

SECTION A: GENERAL BACKGROUND INFORMATION (GRS 6.1, item 010)
This section captures general information about the agency and the scope of Capstone implementation. This includes: 1) name of the agency to which this form applies; 2) applicable record group number; 3) selection of which GRS 6.1 items the agency is proposing to use; 4) information on implementation scope, such as whether agency-wide or limited to a specific component/office, as well as information on legacy email.

NOTE: One form is required for each unique implementation plan (for example, if implementation is limited to two program offices, but each program office differs in their implementation, one form for each required) and/or per record group (RG) included (for example, a department implementing Capstone on behalf of their components which have separate record group numbers would need to submit one form per component/record group). This ensures that all position are appropriately identified and documented. As a general rule, each record group will require a separate form.
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THIS SECTION FOR NARA USE ONLY	
Job Number	GRS-6-1-0038-2022-0001
Received Date	April 15, 2022
Approval Date	October 13, 2022 Laurence Brewer, Chief Records Officer, NARA

BELOW TO BE COMPLETED BY SUBMITTING AGENCY	
Name of Agency	Department of the Navy, Chief of Naval Operations (CNO, OPNAV)

Record Group Number	0038
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Is there a classified version of this schedule? (select from drop-down menu)	No
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Is this form superseding a previous submission? (select from drop-down menu)	Yes
If so, input job number (GRS 6.1:XXXX-)	GRS -6-1-0038-2021-0001

GRS Items Proposed for Use (select from drop-down menu)	010 only
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Additional Scope Comments. If an agency did not check “all” under the “GRS 6.1 item(s) proposed for use” section, please summarize how other email is to be managed. If applicable, please include in this section all other RGs for which your agency is submitting a separate form (for example, “The department will also be submitting forms for the following additional components: [list of components, with their record group number].”	
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Cutoff Instruction (select from drop-down menu)	Cutoff at the end of the employee tenure
Transfer Instruction (select from drop-down menu) NOTE: All transfer instructions are based on the selected cutoff. For each, the option for transferring email after declassification review (for classifield email) is included; for example, an agency that wishes to transfer their unclassified email at 15 years, but their classifield email at 25 years (after declassification review), would select "15 yrs or after declass review."	15 yrs or after declass review
Legacy Email Scope. Agencies using this GRS are expected to apply the items being used to all legacy (existing) email. Please provide any general information on legacy email below (for example, "no legacy email exists for this agency, as traditional records management with a print-and-file policy was enforced prior to Capstone adoption" or "agency will be including legacy email for all items being used, dating back to approximately 2010.")	Traditional records management with a print-and-file policy was enforced prior to Capstone adoption.
Do any of the Capstone officials proposed on this list have email accounts on security classified networks or systems? (select from drop-down menu)	Yes
Do any of the Capstone officials proposed on this list have secondary or alias accounts, regardless of classification? (select from drop-down menu)	Yes
URL to Agency Organization Chart	Organization chart attached

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Agency Contact Information	
Name of Person to Contact with form questions	
Maurice King	
Phone	
703-693-9930	
Email	
maurice.a.king2.civ@us.navy.mil	

Agency Records Officer	
Name of Agency Records Officer	
Maurice King	
Phone	
703-693-9930	
Email	
maurice.a.king2.civ@us.navy.mil	
By checking this box, you certify that you are submitting this form as the Agency Records Officer	
<input checked="" type="checkbox"/> Certification	

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THIS SHEET AUTO-POPULATES. DO NOT INPUT DATA.

	Total Positions	Total Accounts
Category 1	1	2
Category 2	1	2
Category 3	10	19
Category 4	3	6
Category 5	3	5
Category 6	43	85
Category 7	0	0
Category 8	22	43
Category 9	0	0
Category 10	0	0
TOTALS	83	162

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Form NA-1005

Verification for the Use of GRS 6.1, Email Managed Under a Capstone Approach

SECTION B: List of Capstone Accounts (GRS 6.1, item 010)

This section captures a listing of all positions or roles that fit into the definitions provided in item 010 of GRS 6.1. This section is broken down to correspond to the ten categories provided under item 010.

Some agencies may not have any positions for certain categories. Please explain why, under each applicable category. (For example, “We do not have any regional administrators” or “These positions are included under another submission” or “all the positions in this category are already covered in other categories.”) Please refer to GRS 6.1, the FAQs about GRS 6.1, and the definitions provided within each category of this Form for additional information on which positions must be included in a Capstone approach.

This section is required even in instances where only the temporary items (011 and/or 012) are being used, in which case it acts as an exception list. NOTE: the list should be of positions or roles (for example, “Secretary”), not specific individual names or email addresses (for example, “John Smith” or “John.Smith@agency.gov”). Agencies may summarize or condense specific levels of management, rather than repeat positions. For example, an agency may input one entry for “All Under-Secretaries” with a position total that represents the number of said positions, rather than listing out each specific Under-Secretary.

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Each category (1 through 10) as defined by GRS 6.1, item 010, has its own tab within this workbook. Each tab has three parts: Part (a) for ACTIVE positions -- those positions that are to remain as permanent; Part (b) LEGACY EMAIL ONLY positions -- those positions which are no longer permanent from a certain point forward (either because they have been eliminated from the organization, or the duties changed making the position inappropriate for inclusion as permanent in any category), but still have permanent legacy email; and Part (c) for REMOVED positions -- those positions previously approved as permanent, but are now are proposed as temporary for both day-forward and legacy email.

(a) ACTIVE PERMANENT POSITIONS, DAY-FORWARD AND LEGACY. List ALL those positions that: 1) have not changed since any previously approved submission; 2) are new to this category, either because the position is new to the agency, the position has been reappraised as having permanent email, or this is the agency's first submission; 3) have been changed in regard to position title, number of accounts, and/or number of positions; or 4) are being moved from another permanent category to this one. This section will include all roles and positions that have permanent email, both day-forward and legacy. The "number of positions" will usually be "1," but may be higher for those positions being condensed / rolled-up, such as "all Under Secretaries," or "all Board Members." The "number of accounts" is a total of all email accounts for the corresponding "number of positions" -- for example, if there are 2 Under-Secretary positions listed, and each has 3 assigned email accounts, the total accounts for this field would be 6.

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NOTATING THE CHANGES: The "Summary of Changes from previous submission" field includes a drop-down list of possible changes that may have occurred since the previous submission. This field helps facilitate review of the new submission by NARA. Please select the scenario that is most appropriate. Most positions being carried forward from a previously approved form can likely be noted as "No change." Other changes may include: number of positions increased / decreased (generally for those position titles that are rolled-up); number of accounts increased / decreased; the title of the position has changed; the position is new to the agency (and thus was not on previously approved forms); the position has been moved from one category to another (for example, a position was approved as Category 2 in a previous submission, but was deemed more appropriate for a different category; or the position has been reappraised as permanent (was not deemed appropriate for inclusion on previously approved forms, but now is). If none of the options apply, please select "other" and be prepared to discuss the changes with your NARA Appraisal Archivist during review of the form.

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA. Select the most appropriate option from the drop-down list for "Summary of Changes from previous submission." Notate the calendar year that that permanent email for the related position has ceased. This section documents -- in these cases -- that even though the position has been eliminated from the active permanent list, there will still exist legacy permanent email to be managed.

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(c) REMOVED POSITIONS – CHANGE FROM PERMANENT TO TEMPORARY. This list is for those positions that were approved as permanent on a previous form, but are now proposed as temporary. These are positions now deemed inappropriate for inclusion in any category under GRS 6.1, item 010. In these cases all email, both day-forward and any existing legacy email, may be managed as temporary upon approval of this form.

TOTALS. The second tab of this workbook auto-totals the number of all permanent positions and accounts for each of the 10 category tabs. These numbers must be input into ERA to document the total number of positions and accounts proposed with permanent email for the agency.

INACTIVE – ALL ITEMS SUPERSEDED

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Category 1) The head of the agency, such as Secretary, Commissioner, Administrator, Chairman or equivalent. The very top executive of the agency. For cabinet level agencies, this is typically a Secretary. For independent agencies, and components within cabinet level agencies, this may be a Commissioner, Administrator, Director, or a specialized title (such as “Archivist of the United States”). For other agencies, including Commissions and Boards, this may be a Chairman, Executive Director, a group of Commissioners, Council Members, Board Members, or the equivalent. Most agencies will have one position for this category (although the one position may have multiple email accounts); some agencies, such as Commissions and Boards, may have multiple positions in the category. *If no positions are identified, please briefly explain why (for example, “Not applicable; no positions in this category exist.”)

NOTE: To add additional rows to any section below, click the "Add Row" button to the right; you will be prompted to input the row number where you would like row(s) to be added BELOW the selected row. You will then be prompted to input the number of additional rows you would like added.

Add Row

(a) ACTIVE PERMANENT POSITIONS, DAY-FORWARD AND LEGACY. List ALL those positions that: 1) have not changed since any previously approved submission; 2) are new to this category, either because the position is new to the agency, the position has been reappraised as having permanent email, or this is the agency's first submission; 3) have been changed in regard to position title, number of accounts, and/or number of positions; or 4) are being moved from another permanent category to this one. This section will include all roles and positions that have permanent email, both day-forward and legacy.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Chief of Naval Operations (CNO)	1	2	No change.
TOTALS:	1	2	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email
TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	1	2		

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.

POSITION TITLE / ROLE

INACTIVE – ALL ITEMS SUPERSEDED

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Category 2) Principal assistants to the head of the agency (second tier of management), such as Under Secretaries, Assistant Secretaries, Assistant Commissioners, and/or their equivalents; this includes officers of the Armed Forces serving in comparable position(s). Generally the second-tier of management within an agency, this may include Under Secretaries, Assistant Secretaries, Assistant Commissioners, Vice Chairmen, etc. Some agencies may use other terminology, such as “Associate.” The number of positions at this level will vary greatly agency to agency. Some may only have one, such as an Assistant Commissioner, while others may have multiple, such as numerous Assistant Secretaries each with oversight of a specific program, bureau, or line of business within the agency. *If no positions are identified, please briefly explain why (for example, “Not applicable; no positions in this category exist.”)

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Vice Chief of Naval Operations	1	2	No change.
TOTALS:	1	2	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email
TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	1	2		

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.

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Category 3) Deputies of all positions in categories 1 and 2, and/or their equivalent(s). Most of the first- and second-tier executives covered in the first two categories have corresponding deputy position(s) that assist in the daily operations of the agency. This includes Deputy Secretaries, Deputy Commissioners, Deputy Assistant Commissioners, etc. The number of deputy positions will also vary greatly from agency to agency. *If no positions are identified, please briefly explain why (for example, “Not applicable, no positions in this category exist.”)

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Director, Naval Nuclear Propulsion (DNNP), N00N	1	2	No change.
President, Board of Inspection and Survey, N09P	1	2	No change.
Director, Navy Staff (DNS)	1	2	No change.
Deputy CNO for Manpower, Personnel, Training & Education, N1	1	2	No change.
Deputy CNO for Information Warfare, N2/N6	1	2	No change.
Deputy CNO for Operations, Plans, and Strategy, N3/N5	1	1	Number of accounts / positions decreased.
Deputy CNO for Fleet Readiness and Logistics, N4	1	2	No change.
Deputy CNO for Integration of Capabilities and Resources, N8	1	2	No change.
Deputy CNO for Warfighting Requirements and Capabilities, N9	1	2	Number of accounts / positions increased.
Deputy CNO for Warfighting Development, N7	1	2	No change.
TOTALS:	10	19	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email
TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	10	19		

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.

POSITION TITLE / ROLE

INACTIVE – ALL ITEMS SUPERSEDED

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Category 4) Staff assistants to all positions in categories 1 and 2, such as special assistants, confidential assistants, military assistants, and/or aides. For those senior officials in categories 1 and 2, important work is often carried out by special assistants, confidential assistants, military assistants, aides, executive assistants, etc. They may send email on behalf of senior officials and/or their email account contains email closely related to the responsibilities and actions of the senior officials they support. For example, a “special assistant” to the Secretary of Defense, or a “Counselor” to Secretary of Health and Human Services would fall into this category. *If no positions are identified, please briefly explain why (for example, “Not applicable, no positions in this category exist.”)

