
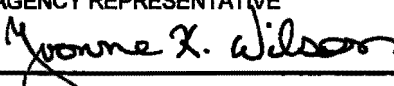


<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b>		JOB NUMBER NI-440-10-3	
To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received 1-11-2010	
1. FROM (Agency or establishment) Department of Health and Human Services		NOTIFICATION TO AGENCY  In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
2. MAJOR SUBDIVISION Centers for Medicare & Medicaid Services			
3. MINOR SUBDIVISION Office of E-Health Standards & Services, Chris Gayhead (410) 786-6429			
4. NAME OF PERSON WITH WHOM TO CONFER Vickie Robey (410) 786-7883 12/16/09	5. TELEPHONE NUMBER (410) 786-7883	DATE 26 April 2010	ARCHIVIST OF THE UNITED STATES 
6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  <input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.			
DATE 01/07/2010	SIGNATURE OF AGENCY REPRESENTATIVE Yvonne Wilson 		TITLE HHS Records Management Officer
7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	CMS Electronic System Schedule -- Personal Health Record Pilots System		

Attachment to SF-115, for CMS Electronic Systems Schedule

Personal Health Record (PHR) Pilots

The PHR pilot is a web-based electronic Personal Health Record offered to fee-for-service (FFS) Medicare beneficiaries in selected states across the United States which provides FFS beneficiaries with free access to a commercial off-the-shelf (COTS) electronic Personal Health record (PHR). The purpose of the system is to study the uptake and utilization of a Personal Health record to help Medicare develop the requirements for a Medicare-compliant Personal Health Record. Pilots include, but not limited to: MyPHRSC (My Personal Health Record – South Carolina): pilot for those living in South Carolina; PHR Choice: pilot for those living in Arizona and Utah.

The data resides on mainframe system and constructed with COTS software and is maintained in compliance with Privacy and CMS Security/Access Rules, Federal Information Systems Management Act, Health Insurance Portability and Accountability Act, CMS Data Use Agreements, Memorandum of Understanding/Agreement.

~~1. Inputs—Medicare beneficiary claims data who live in the selected states and who elect to participate in the pilot (hospitalizations; diagnoses which caused the inpatient stay, admission and discharge dates; procedures and/or surgeries, associated diagnoses, procedure dates, office visits, diagnoses; emergency contact information—name, relationship, phone number; medications-prescriptions, over-the-counter medications, vitamins, supplements; allergies—to medications, animals, insects and other substances; laboratory tests; medications); patient medication profile; medication data processed through TRICARE for Life.—Inputs include the online registration form to use the PHR. Key systems claims data comes from the CMS Common Working File (a Medicare claims pre-payment authorization system).~~

~~DISPOSITION: Temporary. Delete/destroy when pilot is completed or when no longer needed for Agency business, whichever is later. (GRS 20, Item 2c)~~

~~2. Master Files— Part A, B, and Durable Medical Equipment claims data and medication profile of Medicare beneficiaries who live in the selected states and elect to participate in the 2-year pilot (hospitalizations; diagnoses which caused the inpatient stay, admission and discharge dates; procedures and/or surgeries, associated diagnoses, procedure dates, office visits, diagnoses; emergency contact information—name, relationship, phone number; medications-prescriptions, over-the-counter medications, vitamins, supplements; allergies—to medications, animals, insects and other substances; laboratory tests; medications); medication data processed through TRICARE for Life.~~

~~DISPOSITION: Temporary. Cutoff when pilot is completed. Delete/destroy 10 years after cutoff, or when no longer needed for Agency business, whichever is later.~~

~~3. Outputs— Ad hoc reports.~~

~~DISPOSITION: Temporary. Destroy when no longer needed for administrative, legal, audit or other operation purposes, provided the printouts do not contain substantive information, such as substantive annotations, that is not included in the electronic records. (GRS 20, Item 12)~~

Superseded by job / item number:

DAA-0440-2015-0009-0003

Date (MM/DD/YYYY):

7/13/2017