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NATIONAL ARCHIVES AND RECORDS ADMINISTRATION
Request for Records Disposition Authority

Records Schedule: DAA-0026-2018-0003

Request for Records Disposition Authority

Records Schedule Number DAA-0026-2018-0003
Schedule Status Returned Without Action

Agency or Establishment U.S. Coast Guard
Record Group / Scheduling Group Records of the U.S. Coast Guard
Records Schedule applies to Major Subdivision
Major Subdivision U.S. Coast Guard
Minor Subdivision Office of Enterprise Infrastructure Management (CG-93)
Schedule Subject Nationwide Automatic Identification System (NAIS)
Internal agency concurrences will be provided No

Background Information

Automatic Identification System (AIS) is a cooperative vessel tracking system whereby vessels transmit their position, identification, speed, course, cargo type and other information to other vessels in their area and to shore-based receivers within range of the vessel transmitters. The shore-based system complements other surveillance and intelligence systems in developing Maritime Domain Awareness (MDA) of the Nation's territorial waters and adjacent sea areas.

The Nationwide Automatic Identification System (NAIS) Project was initiated in response to the Maritime Transportation Security Act of 2002 to implement a system to collect, integrate, and disseminate information concerning vessels operating on, or bound for, waters subject to the jurisdiction of the United States to help establish effective MDA. The AIS is an international standard for ship-to-ship, ship-to-shore, and shore-to-ship data communication approved by the International Maritime Organization (IMO).

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
0	0	0	2

GAO Approval

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Outline of Records Schedule Items for DAA-0026-2018-0003

Sequence Number	
1	Acquisition Records for NAIS Disposition Authority Number: DAA-0026-2018-0003-0001
2	Contracting Files for NAIS Disposition Authority Number: DAA-0026-2018-0003-0002

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Records Schedule Items

Sequence Number

1

Acquisition Records for NAIS

Disposition Authority Number DAA-0026-2018-0003-0001

Acquisition records contain the original Program Management Office documentation pertaining to the development and deployment of the NAIS system. The examples of the records include the Program Management Plan, Integrated Logistics Systems Plan, key office documents with supporting records providing the history of the NAIS system.

Final Disposition Permanent

Item Status Withdrawn

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

Manual Citation	Manual Title
SSIC 16630 Vessel Traffic Management	Information and Life Cycle Management Manual, COMDTINST 5212.12A

Disposition Instruction

If this item has multiple sections, indicate here records to which this section apply Non-electronic Textual Records

Cutoff Instruction Transfer Acquisition Records to FRC Upon Termination of the NAIS Acquisition Cycle in CY-2018. Transfer permanently to NARA in 2028.

Transfer to the National Archives for Accessioning Transfer to the National Archives 10 year(s) after Cutoff in 2018

Additional Information

First year of records accumulation 2002

End year of records accumulation 2018

What will be the date span of the initial transfer of records to the National Archives? From 2002 To 2018

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How frequently will your agency transfer these records to the National Archives? **Every 10 Years**

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	15 Cubic feet	
Microform		
Hardcopy or Analog Special Media		

2

Contracting Files for NAIS

Disposition Authority Number **DAA-0026-2018-0003-0002**

Contracting Files include the contracts for the supporting and engineering services of the NAIS system.

Final Disposition **Temporary**

Item Status **Withdrawn**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

Manual Citation	Manual Title
SSIC 16630 Vessel Traffic Management	Information and Life Cycle Management Manual, COMDTINST M5212.12A

Disposition Instruction

Cutoff Instruction **Destroy 6 years after contract completion.**

Retention Period **Destroy 6 year(s) after contract completion.**

Additional Information

GAO Approval **Not Required**

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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
04/13/2018	Certify	Christopher Siebenschuh	Records Manager	CG 61 - CG 611
04/18/2018	Return Without Action	Lloyd Beers	Appraisal Archivist	National Archives and Records Administration - ACNR Records Management Services