

Request for Records Disposition Authority

Records Schedule Number **DAA-0440-2012-0017**
Schedule Status **Returned Without Action**
Agency or Establishment **Centers for Medicare and Medicaid Services**
Record Group / Scheduling Group **Records of the Centers for Medicare and Medicaid Services**
Records Schedule applies to **Agency-wide**
Schedule Subject **Pre-Existing Condition Insurance Plan (PCIP) Program**
Internal agency concurrences will be provided **Yes**
Background Information **A media-neutral collection of information for the Pre-Existing Condition Insurance Plan (PCIP) program**

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
0	0	0	3

GAO Approval

WITHDRAWN

Outline of Records Schedule Items for DAA-0440-2012-0017

Sequence Number

- | | |
|---|--|
| 1 | Records Collected by the State-Administered PCIP on individuals who apply for enrollment in the PCIP program
Disposition Authority Number DAA-0440-2012-0017-0001 |
| 2 | Contract Deliverables
Disposition Authority Number DAA-0440-2012-0017-0002 |
| 3 | Actuarial Models
Disposition Authority Number DAA-0440-2012-0017-0003 |

WITHDRAWN

Records Schedule Items

Sequence Number	
1	<p>Records Collected by the State-Administered PCIP on individuals who apply for enrollment in the PCIP program</p> <p>Disposition Authority Number DAA-0440-2012-0017-0001</p> <p>The information collected will enable HHS acting through CMS state contractors and any third-party administrator(s) to determine applicants' eligibility, enroll eligible individuals into the program, adjudicate appeals of eligibility and coverage determinations, bill and collect premium payments, and process and pay claims for covered health care items and services furnished to eligible individuals</p> <p>Final Disposition Permanent</p> <p>Item Status Withdrawn</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p>Withdrawn Status Explanation Entire Job Withdrawn, will be resubmitted to reflect changes made within the program office</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cutoff at the end of the program (currently January 2014)</p> <p>Transfer to the National Archives for Accessioning Transfer to the National Archives 15 years after cutoff Transfer electronic records to the National Archives for pre-accessioning immediately after cutoff</p> <p>Additional Information</p> <p>First year of records accumulation 2010</p> <p>End year of records accumulation 2014</p> <p>What will be the date span of the initial transfer of records to the National Archives? From 2010 To 2013</p> <p>How frequently will your agency transfer these records to the National Archives? Every 1 Years</p>

WITHDRAWN

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Contract Deliverables

Disposition Authority Number DAA-0440-2012-0017-0002

Includes but not limited to financial, audit reports, meeting minutes, corrective action plan reviews, PCIP contractors submit to CMS regarding the program

Final Disposition Temporary

Item Status Withdrawn

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

Withdrawn Status Explanation Entire Job Withdrawn, will be resubmitted to reflect changes made within the program office

Disposition Instruction

Cutoff Instruction Cutoff at the end of the program (currently January 2014)

Retention Period Destroy 7 year(s) after cutoff

Additional Information

GAO Approval Not Required

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Actuarial Models

Disposition Authority Number DAA-0440-2012-0017-0003

Created and run by CMS (PCIP staff) to project enrollment and claims costs over the life of the program.

Final Disposition Permanent

Item Status Withdrawn

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes

Do any of the records covered by this item exist as structured electronic data? No

Withdrawn Status Explanation Entire Job Withdrawn, will be resubmitted to reflect changes made within the program office

Disposition Instruction

WITHDRAWN

Cutoff Instruction

Cutoff at the end of the program (January 2014)

Transfer Electronic Records to the National Archives for Pre-Accessioning

Transfer electronic records to the National Archives for pre-accessioning immediately after cutoff

Transfer to the National Archives for Accessioning

Transfer to the National Archives 15 year(s) after cutoff

Additional Information

First year of records accumulation 2010

End year of records accumulation 2014

What will be the date span of the initial transfer of records to the National Archives? From 2010 To 2013

How frequently will your agency transfer these records to the National Archives? Every 1 Years

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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified

Signatory Information

Date	Action	By	Title	Organization
08/31/2012	Certify	Victoria Robey	Records Officer	Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group
04/01/2013	Return Without Action	Sean Curry	Senior Records Analyst	National Archives and Records Administration - Agency Services

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