

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> (See Instructions on reverse)		<b>LEAVE BLANK (NARA use only)</b>	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER NF-511-09-3	DATE RECEIVED 9/23/09
1 FROM (Agency or establishment) Department of Health and Human Services		<b>NOTIFICATION TO AGENCY</b>	
2 MAJOR SUBDIVISION Substance Abuse and Mental Health Services Administration (SAMHSA)		In accordance with the provisions of 44 USC 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
3 MINOR SUBDIVISION		DATE 10/10/2011	ARCHIVIST OF THE UNITED STATES 
4 NAME OF PERSON WITH WHOM TO CONFER Lynne Klein	5 TELEPHONE (240) 276-1129		

6 AGENCY CERTIFICATION  
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required,  is attached, or  has been requested

DATE 09/17/2009	SIGNATURE OF AGENCY REPRESENTATIVE 	TITLE HHS Records Officer
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7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	Electronic Records Schedule SAMHSA Drug Abuse Warning Network (DAWN) See attached		

## **SAMHSA OAS Drug Abuse Warning Network (DAWN)**

### **Description:**

DAWN is a large scale data collection system that tracks all types of drug-related emergency department (ED) visits and drug-related deaths, regardless of the drug involved or the reason for drug use. DAWN covers incidents related to non-medical use of prescription and over-the-counter medications, use of pharmaceuticals for legitimate therapeutic purposes, and drug abuse. In addition to the abuse of illegal drugs, DAWN collects cases related to overmedication, malicious poisoning, suicide attempts and underage alcohol use, as well as cases involving accidental ingestion or adverse reaction to prescription and over-the-counter medications or dietary supplements.

### ~~1 Inputs~~

~~DAWN files consist of data collection forms supplied by emergency rooms on an ongoing basis in selected standard metropolitan statistical areas, and randomly throughout the country.~~

~~Disposition TEMPORARY Retain in active file for six months, keep in inactive file and destroy when five years old. (Apply existing authority NC1-90-82-9, item 1b1)~~

### 2 Master Data Files

Data consists of date and time of visit, patient demographics (age, race/ethnicity, sex), zip code, a narrative case, description, chief complaints, type of case, diagnoses, disposition, and up to 7 drugs, their route of administration, and whether their presence was confirmed by toxicology. DAWN includes all types of drugs: illegal drugs of abuse, Prescription and over-the-counter medications, dietary supplements, non-pharmaceutical inhalants, alcohol in combination with other drugs (adults and children) and alcohol alone (age < 21).

Date Span 1972 to present, survey conducted annually  
Public Use files currently exist for 1994 and 1997

#### ~~a Raw Data Files~~

~~Disposition PERMANENT Cut off files annually Transfer to the National Archives three years after cutoff. (Apply existing authority NC1-90-82-9 item 1 b 3)~~

~~NOTE The agency cannot currently transfer raw data files due to access restrictions.~~

- b Public Use Data Files The public-use files do not contain indirect identifiers that would lead to the identification of individuals. Statistical disclosure limitation measures have been applied in such a way that all estimates from the public-use files are very close to those from the restricted-use files, and the public-use files have been weighted so that valid inferences can be made for the applicable geographic areas and populations. Some of these files are currently available to the public via the Substance Abuse and Mental Health Data Archive (<http://www.icpsr.umich.edu/SAMHDA/index.html>)

Disposition PERMANENT Cut off annually at the end of the fiscal year in which published. Transfer to the National Archives immediately after cutoff.

### ~~3 System Documentation~~

~~Data systems specifications, file specifications, codebooks, record layouts, user guides, output specifications, and final reports (regardless of medium) relating to a master file, database or other electronic records.~~

~~Disposition PERMANENT Transfer to NARA with related data (GRS 20, item 11a2)~~

### 4 Final Reports

Final reports include annual reports on drug-related Emergency Department trends, special topics reports, and method publications such as

- ED Trends is a publication that presents estimates of drug-related emergency department (ED) visits from the Drug Abuse Warning Network (DAWN)
- DAWN Mortality is an annual publication that contains profiles of drug-related deaths involving drug abuse that were identified and submitted by participating death investigation jurisdictions across the United States. DAWN cases reflect the number of drug abuse deaths reviewed, identified, and reported by participating medical examiners and coroners (ME/Cs) in selected metropolitan areas [This report is no longer created.]
- The DAWN Short Report (formerly New DAWN Report) – Special Topics Short publications that summarize DAWN findings on topics of interest or focused on particular metropolitan areas. The DAWN Report has focused on ED visits associated with club drugs, major

Attachment to SF 115, SAMHSA Drug Abuse Warning Network (DAWN)

drugs of abuse (cocaine, heroin, marijuana, and methamphetamine), and narcotic analgesics

- Publications that describe the statistical design, analyses, and approaches used in DAWN

Date Span 1972 to present, survey conducted annually

Disposition PERMANENT Cut off annually at the end of the fiscal year in which published Transfer to the National Archives immediately after cutoff