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Executive Assistant, CNO-EA	1	2	No change.
CNO Flag Secretary, N00AB	1	2	No change.
CNO Deputy Executive Assistant, CNO-DEA	1	2	No change.
TOTALS:	3	6	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

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TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	3	6		

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.

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Category 5) Principal management positions, such as Chief Operating Officer, Chief Information Officer, and Chief Financial Officer, and/or their equivalent(s). These positions tend to be those executives who have operational and management responsibilities within an agency, including Chief Operating Officer, Chief Information Officer, Chief Knowledge Officer, Chief Technology Officer, and Chief Financial Officer. These positions are often required by statute or Executive Order, such as, for example, the Chief Financial Officer Act and the Chief Technology Officer Act. For some agencies, these positions may already be covered by other categories. *If no positions are identified, please briefly explain why (for example, “Not applicable; no positions in this category exist” or "Not applicable; All positions accounted for in other categories.")

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Master Chief Petty Officer of the Navy (MCPON), N00D	1	2	No change.
Chief of Information (N09C)	1	2	No change.
Chief Information Officer (CIO) for Navy's Manpower, Personnel, Training and Education (MPTE) Enterprise, N16	1	1	No change.
TOTALS:	3	5	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email
TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	3	5		

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POSITION TITLE / ROLE

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Category 6) Directors of significant program offices, and/or their equivalent(s). Those Directors (or equivalents, such as Executive Directors, Managers, Directorates, or Chiefs) that oversee and manage major program offices, bureaus, or lines of business that support the agency mission. For example, many agencies will have a Director that oversees Congressional and Legislative affairs, or a Director that oversees one specific mission-related program office. For some agencies, these positions may already be covered by other categories. *If no positions are identified, please briefly explain why (for example, “Not applicable; no positions in this category exist.”)

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Commander, Safety Center N09F	1	2	No change.
Director, Navy Analytics Office (N09X)	1	2	No change.
Director, Medical Resources, Plans & Policy, N0931	1	2	No change.
Chief of Navy Reserve/CDR Navy Reserve Force, N095	1	2	No change.
Chief of Chaplains, N097	1	2	No change.
Director, Military Personnel Plans & Policy, N13	1	2	No change.
Director, Enterprise Support Org (ES)	1	2	No change.
Director, 21st Century Sailor Office, N17	1	2	No change.
Financial Manager and Chief Resources Officer (CRO) for Manpower, Personnel, Training and Education (MPT&E)	1	2	No change.
Director, Total Force Manpower, Training & Education Requirements, N13M	1	2	Number of accounts / positions increased.
Director, Enterprise Networks & Cybersecurity / DDCIO(N), N2N6D	1	2	No change.
Director, Strategic Initiatives Division, N2N6T	1	2	No change.
Director, Warfighting Integration, N2N6I	1	2	No change.
Director, Tactical Networks & C3 Division, N2N6N	1	2	No change.
Director, Digital Warfare Office, N2N6DW	1	2	No change.
Director, Oceanography & Navigation Division, N2N6E	1	2	No change.
Director, Operations & Plans, N3	1	2	No change.
Director, Strategy, Policy, and Integration N5	1	2	Number of accounts / positions increased.
Director, Installations and Logistics Integration, N4Z	1	1	Number of accounts / positions decreased.
Director, Logistics, N4L	1	2	No change.
Director, Medical Systems Integration & Combat Survivability Division, N44	1	2	No change.
Director, Installations, N4I	1	2	No change.
Director, Programming, N80	1	2	No change.
Director, Assessments, N81	1	2	No change.
Director, Campaign Analysis & Modeling, N81C	1	2	No change.
Director, Fleet Readiness, N83	1	2	No change.
Director, Expeditionary Warfare, N95	1	2	No change.
Director, Surface Warfare, N96	1	2	No change.
Director, Undersea Warfare, N97	1	2	No change.
Director, Air Warfare, N98	1	2	No change.
Director, Warfare Integration, N9I	1	2	No change.
Director, Digital Warfare Office, N9DW	1	2	Number of accounts / positions increased.
Director, Innovation, Tech. Requirements & T&E, N94	1	2	No change.
Director, Special Programs, (N9SP)	1	2	No change.
Director, Warfighter Development, N71	1	2	No change.
Director, Warfare Development, N72	1	2	No change.
Director, Strategic Warfighting Innovation Cell, N73	1	2	No change.
Director, Naval Integration/Strategy Panel, N74	1	2	No change.
Director, Reserve Warfare, N0959	1	2	No change.
Director, Intelligence Division, N2N6Q	1	2	No change.
Director, Crypto-Electronic & Cyber Warfighting Division, N2/N6W	1	2	No change.
TOTALS:	41	81	

Added code, not substantive title change

INACTIVE – ALL ITEMS SUPERSEDED

INACTIVE – ALL ITEMS SUPERSEDED

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.

POSITION TITLE / ROLE

INACTIVE – ALL ITEMS SUPERSEDED

Category 7) Principal regional officials, such as Regional Administrators, and/or their equivalent(s). Those agencies with a regional structure must include the accounts of principal regional officials. For most agencies with a regional presence this will be limited to Regional Administrators, or those officials who are responsible for the management and operations of specific regional areas (e.g., an agency that has 10 regions to carry out mission-critical activities would include those 10 Regional Administrators). It does not pertain to the heads of individual offices within regions, such as, but not limited to, customer service centers, processing centers, or administrative offices that conduct routine activities (e.g., passport offices, or Social Security claims processing offices). *If no positions are identified, please briefly explain why (for example, “Not applicable; no positions in this category exist” or "Agency has no regional presence with these types of positions.")

NOTE: To add additional rows to any section below, click the "Add Row" button to the right; you will be prompted to input the row number where you would like row(s) to be added BELOW the selected row. You will then be prompted to input the number of additional rows you would like added.

Add Row

(a) ACTIVE PERMANENT POSITIONS, DAY-FORWARD AND LEGACY. List ALL those positions that: 1) have not changed since any previously approved submission; 2) are new to this category, either because the position is new to the agency, the position has been reappraised as having permanent email, or this is the agency's first submission; 3) have been changed in regard to position title, number of accounts, and/or number of positions; or 4) are being moved from another permanent category to this one. This section will include all roles and positions that have permanent email, both day-forward and legacy.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Not applicable; no positions in this category exist.			No change.
TOTALS:	0	0	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email
TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	0	0		

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.

POSITION TITLE / ROLE

INACTIVE – ALL ITEMS SUPERSEDED

INACTIVE – ALL ITEMS SUPERSEDED

Category 8) Roles or positions that routinely and directly advise the above positions, including special advisers, General Counsels, Chiefs of Staff, Inspectors General, etc. Many management positions routinely provide advice and oversight to the agency in the course of daily business, and are involved in mission related policy formulation, implementation, and/or interpretation. This may include general program oversight, legal protection and oversight, and daily operations and management. For most agencies this will include General Counsels, Chiefs of Staff, Inspectors General and special advisers (such as “Policy Advisors”) within the top tiers of the agency. This does not include those that advise on purely administrative issues. For example, a Chief of Staff within a lower tier of the agency would not be included in this category. *If no positions are identified, please briefly explain why (for example, “Not applicable; no positions in this category exist.”)

