

INACTIVE - ALL ITEMS SUPERSEDED

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION
Request for Records Disposition Authority

Records Schedule: DAA-0440-2013-0011

Request for Records Disposition Authority

Records Schedule Number DAA-0440-2013-0011
Schedule Status Modified Approved Version
Agency or Establishment Centers for Medicare and Medicaid Services
Record Group / Scheduling Group Records of the Centers for Medicare and Medicaid Services
Records Schedule applies to Agency-wide
Schedule Subject Provider-Based Attestations
Internal agency concurrences will be provided Yes

Background Information Documentation submitted by providers (that function as single entity while owning and operating multiple provider based departments, locations and facilities that were treated as part of the main provider for Medicare purposes) to obtain a determination of provider-based status for their facilities through a self-attestation process. Clear criteria for provider-based status designation can result in additional Medicare payments for services furnished at the provider-based facility and may increase the coinsurance liability of Medicare beneficiaries for those services. Medicare Administrative Contractors (MACs) receive and review attestations with final decision made by the CMS Regional Office.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	0	2	0

GAO Approval

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Outline of Records Schedule Items for DAA-0440-2013-0011

Sequence Number	
1	Attestations, supporting documentation, notifications of changes to the provider-based arrangement Disposition Authority Number: DAA-0440-2013-0011-0001
2	Decision Letters Disposition Authority Number: DAA-0440-2013-0011-0002

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Records Schedule: DAA-0440-2013-0011

Records Schedule Items

Sequence Number	
1	<p>Attestations, supporting documentation, notifications of changes to the provider-based arrangement</p> <p>Disposition Authority Number DAA-0440-2013-0011-0001</p> <p>Final Disposition Temporary</p> <p>Item Status Inactive</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p>Inactive Status Explanation This item is inactive because it was superseded by New Disposition Authority Number: DAA-0440-2015-0008-0001</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cutoff annually.</p> <p>Retention Period Destroy 3 years from notification of change, 3 years from date of approval notification, or 3 years from expiration date of all appeal rights, whichever applies.</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>
2	<p>Decision Letters</p> <p>Disposition Authority Number DAA-0440-2013-0011-0002</p> <p>Final Disposition Temporary</p> <p>Item Status Inactive</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p>Inactive Status Explanation This item is inactive because it was superseded by New Disposition Authority Number: DAA-0440-2015-0008-0001</p>

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Disposition Instruction

Cutoff Instruction

Cut of at the end of the fiscal year.

Retention Period

Transfer any paper record to a Federally-approved records storage facility, 5 years after cutoff. Destroy when 20 years old.

Additional Information

GAO Approval

Not Required

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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
08/23/2013	Certify	Victoria Robey	Records Officer	Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group
01/07/2014	Submit for Concurrence	Sean Curry	Senior Records Analyst	National Archives and Records Administration - Agency Services
01/14/2014	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - Records Management Services
01/15/2014	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
01/23/2014	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist

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