

## Request for Records Disposition Authority

Records Schedule Number            **DAA-0370-2019-0006**

Schedule Status                        **Approved**

Agency or Establishment            **National Oceanic and Atmospheric Administration**

Record Group / Scheduling Group   **Records of the National Oceanic and Atmospheric Administration**

Records Schedule applies to        **Agency-wide**

Schedule Subject                       **Rulemaking Record for Regulations**

Internal agency concurrences will be provided    **No**

Background Information              **Information and records that support the National Oceanic and Atmospheric (NOAA) rulemaking process for establishing or modifying regulations including all data and records collected and maintained by the recordkeeping office. The documents are critical to carrying out NOAA's mission under various Acts and Executive Orders, such as the Magnuson Stevens Act, Coastal Zone Management Act, Endangered Species Act, Marine Mammal Protection Act, National Environmental Protection Act (NEPA),etc**

Stakeholders include Federal, state, and international fisheries programs and commercial, recreational, and subsistence fishing industries. The rules (a) helps the agency to maintain healthy fish stocks and long-term economic and social benefits to the nation living marine resources and (b) provide regulatory compliance and procedures for development, modification, funding, and review of state coastal management program in compliance with 15 CFR part 23, subparts A-K and 15 CFR part 97, subparts A-I.

### Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>

### GAO Approval

## Outline of Records Schedule Items for DAA-0370-2019-0006

Sequence Number	
1	The Official Rulemaking Docket Case File
1.1	Final Rule/Regulation Files Disposition Authority Number: DAA-0370-2019-0006-0001

## Records Schedule Items

Sequence Number					
1	<p><b>The Official Rulemaking Docket Case File</b> The case file records may document negotiated rulemaking activities, including records that document the proceedings of stakeholder meetings, public hearings, and court briefs, and files documenting the clearance and processing of proposed and final rules, along with the final and proposed rules.</p>				
1.1	<p><b>Final Rule/Regulation Files</b></p> <p>Disposition Authority Number      <b>DAA-0370-2019-0006-0001</b></p> <p>These records are case files maintained in the originating offices which document the history of substantive regulations, rulemaking, supporting background materials, and records created in preparation for publication of the rule in the Federal Register. The official rulemaking case file includes decision memoranda signed by senior officials; official correspondence, including letters to and from the Office of the Federal Register; Federal Register documents (e.g., advance notices of proposed rulemaking, proposed rules, and final rules); internal/external studies, and public and internal comments, including all data that supported the policy, data that refuted the policy, and data that would support alternative options. The rulemaking records receive intense public or media attention or congressional scrutiny, relate to major or historical events, or are involved in extensive litigation, set legal precedents, and greatly impact the economic and financial assistance to state or local agencies, Federal License or permits, interstate coastal effects, and specifications for various types of fisheries. The case file records are closely intertwined with the mission of the agency.</p> <p>Final Disposition                              <b>Permanent</b></p> <p>Item Status                                      <b>Active</b></p> <p>Is this item media neutral?                  <b>Yes</b></p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?              <b>No</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Manual Citation</th> <th style="width: 50%;">Manual Title</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top;"><b>100-20</b></td> <td style="vertical-align: top;"><b>Proposed and Final Regulations, Rule Making, and Policy Making Documentation</b></td> </tr> </tbody> </table> <p>GRS or Superseded Authority Citation      <b>NI-370-03-9/100-20/A</b>     <b>NI-370-03-9/100-20/B</b>     <b>NI-370-03-9/100-20/C</b></p>	Manual Citation	Manual Title	<b>100-20</b>	<b>Proposed and Final Regulations, Rule Making, and Policy Making Documentation</b>
Manual Citation	Manual Title				
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NI-370-03-9/100-20/D  
 NI-370-97-03/1504-14/B  
 N1-370-90-03/1514-04  
 N1-370-01-01/1605-5/A  
 N1-370-01-01/1605-15/B  
 N1-370-90-2/100-15

**Disposition Instruction**

Cutoff Instruction

Cut off at end of calendar year when policy or regulation is published.

Transfer to the National Archives for Accessioning

Transfer to the National Archives 20 year(s) after cutoff

**Additional Information**

What will be the date span of the initial transfer of records to the National Archives?

From 1996 To 2000

How frequently will your agency transfer these records to the National Archives?

Every 1 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital	50 MB	
Paper	78 Cubic feet	
Microform		
Hardcopy or Analog Special Media		

## Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

## Signatory Information

Date	Action	By	Title	Organization
10/10/2019	Certify	Andre Sivels	Records Officer	Office of the Chief Administrators Office - Audits and Information Management
01/16/2020	Return for Revision	Valerie Terray	Archives Specialist	National Archives and Records Administration - Records Management Services
02/11/2020	Submit For Certification	Andre Sivels	Records Officer	Office of the Chief Administrators Office - Audits and Information Management
02/11/2020	Certify	Marie Marks	Information Management Team Lead	OCAO - AIMO
05/14/2020	Submit for Concurrence	Lauren VanZandt	Archivist Specialist	National Archives and Records Administration - ACR3, Appraisal Team 3
05/22/2020	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
05/29/2020	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
06/02/2020	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist