

Request for Records Disposition Authority

Records Schedule Number **DAA-0434-2015-0009**

Schedule Status **Approved**

Agency or Establishment **National Nuclear Security Administration**

Record Group / Scheduling Group **General Records of the Department of Energy**

Records Schedule applies to **Major Subdivision**

Major Subdivision **Naval Nuclear Propulsion Program (NNPP)**

Schedule Subject **Records Relating to Environmental Safety and Health**

Internal agency concurrences will be provided **No**

Background Information

Environmental Safety and Health records in this schedule pertain to the methods, plans, and procedures used to protect humans and the environment from the effects of materials associated with constructing, servicing, and decommissioning nuclear propulsion plants. It pertains to records generated by environmental monitoring, radiological controls, radiological health, nuclear accountability, and waste management. Records include, but are not limited to, checklists, logbooks, plans, procedures, surveys documents, and various types of inventories. Records in this schedule are important because they serve as evidence in litigation involving human health and environmental impact; demonstrate Program compliance with state, local, and federal requirements; and provide essential information for protecting the health of employees and the communities where NNPP sites are located.

Of particular importance are records that fall under the oversight of radiological controls, a function regulated by federal requirements and international standards. Retention periods for many of these records have been extended beyond retentions already approved in existing general records schedules because the records have unanticipated legal and other business value to the NNPP.

Item Count

| Number of Total Disposition Items | Number of Permanent Disposition Items | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 74 | 8 | 66 | 0 |

GAO Approval

Outline of Records Schedule Items for DAA-0434-2015-0009

| Sequence Number | |
|-----------------|--|
| 1 | Monitoring Equipment Checks Disposition Authority Number: DAA-0434-2015-0009-0001 |
| 2 | Nuclear Materials Inventories and Transactions Disposition Authority Number: DAA-0434-2015-0009-0002 |
| 3 | Personnel Authorized to Receive Radiation Exposure Disposition Authority Number: DAA-0434-2015-0009-0003 |
| 4 | Personnel Protective Equipment (PPE) Disposition Authority Number: DAA-0434-2015-0009-0004 |
| 5 | Radiological Controls Knowledge Retention Examinations Disposition Authority Number: DAA-0434-2015-0009-0005 |
| 6 | Safety Checks Disposition Authority Number: DAA-0434-2015-0009-0006 |
| 7 | Calibration of Radiation Survey Instruments Disposition Authority Number: DAA-0434-2015-0009-0007 |
| 8 | Dosimetry Processing Disposition Authority Number: DAA-0434-2015-0009-0008 |
| 9 | Hazardous Materials Documentation and Control Disposition Authority Number: DAA-0434-2015-0009-0009 |
| 10 | Leak Tests Disposition Authority Number: DAA-0434-2015-0009-0010 |
| 11 | Lockout Tagout (LOTO) Program Disposition Authority Number: DAA-0434-2015-0009-0011 |
| 12 | Man-Rem Goals Disposition Authority Number: DAA-0434-2015-0009-0012 |
| 13 | Nuclear Materials Reports Disposition Authority Number: DAA-0434-2015-0009-0013 |
| 14 | Pocket Dosimeter Readings Disposition Authority Number: DAA-0434-2015-0009-0014 |
| 15 | Potentially Uncontrolled Radioactive Materials or Radioactivity Disposition Authority Number: DAA-0434-2015-0009-0015 |
| 16 | Radioactive Material (RAM) Inactive Logs Disposition Authority Number: DAA-0434-2015-0009-0016 |
| 17 | Radiographic Equipment Inspection and Maintenance Disposition Authority Number: DAA-0434-2015-0009-0017 |

| | |
|----|---|
| 18 | Radiological Alarm Systems, Interlocks, and Equipment Control and Indication Testing Disposition Authority Number: DAA-0434-2015-0009-0018 |
| 19 | Radiological Controls Certification Examinations Disposition Authority Number: DAA-0434-2015-0009-0019 |
| 20 | Radiological Controls Program Auditing Disposition Authority Number: DAA-0434-2015-0009-0020 |
| 21 | Radiological Controls Program Auditor Training Disposition Authority Number: DAA-0434-2015-0009-0021 |
| 22 | Radiological Work Controls Disposition Authority Number: DAA-0434-2015-0009-0022 |
| 23 | Visitor Training Disposition Authority Number: DAA-0434-2015-0009-0023 |
| 24 | Work Permits Disposition Authority Number: DAA-0434-2015-0009-0024 |
| 25 | Drinking and Waste Water Disposition Authority Number: DAA-0434-2015-0009-0025 |
| 26 | Pollutant and Waste Stream Identification Disposition Authority Number: DAA-0434-2015-0009-0026 |
| 27 | Energy Conservation Disposition Authority Number: DAA-0434-2015-0009-0027 |
| 28 | Environmental Monitoring Equipment Checks Disposition Authority Number: DAA-0434-2015-0009-0028 |
| 29 | Environmental Monitoring Program Disposition Authority Number: DAA-0434-2015-0009-0029 |
| 30 | Exposure to Hazardous and Toxic Substances Disposition Authority Number: DAA-0434-2015-0009-0030 |
| 31 | Safety Work Permits Disposition Authority Number: DAA-0434-2015-0009-0031 |
| 32 | Space Assignment Plans for Hazardous / Radiological Buildings Disposition Authority Number: DAA-0434-2015-0009-0032 |
| 33 | Waste Management Program Disposition Authority Number: DAA-0434-2015-0009-0033 |
| 34 | Emergency Response Acknowledgement Disposition Authority Number: DAA-0434-2015-0009-0034 |
| 35 | Facilities Upgraded for Controlled Use Disposition Authority Number: DAA-0434-2015-0009-0035 |
| 36 | Radiographic Exposure Device Certifications |

| | |
|----|---|
| | Disposition Authority Number: DAA-0434-2015-0009-0036 |
| 37 | Emergency Drills and Exercises Disposition Authority Number: DAA-0434-2015-0009-0037 |
| 38 | Emergency Planning Disposition Authority Number: DAA-0434-2015-0009-0038 |
| 39 | Environmental Permits Disposition Authority Number: DAA-0434-2015-0009-0039 |
| 40 | Hazardous Waste Shipments Disposition Authority Number: DAA-0434-2015-0009-0040 |
| 41 | Nuclear Material Accountability Disposition Authority Number: DAA-0434-2015-0009-0041 |
| 42 | Prohibited / Limited Materials Disposition Authority Number: DAA-0434-2015-0009-0042 |
| 43 | Radioactive Material (RAM) Shipments Receipts Disposition Authority Number: DAA-0434-2015-0009-0043 |
| 44 | Spill Prevention Control and Countermeasures Disposition Authority Number: DAA-0434-2015-0009-0044 |
| 45 | Waste Containers Disposition Authority Number: DAA-0434-2015-0009-0045 |
| 46 | Dismantlement and Remediation Disposition Authority Number: DAA-0434-2015-0009-0046 |
| 47 | Environmental Monitoring Policy and Procedures Disposition Authority Number: DAA-0434-2015-0009-0047 |
| 48 | Hazardous Material Spills and Spill Response Disposition Authority Number: DAA-0434-2015-0009-0048 |
| 49 | Industrial Hygiene Surveys (indicating potential hazard) Disposition Authority Number: DAA-0434-2015-0009-0049 |
| 50 | Job Hazard Analyses (JHA) Disposition Authority Number: DAA-0434-2015-0009-0050 |
| 51 | Legal Files: Environmental, Health, and Safety Disposition Authority Number: DAA-0434-2015-0009-0051 |
| 52 | Material Safety Data Sheets (MSDS) Disposition Authority Number: DAA-0434-2015-0009-0052 |
| 53 | Safety Analysis Compliance Disposition Authority Number: DAA-0434-2015-0009-0053 |
| 54 | Waste Analysis and Characterization Disposition Authority Number: DAA-0434-2015-0009-0054 |
| 55 | Waste Compliance |

| | |
|----|--|
| | Disposition Authority Number: DAA-0434-2015-0009-0055 |
| 56 | Wells Disposition Authority Number: DAA-0434-2015-0009-0056 |
| 57 | Bulk Waste Released from Radiological Controls Disposition Authority Number: DAA-0434-2015-0009-0057 |
| 58 | Calibration Standards Disposition Authority Number: DAA-0434-2015-0009-0058 |
| 59 | Certification Examination Results Disposition Authority Number: DAA-0434-2015-0009-0059 |
| 60 | Dose Investigation Disposition Authority Number: DAA-0434-2015-0009-0060 |
| 61 | Potentially Disqualifying Medical Conditions Disposition Authority Number: DAA-0434-2015-0009-0061 |
| 62 | Radiation Exposure Histories Disposition Authority Number: DAA-0434-2015-0009-0062 |
| 63 | Radioactive Source Accountability Disposition Authority Number: DAA-0434-2015-0009-0063 |
| 64 | Radiological Controls Program Environmental Monitoring and Survey Records Disposition Authority Number: DAA-0434-2015-0009-0064 |
| 65 | Radiological Controls Program Reports Disposition Authority Number: DAA-0434-2015-0009-0065 |
| 66 | Utilization Logs Disposition Authority Number: DAA-0434-2015-0009-0066 |
| 67 | Archaeological Records Disposition Authority Number: DAA-0434-2015-0009-0067 |
| 68 | Environmental Monitoring Reports relating to Ground Contamination Disposition Authority Number: DAA-0434-2015-0009-0068 |
| 69 | Hazardous and Radiological Protection Policies, Procedures, and Standards Disposition Authority Number: DAA-0434-2015-0009-0069 |
| 70 | National Environmental Policy Act (NEPA) Disposition Authority Number: DAA-0434-2015-0009-0070 |
| 71 | Radioactive Material (RAM) and Waste Shipments Disposition Authority Number: DAA-0434-2015-0009-0071 |
| 72 | Radiological Controls Training Standards Disposition Authority Number: DAA-0434-2015-0009-0072 |
| 73 | Radiological Incidents Disposition Authority Number: DAA-0434-2015-0009-0073 |
| 74 | Site Closure |

Disposition Authority Number: DAA-0434-2015-0009-0074

Records Schedule Items

Sequence Number

1

Monitoring Equipment Checks

Disposition Authority Number **DAA-0434-2015-0009-0001**

Includes records of battery checks for portable monitoring equipment and response checks on survey instruments performed against known sources, per NNPP radiological controls technical manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-01-02 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When superseded or obsolete.**

Retention Period **Destroy immediately after superseded or obsolete.**

Additional Information

GAO Approval **Not Required**

2

Nuclear Materials Inventories and Transactions

Disposition Authority Number **DAA-0434-2015-0009-0002**

Inventories and transaction reports for nuclear materials, including Composition of Ending Inventory, monthly materials balance reports, and transaction journals and reports.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-02-01 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 1 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Personnel Authorized to Receive Radiation Exposure

Disposition Authority Number DAA-0434-2015-0009-0003

Title of the annual review of the number of personnel authorized to enter radiological areas to ensure the overall number is minimized, per NNPP radiological controls technical manuals.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-02-02 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 1 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Personnel Protective Equipment (PPE)

Disposition Authority Number DAA-0434-2015-0009-0004

Documents PPE issued to employees, including safety glasses and shoes.

Final Disposition Temporary

Item Status Active

3

4

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-02-03 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**

Retention Period **Destroy 1 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Radiological Controls Knowledge Retention Examinations

Disposition Authority Number **DAA-0434-2015-0009-0005**

Periodic exams given to randomly selected personnel to monitor the effectiveness of continuing education programs mandated in NNPP radiological controls technical manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-02-04 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**

Retention Period **Destroy 1 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Safety Checks

5

6

Disposition Authority Number **DAA-0434-2015-0009-0006**

Evidence of routine monitoring activities and follow-up actions undertaken to ensure a safe work environment. Records include aerial work platform inspections, fire alarm tests, fire extinguisher inspections, intercom/radio communications tests, safety observer information, and safety meeting minutes.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-02-05 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**

Retention Period **Destroy 1 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Calibration of Radiation Survey Instruments

Disposition Authority Number **DAA-0434-2015-0009-0007**

Results of calibrations of external monitoring equipment and instruments performed per NNPP radiological controls technical manuals. This item does not apply to calibration records for in vivo monitoring equipment and instruments, which is covered elsewhere in the schedule.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-01 | |

7

8

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Dosimetry Processing

Disposition Authority Number **DAA-0434-2015-0009-0008**

Routine forms compiled to document the assignment of dosimeters and calculation of initial doses according to procedures in NNPP radiological controls and radiation health protection manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-02 | |

9

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Hazardous Materials Documentation and Control

Disposition Authority Number **DAA-0434-2015-0009-0009**

Records relating to the inventory, inspection, and control of hazardous materials. Records include hazardous chemical inventory reports, ditch and lagoon system inspections, ozone depleting substance evaluations, storage area inspection logs and inventories, painting approval requests, toxic chemical release inventory reports, and certifications of waste items retained separately from shipment records.

Final Disposition **Temporary**

Item Status **Active**
 Is this item media neutral? **Yes**
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-03 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
 Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Leak Tests

Disposition Authority Number **DAA-0434-2015-0009-0010**

Raw results of smear/swipe tests to detect leakage from sealed sources where results are transferred to a survey document, as mandated by NNPP radiological controls technical manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-04 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
 Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

10

11

Lockout Tagout (LOTO) Program

Disposition Authority Number **DAA-0434-2015-0009-0011**

Semiannual reports evaluating the administration of the LOTO program.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered
by this item currently exist in
electronic format(s) other than e-
mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-05 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**

Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

12

Man-Rem Goals

Disposition Authority Number **DAA-0434-2015-0009-0012**

Reports of annual man-Rem goals for all radioactive work planned for the calendar year and submitted to Naval Reactors, per NNPP radiological controls technical manuals. "Man-" is similar to "man-hours" and Rem is a unit for measuring radiation exposure, so man-Rem is the estimated total exposure for a project.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered
by this item currently exist in
electronic format(s) other than e-
mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-06 | |

Disposition Instruction

13

Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 3 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Nuclear Materials Reports

Disposition Authority Number DAA-0434-2015-0009-0013

Inventory and inspection reports for nuclear materials, including biennial inventories, annual balance reports, and usage plans.

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-07 | |

14

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 3 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Pocket Dosimeter Readings

Disposition Authority Number DAA-0434-2015-0009-0014

Monitoring results from secondary dosimeters worn for densitometry and radiography operations, where readings are used to verify primary dosimetry results that are entered into individual exposure histories, as required by NNPP radiological controls manuals.

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes
Do any of the records covered by this item currently exist in

15

electronic format(s) other than e-mail and word processing?

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-08 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Potentially Uncontrolled Radioactive Materials or Radioactivity

Disposition Authority Number **DAA-0434-2015-0009-0015**

Records of areas and materials searched, where results are carried over to a radiological survey. Investigations of instances with positive results are documented in incident reports, which are covered elsewhere in the schedule.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-09 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

16

Radioactive Material (RAM) Inactive Logs

Disposition Authority Number **DAA-0434-2015-0009-0016**

Log sheets removed from the active inventory because the material is no longer held by the organization maintaining the log, accountability for the material is transferred to a new sheet, or the material is released from accountability.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-10 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.

Retention Period Destroy 3 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Radiographic Equipment Inspection and Maintenance

Disposition Authority Number DAA-0434-2015-0009-0017

Records pertaining to the inspection and maintenance of radiographic equipment, as mandated by NNPP radiological controls technical manuals, including radiographic exposure devices, source changers, associated equipment, transport and storage containers, and survey instruments.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-11 | |

Disposition Instruction

17

18

Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 3 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Radiological Alarm Systems, Interlocks, and Equipment Control and Indication Testing

Disposition Authority Number DAA-0434-2015-0009-0018

Results of tests of alarm systems and equipment as mandated by NNPP radiological controls technical manuals. Includes signatures that verify internal interlock tests were performed annually or prior to maintenance or repair.

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-12 | |

19

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 3 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Radiological Controls Certification Examinations

Disposition Authority Number DAA-0434-2015-0009-0019

Exams required for certification in the Radiological Controls (RadCon) Program, pursuant to training standards mandated by NNPP radiological controls manuals. Includes written examinations and answer keys, practical ability scenarios and attribute lists, approved drill scenarios and attribute lists, and oral board scenarios.

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-13 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Radiological Controls Program Auditing

Disposition Authority Number **DAA-0434-2015-0009-0020**

Records of periodic internal audits, surveillances, evaluations, and assessments of various aspects of the Radiological Controls Program, performed pursuant to NNPP radiological controls technical manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-14 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Radiological Controls Program Auditor Training

Disposition Authority Number **DAA-0434-2015-0009-0021**

20

21

Records used to verify personnel who conduct RadCon Program evaluations, surveillances, and audits are knowledgeable in the areas under review, per NNPP radiological controls technical manuals.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-15 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.

Retention Period Destroy 3 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Radiological Work Controls

Disposition Authority Number DAA-0434-2015-0009-0022

Technical work documents indicating controls and precautions needed to conduct radiological work.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-16 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.

Retention Period Destroy 3 year(s) after end of fiscal or calendar year.

22

23

Additional Information

GAO Approval **Not Required**

Visitor Training

Disposition Authority Number **DAA-0434-2015-0009-0023**

Records verifying visitors who require access to radiation areas, high radiation areas, Controlled Surface Contamination Areas (CSCAs) or Radiological Containment Areas (RCAs) were trained appropriately for the radiological conditions expected and the duties of the worker, per NNPP radiological controls technical manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-17 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**

Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

24

Work Permits

Disposition Authority Number **DAA-0434-2015-0009-0024**

Authorize work in special areas, requiring defined safety measures and/or requiring specialized skills, including confined space permits and hot work permits. Work permits involving potential exposure to hazardous or radiological materials are covered elsewhere in the schedule.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in **No**

electronic format(s) other than e-mail and word processing?

