

## Request for Records Disposition Authority

Records Schedule Number      **DAA-0440-2013-0003**  
Schedule Status                **Approved**

Agency or Establishment        **Centers for Medicare and Medicaid Services**  
Record Group / Scheduling Group **Records of the Centers for Medicare and Medicaid Services**  
Records Schedule applies to    **Agency-wide**  
Schedule Subject                **Medicare Part B Drug Average Sales Price (ASP)**  
Internal agency concurrences will be provided    **Yes**

Background Information        **This system facilitates pricing for Medicare Part B Drug Claims. It is a web-based, secure data input tool for drug manufacturers to report quarterly Medicare Part B drug ASP data, a data validation tool, and a back end database for published reports and analytics.**

### Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	0	2	0

### GAO Approval

## Outline of Records Schedule Items for DAA-0440-2013-0003

Sequence Number	
1	<b>Master Files</b> Disposition Authority Number: DAA-0440-2013-0003-0001
2	<b>Outputs: Public Use Files and Reports</b> Disposition Authority Number: DAA-0440-2013-0003-0002

**Records Schedule Items**

Sequence Number	
1	<p><b>Master Files</b></p> <p>Disposition Authority Number      <b>DAA-0440-2013-0003-0001</b></p> <p>A history of submitted drug data, payment limits, and payment methodologies assigned to billing codes from various sources. Drug Manufacturers will provide the Average Sales Price data for each quarterly reporting period (ASP data consists of financial, sales and descriptive data elements for current Medicare Part B drugs); Re-stated ASP data for the prior quarter to CMS, and Certified corrected or new ASP data for a reporting period pertaining to a prior quarter. On an ad hoc basis, the Office of the Inspector General will provide Widely Available Market Price (WAMP) drug data and the Average Market Price (AMP) drug data and the Drug Compendia Contractor will provide Drug pricing compendia data (a collection of drug data from drug pricing catalogs such as Redbook, Medi-span and First Databank); Drug Pricing Compendia data for separately payable Part B drugs monthly or quarterly. The Fee-for-Service (FFS) Claims Processing Contractor will report locally priced drug data on a monthly basis.</p> <p>Final Disposition                      <b>Temporary</b></p> <p>Item Status                              <b>Active</b></p> <p>Is this item media neutral?          <b>Yes</b></p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?      <b>Yes</b></p> <p>Do any of the records covered by this item exist as structured electronic data?                      <b>No</b></p> <p><b>Disposition Instruction</b></p> <p>Cutoff Instruction                      <b>Cutoff Annually</b></p> <p>Retention Period                        <b>Destroy 10 year(s) after Cutoff</b></p> <p><b>Additional Information</b></p> <p>GAO Approval                            <b>Not Required</b></p>
2	<p><b>Outputs: Public Use Files and Reports</b></p> <p>Disposition Authority Number      <b>DAA-0440-2013-0003-0002</b></p> <p><b>Manufacturer Reports: Tracking NDCs across quarters, tracking the submission of a given individual NDC across quarters, Tracking late submitters, List of: manufacturer contacts, which NDC1s and company names are associated with</b></p>

a given product; NDC Level Reports: Relative Market share, NDC payment limit changes compared to prior quarter, ASP vs. WAC, NDC mappings to billing codes, NDC vs. HCPCS payment limit values, Brand/Generic Report, Original Certified vs. most recent Re-Statement data, All data-large report download only, payment status report; HCPCS level reports: Billing Code-All data, Billing Code-coding changes, Billing Code dosage descriptor changes, Pricing and methodology Changes, HCPCS payment limit changes, Missing/Uncertified NDCs compared to selected period.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes

Do any of the records covered by this item exist as structured electronic data? No

**Disposition Instruction**

Cutoff Instruction Cutoff annually.

Retention Period Destroy 10 year(s) after cutoff.

**Additional Information**

GAO Approval Not Required

## Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

## Signatory Information

Date	Action	By	Title	Organization
10/24/2013	Certify	Victoria Robey	Records Officer	Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group
05/26/2015	Return for Revision	Sean Curry	Senior Appraisal Archivist	National Archives and Records Administration - Agency Services
08/31/2015	Submit For Certification	Tony Tucker	Records Officer	Office of Strategic Operations and Regulatory Affairs - OSORA
08/31/2015	Certify	Tony Tucker	Records Officer	Office of Strategic Operations and Regulatory Affairs - OSORA
09/10/2015	Submit for Concurrence	Lisa Clavelli	Supervisor, ACNR Appraisal Team 2	National Archives and Records Administration - Records Management Services
09/11/2015	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
09/11/2015	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
09/15/2015	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist