region, forest, district, type of action, and fiscal year.     Note 2: Some files may contain PII, store securely, control access.     Note 3: Privacy Act System of Records USDA/FS-16 – Mineral Lessees and Permittees covers all or some of the records retained under this file code.     Review PA SOR USDA/FS-16 for additional access and storage requirements.     Note 4: Close file and apply retention period when administrative use ends. |                        |                                     |  |
| 41           | 2860                                    | Forest Service Authorized Prospecting and Mineral Collecting | Geothermal<br>Prospectings  | Geothermal Prospecting: Includes records (applications, authorizations, etc.) regarding FS authorization of prospecting and mineral collecting, including but not limited to  Seismic activities; Geothermal temperature gradient holes on unleased NFS lands;  | Temporarys<br>50 years | New, not previouslys scheduled      | New, not previously scheduled                |
|              | DAA - CO<br>DATE (MM/                   | 15-9018-001<br>DD/YYYY):                                     | 54~00)                      | Indirect and direct data gathering techniques     Note 1: Organize case files by region, forest, district type of action, and fiscal year.     Note 2: Some files may contain PII, store securely, control access.     Note 3: Privacy Act System of Records USDA/FS-16—Mineral Lessees and Permittees covers all or some of the records retained under this file code.     Review PA SOR USDA/FS-16 for additional access and storage requirements.     Note 4: Close file and apply retention period when administrative use ends.    |                        |                                     |  |
| 42           | 2890                                    | Certification  | General                     | General: Includes general records and correspondence related to minerals and  | Temporary              | New, not                            | New, not                                     |

43

44

45

DAA -0075 -2018-0066 -0001

DATE (MM/DD/YYYY):

9/15/2011 - Update to N1-95-10-3 Changes highlighted in yellow

Forest Service - New and Previously Unscheduled Items: 1000, 2000, 3000 series retention schedule

|                  |                                  | T OF COL COLVI           | co new ana                              | Previously Unscheduled Items: 1000, 2000, 3000 series rete   | Indon boneau                    |                                     |  |
|------------------|----------------------------------|--------------------------|---|--|---------------------------------|-------------------------------------|--|
| NARA<br>ITEM     | PROPOSED<br>FS FILE<br>CODE      | HEADING                  | SUB-HEADINGS                            | DESCRIPTION  | Proposed<br>Retention           | CURRENT<br>FILE CODE                | CURRENT<br>NARA JOB<br>NUMBER AND<br>RETENTION<br>PERIOD |
|                  |                                  |                          |   | geology certification for personnel qualified to conduct mine safety assessments at abandoned/inactive mines.  Note 1: Some files may contain PII, store securely, control access.  Note 2: Close file and apply retention period when administrative use ends.  | 30 years                        | previously<br>scheduled             | previously<br>scheduled                                  |
| 43               | 2890                             | Certification            | Locatable<br>Minerals<br>Administrators | Locatable Minerals Administrators: Records pertaining to certification of local minerals administrators.  Note 1:s Create case files as needed.  Note 2:s Retention period begins when certification revoked.  Note 3: Some files may contain PII, store securely, control access.   | Temporarys<br>30 <b>s</b> years | New, not previously scheduled       | New, not previously scheduled                            |
| -44-             | 2890                             | Certification            | Mineral<br>Examiners                    | Mineral Examiners: Records pertaining to certification of mineral examiners and review mineral examiners.  Note 1: Create case files as needed.  Note 2: Retention period begins when certification revoked.  Note 3: Some files may contain PII, store securely, control access.  | Temporarys<br>30 years          | New, not<br>previously<br>scheduled | New, not previously scheduled                            |
| -45-             | 2890                             | Certification            | OilsandsGaas<br>Resource<br>Specialists | Oil and Gas Resource Specialists: Records pertaining to certification of oil and gas resource specialists.  Note 1: Create case files as needed.  Note 2: Retention period begins when certification revoked.  Note 3: Some files may contain PII, store securely, control access.   | Temporary<br>30 years           | New, not previously scheduled       | New, not previously scheduled                            |
| 46               | DAG-0095-                        | 2018-0067-00<br>D/YYYY): | General                                 | General: General records, including correspondence, regarding National Forest System invasive species management retained other subheadings under 2900.  Note 1: Retention period begins when action taken or determination of 'no action' needed is made.  Note 2: Close file at end of fiscal year and apply retention period.  Note 3: For all native insect and disease records under 3400, file all exotic (non-native) invasive species records under file code 2900.  | Temporarys<br>25 years          | New, not<br>previously<br>scheduled | New, not<br>previously<br>scheduled                      |
| <del>-47 -</del> | Superseded  DAA - OA  DATE (MM/I | D/YYYY):                 | Budget and<br>Performance               | Budget and Performance: Records regarding budget and program performance activities relating to National Forest System invasive species management. Includes criteria, practices, programs, policies, directives, and other publications related to program performance and budget formulation and execution.  Note 1: Close file at end of fiscal year and apply retention period.  Note 2: File all native insect and disease records under 3400, file all exotic (nonnative) invasive species records under file code 2900. | Temporary<br>25 years           | New, not previously scheduled       | New, not previously scheduled                            |
| - <del>48</del>  | 2900                             | Invasive Species         | Early                                   | Early Detection and Rapid Response: Includes records associated with invasive  | Temporary                       | New, not                            | New, not   |

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|--------------|-----------------------------|---|--------------------------------------|---|-----------------------|--------------------------------------|--|
|              | -                           |   | Detection and<br>Rapid<br>Response   | species prevention, and early detection and rapid response (EDRR) activities.  Includes program specific correspondence.  Note 1: Close file at end of fiscal year and apply retention periodsss  Note 2: File all native insect and disease records under 3400; file all exotic(non-native species records under file code 2900/   | 25 years              | previously<br>scheduled              | previously<br>scheduled                      |
| 49           | 2900                        | Invasive Species  | Control and<br>Management            | Control and Management: Records include correspondence; documentation of criteria, practices, programs, policies, and directives; and records pertaining to control treatments, Integrated pest management techniques, and related technological development information on controlling Invasive species within the National Forest System.  Note 1: Close file at end of fiscal year and apply retention period.  Note 2: Transfer closed file to NARA in 5 year blocks when most recent is15 years old. Prior to transfer, submit SF258 to FS National Records Officer for approval. Preaccession Is authorized by mutual consent of both NARA and Forest Service.  Note 3: File all native insect and disease records under 3400; file all exotic (nonnative) invasive species records under file code 2900.   | PERMANENT             | New, snot<br>previously<br>scheduled | New, not<br>previously<br>scheduled          |
| 50           | 2900                        | Invasive Species  | Rehabilitation<br>and<br>Restoration | Rehabilitation and Restoration: Documentation of activities associated with NFS Invasive species program rehabilitation and restoration activities. Records include information on criteria, practices, programs, policies, directives, and other documentation regarding the rehabilitation and restoration of areas impacted (or potentially impacted) by Invasive species.  Note 1: Close file and apply retention period when administrative use ceases.  Note 2: Transfer closed file to NARA in 5 year blocks when most recent is 15 years old. Prior to transfer, submit SF 258 to FS National Records Officer for approval. Preaccession is authorized by mutual consent of both NARA and Forest Service.  Note 3: File all native insect and disease records under 3400; file all exotic (non-native) invasive species records under file code 2900. | PERMANENT             | New, not previously scheduled        | New, not<br>previously<br>scheduled          |
| 51           | 1 - '                       | invasive Species  ited by: 5 - 201 8 - 006  M/DD/YYYY): | Treatment                            | Inventory and Treatment: Records include program inventory and treatment data management activities documentation, and other data dealing with the collection and reporting of information related to NFS invasive species Inventory and treatment activities.  Note 1: Some files may contain PII, store securely, control access.  Note 2: Close file and apply retention period when administrative use ceases.  | Temporary<br>26 years | New,snot<br>previously<br>scheduled  | New, not<br>previously<br>scheduled          |

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|--------------|-----------------------------|--------------------------|----------------------|--|------------------------|--|---|
|              |                             |                          |                      | Note 3: Hile all mative insect and disease records under 3400: file all exotic (non-native) invasive species records under file code 2900.   |                        |  |   |
| 52           | 3360                        | Forest Legacy<br>Program | General              | General: Routine correspondence, comments, and other documentation not associated with specific casefile, regarding FLP guidelines and direction; routine requests for Information and reponses; Informal reports and attachments; general program recommendations; and other transactions of a general nature, not associated with a specific case file, regarding FLP planning, execution, administration, and Implementation. | Temporarys<br>10 years | New<br>Not previously<br>scheduled<br>(3360, 3360-4) | New<br>Not previously<br>scheduled          |
| 53           | 3360                        | Forest Legacy<br>Program | Programs<br>Reviews  | Program Reviews: Documentation of Forest Service reviews, State program reviews, and State quality-assurance inspections of program Implementation of appraisal services. Records Include documentationof outside-agency program-wide audits and inspection reports.   | Temporarys<br>10 years | New<br>Not previously<br>scheduled<br>(3360-5)       | New<br>Not previously<br>scheduled          |
| 54           | 3360                        | Forest Legacy<br>Program | Specials<br>Projects | Special Projects: Records include special project case files and information not filed under any other 3360 listing.s  Note 1: Maintain on host unit.  Note 2: Retention period begins after case closed or based on document date, whichever applicable.  | Temporarys<br>10 years | New<br>Not previously<br>scheduled<br>(3360-6)       | New<br>Not previously<br>scheduled          |