

Request for Records Disposition Authority

Records Schedule Number DAA-AFU-2017-0004
Schedule Status Approved

Agency or Establishment Department of the Air Force
Record Group / Scheduling Group Air Force Undifferentiated
Records Schedule applies to Major Subdivision
Major Subdivision Air National Guard
Minor Subdivision Eastern Air Defense Sector
Schedule Subject NEADS 9/11/2001 Records
Internal agency concurrences will
be provided No

Background Information

This schedule covers a collection of records maintained by the Northeast Air Defense Sector (NEADS) in regard to the terrorist attacks against the United States on September 11, 2001, and the aftermath of the attacks. This schedule provides one-time disposition authority for this collection of records only.

The records in this collection were gathered by NEADS in 2003-2004 in response requests for information from the National Commission on Terrorist Attacks Upon the United States ("9/11 Commission"). This collection includes records relating to the events of 9/11/2001 and the early months of Operation Noble Eagle (ONE), an ongoing U.S. and Canadian military operation begun several days after 9/11/2001 to prevent terrorist attacks in the U.S. and Canada, including by monitoring flights and intercepting any threatening aircraft.

During the period covered by these records, NEADS was a unit of the Air National Guard (ANG) located in Rome, New York. NEADS reported to First Air Force at Tyndall AFB, Florida; to Air Combat Command (ACC); and to North American Aerospace Defense Command (NORAD) headquarters in Colorado Springs, Colorado. NEADS was responsible for monitoring and protecting the air space in the northeastern quadrant of the United States, including protection against airplane hijackings and aerial attack. NEADS operated the Sector Operations Control Center (SOCC) in Rome, NY, in which NEADS staff monitored radar and other information about the air space and were able to contact ANG units to intercept any airplanes deemed a threat. NEADS played a crucial role in the U.S.

government's response to the terrorist attacks on September 11, 2001, and an important role in ONE in the following months.

In 2005, the Southeast Air Defense Sector was merged into NEADS, which then had responsibility for air defense for the eastern half of the United States. NEADS was renamed the Eastern Air Defense Sector (EADS) in 2009.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	1	1	0

GAO Approval

Outline of Records Schedule Items for DAA-AFU-2017-0004

Sequence Number	
1	NEADS 9/11/2001 records of historical value Disposition Authority Number: DAA-AFU-2017-0004-0001
2	NEADS 9/11/2001 records lacking in historical value Disposition Authority Number: DAA-AFU-2017-0004-0002

Records Schedule Items

Sequence Number	
1	<p data-bbox="358 406 974 436">NEADS 9/11/2001 records of historical value</p> <p data-bbox="358 459 1136 489">Disposition Authority Number DAA-AFU-2017-0004-0001</p> <p data-bbox="358 512 1507 1330">Records in the Northeast Air Defense Sector (NEADS) 9/11/2001 collection relating to significant NEADS operations, procedures, and policies in regard to the terrorist attacks against the United States on September 11, 2001, and the aftermath of the attacks, including under Operation Noble Eagle (ONE). Most of the records date from September 2001 through December 2001. Textual records exist in both paper and electronic format and in such record types as email messages, power point briefings, reports, and correspondence. Records include: ** Power point briefings including twice-daily Battle Staff Coordinator (BSC) briefings during ONE in the several months after 9/11/2001. The BSC briefings were given at shift changes in the NEADS Sector Operations Control Center (SOCC) to summarize the activities of the shift just ending. ** Daily reports and other information regarding domestic air defense in the several months after the 9/11/2001 terrorist attacks, ** Sortie counts under Operation Noble Eagle (ONE), ** Intelligence updates for several months after 9/11/2001, ** Records relating to anti-hijacking exercises conducted before 9/11/2001, ** Transcript of 9/11/2001 NEADS voice recordings, ** Timeline of 9/11/2001 events, ** Communications between NEADS and the National Commission on Terrorist Attacks Upon the United States ("9/11 Commission"), and ** Inventories of the records, including an inventory of copies sent to the 9/11 Commission. Also included are audiovisual records, such as Digital Audio Tapes (DATs) recording the voices (conversations) of NEADS staff in the SOCC on roughly September 3 – 12, 2001, including the conversations on September 11 relating to the events of that day.</p> <p data-bbox="358 1347 922 1376">Final Disposition Permanent</p> <p data-bbox="358 1400 852 1430">Item Status Active</p> <p data-bbox="358 1453 820 1483">Is this item media neutral? Yes</p> <p data-bbox="358 1506 820 1627">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="358 1651 803 1734">Do any of the records covered by this item exist as structured electronic data? No</p> <p data-bbox="358 1768 665 1798">Disposition Instruction</p> <p data-bbox="358 1821 1396 1934">Transfer to the National Archives for Accessioning Transfer paper and electronic textual records when the latest records are 15 years old, after declassification review. Transfer audiovisual</p>

records within one year after approval of this schedule, and, prior to transfer, Air Force will perform declassification review to the extent feasible.

Additional Information

First year of records accumulation 1999

End year of records accumulation 2004

What will be the date span of the initial transfer of records to the National Archives? From 1999 To 2004

How frequently will your agency transfer these records to the National Archives? Unknown
This will be a one-time transfer of the records.

	Estimated Current Volume	Annual Accumulation
Electronic/Digital	2 GB	
Paper	4 Cubic feet	
Microform		
Hardcopy or Analog Special Media		

2

NEADS 9/11/2001 records lacking in historical value

Disposition Authority Number DAA-AFU-2017-0004-0002

Records in the NEADS 9/11/2001 collection that lack historical value. Paper textual records, dating from December 2001 and later, relate to deployment of military personnel and scheduling of flight operations for NEADS. Included are personnel status records, Time Phased Force Deployment Data (TPFDD), and printouts of air tasking orders. Specific folders include: ** "Personnel Sitreps", covering January 2002 and December 2001, ** "NEADS Personnel Status Report", covering Dec. 2001, ** "NEADS Personnel Status Folder #2 (Classified)", covering January - April 2002, ** "Personnel Sitrep (Classified), TPFDD April 2002", covering January - April 2002, ** "Force List/Movement Requirements Working Papers (TPFDD) #1 (Classified), TPFDD May 2002", covering May 2002, ** "Force List/Movement Requirements Working Papers (TPFDD) #2, TPFDD April 2002", covering April 2002, and ** "ATOs 2002-2004". Also included are electronic textual records and audiovisual records that are unreadable, duplicates, or pertaining to non-significant matters unrelated to 9/11/2001 and its aftermath. Included are backup tapes for

an undetermined system and RADES 84 compact disks containing radar tracking screens from roughly August – December 2001.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes

Do any of the records covered by this item exist as structured electronic data? No

Disposition Instruction

Retention Period Destroy upon approval of this schedule.

Additional Information

GAO Approval Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
12/31/2016	Certify	Tommy Lee	Air Force Records Officer	AFU 0341 0461 0342 - AFU 0341 0461 0342
12/20/2017	Submit for Concurrence	Richard Noble	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
01/02/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
01/02/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
01/03/2018	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist