

Request for Records Disposition Authority

Records Schedule Number DAA-0434-2015-0013
Schedule Status Approved

Agency or Establishment Department of Energy
Record Group / Scheduling Group General Records of the Department of Energy
Records Schedule applies to Department-wide
Schedule Subject Foreign Ownership, Control, or Influence (FOCI)
Internal agency concurrences will be provided No

Background Information

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	0	2	0

GAO Approval

Outline of Records Schedule Items for DAA-0434-2015-0013

Sequence Number	
1	Unsuccessful Bidder Files Disposition Authority Number: DAA-0434-2015-0013-0001
2	Successful Bidder Files Disposition Authority Number: DAA-0434-2015-0013-0002

Records Schedule Items

Sequence Number						
1	<p>Unsuccessful Bidder Files</p> <p>Disposition Authority Number DAA-0434-2015-0013-0001</p> <p>Unsuccessful bidder files containing their representations as to their ownership and any foreign control or influence, and other information pertaining to foreign ownership, control or influence and whether it may pose an undue risk to national security that has been provided by the unsuccessful bidder or otherwise gathered</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Manual Citation</th> <th style="width: 50%;">Manual Title</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">17970</td> <td style="text-align: center;">Foreign Ownership, Control, or Influence</td> </tr> </tbody> </table> <p>GRS or Superseded Authority Citation N1-434-98-021, item 8.7a</p> <p>Disposition Instruction</p> <p>Cutoff Instruction End of Fiscal Year</p> <p>Retention Period Destroy 2 year(s) after Cutoff</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>		Manual Citation	Manual Title	17970	Foreign Ownership, Control, or Influence
Manual Citation	Manual Title					
17970	Foreign Ownership, Control, or Influence					
2	<p>Successful Bidder Files</p> <p>Disposition Authority Number DAA-0434-2015-0013-0002</p> <p>Successful bidder (contractor) files containing original and subsequent representations as to their ownership and any foreign control or influence, and other information pertaining to foreign ownership, control or influence and whether it may pose an undue risk to national security that has been provided by the contractor or otherwise gathered. Files relating to contract eligibility determinations when foreign ownership, control or influence issues are present.</p> <p>Final Disposition Temporary</p>					

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered
by this item currently exist in
electronic format(s) other than e-
mail and word processing? **No**

Manual Citation	Manual Title
17910	Foreign Ownership, Control, or Influence

GRS or Superseded Authority
Citation **N1-434-98-021, item 8.7b**

Disposition Instruction

Cutoff Instruction **Five (5) years after determination date.**

Retention Period **Destroy 15 year(s) after Cutoff**

Additional Information

GAO Approval **Not Required**

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
09/29/2015	Certify	Maria Levesque	Director of Records Management Services	Office of the Chief Information Officer - Records Management Program Office
06/03/2016	Submit for Concurrence	Steven Rhodes	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
06/07/2016	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
06/07/2016	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
06/13/2016	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist