

Request for Records Disposition Authority

Records Schedule Number **DAA-0513-2016-0001**

Schedule Status **Approved**

Agency or Establishment **Indian Health Service**

Record Group / Scheduling Group **Records of the Indian Health Service**

Records Schedule applies to **Major Subdivision**

Major Subdivision **Office of the Director**

Minor Subdivision **Office of Tribal Self-Governance**

Schedule Subject **Self Determination**

Internal agency concurrences will be provided **No**

Background Information **The Office of Tribal Self-Governance (OTSG) is located in the Office of the Director, Indian Health Service (IHS). OTSG serves as the primary liaison and advocate for Indian tribes who opt to assume and manage their own health care programs, functions, services, and activities (PFSAs), or portions thereof, formerly managed by the Federal government. Tribes must request to enter the Tribal Self-Governance Program (TSGP) and may be eligible to participate in the program after completing a planning and preparation phase and demonstrating financial soundness and astute financial management skills three years prior to entering the program.**

Item Count

| Number of Total Disposition Items | Number of Permanent Disposition Items | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 1 | 0 | 1 | 0 |

GAO Approval

Outline of Records Schedule Items for DAA-0513-2016-0001

| Sequence Number | |
|-----------------|---|
| 1 | Self-Governance Records |
| 1 1 | Self-Governance Contract Agreements Files Disposition Authority Number: DAA-0513-2016-0001-0001 |

Records Schedule Items

| Sequence Number | |
|-----------------|---|
| 1 | <p>Self-Governance Records The records profiled in this schedule are created in accordance with the Indian Self-Determination and Education Act (ISDEAA), which permits Tribes with the option to exercise their right to self-determination by assuming control and management of programs previously administered by the Federal government.</p> |
| 1 1 | <p>Self-Governance Contract Agreements Files Disposition Authority Number DAA-0513-2016-0001-0001</p> <p>This series consists of case files documenting initial contract agreements between the IHS and Indian tribes and/or organizations on behalf of the tribes. The agreement allows Federally recognized tribes to receive full funding and control over programs, functions, services, and services (PFSAs), or portions thereof. Tabbed sections may contain, but are not limited to the following documents: compact/funding agreement; funding agreement tables; amendments or modifications, financial statements, correspondence, tribal resolutions, certifications, working papers, and other related documents.</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cut off at close of fiscal year of contract completion or modification.</p> <p>Transfer to Inactive Storage Transfer to a Federal Records Center 1 year after cutoff.</p> <p>Retention Period Destroy 25 year(s) after cutoff.</p> <p>Additional Information</p> <p>GAO Approval Not Required</p> |

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

| Date | Action | By | Title | Organization |
|------------|--------------------------|--------------------|---|--|
| 10/30/2015 | Certify | Christopher Forney | Records Management Officer | Indian Health Service - Division of Administrative Services |
| 11/04/2015 | Return for Revision | Tom Cotter | Appraiser | National Archives and Records Administration - Records Management Services |
| 11/04/2015 | Submit For Certification | Christopher Forney | Records Management Officer | Indian Health Service - Division of Administrative Services |
| 11/04/2015 | Certify | Christopher Forney | Records Management Officer | Indian Health Service - Division of Administrative Services |
| 04/05/2016 | Submit for Concurrence | Tom Cotter | Appraiser | National Archives and Records Administration - Records Management Services |
| 04/06/2016 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 04/07/2016 | Concur | Laurence Brewer | Director, National Records Management Program | National Archives and Records Administration - National Records Management Program |
| 04/08/2016 | Approve | David Ferriero | Archivist of the United States | Office of the Archivist - Office of the Archivist |