

## Request for Records Disposition Authority

Records Schedule Number           DAA-0434-2016-0008

Schedule Status                     Approved

Agency or Establishment           Department of Energy

Record Group / Scheduling Group   General Records of the Department of Energy

Records Schedule applies to       Department-wide

Schedule Subject                    Ombudsman Database

Internal agency concurrences will  
 be provided                         No

**Background Information**

**Background Information: The Secretary of Energy established the DOE Office of the Ombudsman Program (the "Program") in March 2012. The Program records concern the development, administration, and evaluation of the Program, and the Program serves as an independent, confidential, informal, and neutral conflict resolution practitioner for DOE. The Program maintains communications with those seeking assistance through the Program and holds those communications in the strictest confidence. Program officials must take all reasonable steps to safeguard the anonymity and confidentiality of DOE employees seeking assistance or otherwise associated with the Program's conflict resolution efforts. Program safeguards noted herein are in accordance with Title 5 U.S.C. Section 571-584 and also in keeping with the International Ombudsman Association standards, which advances the profession of organizational ombudsman and ensures that practitioners are able to work to the highest professional standards. This includes protecting the identity of individuals contacting the Program and protecting the information provided in confidence that is not disclosed.**

**Item Count**

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

**GAO Approval**

0001

## Outline of Records Schedule Items for DAA-0434-2016-0008

Sequence Number
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1
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Master File/Database
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Disposition Authority Number: DAA-0434-2016-0008-0001
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## Records Schedule Items

Sequence Number	
1	<p data-bbox="376 412 669 446"><b>Master File/Database</b></p> <p data-bbox="376 463 1149 497">Disposition Authority Number      <b>DAA-0434-2016-0008-0001</b></p> <p data-bbox="376 519 1481 736"><b>Data content includes such case information as opened and closed case dates; the anonymous person's organization, office, risk level and the services provided to them; Program official's case notes; and, the Program's uniform reporting categories, such as the anonymous person's compensation and benefits, peer and colleague relationships, applicable legal and regulatory compliances, service/ administrative issues raised, etc.</b></p> <p data-bbox="376 757 928 791">Final Disposition                      <b>Temporary</b></p> <p data-bbox="376 812 863 846">Item Status                              <b>Active</b></p> <p data-bbox="376 868 815 902">Is this item media neutral?        <b>No</b></p> <p data-bbox="376 923 1481 1021">Explanation of limitation            <b>Content includes electronic information created and maintained in an electronic database - an electronic information system.</b></p> <p data-bbox="376 1042 831 1161">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?    <b>Yes</b></p> <p data-bbox="376 1183 831 1272">Do any of the records covered by this item exist as structured electronic data?                      <b>Yes</b></p> <p data-bbox="376 1306 678 1340"><b>Disposition Instruction</b></p> <p data-bbox="376 1361 1399 1438">Cutoff Instruction                      <b>Cutoff in blocks as determined appropriate for business use.</b></p> <p data-bbox="376 1459 1481 1600">Retention Period                        <b>Destroy at the conclusion or termination of the Ombudsman Program and/or its successor organization, or when determined no longer needed for statistical and related business uses.</b></p> <p data-bbox="376 1634 678 1668"><b>Additional Information</b></p> <p data-bbox="376 1689 1101 1723">GAO Approval                            <b>Required and Received</b></p>

### Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

### Signatory Information

Date	Action	By	Title	Organization
07/11/2016	Certify	Maria Levesque	Director of Records Management Services	Office of the Chief Information Officer - Records Management Program Office
10/26/2016	Submit for Concurrence	Steven Rhodes	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
10/31/2016	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
11/01/2016	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
11/03/2016	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist