

Request for Records Disposition Authority

Records Schedule Number	DAA-0560-2021-0001
Schedule Status	Approved
Agency or Establishment	Transportation Security Administration
Record Group / Scheduling Group	Records of the Transportation Security Administration
Records Schedule applies to	Major Subdivision
Major Subdivision	Operations Support
Minor Subdivision	Requirements and Capabilities Analysis (RCA)
Schedule Subject	Biometric and Biographic Passenger Screening Records
Internal agency concurrences will be provided	No
Background Information	<p>TSA's mission is to protect the nation's transportation systems to ensure freedom of movement for people and commerce. TSA aviation authorities extend to all passengers, regardless of citizenship, for both domestic and international flights, as well as individuals seeking to enter the sterile area of airports. As part of its efforts to secure aviation transportation, TSA also verifies passenger identities in order to grant access to airport sterile areas. The TSA Transportation Security Officer (TSO) performing Travel Document Checker (TDC) functions currently verifies identity at the checkpoint by manually validating the identity document and boarding pass presented by the passenger, comparing the photograph on a passenger's identity document to the passenger's face, and then comparing the document's biographic information to the biographic information on passenger's boarding pass. To improve the speed, efficiency, and security of TSA's identity verification process, TSA is exploring the use of biometric matching technologies, with a focus on facial modalities "as the primary means of identity verification for aviation security screening." For instance, TSA will use a self-service version of a Credential Authentication Technology (CAT) device equipped with a camera (CAT-2) to validate that the identity document presented by the passenger was authentic and to compare the passenger's live facial image against the image from the passenger's identity document.</p> <p>TSA is utilizing biometrics at the checkpoint or bag drop location to verify identity by performing one of two methods:</p> <p>(i) 1:1 matching – comparison of the real-time biometric (live photo) to the photograph on a passenger's identity document (reference photo)</p>

(ii) 1:n identification – comparison of the real-time biometric (live photo) collected from the passenger to a biometric of the passenger already accessible by DHS (reference photo)

The data is maintained under authority provided by: Aviation and Transportation Security Act of 2001 (Pub. L. 107-71, § 109(a)(7) (Nov. 19, 2001)), codified at 49 U.S.C. § 114 note; 49 U.S.C. § 44901.

This records schedule is only intended to cover biometric and limited biographical data obtained from the passenger at the checkpoint or at a bag drop location. For passenger data collected during airline reservations, the Secure Flight records schedule (N1-560-08-003) should continue to be followed. Testing data obtained from the passenger is covered by TSA's Operational Integration record series N1-560-04-14, Item 2. Transactional and testing IT data is covered by GRS 3.1 Item 20 and GRS 3.1 Item 011.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
4	0	4	0

GAO Approval

0001, 0002, 0003, 0004

Outline of Records Schedule Items for DAA-0560-2021-0001

Sequence Number	
1	Biometric Passenger Data
1.1	Biometric Passenger Data - 1:1 Matching Disposition Authority Number: DAA-0560-2021-0001-0001
1.2	Biometric Passenger Data - 1:n Identification Disposition Authority Number: DAA-0560-2021-0001-0002
2	Biographic Passenger Data
2.1	Biographic Passenger Data - 1:1 Matching Disposition Authority Number: DAA-0560-2021-0001-0003
2.2	Biographic Passenger Data - 1:n Identification Disposition Authority Number: DAA-0560-2021-0001-0004

Records Schedule Items

Sequence Number	
1	<p>Biometric Passenger Data Biometric passenger data consists of physical characteristics like – but not limited to – fingerprint, iris patterns, or facial features that can be used to identify an individual through automated recognition. Use of the facial modality includes three types of photographs: one, live photo of passengers taken at the checkpoint; two, the scanned photograph from the identity document or electronic photograph from a digital ID, which that will be used as the reference photograph for 1:1 matching solution.</p>
1.1	<p>Biometric Passenger Data - 1:1 Matching Disposition Authority Number DAA-0560-2021-0001-0001</p> <p>Biometric passenger data, i.e. physical characteristics that can be used to identify an individual through automated recognition. Use of the facial modality currently includes photographs (as stated in the overview). For 1:1 matching, TSA will not retain the live photo and reference photo from scanned physical identity documents or digital IDs because they are not needed for operational purposes.</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cut off after completion of identity verification transaction.</p> <p>Retention Period Destroy/delete upon cutoff.</p> <p>Additional Information</p> <p>GAO Approval Required and Received</p>
1.2	<p>Biometric Passenger Data - 1:n Identification Disposition Authority Number DAA-0560-2021-0001-0002</p>

For 1:n identification, TSA will partner with CBP to leverage CBP's Traveler Verification Service (TVS) as the matching engine to compare the live photo to the reference photo. CBP will be staging the reference photos by pulling them from U.S. Government databases to which they have access. CBP purges all photos, regardless of immigration or citizenship status, from TVS within 12 hours. CBP is providing its TVS as a service to TSA and makes no further use of the data. TSA will delete passenger photographs from the technical infrastructure communicating with CBP TVS within 24 hours after a passenger's scheduled departure time.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes

Do any of the records covered by this item exist as structured electronic data? Yes

Disposition Instruction

Cutoff Instruction Cut off after completion of identity verification transaction.

Retention Period Destroy/delete reference photo within 24 hours after scheduled departure time.

Additional Information

GAO Approval Required and Received

2

Biographic Passenger Data

Biographical information for 1:1 matching is information about an individual's demographic background such as name, date of birth, and gender collected at the point of transaction. For 1:n identification, biographical information also consists of an individual's passport number, passport country, and TSA PreCheck® enrollment (e.g. known traveler number).

2.1

Biographic Passenger Data - 1:1 Matching

Disposition Authority Number DAA-0560-2021-0001-0003

For 1:1 verification, biographic information is used to correlate a passenger's identity to their Secure Flight vetting status. TSA will delete biographic information after identity verification is completed.

Final Disposition Temporary

Item Status Active

2.2	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
	Do any of the records covered by this item exist as structured electronic data?	Yes
	Disposition Instruction	
	Cutoff Instruction	Cut off after completion of identity verification transaction.
	Retention Period	Destroy/delete upon cutoff.
	Additional Information	
	GAO Approval	Required and Received
	Biographic Passenger Data - 1:n Identification	
	Disposition Authority Number	DAA-0560-2021-0001-0004
	TSA will retain biographic information for no more than 24 hours after scheduled departure time to accommodate passengers that may require rescreening due to security events or when they decide to leave the sterile area for various reasons prior to their flight.	
	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
	Do any of the records covered by this item exist as structured electronic data?	Yes
	Disposition Instruction	
	Cutoff Instruction	Cut off after completion of identity verification transaction.
	Retention Period	Destroy/delete within 24 hours after scheduled departure time.
	Additional Information	
GAO Approval	Required and Received	

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
07/29/2021	Certify	Angeline Garrett	Records Specialist	DHS - TSA
02/07/2023	Submit for Concurrency	Rania Mahmoud	Appraisal Archivist	National Archives and Records Administration - Records Management Services
02/08/2023	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
02/09/2023	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
02/12/2023	Approve	Debra Wall	Deputy Archivist	National Archives and Records Administration - ND Archives I Office