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Deputy Chief of Navy Reserve, N095B	1	2	No change.
CNO Legal, Special Counsel to the CNO, N00J	1	2	No change.
Deputy Chief of Chaplains/ Chaplains of the USMC, N097B	1	2	No change.
Deputy Chief of Chaplains for Reserve Matters, N097C	1	2	No change.
Assistant Deputy Chief of Naval Operations for Manpower, Personnel, Training, and Education (ADCNO MPT&E)	1	2	No change.
Deputy Director, Naval Intelligence, DDNI	1	2	No change.
Deputy Director, Strategy & Policy, and Integration N5B	1	2	No change.
Deputy Director, Program Integration, N2N6IP	1	2	No change.
Deputy Director, Programming, N80B	1	2	No change.
Deputy Director, Assessments, N81B	1	2	No change.
Deputy Director, Fleet Readiness, N83B	1	2	No change.
Deputy Director, Air Warfare, N98B	1	2	No change.
Deputy Director, Warfare Integration, N9IB	1	2	No change.
Vice Director Navy Staff (VDNS)	1	2	No change.
Assistant Deputy CNO for Information Warfare, N2N6B	1	2	Number of accounts / positions increased.
Senior Advisor, Deputy CNO for Warfighting Development N7	1	1	Number of accounts / positions decreased.
Assistant Deputy CNO for Warfighting Development, N7	1	2	Position is new since last submission.
Assistant Deputy, Operations, Plans and Strategy, N3/NSB	1	2	No change.
Assistant Deputy, Fleet Readiness and Logistics, N4B	1	2	No change.
Assistant Deputy Chief of Naval Operations for Warfare Systems, N9B	1	2	No change.
Assistant Deputy Chief of Naval Operations, N8B	1	2	No change.
Deputy Director, Logistics, N4LB	1	2	Position is new since last submission.
TOTALS:	22	43	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email

INACTIVE – ALL ITEMS SUPERSEDED

INACTIVE – ALL ITEMS SUPERSEDED

TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	22	43		

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.
POSITION TITLE / ROLE

INACTIVE – ALL ITEMS SUPERSEDED

INACTIVE – ALL ITEMS SUPERSEDED

Category 9) Roles and positions not represented above and filled by Presidential Appointment with Senate Confirmation (PAS positions). This category is a catch all for any position that was filled by Presidential Appointment with Senate Confirmation (PAS) but not represented in any of the other categories. For most agencies the PAS positions will already be captured in categories 1 through 8, and no other PAS positions will need to be identified. *If no positions are identified, please briefly explain why (for example, “Not applicable; all PAS positions accounted for in other categories.”)

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Not applicable; no positions in this category exist.			No change.
TOTALS:	0	0	

(b) PERMANENT LEGACY EMAIL ONLY. List ALL positions that: 1) no longer exist (have been eliminated from the agency) but still have legacy email that needs to be managed as permanent; or 2) are being reappraised as temporary for a certain date forward, but legacy email will remain permanent. This section will include all roles and positions that have legacy permanent email to manage, but no permanent email from a certain date forward. Roles / positions in this section may be dropped from this form after the final transfer of all permanent legacy email to NARA.

POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email
TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	0	0		

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POSITION TITLE / ROLE

INACTIVE – ALL ITEMS SUPERSEDED

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CATEGORY 10) Additional roles and positions that predominantly create permanent records related to mission critical functions or policy decisions and/or are of historical significance. These represent roles, positions, and/or programs within the agency that predominantly create permanent records related to mission critical functions or policy decisions and/or are of historical significance. This category is for those roles and positions that are appropriate for permanent retention, but not captured in the other nine (9) categories.

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)
Not applicable; no positions in this category exist.			No change.
TOTALS:	0	0	

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POSITION TITLE / ROLE	Number of Positions	Number of Accounts	Summary of Changes from previous submission (select from drop-down menu)	Calendar year position eliminated from agency or no longer creates permanent email
TOTALS:	0	0		
TOTALS OF SECTIONS A and B (all Position titles / Roles with permanent email)	0	0		

(c) REMOVED POSITIONS - CHANGE FROM PERMANENT TO TEMPORARY. List ALL positions that: 1) have been REMOVED from this category due to being reappraised as temporary since the last NA Form 1005 submission, so that both day-forward and legacy email will be temporary. This section will include all roles and positions that were on previously approved forms as permanent, but have NO permanent email, whether day-forward or legacy. These positions should only be listed on the submission that provides notification of the change from permanent to temporary; they may be removed from future submissions.

POSITION TITLE / ROLE

INACTIVE – ALL ITEMS SUPERSEDED