

Request for Records Disposition Authority

Records Schedule Number **DAA-0510-2019-0001**

Schedule Status **Approved**

Agency or Establishment **Agency for Health Care Research and Quality**

Record Group / Scheduling Group **Records of the Agency for Health Care Policy and Research**

Records Schedule applies to **Agency-wide**

Schedule Subject **AHRQ Healthcare Cost and Utilization Project (HCUP)**

Internal agency concurrences will be provided **No**

Background Information **HCUP is the Nation's most comprehensive source of hospital care data, including information on in-patient stays, ambulatory surgery and service visits, and emergency department encounters. HCUP enables researchers, insurers, policymakers and others to study health care delivery and patient outcomes over time, and at the national, regional, State, and community levels.**

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
3	1	2	0

GAO Approval

Outline of Records Schedule Items for DAA-0510-2019-0001

Sequence Number	
1	AHRQ Healthcare Cost and Utilization Project Disposition Authority Number: DAA-0510-2019-0001-0001
2	HCUPnet Disposition Authority Number: DAA-0510-2019-0001-0002
3	HCUP Fast Stats Disposition Authority Number: DAA-0510-2019-0001-0003

Records Schedule Items

Sequence Number	
1	<p data-bbox="342 380 997 411">AHRQ Healthcare Cost and Utilization Project</p> <p data-bbox="342 432 1151 464">Disposition Authority Number DAA-0510-2019-0001-0001</p> <p data-bbox="342 485 1528 1241">The master files contains clinical and nonclinical information collected from state and private industry partners from discharge abstracts including diagnoses and procedures, discharge status, patient demographics, and charges. HCUP databases include but are not limited to the following: •The Nationwide Inpatient Sample (NIS)-Inpatient data from a national sample of over 1,000 hospitals. •The Nationwide Emergency Department Sample (NEDS) is a database that yields national estimates of emergency department (ED) visits. •The Nationwide Readmissions Database (NRD) is a unique and powerful database designed to support various types of analyses of national readmission rates for all payers and the uninsured. •The Kids' Inpatient Database (KID)--a nationwide sample of pediatric inpatient discharges. •The State Inpatient Databases (SID)-contain inpatient discharge abstracts from participating states. •The State Ambulatory Surgery Databases (SASD)--contain data from ambulatory care encounters from hospital-affiliated and sometimes freestanding ambulatory surgery sites. •The State Emergency Department Databases (SEDD)--contain data from hospital affiliated emergency departments for visits that do not result in hospitalizations. •The Nationwide Ambulatory Surgery Sample (NASS) which yields national estimates of major ambulatory surgery encounters performed in hospital-owned facilities. Records include both the intramural databases and the restricted access public release versions.</p> <p data-bbox="342 1262 919 1293">Final Disposition Temporary</p> <p data-bbox="342 1314 850 1346">Item Status Active</p> <p data-bbox="342 1367 818 1398">Is this item media neutral? Yes</p> <p data-bbox="342 1419 818 1545">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="342 1566 818 1661">Do any of the records covered by this item exist as structured electronic data? Yes</p> <p data-bbox="342 1682 1073 1745">GRS or Superseded Authority Citation N1-510-09-004 Item 1</p> <p data-bbox="342 1776 659 1808">Disposition Instruction</p> <p data-bbox="342 1829 1446 1911">Cutoff Instruction Cutoff at the end of the year in which the project concludes.</p>

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Retention Period	Destroy 25 year(s) after cutoff or when no longer needed for business purposes occurs, whichever is later
Additional Information	
GAO Approval	Not Required
HCUPnet	
Disposition Authority Number	DAA-0510-2019-0001-0002
<p>AHRQ uses HCUPnet to provide the public with access to data from the HCUP databases. Information available in HCUPnet is made available by participating partners, HCUPnet hosts non-sensitive aggregate statistics from the HCUP data. HCUPnet currently features data from NIS, KID, NEDS, and SID databases. This raw data is bound by national and individual state laws along with HIPPA (PL 104-191) and Privacy Rule 45 CFR 164.514(e). Any sharing of the data would require prior permission for access. AHRQ is the custodian of the data and assures that all requirements are met before access is allowed. Also, data is confidential and some sample sizes are so small that they could be open to unacceptable exposure. This master file consists of data from participating HCUP databases with personally identifiable information removed. Data includes tables, aggregate statistics, and charts for identifying, tracking, analyzing, and comparing statistics on hospital care as well as measures of health care quality that make use of available hospital inpatient administrative data.</p>	
Final Disposition	Temporary
Item Status	Active
Is this item media neutral?	Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
Do any of the records covered by this item exist as structured electronic data?	Yes
GRS or Superseded Authority Citation	N1-510-09-004 Item 2
Disposition Instruction	
Cutoff Instruction	Cutoff at the end of the calendar year in which the project concludes.
Retention Period	Destroy 25 year(s) after cutoff or when no longer needed for business purposes occurs, whichever is later

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Additional Information

GAO Approval **Not Required**

HCUP Fast Stats

Disposition Authority Number **DAA-0510-2019-0001-0003**

HCUP Fast Stats provides easy access to the latest HCUP-based visual statistical displays and tables for health care information topics. HCUP Fast Stats is the exception to the security and privacy-based restrictions faced by HCUPnet because the HCUP Fast Stats data files have sufficient aggregation of the statistics, but still features data from NIS, KID, NEDS, and SID databases (like HCUPnet).

Final Disposition **Permanent**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **Yes**

Do any of the records covered by this item exist as structured electronic data? **Yes**

Disposition Instruction

If this item has multiple sections, indicate here records to which this section apply **Electronic Records**

Cutoff Instruction **Cutoff after each annual update.**

Transfer to the National Archives for Accessioning **Transfer to the National Archives 15 year(s) after cutoff**

Additional Information

First year of records accumulation **2015**

What will be the date span of the initial transfer of records to the National Archives? **From 2015 To 2015**

How frequently will your agency transfer these records to the National Archives? **Every 1 Years**

	Estimated Current Volume	Annual Accumulation
Electronic/Digital	1 GB	

Paper		
Microform		
Hardcopy or Analog Special Media		

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
09/19/2019	Certify	Patricia Bosco	Program Analyst	Information Technology - Records Management
10/15/2019	Return for Revision	Carly Docca	Archives Specialist	National Archives and Records Administration - ACRA
10/31/2019	Submit For Certification	Patricia Bosco	Program Analyst	Information Technology - Records Management
10/31/2019	Certify	Patricia Bosco	Program Analyst	Information Technology - Records Management
01/29/2020	Return for Revision	Richard Green	Archivist Specialist	National Archives and Records Administration - ACR3, Appraisal Team 3
02/11/2020	Submit For Certification	Patricia Bosco	Program Analyst	Information Technology - Records Management
02/11/2020	Certify	Patricia Bosco	Program Analyst	Information Technology - Records Management
06/04/2020	Submit for Concurrence	Richard Green	Archivist Specialist	National Archives and Records Administration - ACR3, Appraisal Team 3
06/16/2020	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
06/16/2020	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
06/17/2020	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist