**REQUEST FOR RECORDS DISPOSITION AUTHORITY**  
*(See Instructions on reverse)*  

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>ITEM</th>
<th>DESCRIPTION OF ITEM AND PROPOSED DISPOSITION</th>
<th>9 GRS OR SUPERSEDED JOB CITATION</th>
<th>10 ACTION TAKEN (NARA USE ONLY)</th>
</tr>
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<tbody>
<tr>
<td>1</td>
<td>FROM (Agency or establishment)</td>
<td>Department of Commerce (DOC)/ Bureau of Industry and Security (BIS)</td>
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<td>2</td>
<td>MAJOR SUBDIVISION</td>
<td>Office of Non-Proliferation Controls and Treaty Compliance</td>
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<td>3</td>
<td>MINOR SUBDIVISION</td>
<td>Treaty Compliance Division</td>
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<tr>
<td>4</td>
<td>NAME OF PERSON WITH WHOM TO CONFER</td>
<td>James Vidale</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>TELEPHONE</td>
<td>202-482-2165</td>
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</tr>
</tbody>
</table>

**NOTIFICATION TO AGENCY**  
In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.

**6 AGENCY CERTIFICATION**  
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is not required, ☒ is attached, or ☐ has been requested.

**DATE**  
9/22/10

**SIGNATURE OF AGENCY REPRESENTATIVE**  
James Vidale

**TITLE**  
Records Officer

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The U.S. Department of Commerce’s (DOC) Bureau of Industry and Security (BIS) is responsible for implementing international treaties that have an effect on U.S. industry. BIS's Treaty Compliance Division (TCD) processes data declarations and reports and performs inspections of U.S. industry facilities and organizations under the Chemical Weapons Convention (CWC) and the United States and International Atomic Energy Agency Additional Protocol (AP). TCD is also responsible for industry issues relating to the Biological Weapons Convention (BWC) and other international treaties.

On April 25, 1997, the United States ratified the Convention on the Prohibition of the Development, Production, Acquisition, Stockpiling, Transfer and Use of Chemical Weapons and on their Destruction (CWC). DOC/BIS/TCD collects, aggregates and validates declaration and report data from U.S. chemical facilities involving certain chemicals that will be produced, processed, consumed, exported or imported. DOC/BIS/TCD manages the CWC Information Management System (IMS), which processes, validates, stores and aggregates CWC data received from U.S. chemical facilities. DOC/BIS/TCD is the lead agency for CWC inspections conducted at U.S. chemical facilities. DOC/BIS/TCD acts as the host team and escort of international inspectors from the Organization for the Prohibition of Chemical Weapons (OPCW) during inspections of U.S. chemical facilities subject to the Chemical Weapons Convention Regulations (CWCRC), including challenge inspections. DOC/BIS/TCD also participates in outreach programs to maintain dialogue with industry and to provide education on their rights and obligations under the CWC.

On January 6, 2009, the United States ratified the Protocol Additional to the Agreement between the United States of America and the International Atomic Energy Agency for the Safeguards in the United States of America (the U.S. Additional Protocol or AP). The U.S. Additional Protocol is a bilateral agreement between the United States and the International Atomic Energy Agency (IAEA) that supplements provisions of the U.S.-IAEA Safeguards Agreement by broadening IAEA access to all aspects of the nuclear fuel cycle through declaration and complementary access provisions.
<table>
<thead>
<tr>
<th>ITEM NO</th>
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<tbody>
<tr>
<td></td>
<td>DOC/BIS/TCD collects, aggregates, and validates report data from U S industry/locations and other U S persons engaged in certain civil nuclear fuel cycle-related activities, which are not regulated by the U S Nuclear Regulatory Commission (NRC) or its domestic Agreement States and are not located on certain U S Government locations (e.g., Department of Energy and the Department of Defense). TCD will participate in outreach activities to educate and maintain dialogue with industry on the obligations under the AP. Under the U S Additional Protocol, DOC/BIS/TCD is the lead agency responsible for administering the U S AP Declaration for the U S Government. DOC/BIS/TCD collects activity reports from the Departments of Commerce and Energy and the NRC and compiles the draft U S AP declarations for interagency (e.g., NRC, DOE, DOS, NSA intelligence community) vetting for submission to the U S Congress and ultimately to the IAEA. In the future, DOC/BIS/TCD will administer the Additional Protocol Reporting System (APRS), which will process reports from industry/organizations and relevant U S Government agencies to compile the U S AP Declaration. See the attached list of record descriptions and requested disposition authorities. The records identified in this Records Control Schedule supersede the records identified in the BX/A/CBTC/TCD/Information Technology Team's (ITT's) Record Control Schedule Number N1 475-00-1, signed by the Archivist of the United States on June 22, 2000.</td>
</tr>
</tbody>
</table>

See attached list of records and requested disposition authorities.
Office of Non-Proliferation and Treaty Compliance

Treaty Compliance Division

The U.S. Department of Commerce's (DOC) Bureau of Industry and Security (BIS/TCD) is responsible for implementing international treaties that have an effect on U.S. industry. BIS/TCD's Treaty Compliance Division (TCD) processes data declarations and reports and performs inspections of U.S. industry facilities and organizations under the Chemical Weapons Convention (CWC) and the United States and International Atomic Energy Agency Additional Protocol (AP). TCD is also responsible for industry issues relating to the Biological Weapons Convention (BWC), which does not have a verification regime, as well as other international treaties.

On April 25, 1997, the United States ratified the Convention on the Prohibition of the Development, Production, Acquisition, Stockpiling, Transfer and use of Chemical Weapons and on their Destruction (CWC). BIS/TCD collects, aggregates and validates declaration and report data from U.S. chemical facilities involving certain chemicals that will be produced, processed, consumed, exported or imported. BIS/TCD manages the CWC Information Management System (IMS), which processes, validates, stores and aggregates CWC data received from U.S. chemical facilities. BIS/TCD is the lead agency for CWC inspections conducted at U.S. chemical facilities. BIS/TCD acts as the host team and escort of international inspectors from the Organization for the Prohibition of Chemical Weapons (OPCW) during inspections of U.S. chemical facilities subject to the Chemical Weapons Convention Regulations (CWR), including challenge inspections. BIS/TCD also participates in outreach programs to maintain dialogue with industry and to provide education on their rights and obligations under the CWC.

On January 6, 2009, the United States ratified the Protocol Additional to the Agreement between the United States of America and the International Atomic Energy Agency for the Safeguards in the United States of America (the U.S. Additional Protocol or AP). The U.S. Additional Protocol is a bilateral agreement between the United States and the International Atomic Energy Agency (IAEA) that supplements provisions of the U.S.-IAEA Safeguards Agreement by broadening IAEA access to all aspects of the nuclear fuel cycle through declaration and complementary access provisions. BIS/TCD collects, aggregates, and validates report data from commercial industry/locations and other U.S. persons engaged in certain civil nuclear fuel cycle-related activities, which are not regulated by the U.S. Nuclear Regulatory Commission (NRC) or its domestic Agreement States and are not located on certain U.S. Government locations (e.g., Department of Energy and the Department of Defense). BIS/TCD participates in outreach activities to educate and maintain dialogue with industry on their obligations under the AP.

Under the U.S. Additional Protocol, BIS/TCD is the lead agency responsible for compiling the U.S. AP Declaration for the U.S. Government. BIS/TCD collects activity reports from the Departments of Commerce and Energy and the NRC and compiles the draft U.S. AP declarations for interagency (e.g., NRC, DOE, DOS, DOD, NSC, intelligence community) vetting for submission to the U.S. Congress and ultimately to the IAEA. In the future, BIS/TCD will administer the Additional Protocol Reporting System (APRS) once it is developed and implemented. The APRS will receive reports from industry/organizations and declarations from relevant U.S. Government agencies for aggregation to compile the U.S. AP Declaration.

See the attached list of record descriptions and requested disposition authorities.

The records identified in this Records Control Schedule supersede the records identified in the BXA/CBTC/TCD/Information Technology Team's (ITT's) Record Control Schedule Number NI 476-00-1, signed by the Archivist of the United States on June 22, 2000.
1. Chemical Weapons Convention (CWC) Program Records:

1.1 CWC Regulations and Policies Program Records
   Records for establishment of the CWC Regulations and official internal policies to implement the CWC program
   Disposition - Permanent: Cut off files at end of calendar year. Store on site for 15 years and offer to National Archives in 20 years
   Reference: Supersedes Citation - Office of Non-Proliferation and Treaty Compliance’s Record Schedule -- N1-476-03-2/18

1.2 CWC Program Operational
   Records are reference copies of records that reflect changes in the CWC program components. Included are executive orders, strategic plans, functional and mission statements, and vital records plan. In addition, reference copies of reports to Congress, federal register notices and regulations.
   Disposition - Temporary: Cut off files at end of calendar year. Retain until superseded or obsolete and then destroy

1.3 Chronological Files
   Correspondence maintained for convenience of reference (example FOIA request, controlled correspondence, and cables, etc). The office will maintain an unclassified and classified chron file.
   Disposition - Temporary: Cut off files at end of calendar year. Destroy after 5 years or when no longer needed for current business

1.4 Chemical Determinations
   Records of correspondence requesting chemical determinations/classifications under the CWC Regulations and BIS/TCD’s decisions (letter or e-mail)
   Disposition - Temporary: Retain in the Treaty Compliance Division for the life of the program. Re-examine every 10 years and destroy when no longer needed for current business

1.5 Questions and Answers
   Records of various correspondence requesting information on or clarification of issues relating to the CWC program.
   Disposition - Temporary: Retain in the Treaty Compliance Division for the life of the program. Re-examine every 10 years and destroy when no longer needed for current business.
1.6 Schedule 1 Advance Notifications and Reports
Records of advanced notification and annual reports from the chemical
decies/companies informing BIS/TCD of their intent to export or import
Schedule 1 chemicals under the CWC Regulations or the Export Administration
Regulations
Disposition - Temporary: Retain in the Treaty Compliance Division for the life
of the program. Re-examine every 10 years and destroy when no longer needed
for current business

1.7 CWC Declarations and Reports
CWC declarations and reports for Schedule 1, 2 and 3 chemicals and
Unscheduled Discrete Organic Chemicals (UDOCs) received from chemical
facilities and trading companies. Records include U.S. CWC declarations,
including aggregate national data, which are transmitted to the Department of
State (U.S. National Authority) for transmission to the Organization for the
Prohibition of Chemical Weapons (OPCW).
Disposition - Temporary: Retain in the Treaty Compliance Division for the life
of the program. Re-examine every 10 years and destroy when no longer needed
for current business. (See also Item 8 and 81 through 85 for additional
information on declarations and reports)

1.8 CWC End-User Certificates
End-user certificates submitted by U.S. exporting companies, which were issued
by foreign governments (States Not Party to the CWC) of the importing country
Disposition - Temporary: Retain on site in the Treaty Compliance Division for
the life of the program. Re-examine every 10 years and destroy when no longer
needed for current business

1.9 CWC Compliance Supporting Documents
Records and supporting documentation of reviews conducted to verify industry’s
compliance with the CWC Regulations and the CWC provisions of the Export
Administration Regulation. Documentation includes verification requirement for
Declarations of Additionally Planned Activities (DAPAs), Schedule 3 End-Use
Certificates and related export licenses, as applicable, Schedule 2 and 3 Sample
Shipment Reports, Export Licenses as compared to CWC Reports on Exports and
Imports, Discrepancies between U.S. and other States Parties Aggregate National
Data, and Ad Hoc reviews
Disposition - Temporary: Retain in the Treaty Compliance Division for the life
of the program. Re-examine every 10 years and destroy when no longer needed
for current business

1.10 CWC Inspection Site Manuals and Related Documents
Records of inspection of chemical facilities, which include pre-inspection
documents (e.g., notification, consent forms); host and inspection team
documents, (e.g., roster, mandate, TEI report, control logs, situation reports,
Inspection Team’s inspection plan, Preliminary Findings Report); post inspection
documents (e.g., reimbursable expense report, cost report, post-inspection letter to facility, Final Inspection Report, after action reports etc.), and Site-Assistance Visit Reports

**Disposition - Temporary:** Retain in the Treaty Compliance Division for the life of the program. Re-examine every 10 years and destroy when no longer needed for current business.

**Reference:** Supersedes Citation - Office of Non-Proliferation and Treaty Compliance’s Record Schedule -- N1-476-03-2/20

1.11 CWC Working Paper Files

Paper files maintained by individual staff members that consist of background materials, working papers, extra copies, drafts, and technical specs or reference materials pertaining to individual assignments or projects

**Disposition - Temporary:** Destroy on site when no longer needed for current business. **Supersedes Citation:** Office of Non-Proliferation and Treaty Compliance’s Record Schedule -- N1-476-03-2/19

2. CWC Web-DES1 Electronic Submission Certification Letters

Original certification letters signed by authorizing officials of chemical facilities or trading companies requesting authorization to establish individual user accounts for access to Web-DES1. Records include users’ ID, initial password, privileges, facilities’ USC codes and “Site Pin IDs.”

**Disposition - Temporary:** Retain on site in the Treaty Compliance Division for the life of the program. Re-examine every 5 years and destroy Web-DES1 letters for companies that are no longer in business or are not subject to declaration/reporting requirements under the CWC Regulations.

3. CWC Instructional and Procedural Manuals

Records include instructional manuals and procedures for internal use by the TCD staff members. These manuals incorporate CWC operating guidance, CWC Regulations and Export Administration Regulation (EAR) and other BIS/TCD policies.

**Disposition - Temporary:** These manuals and procedures are routinely revised. Retain until superseded or obsolete, and then destroy.

3.1 CWC Declaration and Report Guidance

Procedures and guidance manual for processing data declarations and reports for Schedule 1, 2, and 3 chemicals, Unscheduled Discrete Organic Chemicals (UDOCs) and related matters

**Disposition - Temporary:** This manual is revised as new procedures are established. Retain until superseded or obsolete, and then destroy.

3.2 CWC Inspection Guidance

Procedures and guidance manual for internal use by TCD staff members during the conduct of industry inspections and post-inspection activities.

**Disposition - Temporary:** This manual is revised as new procedures are established. Retain until superseded or obsolete, and then destroy.
3.3 CWC Travel Guidance
Procedures and guidance manual for processing travel orders and vouchers for
CWC inspections for TCD staff members and international inspectors of the
OPCW.
Disposition - Temporary: This manual is revised as new procedures are established. Retain until superseded or obsolete, and then destroy.

3.4 CWC Sampling and Analysis Guidance
Procedures, guidance and interagency agreed policies manual on sampling and
analysis activities during routine and challenge inspections by the OPCW.
Disposition - Temporary: This manual is revised as new procedures are established. Retain until superseded or obsolete, and then destroy.

3.5 CWC Challenge Inspection Guidance
Interagency-agreed policies and procedures manual for conducting challenge
inspections at industrial chemical facilities.
Disposition - Temporary: This manual is revised as new procedures are established. Retain until superseded or obsolete, and then destroy.

3.6 CWC Article VI - Inspection Guidance
Policy documents and guidance on CWC issues (e.g., ambiguities/IRFA,
uncertainties, CBI, sampling and analysis, plant site delineation, site security,
records review, cameras and photography, safety, information control).
Disposition - Temporary: This manual is revised as policy is updated or established. Retain until superseded or obsolete, and then destroy.

3.7 TCD Recordkeeping Guidance
Internal and regulatory requirements and guidance manual on federal records
management, including instructions on the use of electronic records, such as the
CWC IMS application. Provides guidance on official electronic records (copies)
maintained and on TCD’s G, K, L, P, and H “user’s personal” drives of the TCD
Network (TCD Net).
Disposition - Temporary: This manual is revised as policy is updated or established. Retain until superseded or obsolete, and then destroy.

3.8 General System Operational Guidance
Instruction manual on the general use and operation of TCD’s computer systems
and IT applications.
Disposition - Temporary: Retain until superseded or obsolete, and then destroy.

3.8.1 Information Management System (IMS) Instructional Manual
Guidance for operating the IMS and its various reporting features (e.g.,
detailed reports, OPCW reports). The manual is for internal use by TCD
staff members and provides an overview of the application’s capabilities.
and features. The manual is revised as new features are developed and procedures are established. New pages are inserted to replace those that are obsolete (also identified under section on “Information Systems”).

Disposition - Temporary: Retain until superseded or obsolete, and then destroy.

3.8.2 CWC Web-DESI Account Manager System Manual
Guidance and instructions for internal use by TCD staff members to manage industry users’ and plant site accounts for the Web-DESI application. Instructions for user passwords, site identification numbers (SINs) and electronic files/documentation relating to the Web-DESI application and for the front-end management of the database. Multiple functions can be completed in the system. The manual is revised as new procedures are established. New pages are inserted to replace those that are obsolete.

Disposition - Temporary: Retain until superseded or obsolete and then destroy.

3.8.3 CWC Web-DESI User Manual
Guidance for assisting industry to use the Web-Data Entry Software for Industry (Web-DESI) application. Web-DESI is a voluntary tool designed to reduce industry’s burden in complying with the CWC, which allows industry to submit CWC declarations and reports for Schedule 1, 2, 3 chemicals and Unscheduled Discrete Organic Chemicals via the Internet in a secure environment. (Manual is currently under development.)

Disposition - Temporary: Retain until superseded or obsolete and then destroy.

4. CWC Outreach Program
Workshops and seminars conducted by TCD staff to educate industry on their obligations under the CWC Regulations. Records include presentation slides, registration logs, questionnaires, hand-outs and publications.

Disposition - Temporary: Destroy when superseded or obsolete.

4.1 CWC Implementation Assistance Programme (IAP)
Background and reference materials to assist States Parties to the CWC in implementing treaty requirements, which includes for example, declaration requirements, import/export obligations, and National Authority obligations.

Disposition - Temporary: Retain until superseded or obsolete, and then destroy.

4.2 CWC Global Chemical-Industry Compliance Program (GC-ICP)
Guidance for US and foreign chemical facilities and traders/trading companies to develop a compliance program and determine the classification of chemicals.

Disposition - Temporary: Retain until superseded or obsolete, and then destroy.
Addition Protocol (AP) Program Records

5. Additional Protocol (AP) Program Records:

5.1 AP Regulations and Policies Program Records
Records for establishment of the AP Regulations and official internal policies to implement the AP program
Disposition - Permanent: Cut off files at end of calendar year  Store on site for 15 years and offer to National Archives in 20 years

5.2 AP Program Operational
Records are reference copies of records that reflect changes in BIS/TCD’s program components. Included are executive orders, strategic plans, functional and mission statements, and vital records plan. In addition, reference copies of reports to Congress, federal register notices and regulations.
Disposition - Temporary: Cut off files at end of calendar year  Retain until superseded or obsolete and then destroy

5.3 AP Chronological Files
Correspondence maintained for convenience of reference (example: FOIA request, controlled correspondence, cables, etc.). The office will maintain an unclassified and classified chron file. Disposition - Temporary: Cut off files at end calendar year  Destroy after 5 years or when no longer needed for current business.

5.4 AP Determinations
Records of correspondence requesting determinations of whether activities are subject to the reporting requirements of the AP Regulations
Disposition - Temporary: Retain in the Treaty Compliance Division for the life of the program  Re-Examine every 10 years and destroy when no longer needed for current business.

5.5 AP Reports and U.S. Declarations
Records of AP reports submitted by the nuclear industry (e.g., persons and locations subject to Commerce Regulations and NRC Regulations), AP declarations submitted to BIS/TCD by other U S Government agencies (e.g., DOE, NRC) and the draft and final national AP declarations. BIS/TCD compiles the U.S. draft national declaration for interagency vetting, review by the U.S Congress, and transmission to the International Atomic Energy Agency (IAEA)
Disposition - Temporary: Cut off file at end of calendar year  Retain original copies on site for 5 years from the due date or three years from the date of submission of the applicable report and then destroy 7 years old.
5.6 AP Compliance Supporting Documents
Records of information requested by BIS/TCD or other U.S. Government agencies to persons and locations subject to the AP Regulations to determine compliance with reporting and recordkeeping requirements or to respond to clarification requests from the IAEA (e.g., clarification requests or vulnerability assessments)

Disposition - Temporary: Retain in the Treaty Compliance Division for the life of the program. Re-Examine every 10 years and destroy when no longer needed for current business.

5.7 AP Working Paper Files
Background materials, working papers, draft documents and technical specs or reference materials maintained by individual staff members that pertain to individual assignments or projects.

Disposition - Temporary: Destroy on site when no longer needed for current business.

5.8 AP Instructional and Procedural Manuals
Records include manual and procedures for internal use by the TCD staff members. These manuals incorporate AP guidance, AP Regulations, BIS/TCD policies, and the IAEA’s Protocol Reporter User Manual, which is the application currently used to process industry reports and compile the U.S. national declaration.

Disposition - Temporary: These manuals and procedures are routinely revised. Retain until superseded or obsolete, and then destroy.

5.8.1 AP Reporting System (APRS) Instructional Manual*
Guidance for operating the APRS and an overview of the program. The manual is for internal use by TCD staff members. The manual is revised as new procedures are established. New pages are inserted to replace those that are obsolete.

Disposition - Temporary: Retain until superseded or obsolete, and then destroy.

5.8.2 AP Report and National Declaration Guidance
Procedures and guidance for processing data reports, compiling national declarations and related matters. Disposition - Temporary: This manual is revised as new procedures are established. Retain until superseded or obsolete, and then destroy.

* "This disposition will be effective when the system is completed and implemented”
5.8.3 AP Complementary Access Visit (CAV) Guidance

Procedures and guidance for conducting complementary access visits at commercial nuclear facilities.

Disposition - Temporary: This manual is revised as new procedures are established. Retain until superseded or obsolete, and then destroy.

6. AP Outreach Program

Workshops and seminars conducted by TCD staff to educate industry on their obligations under the AP program. Records include presentation slides, registration logs, questionnaires, hand-outs and publications. Disposition - Temporary: Destroy when superseded or obsolete.
7. Biological Weapons Convention (BWC) Program Records:

7.1 BWC Program Operational
Records are reference copies of records that reflect changes in TCD’s program components. Included are strategic plans, functional and mission statements. 
Disposition - Temporary: Cut off files at end of calendar year. Retain until superseded or obsolete and then destroy.

7.2 Chronological Files
Copies of incoming and outgoing correspondence maintained for convenience of reference (example: FOIA request, controlled correspondence, cables, etc.). The office will maintain an unclassified and classified chon file.
Disposition - Temporary: Cut off files at end calendar year. Destroy after 5 years or when no longer needed for current business.

7.3 BWC Working Paper Files
Paper files maintained by individual staff members that consist of background materials, working papers, extra copies, drafts, and technical specs or reference materials pertaining to individual assignments or projects.
Disposition - Temporary: Destroy on site when no longer needed for current business.
Reference: Supersedes Citation - Office of Non-Proliferation and Treaty Compliance’s Record Schedule -- N1-476-03-2/22
TREATY COMPLIANCE DIVISION (TCD)

Information Systems

8. CWC Information Management System (IMS): Processes CWC data declarations (Schedule 1, 2, 3 chemicals and Unscheduled Discrete Organic chemicals (UDOC)), reports, end use certificates, Schedule 1 advance notifications, and support documentation. The IMS is used to process, validate, store, and aggregate CWC data from U.S. chemical facilities that produce, process, consume export or import chemicals to ensure that the U.S. complies with its obligations under the treaty. In addition, TCD's program records, such as paper declarations, reports, end-use certificates, chemical determinations, questions and answers, and other information, as appropriate, are scanned, electronically filed and maintained in the IMS. The IMS fulfills Government Paperwork Elimination Act (GPEA).

8.1 Recordkeeping copy - paper

Disposition - Temporary: Retain in the Treaty Compliance Division for the life of the program. Re-examine every 10 years and destroy when no longer needed for current business. See also 1.7.

8.2 Data files and images

Disposition - Temporary: Data stored in database for a minimum of 7 years. Store off-line on CD/DVD for 18 years (total age of data files and images not to exceed 25 years). Destroy when no longer needed for current business.

8.3 Documentation: Information Management System (IMS) Instructional Manual provides guidance for operating the IMS and it also provides an overview of the program. The manual is for internal use by TCD staff members. The manual is revised as new procedures are established. New pages are inserted to replace those that are obsolete.

Disposition - Temporary: Retain until superseded or obsolete, and then destroy.

8.4 Output - Reports - management reports and ad hoc reports generated by TCD.

Disposition - Temporary: Retain until superseded or obsolete, and then destroy.
9. *Additional Protocol Reporting System (APRS):* Will process AP reports from industry and other relevant U.S. Government departments and agencies; will compile the draft AP declaration for interagency vetting and review by U.S. Congress; will compile the final AP declaration for transmission to the International Atomic Energy Agency (IAEA). The APRS will fulfill Government Paperwork Elimination Act (GPEA).

9.1 Recordkeeping copy - paper
   
   **Disposition - Temporary:** Cut off file at end of calendar year. Retain in TCD for life of the program. Re-examine every 10 years and destroy when no longer needed. See. 5.5.

9.2 Data files and images
   
   **Disposition - Temporary:** Data will be stored in database for a minimum of 7 years. Store off-line on CD/DVD for 18 years (total age of data files and images not to exceed 25 years). Destroy when no longer needed for current business.

9.3 Documentation: AP Reporting System (APRS)
   
   Instructional manual will provide guidance for operating the APRS and it also provides an overview of the program. The manual is for internal use by TCD staff members. The manual will be revised as new procedures are established. New pages will be inserted to replace those that are obsolete.
   
   **Disposition - Temporary:** Retain until superseded or obsolete, and then destroy.

9.4 Output - Reports
   
   Management reports and ad hoc reports to be generated by TCD.
   
   **Disposition - Temporary:** Retain until superseded or obsolete, and then destroy.

*"This disposition will be effective when the system is designed and deployed".*
10. Email that reflects agency business is treated like paper records and is preserved and disposed of in accordance to TCD’s approved records schedule. Once an email is determined to be a record, it is filed with related records. To ensure proper documentation is retained, email messages are printed with attachments along with transmission data, which includes: sender of message, addressee(s), and the time of transmission.

10.1 Records that are created via electronic mail and word processing applications, that are located on the TCD Network in the G, K, L, P and H “personal” drives to generate recordkeeping copies of records are covered according to TCD’s record schedule.

10.2 Copies that have no further administrative value after the recordkeeping copies are made, includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are only to produce the recordkeeping copy.

**Disposition - Temporary:** Destroy/delete after the recordkeeping copy has been produced.

10.3 Copies used for dissemination, revision, or updating that are maintained in addition to the recordkeeping copy.

**Disposition - Temporary:** Destroy/delete after dissemination, revision, or updating is completed.