

Request for Records Disposition Authority

Records Schedule Number **DAA-0462-2012-0002**
 Schedule Status **Approved**

Agency or Establishment **Department of Agriculture**
 Record Group / Scheduling Group **Records of the Food and Nutrition Service**
 Records Schedule applies to **Major Subdivision**
 Major Subdivision **Special Nutrition Programs**
 Minor Subdivision **Child Nutrition Division**
 Schedule Subject **National Disqualified List (NDL)**
 Internal agency concurrences will be provided **No**

Background Information **The CACFP regulations prohibit State agencies from approving an institution's application to participate in the program if either the institution or any of its principals is on the National disqualified list. Regulations also prohibits State agencies from approving an application submitted by a sponsoring organization on behalf of a facility if the facility or any of its principals is on the National disqualified list. In addition, sponsoring organizations are prohibited (introductory paragraph of § 226.16(b) and § 226.6(c)(7)(iv)(B)) from submitting an application on behalf of a facility if either the facility or any of its principals is on the National disqualified list, and are prohibited from employing in a principal capacity any individual who is on the National disqualified list (§§ 226.6(c)(3)(ii)(B), 226.6(c)(6)(ii)(G) (2), and 226.6(c)(7)(iv)(A)).**

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0462-2012-0002

Sequence Number	
1	Program Legal Authority for this System
1.1	Master File/Database Disposition Authority Number: DAA-0462-2012-0002-0001

Records Schedule Items

Sequence Number	
1	<p>Program Legal Authority for this System 7 CFR 226 Section 243(c) of Public Law 106-224, the Agricultural Risk Protection Act of 2000, amended § 17(d)(5) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1766 (d)(5)(E)(i) and (ii)) by requiring the Department of Agriculture to maintain a list of institutions, family day care home providers, and individuals that have been terminated or otherwise disqualified from Program participation.</p>
1.1	<p>Master File/Database Disposition Authority Number DAA-0462-2012-0002-0001</p> <p>CACFP institutions, responsible individuals and family day care home providers who have been terminated from the Program due to serious deficiencies. For institutions: the name, address, reason(s) for disqualification, date of termination, indication of any debt, state agency disqualifying institution, responsible individuals associated with disqualified institution, date of birth of associated individuals, address. For family day care home providers: name, address, date of birth, date of termination, indication of any debt, reason(s) for termination, sponsoring organization disqualifying family day care home provider</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cutoff records at end of calendar year and remove eligible institutions/individuals/family day care home providers from active "disqualified" database</p> <p>Retention Period Destroy 3 year(s) after cutoff</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
02/11/2014	Certify	Robert Martin	NIFA Records Office r	REE - NIFA
12/23/2014	Submit for Concur rence	Tom Cotter	Appraiser	National Archives and Records Administration - Records Management Services
12/29/2014	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - Records Management Services
01/08/2015	Concur	Laurence Brewer	Director, National R ecords Management Program	National Archives and Records Administration - National Records Management Program
01/12/2015	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist