

INACTIVE - ALL ITEMS SUPERSEDED

Request for Records Disposition Authority

Records Schedule Number DAA-0440-2012-0007
Schedule Status Modified Approved Version
Agency or Establishment Centers for Medicare and Medicaid Services
Record Group / Scheduling Group Records of the Centers for Medicare and Medicaid Services
Records Schedule applies to Agency-wide
Schedule Subject Payment Recovery Information System (PRIS)
Internal agency concurrences will be provided Yes

Background Information PRIS supports the life cycle of the Parts C (Medicare Advantage) & Part D (Prescription Drug) Recovery Audit Contractors (RACs) program. Includes activities from the RACs, Data Validation Contractors (DVC), & Appeals contractor along with activities associated with the improper payment recoupment process. PRIS will interface with the Automated Plan Payment System (APPS) (pending schedule: N1-440-09-04) to send requests to offset improper payments to adjust plans' monthly payments. The payment process will include functionality to document wire transfers and receipts of checks. PRIS will capture and store total improper payment amounts and contingency amounts owed to the RAC. The data warehouse will store the all activities associated with identified improper payments. Data stored in the data warehouse will be used for reporting. The reporting feature will allow CMS policy personnel to review the issues for interpretation of CMS policy. PRIS allows the secure transfer of imaged documentation from the RAC to CMS over MPLS lines. These images are stored in Enterprise Content Manager (ECM).

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

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NATIONAL ARCHIVES AND RECORDS ADMINISTRATION
Request for Records Disposition Authority

Records Schedule: DAA-0440-2012-0007

Outline of Records Schedule Items for DAA-0440-2012-0007

Sequence Number

1

Master Files

Disposition Authority Number: DAA-0440-2012-0007-0001

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Records Schedule Items

Sequence Number	
1	<p data-bbox="358 412 1466 455">Master Files</p> <p data-bbox="358 455 1466 497">Disposition Authority Number DAA-0440-2012-0007-0001</p> <p data-bbox="358 497 1466 710">Metadata associated with the collection of claims and supporting documentation submitted by a Medicare Part C or Part D Recovery Audit Contractor (RAC) in support of their analysis to identify and recover improper claim payments made to a Medicare Advantage (MA), Medicare Advantage Prescription Drug (MAPD), or a Prescription Drug (PDP) plan/sponsor.</p> <p data-bbox="358 710 1466 753">Final Disposition Temporary</p> <p data-bbox="358 753 1466 795">Item Status Inactive</p> <p data-bbox="358 795 1466 838">Is this item media neutral? Yes</p> <p data-bbox="358 838 1466 987">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p data-bbox="358 987 1466 1136">Inactive Status Explanation This item is inactive because it was superseded by New Disposition Authority Number: DAA-0440-2015-0004-0001</p> <p data-bbox="358 1136 1466 1178">Disposition Instruction:</p> <p data-bbox="358 1178 1466 1285">Cutoff Instruction Cutoff at the end of the calendar year of an improper payment, recoupment or final appeals results.</p> <p data-bbox="358 1285 1466 1327">Retention Period Destroy 10 year(s) after cutoff.</p> <p data-bbox="358 1327 1466 1370">Additional Information</p> <p data-bbox="358 1370 1466 1468">GAO Approval Not Required</p>

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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
04/05/2012	Certify	Victoria Robey	Records Officer	Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group
06/28/2012	Submit for Concurrency	Sean Curry	Senior Records Analyst	National Archives and Records Administration - Agency Services
06/29/2012	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - Records Management Services
07/02/2012	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
07/03/2012	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist

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