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-03-18 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 3 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Drinking and Waste Water

Disposition Authority Number **DAA-0434-2015-0009-0025**

Data, analyses, and reports concerning the routine monitoring of drinking water from systems operated at site facilities, including bacteriological analysis reports, chemical analysis reports, coliform results, and sewage data reports.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-04-01 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 10 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Pollutant and Waste Stream Identification

Disposition Authority Number **DAA-0434-2015-0009-0026**

25

26

Documentation of routine analyses of production and types of pollutants, waste, and waste by-products.

Final Disposition Temporary
 Item Status Active
 Is this item media neutral? Yes
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-04-03 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.
 Retention Period Destroy 10 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Energy Conservation

Disposition Authority Number DAA-0434-2015-0009-0027

Plans that outline how a site will be managed to reduce its energy demands, including site sustainability plans.

Final Disposition Temporary
 Item Status Active
 Is this item media neutral? Yes
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-05-01 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.

27

28

Retention Period Destroy 20 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

Environmental Monitoring Equipment Checks

Disposition Authority Number DAA-0434-2015-0009-0028

Records of checks and tests of environmental monitoring equipment to verify equipment is performing to set standards. May include criticality monitoring system checks, detector daily source check data and control charts, and testing of High-Efficiency Particulate Air (HEPA) filters.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-06-01 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.

Retention Period Destroy 80 year(s) after end of fiscal or calendar year.

Additional Information

GAO Approval Not Required

29

Environmental Monitoring Program

Disposition Authority Number DAA-0434-2015-0009-0029

Records include periodic reports to state, local, and Federal agencies; sampling data, analysis, and results; and field screening measurements and logbooks. Radiological surveys mandated by NNPP radiation controls and health manuals are covered elsewhere in this schedule.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-06-02 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 80 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

Exposure to Hazardous and Toxic Substances

Disposition Authority Number **DAA-0434-2015-0009-0030**

Records relating to routine readings of personnel and visitor exposure or potential exposure to hazardous and toxic substances. This excludes reports mandated by NNPP radiological controls and radiation health manuals which are covered elsewhere in this schedule.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 04-06-03 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy 80 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

30

31

Safety Work Permits

Disposition Authority Number **DAA-0434-2015-0009-0031**

Authorizations for work involving hazardous materials, including asbestos and beryllium.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered
by this item currently exist in
electronic format(s) other than e-
mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-06-04 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**

Retention Period **Destroy 80 year(s) after end of fiscal or calendar year.**

Additional Information

GAO Approval **Not Required**

32

Space Assignment Plans for Hazardous / Radiological Buildings

Disposition Authority Number **DAA-0434-2015-0009-0032**

Records that document how space will be allocated, occupied, and used in buildings where hazardous or radiological materials will be stored.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered
by this item currently exist in
electronic format(s) other than e-
mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-06-05 | |

Disposition Instruction

33

Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 80 year(s) after end of fiscal or calendar year.

Additional Information
GAO Approval Not Required

Waste Management Program
Disposition Authority Number DAA-0434-2015-0009-0033
Reports issued to management and/or state, local, or Federal agencies summarizing waste management activities and verifying compliance with requirements.

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-06-06 | |

34

Disposition Instruction
Cutoff Instruction Cutoff: End of fiscal or calendar year.
Retention Period Destroy 80 year(s) after end of fiscal or calendar year.

Additional Information
GAO Approval Not Required

Emergency Response Acknowledgement
Disposition Authority Number DAA-0434-2015-0009-0034
Statements signed by persons or designees assigned to emergency response positions, certifying the individuals have read and understand the procedures of the assigned position or satisfactorily performed the practical abilities associated with the position, per NNPP radiological controls technical manuals.

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-07-01 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Employee leaves the organization.**
Retention Period **Destroy 1 year(s) after employee leaves the organization.**

Additional Information

GAO Approval **Not Required**

Facilities Upgraded for Controlled Use

Disposition Authority Number **DAA-0434-2015-0009-0035**

Document the radiological status of an area upgraded for controlled use, including sealed locations and equipment requiring evaluation, per NNPP radiological controls technical manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-07-02 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Facility is released for unrestricted use.**
Retention Period **Destroy 1 year(s) after facility is released for unrestricted use.**

Additional Information

GAO Approval **Not Required**

Radiographic Exposure Device Certifications

35

36

Disposition Authority Number **DAA-0434-2015-0009-0036**

Verify each radiographic exposure device and associated equipment meet criteria specified in ANSI N432-1980, "Radiological Safety for the Design and Construction of Apparatus for Gamma Radiography," per NNPP radiological controls technical manuals.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-07-03 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Equipment is permanently removed from service.**

Retention Period **Destroy 1 year(s) after equipment is permanently removed from service.**

Additional Information

GAO Approval **Not Required**

Emergency Drills and Exercises

Disposition Authority Number **DAA-0434-2015-0009-0037**

Plans and evaluation of drills and exercises conducted for emergency response.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-01 | |

37

38

Disposition Instruction

Cutoff Instruction **Cutoff: Drill or exercise is complete.**

Retention Period **Destroy 5 year(s) after drill or exercise is complete.**

Additional Information

GAO Approval **Not Required**

Emergency Planning

Disposition Authority Number **DAA-0434-2015-0009-0038**

Plans, policies, procedures, and background documentation that are used to analyze potential threats, prevent and prepare for an emergency situation, and determine an appropriate response. May include emergency phone lists; vendor lists; delegations of authority of emergency response functions; threat files; and alarm testing, business continuity, communications, and disaster recovery plans.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-02 | |

39

Disposition Instruction

Cutoff Instruction **Cutoff: When superseded or obsolete.**

Retention Period **Destroy 5 year(s) after superseded or obsolete.**

Additional Information

GAO Approval **Not Required**

Environmental Permits

Disposition Authority Number **DAA-0434-2015-0009-0039**

Permits authorizing access or granting permission to perform environmental work at an NNPP site, including air emissions, boat operation, and RCRA. May include required documents that precede the granting of the license or warrant, as well as reports required under the permits.

Final Disposition **Temporary**

Item Status **Active**
 Is this item media neutral? **Yes**
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-03 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Expiration of permit.**
 Retention Period **Destroy 5 year(s) after expiration of permit.**

Additional Information

GAO Approval **Not Required**

Hazardous Waste Shipments

Disposition Authority Number **DAA-0434-2015-0009-0040**

Activity estimates, container inventories, manifests, and other documentation of shipments of hazardous waste for disposal.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-05 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Waste is shipped.**
 Retention Period **Destroy 5 year(s) after waste is shipped.**

Additional Information

GAO Approval **Not Required**

Nuclear Material Accountability

40

41

Disposition Authority Number **DAA-0434-2015-0009-0041**
Records that demonstrate accountability for nuclear material from receipt through disposal.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-06 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Fuel/material is shipped to a final repository.**

Retention Period **Destroy 5 year(s) after fuel/material is shipped to a final repository.**

Additional Information

GAO Approval **Not Required**

Prohibited / Limited Materials

Disposition Authority Number **DAA-0434-2015-0009-0042**

Lists of materials that are prohibited (e.g. cannot come in contact with nuclear fuel and irradiated components) or can only be used under limited circumstances in the NNPP program, including compounds or alloys containing mercury, compounds containing halogens, or materials containing polytetraflourethylene.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-07 | |

42

43

Disposition Instruction

Cutoff Instruction **Cutoff: When superseded or obsolete.**
Retention Period **Destroy 5 year(s) after records are superseded or obsolete.**

Additional Information

GAO Approval **Not Required**

Radioactive Material (RAM) Shipments Receipts

Disposition Authority Number **DAA-0434-2015-0009-0043**

Signed receipts from organizations receiving radioactive materials, retained per NNPP radiological controls technical manuals.

Final Disposition **Temporary**
Item Status **Active**
Is this item media neutral? **Yes**
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-08 | |

44

Disposition Instruction

Cutoff Instruction **Cutoff: Shipment is complete.**
Retention Period **Destroy 5 year(s) after shipment is complete.**

Additional Information

GAO Approval **Not Required**

Spill Prevention Control and Countermeasures

Disposition Authority Number **DAA-0434-2015-0009-0044**

Plans prepared in anticipation of potential spills or releases of hazardous substances. Plans for radioactive materials are covered elsewhere in the schedule.

Final Disposition **Temporary**
Item Status **Active**
Is this item media neutral? **Yes**
Do any of the records covered by this item currently exist in **No**

electronic format(s) other than e-mail and word processing?

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-09 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When superseded or obsolete.**
Retention Period **Destroy 5 year(s) after records are superseded or obsolete.**

Additional Information

GAO Approval **Not Required**

Waste Containers

Disposition Authority Number **DAA-0434-2015-0009-0045**

Documentation for containers built to required specifications for shipment of hazardous materials.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-08-10 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Disposal of all containers of a certain type.**
Retention Period **Destroy 5 year(s) after disposal of all containers of a certain type.**

Additional Information

GAO Approval **Not Required**

Dismantlement and Remediation

Disposition Authority Number **DAA-0434-2015-0009-0046**

45

46

Records concerning the abatement, decontamination, decommissioning, dismantlement, or remediation of buildings, facilities, sites, or specialized equipment, including projects conducted under the Comprehensive Environmental Response Compensation and Liability Act (CERCLA) and Resource Conservation and Recovery Act (RCRA). Notification must be sent to the EPA prior to the destruction of records pertaining to the administration of CERCLA projects.

Final Disposition Temporary
 Item Status Active
 Is this item media neutral? Yes
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-01 | |

Disposition Instruction

Cutoff Instruction Cutoff: Remediation is complete.
 Retention Period Destroy 80 year(s) after remediation is complete.

Additional Information

GAO Approval Not Required

Environmental Monitoring Policy and Procedures

Disposition Authority Number DAA-0434-2015-0009-0047

Policies, manuals, and plans that define and regulate adherence to environmental requirements, including procedures and manuals for the operation of environmental monitoring equipment. Records created for operations regulated by the Radiological Controls Program are covered elsewhere in the schedule.

Final Disposition Temporary
 Item Status Active
 Is this item media neutral? Yes
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-02 | |

47

48

Disposition Instruction
 Cutoff Instruction Cutoff: When superseded or obsolete.
 Retention Period Destroy 80 year(s) after superseded or obsolete.
 Additional Information
 GAO Approval Not Required
Hazardous Material Spills and Spill Response
 Disposition Authority Number DAA-0434-2015-0009-0048
Response plans for releases of chemical or other hazardous substances and records relating to the remediation or cleanup of spills.
 Final Disposition Temporary
 Item Status Active
 Is this item media neutral? Yes
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-03 | |

49

Disposition Instruction
 Cutoff Instruction Cutoff: Cleanup is complete.
 Retention Period Destroy 80 year(s) after cleanup is complete.
 Additional Information
 GAO Approval Not Required
Industrial Hygiene Surveys (indicating potential hazard)
 Disposition Authority Number DAA-0434-2015-0009-0049
Surveys that detect a potential industrial hazard, including asbestos, beryllium, heat stress, noise, and lead. Surveys for radiation and contamination are covered elsewhere in the schedule.
 Final Disposition Temporary
 Item Status Active
 Is this item media neutral? Yes
 Do any of the records covered by this item currently exist in

electronic format(s) other than e-mail and word processing?

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-04 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Facility dismantlement.**
Retention Period **Destroy 80 year(s) after facility dismantlement.**

Additional Information

GAO Approval **Not Required**

Job Hazard Analyses (JHA)

Disposition Authority Number **DAA-0434-2015-0009-0050**

Analyses conducted to determine and document the tasks, hazards, and safety procedures associated with the performance of work within specific job categories or work activities.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-05 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When superseded or obsolete.**
Retention Period **Destroy 80 year(s) after superseded or obsolete.**

Additional Information

GAO Approval **Not Required**

Legal Files: Environmental, Health, and Safety

Disposition Authority Number **DAA-0434-2015-0009-0051**

50

51

Litigation files documenting actions taken by General Counsel to protect program interests in cases involving personal injuries or environmental impact resulting from hazardous materials or radiation.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-06 | |

Disposition Instruction

Cutoff Instruction Cutoff: Case is closed.

Retention Period Destroy 80 year(s) after case is closed.

Additional Information

GAO Approval Not Required

Material Safety Data Sheets (MSDS)

Disposition Authority Number DAA-0434-2015-0009-0052

Master copies of MSDS. The MSDS is a listing of products and chemicals currently or previously used at an NNPP site, including manufacturer, hazardous ingredients, physical and chemical characteristics, health hazards, and handling and storage information.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-07 | |

Disposition Instruction

52

53

Cutoff Instruction Cutoff: Material is no longer used.
Retention Period Destroy 80 year(s) after material is no longer used.

Additional Information

GAO Approval Not Required

Safety Analysis Compliance

Disposition Authority Number DAA-0434-2015-0009-0053

Safety Analysis Reports licenses, amendments, and certificates of compliance.

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-08 | |

54

Disposition Instruction

Cutoff Instruction Cutoff: When superseded or obsolete.
Retention Period Destroy 80 year(s) after superseded or obsolete.

Additional Information

GAO Approval Not Required

Waste Analysis and Characterization

Disposition Authority Number DAA-0434-2015-0009-0054

Analyses and categorizations of waste, including Hazardous Evaluation Forms (HEFs).

Final Disposition Temporary
Item Status Active
Is this item media neutral? Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| | |

| | |
|---------|--|
| 4-09-09 | |
|---------|--|

Disposition Instruction

Cutoff Instruction **Cutoff: Waste is no longer produced.**
Retention Period **Destroy 80 year(s) after waste is no longer produced.**

Additional Information

GAO Approval **Not Required**

Waste Compliance

Disposition Authority Number **DAA-0434-2015-0009-0055**

Records needed for proving compliance with waste management requirements, other than CERCLA funded cooperative agreements. Records include landfill inspections, dangerous waste reports, and decay heat reports.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-10 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Final closure of facility. (Facility may be defined as a tank, a building, a waste site, or an entire site.)**
Retention Period **Destroy 80 year(s) after final closure of facility. (Facility may be defined as a tank, a building, a waste site, or an entire site.)**

Additional Information

GAO Approval **Not Required**

Wells

Disposition Authority Number **DAA-0434-2015-0009-0056**

Records for the construction, environmental monitoring efforts, history, maintenance, and abandonment of wells. Records include deep well videos; drilling

55

56

logs, plans, and reports; nature and composition of injected fluids; pump system documentation; and well abandonment plans, notifications, and reports.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-09-11 | |

Disposition Instruction

Cutoff Instruction Cutoff: Final closure of facility or site where well is located.

Retention Period Destroy 80 year(s) after final closure of facility or site where well is located.

Additional Information

GAO Approval Not Required

Bulk Waste Released from Radiological Controls

Disposition Authority Number DAA-0434-2015-0009-0057

Relates to the categorization and disposition of materials containing radioactivity under the limits defined in NNPP radiological controls manuals. Records indicate total weight and radioactivity of the waste, which may include plastic wrapping materials, lagging, anticontamination clothing, contents of vacuum cleaners used in radiologically controlled areas with no regulated constituents, and paint chips with no regulated constituents.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-01 | |

57

58

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.**

Additional Information

GAO Approval **Not Required**

Calibration Standards

Disposition Authority Number **DAA-0434-2015-0009-0058**

Quality assurance procedures to ensure and document instruments are calibrated according to standards traceable to National Institute of Standards and Technology Traceability for Calibration Standards.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-02 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When superseded or obsolete.**
Retention Period **Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.**

Additional Information

GAO Approval **Not Required**

Certification Examination Results

Disposition Authority Number **DAA-0434-2015-0009-0059**

Includes examination results and dates verifying testing to radiological controls training standards allowing employees and visitors to perform work under the cognizance of the Radiological Controls Program, as defined in NNPP radiological control technical manuals.

Final Disposition **Temporary**

59

Item Status **Active**
 Is this item media neutral? **Yes**
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-03 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
 Retention Period **Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.**

Additional Information

GAO Approval **Not Required**

Dose Investigation

Disposition Authority Number **DAA-0434-2015-0009-0060**

Prepared in response to any instance in which a dosimeter reading is suspect.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-04 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Investigation is complete.**
 Retention Period **Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.**

Additional Information

GAO Approval **Not Required**

60

61

Potentially Disqualifying Medical Conditions

Disposition Authority Number **DAA-0434-2015-0009-0061**

Statements required for signature by personnel with expected exposure below the threshold defined in NNPP radiological controls technical manuals, documenting knowledge of any potentially disqualifying medical conditions. Statements are maintained separately from radiation exposure histories.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-05 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year**

Retention Period **Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.**

Additional Information

GAO Approval **Not Required**

62

Radiation Exposure Histories

Disposition Authority Number **DAA-0434-2015-0009-0062**

Created according to procedures outlined in NNPP radiological controls and radiation health technical manuals to serve as evidence that occupational exposure limits were or were not exceeded by individuals. These histories have medical, epidemiological, and legal significance, because they record the amounts of exposure as well as dates and locations where exposures were received. This item also applies to the calibration records for in vivo monitoring equipment and instruments that are used to compile the exposure histories.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in **No**

electronic format(s) other than e-mail and word processing?

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-06 | |

Disposition Instruction

Cutoff Instruction **Cutoff: Employee separation.**
Retention Period **Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.**

Additional Information

GAO Approval **Not Required**

Radioactive Source Accountability

Disposition Authority Number **DAA-0434-2015-0009-0063**

Inventories of sealed radioactive sources generated semi-annually per NNPP procedures. Also covers certificates of conformance for stored sources.

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-07 | |

Disposition Instruction

Cutoff Instruction **Cutoff: End of fiscal or calendar year.**
Retention Period **Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.**

Additional Information

GAO Approval **Not Required**

Radiological Controls Program Environmental Monitoring and Survey Records

Disposition Authority Number **DAA-0434-2015-0009-0064**

63

64

Environmental monitoring and survey records created in compliance with the Radiological Controls Programs, per NNPP radiological controls technical manuals. Records include air particle surveys for monitoring airborne radioactivity, pre-construction and post-construction radiological surveys, and soil and vegetation surveys.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-08 | |

Disposition Instruction

Cutoff Instruction Cutoff: End of fiscal or calendar year.

Retention Period Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.

Additional Information

GAO Approval Not Required

Radiological Controls Program Reports

Disposition Authority Number DAA-0434-2015-0009-0065

Formal reports issued to Naval Reactors (NR) Headquarters summarizing the operations of the Radiological Controls Program, as mandated by NNPP radiological controls technical manuals. Records include annual reports of Personnel Exposure to Ionizing Radiation, Quarterly Radiation Exposure reports, summaries of annual exposure, and Release Survey reports.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

| Manual Citation | Manual Title |
|-----------------|--------------|
| | |

65

| | |
|---------|--|
| 4-10-09 | |
|---------|--|

Disposition Instruction

Cutoff Instruction

Cutoff: End of fiscal or calendar year.

Retention Period

Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.

Additional Information

GAO Approval

Not Required

Utilization Logs

Disposition Authority Number

DAA-0434-2015-0009-0066

Including utilization logs for gamma densitometers, high dose rate RADIAC calibration sources, and radiography sources, containing the level of information mandated by NNPP radiological controls technical manuals.

Final Disposition

Temporary

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?

No

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-10-10 | |

Disposition Instruction

Cutoff Instruction

Cutoff: End of fiscal or calendar year.

Retention Period

Destroy when no longer needed for business purposes, but no sooner than 80 years after cutoff.

Additional Information

GAO Approval

Not Required

Archaeological Records

Disposition Authority Number

DAA-0434-2015-0009-0067

Records resulting from activities conducted on lands owned in fee by Native Americans or held in trust by the United States for Native Americans.

Final Disposition

Permanent

66

67

Item Status **Active**
 Is this item media neutral? **Yes**
 Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| | |
|-----------------|--------------|
| Manual Citation | Manual Title |
| 4-11-01 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When no longer needed for business purposes.**
 Transfer to the National Archives for Accessioning **Transfer to the National Archives 80 year(s) after no longer needed for business purposes.**

Additional Information

First year of records accumulation **1966**
 What will be the date span of the initial transfer of records to the National Archives? **Unknown**
 How frequently will your agency transfer these records to the National Archives? **Unknown**

| | Estimated Current Volume | Annual Accumulation |
|----------------------------------|--------------------------|---------------------|
| Electronic/Digital | | |
| Paper | 10 Cubic feet | .25 Cubic feet |
| Microform | | |
| Hardcopy or Analog Special Media | | |

68

Environmental Monitoring Reports relating to Ground Contamination

Disposition Authority Number **DAA-0434-2015-0009-0068**

Environmental program reports that summarize data from radiological and non-radiological environmental surveillance activities to document compliance with

applicable environmental laws and regulations and define the extent and levels of contamination in soil or real estate.

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-11-02 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When no longer needed for business purposes.**

Transfer to the National Archives for Accessioning **Transfer to the National Archives 80 year(s) after no longer needed for business purposes.**

Additional Information

First year of records accumulation **1980**

What will be the date span of the initial transfer of records to the National Archives? **Unknown**
Unknown

How frequently will your agency transfer these records to the National Archives? **Unknown**
Unknown

| | Estimated Current Volume | Annual Accumulation |
|---|--------------------------|---------------------|
| Electronic/Digital | | |
| Paper | 80 Cubic feet | 2 Cubic feet |
| Microform | | |
| Hardcopy or Analog Special Media | | |

Disposition Authority Number **DAA-0434-2015-0009-0069**

Technical standards, operating guides, and control procedures relating to the technical and administrative basis for programs intended to protect and document the exposure of personnel to hazardous concentrations of toxic chemicals, external radiation, and internally deposited radionuclides. Types of radiological program policies and procedures are defined in NNPP radiological controls manuals and include Naval Reactors (NR) inspection reports; reports of quality assurance checks of dosimetry and internal monitoring by outside agencies; TLD/RADIAC calibration procedures; and Authorizations to Handle Radioactive Material.

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-11-03 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When no longer needed for business purposes.**

Transfer to the National Archives for Accessioning **Transfer to the National Archives 80 year(s) after no longer needed for business purposes.**

Additional Information

First year of records accumulation **1949**

What will be the date span of the initial transfer of records to the National Archives? **Unknown**
Unknown

How frequently will your agency transfer these records to the National Archives? **Unknown**
Unknown

| | Estimated Current Volume | Annual Accumulation |
|--------------------|--------------------------|---------------------|
| Electronic/Digital | | |
| Paper | 50 Cubic feet | 2 Cubic feet |

| | | |
|----------------------------------|--|--|
| Microform | | |
| Hardcopy or Analog Special Media | | |

70

National Environmental Policy Act (NEPA)

Disposition Authority Number **DAA-0434-2015-0009-0070**

Consists of Environmental Impact Statements, Environmental Assessments, and Categorical Exclusion Records, which are required for proposed major federal actions that significantly affect the quality of the human environment. The Prime Contractors retain the record copy of all NEPA records.

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-11-04 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When no longer needed for business purposes.**

Transfer to the National Archives for Accessioning **Transfer to the National Archives year(s) after**

Additional Information

First year of records accumulation **1970**

What will be the date span of the initial transfer of records to the National Archives? **Unknown**
Unknown

How frequently will your agency transfer these records to the National Archives? **Unknown**
Unknown

| | | |
|--|--------------------------|---------------------|
| | Estimated Current Volume | Annual Accumulation |
|--|--------------------------|---------------------|

| | | |
|----------------------------------|----------------|--------------|
| Electronic/Digital | | |
| Paper | 100 Cubic feet | 3 Cubic feet |
| Microform | | |
| Hardcopy or Analog Special Media | | |

71

Radioactive Material (RAM) and Waste Shipments

Disposition Authority Number **DAA-0434-2015-0009-0071**

Records documenting shipments made of radioactive material, mixed waste and PCB radioactive waste for treatment and disposal including emails and conventional correspondence, container inventories, type of waste, degree of radioactivity, permissions to ship and transport.

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-11-05 | |

Disposition Instruction

Cutoff Instruction **When no longer needed for business purposes.**

Transfer to the National Archives for Accessioning **Transfer to the National Archives 80 year(s) after no longer needed for business purposes.**

Additional Information

First year of records accumulation **1976**

What will be the date span of the initial transfer of records to the National Archives? **Unknown**
Unknown

How frequently will your agency transfer these records to the National Archives? **Unknown**
Unknown

| | Estimated Current Volume | Annual Accumulation |
|----------------------------------|--------------------------|---------------------|
| Electronic/Digital | | |
| Paper | 50 Cubic feet | 2 Cubic feet |
| Microform | | |
| Hardcopy or Analog Special Media | | |

72

Radiological Controls Training Standards

Disposition Authority Number **DAA-0434-2015-0009-0072**

Educational requirements for radiation control technicians, contamination workers, radiation workers, Limited Radiation Workers, gamma densitometer operators, RADIAC calibration range operators, radiographers and radiographers' assistants, dosimetry technicians, and other personnel. This is training specific to the Bettis and Knolls laboratories, Kesselring, and the Naval Reactors Facility (NRF) in Idaho to include tables, charts, graphs and standards manuals.

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-11-06 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When no longer needed for business purposes.**

Transfer to the National Archives for Accessioning **Transfer to the National Archives 80 year(s) after no longer needed for business purposes.**

Additional Information

First year of records accumulation **1950**

What will be the date span of the initial transfer of records to the National Archives? **Unknown**
 Unknown

How frequently will your agency transfer these records to the National Archives? **Unknown**
 Unknown

| | Estimated Current Volume | Annual Accumulation |
|----------------------------------|--------------------------|---------------------|
| Electronic/Digital | | |
| Paper | 20 Cubic feet | 1 Cubic feet |
| Microform | | |
| Hardcopy or Analog Special Media | | |

73

Radiological Incidents

Disposition Authority Number **DAA-0434-2015-0009-0073**

Reports pertaining to events identified as incidents in NNPP radiological controls manuals, including radiation exposures in excess of defined limits; internally deposited radioactivity; unauthorized or unplanned radioactive waste deposition; unauthorized or unplanned gaseous or liquid discharge of radioactivity; loss of radioactive material; or spread of radioactive contamination.

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| Manual Citation | Manual Title |
|-----------------|--------------|
| 4-11-07 | |

Disposition Instruction

Cutoff Instruction **Cutoff: When no longer needed for business purposes.**

Transfer to the National Archives for Accessioning Transfer to the National Archives 80 year(s) after no longer needed for business purposes.

Additional Information

First year of records accumulation **1949**

What will be the date span of the initial transfer of records to the National Archives? **Unknown**
Unknown

How frequently will your agency transfer these records to the National Archives? **Unknown**
Unknown

| | Estimated Current Volume | Annual Accumulation |
|----------------------------------|--------------------------|---------------------|
| Electronic/Digital | | |
| Paper | 15 Cubic feet | 1 Cubic feet |
| Microform | | |
| Hardcopy or Analog Special Media | | |

74

Site Closure

Disposition Authority Number **DAA-0434-2015-0009-0074**

Closure reports, post-closure plans, and cleanup procedures developed in compliance with CERCLA, RCRA, and other requirements. Records include descriptions of plans for each unit, estimates of maximum inventory during active life, waste characterizations, and procedures governing the removal of contaminants and waste. May also include engineering studies, reports of unusual problems encountered during waste removal and treatment.

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

| | |
|-----------------|--------------|
| Manual Citation | Manual Title |
|-----------------|--------------|

| | |
|---------|--|
| 4-11-08 | |
|---------|--|

Disposition Instruction

| | |
|--|--|
| Cutoff Instruction | Cutoff: When no longer needed for business purposes. |
| Transfer to the National Archives for Accessioning | Transfer to the National Archives 80 year(s) after no longer needed for business purposes. |

Additional Information

| | |
|---|--------------------|
| First year of records accumulation | 1976 |
| What will be the date span of the initial transfer of records to the National Archives? | Unknown Unknown |
| How frequently will your agency transfer these records to the National Archives? | Unknown Unknown |

| | Estimated Current Volume | Annual Accumulation |
|----------------------------------|--------------------------|---------------------|
| Electronic/Digital | | |
| Paper | 10 Cubic feet | 1 Cubic feet |
| Microform | | |
| Hardcopy or Analog Special Media | | |

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

| Date | Action | By | Title | Organization |
|------------|--------------------------|------------------|---|---|
| 10/23/2015 | Certify | Maria Levesque | Director of Records Management Services | Office of the Chief Information Officer - Records Management Program Office |
| 02/18/2016 | Return for Revision | Steven Rhodes | Senior Appraisal Archivist | National Archives and Records Administration - Records Management Services |
| 02/25/2016 | Submit For Certification | Juliet Dimond | Records Officer | NNSA - NA30 |
| 03/02/2016 | Certify | Maria Levesque | Director of Records Management Services | Office of the Chief Information Officer - Records Management Program Office |
| 02/21/2017 | Submit for Concurrence | Steven Rhodes | Senior Appraisal Archivist | National Archives and Records Administration - Records Management Services |
| 02/22/2017 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 02/22/2017 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 02/27/2017 | Approve | David Ferriero | Archivist of the United States | Office of the Archivist - Office of the Archivist |