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| REQUEST FOR RECORDS DISPOSITION AUTHORITY (See instruction on reverse) | | LEAVE BLANK (NARA use only) | |
| TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001 | | JOB NUMBER NI-AU-03-10 | DATE RECEIVED 2-3-2003 |
| 1 FROM (Agency or establishment) U S ARMY | | NOTIFICATION TO AGENCY | |
| 2 MAJOR SUBDIVISION U S TOTAL ARMY PERSONNEL COMMAND | | In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| 3 MINOR SUBDIVISION RECORDS MANAGEMENT PROGRAM DIVISION | | DATE 30 Jun 12 | ARCHIVIST OF THE UNITED STATES <i>[Signature]</i> |
| 4 NAME OF PERSON WITH WHOM TO CONFER Brenda S Bowen | 5 TELEPHONE (703) 806-4391 | | |

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ pages(s) are not now needed for the business of the agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provision of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required, is attached; or has been requested

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| DATE 17 Jan 03 | SIGNATURE OF AGENCY REPRESENTATIVE <i>[Signature]</i> HOWARD N GREENHALGH | TITLE DIRECTOR, RECORDS MANAGEMENT DIVISION |
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| 7 ITEM NO | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
|-----------------|--|---|--|
| | <p>RESEARCH AND EXPERIMENTAL CASE FILES</p> <p>BACKGROUND These records consist of individual personnel pre-test physical examination records and test records of performance and biomedical parameters measured during and after test exposure. Subjects were volunteers (military members, Federal civilian employees and state prisoners) who participated in Army tests of potential chemical agents and/or antidotes from the early 1950's until the program ended in 1975. Individual research/test/medical documents are also contained in an individual's health record. Records may exist in paper, microfiche, historical 16mm film and audio visual tapes. The records are protected by PA System Notice A0040-31bDASG. The governing directive is AR 40-31 - Armed Forces Institute of Pathology and Armed Forces Histopathology Centers, 4 Jun 93.</p> <p>Sixty-eight cubic feet of these records have already been retired to WNRC and St Louis from various locations.</p> <p>W 338910686 22 CF ASQNE-HD-AS, Frederick, MD 1979 W 338990223 3 CF MCMR-UV-R, Aberdeen, 1957-77 W 338990222 2 CF MCMR-UV-R, Aberdeen, 1955-78 W 338990224 13 CF MCMR-UV-R, Aberdeen, 1955-80 X 33857B3157 25 CF Medres Lab, Ft Knox, KY, 1953 W 338790186 1 CF HQ Tripler Army Medical Center, 1970-73 W 338800216 2 CF TSARCOM St Louis, MO, 1975</p> | | <p>Appraised as permanent under NI-AU-85-72 <i>[Signature]</i></p> |

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| REQUEST FOR RECORDS DISPOSITION AUTHORITY - CONTINUATION | JOB NUMBER | PAGE 2 OF |
|---|------------|--------------|

| 7 ITEM NO | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
|-----------------|---|--|---------------------------------------|
| 1 | <p>The proposed disposition instructions apply to records in all media and format</p> <p>FN 40-31b</p> <p>Title Research and experimental case files Authority Privacy Act A0040-31bDASG Description Information relating to experimental and research work in progress or completed on volunteers who participated in Army tests of potential chemical agents and/or antidotes. Included are individual pre-test physical examination records and test records of performance and biomedical parameters measured during and after test exposure Disposition PERMANENT Code TEP Event is 3 years after completion of project. Keep in CFA until event occurs and then until no longer needed for conducting business and then retire to RHA. The RHA will transfer the record to National Archives' custody 10 years after the event</p> | | |
| 2 | <p>The electronic version of all records on this schedule that are created by electronic mail or word processing applications will be deleted when the file copy is generated or when no longer needed for reference or updating, whichever is later</p> | | |

10/01/04 Changes Per Ro's instructions. See attached email dated 9/28/2004

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|-----------|---|----------------------------------|---------------------------------|
| 1 | <p>FN 40-31b</p> <p>Title Research and Experimental Case files</p> <p>Authority N1-AU-03-10.</p> <p>Privacy Act A0040-31bDASG</p> <p>Description Information relating to follow-up studies on human volunteer subjects who participated in chemical agent studies (LSD) in the 1950s Included are physical examinations, brief medical and family histories, treatment histories, interviews, questionnaires, time and attendance reports, daily calendars of events, and assignment sheets Also included are related research studies conducted by institutions and companies under contract with Army</p> <p>Disposition PERMANENT Code TEP Transfer to NARA upon approval of this schedule</p> | | |
| 2 | <p>FN 40-31b</p> <p>Title Research and Experimental Case files</p> <p>Authority N1-AU-03-10</p> <p>Privacy Act A0040-31Bdasg</p> <p>Description Information relating to Research and Experimental Case files relating to medical experiments and research work completed on various species (humans, animals, and insects) in the field of pathology and related subjects Included are research plans, studies, reports, copies of professional papers, and unidentified slides and negatives</p> <p>Disposition TEMPORARY Destroy upon approval of this schedule</p> | | |

WITHDRAWN

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~~FN 40-31b1~~

Title Research and Experimental Case files

Authority N1-AU-03-10

Privacy Act A0040-31Bdasg

Description Information relating Research and Experimental Case files that **require** a signed consent form The files include information relating to medical experiments and research work in progress or completed on various species (humans, animals, and insects) in the field of pathology and related subjects The files may include information on human volunteer subjects such as personal data, pre and post-test physical exams, performance tests, and treatment histories They may also contain research plans, contracts, reports, and other related materials

Disposition TEMPORARY Code TE75 Event is completion of research or project discontinued Keep in CFA until event occurs then retire the records to the Records Holding Area RHA/AEA The RHA/AEA will destroy the record 7 years after the event

WITHDRAWN

4

FN 40-31b2

Title Research and Experimental Case files

Authority TDB

Privacy Act A0040-31Bdasg

Description Information relating to Research and Experimental Case files that **do not require** a signed consent form or consent is not applicable The files include information relating to medical experiments and research work in progress or completed on various species (humans, animals, and insects) in the field of pathology and related subjects The files may include information on human volunteer subjects such as personal data, post and pre-test physical exams, performance test reports, and treatment histories They may also contain research plans,

~~contracts, reports, and other related materials~~

Disposition TEMPORARY Code TE10 Event is completion of research or project discontinued Keep in CFA until event occurs, then retire the records to the Records Holding Area RHA/AEA The RHA/AEA will destroy the record 10 years after the event

WITHDRAWN

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The electronic version of all records on this schedule that are created by electronic mail or word processing applications will be deleted when the file copy is generated or when no longer needed for reference or updating, whichever is later