

## Request for Records Disposition Authority

Records Schedule Number DAA-0440-2012-0006  
Schedule Status Approved  
  
Agency or Establishment Centers for Medicare and Medicaid Services  
Record Group / Scheduling Group Records of the Centers for Medicare and Medicaid Services  
Records Schedule applies to Agency-wide  
Schedule Subject Learning Management System  
Internal agency concurrences will be provided Yes

Background Information The Learning Management System (LMS) documents the federal training provided to surveyors for the survey and certification process of all health facilities that provide care to Medicare or Medicaid beneficiaries

### Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

## Outline of Records Schedule Items for DAA-0440-2012-0006

Sequence Number	
1	<b>Master Files</b> Disposition Authority Number DAA-0440-2012-0006-0001

## Records Schedule Items

Sequence Number	
1	<p data-bbox="368 407 541 441"><b>Master Files</b></p> <p data-bbox="368 457 1158 491">Disposition Authority Number      DAA-0440-2012-0006-0001</p> <p data-bbox="368 508 1514 840">Contains training transcripts of 56 state agency surveyors and Regional Office surveyors System-captured data dates back to 2002 at the inception of the first LMS Other entries were manually entered in mass data entry sessions by Central Office (CO) Data is used in reports viewed by State, Regional Offices and CO to track and assign training, verify training and eligibility for classes Although no PII is maintained, transcripts data must be available for prolonged periods as these have been subpoenaed during legal actions and under FOIA where the surveyor (student) or State Agency has been sued by a provider and needs to protect the integrity of their expertise</p> <p data-bbox="368 856 930 890">Final Disposition                      Temporary</p> <p data-bbox="368 907 863 940">Item Status                              Active</p> <p data-bbox="368 957 832 991">Is this item media neutral?          Yes</p> <p data-bbox="368 1008 832 1129">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?      Yes</p> <p data-bbox="368 1146 832 1243">Do any of the records covered by this item exist as structured electronic data?                      Yes</p> <p data-bbox="368 1276 678 1310"><b>Disposition Instruction</b></p> <p data-bbox="368 1327 1293 1360">Cutoff Instruction                      Cutoff annually from the date of entry</p> <p data-bbox="368 1377 1194 1411">Retention Period                        Destroy 30 year(s) after cutoff</p> <p data-bbox="368 1453 674 1486"><b>Additional Information</b></p> <p data-bbox="368 1503 963 1537">GAO Approval                            Not Required</p>

## Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified

## Signatory Information

Date	Action	By	Title	Organization
03/20/2012	Certify	Victoria Robey	Records Officer	Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group
06/12/2012	Submit for Concurrency	Sean Curry	Senior Records Analyst	National Archives and Records Administration - Agency Services
06/14/2012	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - Records Management Services
06/14/2012	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
06/19/2012	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist