

Request for Records Disposition Authority

Records Schedule Number DAA-0446-2019-0007
Schedule Status Approved

Agency or Establishment Defense Counterintelligence and Security Agency
Record Group / Scheduling Group Records of the Defense Security Service
Records Schedule applies to Agency-wide
Schedule Subject Continuous Evaluation Information System
Internal agency concurrences will be provided No

Background Information This schedule resubmits schedule DAA-0330-2014-0013 under the Defense Counterintelligence and Security Agency (DCSA).

The Director of National Intelligence (DNI) (Security Executive Agent) and the Director of the Office of Personnel Management (OPM) (Suitability and Credentialing Executive Agent), in coordination with the Under Secretary of Defense for Intelligence (USDI) and the Deputy Director for Management, Office of Management and Budget (OMB), have launched the "Trusted Workforce 2.0" (TW 2.0) initiative to provide a roadmap for reformed, innovative, and modern Federal personnel vetting. The Executive Agents are directed to transform Federal personnel vetting programs by working in coordination, and through appropriate means, to revise policies and practices in each Executive Agent's respective area of responsibility. This will include transition from traditional periodic reinvestigations to Government-wide continuous vetting, as defined in Executive Order 13467, as amended, to assess security, suitability, fitness, and credentialing decisions on an ongoing basis.

The purpose of this information system is to : (1) identify government-affiliated personnel who have engaged in conduct of security concern; (2) identify and initiate needed follow-on inquiries and/or investigative activity and enable security officials and adjudicators to determine and take appropriate actions; and (3) perform research, development, and analyses. These analyses are conducted to: (a) evaluate and improve Federal personnel security, insider threat, and other background vetting and continuous evaluation procedures, programs, and policies; (b) assist in providing training, instruction, advice on personnel security, insider threats, and assess continuing reliability of subjects for the Federal government; (c) encourage cooperative research within and among DoD Components, the Intelligence

Community, and the Executive branch on initiatives having Federal government-wide implications in order to maximize integration and avoid duplication; (d) address items of special interest to personnel security officials within DoD Components, the Intelligence Community, and the Executive branch; (f) conduct personnel security pilot test projects.

System Interfaces | Data Sources: Government data sources include, but are not limited to, Case Adjudication Tracking System (CATS), Joint Personnel Adjudication System (JPAS), FBI National Crime Information Center files, Defense Enrollment Eligibility Reporting System (DEERS); and non-governmental and commercial data sources such as National Law Enforcement Telecommunications System, TRSS, Equifax, and iMapData.

System Inputs: All information contained in the system interfaces and data sources above (e.g., name; DoB; PoB; SSN; physical attributes; gender; race; ethnicity; contact information; passport information; citizenship; current and previous addresses; reference information; education information; employment information (up to 10 years, includes performance information such as warnings, misconduct, and termination information); military history information; current and former spouse and cohabitant information; family information (immediate, in-laws, living or deceased); foreign contact information; foreign activities (including those of spouse, cohabitant or dependents), to include financial interests, business, professional activities, foreign government activities, travel; psychological and emotional health; police record information such as arrests, convictions, probation, criminal charges, imprisonment, and domestic violence; illegal use of drugs or controlled substances; alcohol consumption; financial information; inappropriate use of information technology systems; associations with terrorists; investigation packages: type of government affiliation; employing activity; current employment status; position sensitivity; personnel security investigative basis; status of current adjudicative action; security clearance eligibility and access status; whether eligibility determination was based on a condition, deviation from prescribed investigative standards or waiver of adjudication guidelines; reports of security-related incidents, to include issue files; suspension of eligibility and/or access; denial or revocation of eligibility and/or access; eligibility recommendations or decisions made by an appellate authority; non-disclosure execution dates; indoctrination date(s); level(s) of access granted; debriefing date(s); and reasons for debriefing; Wants and Warrants alerts and information; extradition limitations; incarceration information; court records; etc.,.

Item Count

| Number of Total Disposition Items | Number of Permanent Disposition Items | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 1 | 0 | 1 | 0 |

GAO Approval

Outline of Records Schedule Items for DAA-0446-2019-0007

| Sequence Number |
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| 1 | Continuous Evaluation Program Disposition Authority Number: DAA-0446-2019-0007-0001 |
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Records Schedule Items

| Sequence Number | |
|-----------------|--|
| 1 | <p data-bbox="345 380 797 415">Continuous Evaluation Program</p> <p data-bbox="345 432 1151 468">Disposition Authority Number DAA-0446-2019-0007-0001</p> <p data-bbox="345 489 1507 789">Continuous Evaluation records consist of information collected on current Federal government personnel in support of personnel security programs. The master file includes, but is not limited to, name; DoB; PoB; SSN; physical attributes; gender; race; ethnicity; contact information; passport information; citizenship; current and previous addresses; reference information; education information; employment information (up to 10 years, includes performance information such as warnings, misconduct, and termination information); military history information; publicly available electronic information.</p> <p data-bbox="345 810 919 846">Final Disposition Temporary</p> <p data-bbox="345 867 850 903">Item Status Active</p> <p data-bbox="345 924 821 959">Is this item media neutral? Yes</p> <p data-bbox="345 980 821 1100">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="345 1121 821 1205">Do any of the records covered by this item exist as structured electronic data? Yes</p> <p data-bbox="345 1247 659 1283">Disposition Instruction</p> <p data-bbox="345 1304 1507 1451">Retention Period Destroy or delete records involving potentially actionable issues 25 years after the end of affiliation. Destroy or delete all other records 16 years after the end of affiliation.</p> <p data-bbox="345 1493 659 1528">Additional Information</p> <p data-bbox="345 1549 951 1585">GAO Approval Not Required</p> |

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

| Date | Action | By | Title | Organization |
|------------|------------------------|------------------|---|---|
| 10/02/2019 | Certify | Ron White | Records Manager | DOD - OSD |
| 04/14/2020 | Submit for Concurrency | Steven Rhodes | Senior Appraisal Archivist | National Archives and Records Administration - Records Management Services |
| 05/11/2020 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 05/11/2020 | Concur | Laurence Brewer | Chief Records Officer | National Records and Archives Administration - National Records and Archives Administration |
| 05/19/2020 | Approve | David Ferriero | Archivist of the United States | Office of the Archivist - Office of the Archivist |