

National Archives and Records Administration  
REQUEST FOR DISPOSITION AUTHORITY

Records Schedule Number: DAA-0511-2024-0001

Status: APPROVED  
Date Approved: 04/11/2024

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## General Information

Agency or Establishment	Substance Abuse and Mental Health Services Administration
Record/Scheduling Group	0511 - Records of the Alcohol, Drug Abuse, and Mental Health Administration
Records Schedule Applies To	Agency-wide
Schedule Subject	Buprenorphine Waiver Notification System (BWNS)
Additional Schedule Information	The Buprenorphine Waiver Notification System was used to track practitioner applications and approvals to prescribe Buprenorphine for treatment for Opioid Use Disorder. In 2023, congress passed the Consolidation Appropriation Act, which eliminated the requirement for practitioners to have a waiver to prescribe Buprenorphine. All practitioners who have a current DEA registration that includes Schedule II - V authority, may now prescribe buprenorphine for opioid use disorder in their practice if permitted by applicable state law.
Is There a Classified Version of This Schedule?	No
Is consultation and coordination with Tribal Governments required?	No - the records covered by this schedule do not implicate Tribal interests

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## Item Count

Total number of disposition items: 2

Number of Temporary disposition items: 2

Number of Permanent disposition items: 0

Number of Items with Disposition Not Approved: 0

Number of Inactive disposition items: 0

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Outline of Records Schedule Items for DAA-0511-2024-0001

<b>Item #</b>	<b>Title</b>	<b>Disposition</b>
0001	BWNS database and Files	Temporary
0002	Buprenorphine Waiver Files	Temporary

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Records Schedule Items

<b>DAA-0511-2024-0001-0001</b>		<b>STATUS: Active</b>
<b>ITEM GENERAL INFORMATION</b>		
Item Title	BWNS database and Files	
Item Description	<p>The BWNS was used to collect information from practitioners who wished to participate in the DATA Waiver program. This requirement was removed under the Consolidated Appropriations Act, 2023 and the database itself will no longer be maintained after April of 2024. Physicians who wished to apply for the waiver would provide their DEA registration number, state license number, full name, state where licensed, physical address, telephone number, fax number, and email address, training certificates, or board certification. Applicants were evaluated to determine if physicians qualified for administering, dispensing, and prescribing specific FDA approved controlled substances according to the terms of the Drug Addiction Treatment Act of 2000, and certify their waivers under the act. Records include the applications and supporting documents submitted by practitioners, as well as approval letters, Voluntary and Involuntary Surrender letters, decision-making documents, and other related records maintained within the system. Also included are locator files that are made available for public use on SAMHSA’s website. These locators are used to identify practitioners who can provide Buprenorphine treatment. Inclusion in the locator was opt-in or opt-out, so not all practitioners who received a waiver are listed and no new practitioners who were not previously waived can be added since the removal of the waiver requirement.</p>	
Is this item media neutral?	No	
Media limitation	Digital only	
Is this item a Big Bucket?	No	
<b>SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS</b>		
Does this item supersede existing disposition authorities?	No	
Is this item a deviation from the GRS?	No	
<b>DISPOSITION INSTRUCTION</b>		
Final Disposition	Temporary	
Cutoff Instructions	Cut off when system is decommissioned.	

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Retention Period	Other: Destroy 5 years after cutoff and the 5-year report to Congress is complete.
<b>ADDITIONAL INFORMATION</b>	
Are any of the records covered by this item national security classified?	No
GAO Approval Required	No

DAA-0511-2024-0001-0002	STATUS: Active
<b>ITEM GENERAL INFORMATION</b>	
Item Title	Buprenorphine Waiver Files
Item Description	This item includes records that are managed and stored outside of the BWNS. Some duplication may exist within BWNS, but not all records are in BWNS. Records include state licenses, training certificates, Voluntary/Involuntary Surrender letters, approval letters, and other related documents maintained by the Division of Pharmacological Therapies (DPT) within the Center for Substance Abuse Treatment (CSAT).
Is this item media neutral?	Yes
Is this item a Big Bucket?	No
<b>SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS</b>	
Does this item supersede existing disposition authorities?	No
Is this item a deviation from the GRS?	No
<b>DISPOSITION INSTRUCTION</b>	
Final Disposition	Temporary
Cutoff Instructions	Cut off after CSAT and the DEA stopped processing waiver applications (Jan. 2023).
Retention Period	Destroy 5 year(s) after cutoff
<b>ADDITIONAL INFORMATION</b>	
Are any of the records covered by this item national security classified?	No
GAO Approval Required	No

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Signatory Information

Action	User	Date
Accept	Bethany Reiswig	11/27/2023
Approve	Colleen Shogan	04/11/2024