

## Request for Records Disposition Authority

Records Schedule Number      DAA-0138-2018-0006  
Schedule Status                Approved  
  
Agency or Establishment:      Federal Energy Regulatory Commission  
Record Group / Scheduling Group   Records of the Federal Energy Regulatory Commission  
Records Schedule applies to      Agency-wide  
Schedule Subject                Regional Transmission Organization Filings (RT Docket Series)

Internal agency concurrences will be provided      No

Background Information      In Orders Nos. 888/889 the Federal Energy Regulatory Commission suggested the concept of an Independent System Operator as one way for existing tight power pools to satisfy the requirement of providing non-discriminatory access to transmission. As a result, Order No. 2000, (NOPR Rulemaking RM99-2) issued December 20, 1999, encouraged the voluntary formation of Regional Transmission Organizations (RTOs) throughout North America (including Canada) to monitor, control and coordinate the interstate electricity transmission grid.

As Codified in 18 C.F.R. 35.34 (c)(2), (g) (203), Order No. 2000 requires utilities to report to the Federal Energy Regulatory Commission the status of pertinent RTO formation and development; the obstacles that have prevented the filing of an appropriate RTO proposal; and any of the public utility's plans and timetable for future efforts directed toward RTO formation and participation.

### Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

### GAO Approval

## Outline of Records Schedule Items for DAA-0138-2018-0006

Sequence Number	
1	Regional Transmission Organization Filings (RT Dockets)
1.1	Regional Transmission Organization Filings ( RT Docket Series, electric) Disposition Authority Number: DAA-0138-2018-0006-0001

Records Schedule Items

Sequence Number

1 Regional Transmission Organization Filings (RT Dockets)  
Independent System Operators grew out of Orders Nos. 888/889 where the Commission suggested the concept of an Independent System Operator as one way for existing tight power pools to satisfy the requirement of providing non-discriminatory access to transmission. Subsequently, in Order No. 2000, the Commission encouraged the voluntary formation of Regional Transmission Organizations to administer the transmission grid on a regional basis throughout North America (including Canada). Order No. 2000 delineated twelve characteristics and functions that an entity must satisfy in order to become a Regional Transmission Organization.

1.1 Regional Transmission Organization Filings ( RT Docket Series, electric)

Disposition Authority Number DAA-0138-2018-0006-0001

Files include compliance filings submitted by electric utilities, orders, notices, comments, protest, reports, transcripts, electric industries filing, and general correspondence related to electric rate filings.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

Manual Citation	Manual Title
Regional Transmission Organization Filings	FERC Records Schedule III, part 1, Subpart B, Item 9

Disposition Instruction

Retention Period Destroy immediately after 25 years

Additional Information

GAO Approval Not Required

## Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

## Signatory Information

Date	Action	By	Title	Organization
03/16/2018	Certify	James Brown	Management Analyst	Office of the Secretary - Public Reference Room
08/01/2018	Submit for Concurrence	Steven Rhodes	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
08/07/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
08/07/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
08/08/2018	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist