

REQUEST FOR RECORDS DISPOSITION AUTHORITY		JOB NUMBER <i>N1-440-01-6</i>	
To: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		DATE RECEIVED <i>06/08/01</i>	
1. FROM (Agency or establishment) Centers for Medicare & Medicaid Services (CMS) Health Care Financing Administration		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
2. MAJOR SUBDIVISION Center for Health Plans and Providers (Diane Ross, 410-786-1169)			
3. MINOR SUBDIVISION			
4. NAME OF PERSON WITH WHOM TO CONFER Vickie Robey	5. TELEPHONE (410) 786-7883	DATE <i>3-8-02</i>	ARCHIVIST OF THE UNITED STATES <i>John W. Paul</i>
6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO manual for Guidance of Federal Agencies, X is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested. OGC Concurrence: <i>ASD</i>			
DATE MAY 18 2001	SIGNATURE OF AGENCY REPRESENTATIVE <i>Arnette Barnes, Sr.</i> Arnette Barnes, Sr.	TITLE DHHS Records Management Officer	

7. Item No.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
1	<p>HEALTH MAINTENANCE ORGANIZATION RECORDS SCHEDULE</p> <p>OFFICIAL COMPLIANCE FILES</p> <p>This file consists of material in support of the continuing compliance with the statutory and regulatory requirements of Title XIII of the Public Health Service Act and Title XVIII of the Social Security Act. These files include or relate to program correspondence on such matters as analyses, reports, evaluations, non-compliance, revocations, financial reports, certifications and other associated documentation. Financial reporting is accomplished through the use of the National Data Reporting Requirements (NDRR) and audited financial reports.</p> <p>DISPOSITION: F. Certifications - Cut off annually. Destroy after a total retention of six years.</p>	<p>Superseded by: <i>DAA-0440-2015-0008-0001</i> DATE (MM/DD/YYYY): <u><i>7/25/2017</i></u></p> <p><i>Re: Vickie Robey</i> <i>10/10/01</i></p>	

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2	<p>Electronic Mail and Word Processing System Copies</p> <p>(a) Copies that have no further administrative value after the recordkeeping copy is made. Includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the recordkeeping copy</p> <p><i>within 180 days per 11/13/02 email from V. Robey</i></p> <p>DISPOSITION: Delete after the recordkeeping copy is made, or when copies are no longer needed, whichever is later.</p> <p>(b) Copies used for dissemination, revision, or updating that are maintained in addition to the recordkeeping copy</p> <p><i>within 180 days per 11/13/02 email from V. Robey</i></p> <p>DISPOSITION: Delete when dissemination, revision, or updating is complete.</p> <p>Superseded by job / item number: <u>DAA-GRS-2017-0003-0001</u> Date (MM/DD/YYYY): <u>5/31/2017</u></p> <p>f:hmo-cert</p>		