

Request for Records Disposition Authority
(See Instructions on reverse)

Leave Blank (NARA use only)

Job Number **NI-567-09-3**

To National Archives and Records Administration (NIR)
8601 Adelphi Road, College Park, MD 20740-6001

Date Received **6/12/09**

1 From (Agency or establishment)
Immigration and Customs Enforcement (ICE)

Notification to Agency
In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10

2 Major Subdivision
Office of Investigations (OI)

3 Minor Subdivision
Trade Transparency Unit (TTU)

4 Name of Person with whom to confer
Joseph M Gerhart

5 Telephone (include area code)
202-732-6337

Date **11-9-09** Archivist of the United States
Adrienne Thomas

6 Agency Certification

I hereby certify that I am authorized to act for this agency in the matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 5 page(s) are not needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required, is attached, or has been requested

Signature of Agency Representative

Title
Chief, Records Management Branch

Date (mm/dd/yyyy)

W. J. [Signature]

7 Item Number	8 Description of Item and Proposed disposition	9 GRS OR Superseded Job Citation	10 Action taken (NARA Use Only)
1	<p>See attached sheet(s) for</p> <p>Data Analysis and Research for Trade Transparency System (DARTTS)</p> <p>Note: *System Inputs and Outputs covered by GRS 20</p>		

U.S. Department of Homeland Security

Headquarters Systems Schedules

Immigration and Customs Enforcement (ICE)

Data Analysis and Research for Trade Transparency System

NARA # NI-567-09-3

The U.S. Immigration and Customs Enforcement (ICE), Office of Investigations (OI), Trade Transparency Unit (TTU) operates the Data Analysis and Research for Trade Transparency System (DARTTS), which supports ICE investigations of trade-based money laundering, contraband smuggling, and trade fraud. DARTTS analyzes trade and financial data to identify statistically anomalous transactions that may warrant investigation for money laundering or other import-export crimes. These anomalies are then independently confirmed and further investigated by ICE investigators.

As part of the investigative process, ICE investigators and analysts must understand the relationship between importers and exporters and the financing for a set of trade transactions to determine which transactions are suspicious and warrant investigation. If performed manually, this process often involves hours of analysis of data. DARTTS is specifically designed to make this investigative process more efficient by automating the analysis and identification of anomalies for the investigator.

DARTTS can also conduct link analysis, which identifies links (relationships) between individuals and/or entities based on commonalities, such as identification numbers, addresses, names, or other information.

① Master File / Data

DARTTS maintains routinely received bulk financial and trade information, which is collected by other agencies and foreign governments (raw data). The raw data contains the following personally identifiable information (PII):

- Names and addresses (home or business) of importers, exporters, brokers, and consignees
- Importer IDs
- Exporter IDs
- Broker IDs
- Manufacturer IDs

Disposition

Temporary

a Case Related Data

Cutoff at the end of calendar year case closes. Destroy 10 years after cutoff.

b All Other Data

Cutoff at the end of calendar year of receipt of data. Destroy 3 years after cutoff.

**U.S. Department of Homeland Security
Headquarters Systems Schedules
Immigration and Customs Enforcement (ICE)**

NARA Job No. NI-567-09-3

Foreign Trade Data

- Names of importers, exporters, and brokers
- Addresses of importers and exporters
- Importer IDs
- Exporter IDs
- Broker IDs
- Manufacturer IDs

U S Financial Data

- Names of individuals engaging in financial transactions that are reportable under the Bank Secrecy Act
- Addresses
- Social Security/Taxpayer Identification Numbers
- Passport number and country of issuance
- Bank account numbers
- Party names and addresses
- Owner names and addresses