

National Archives and Records Administration
REQUEST FOR DISPOSITION AUTHORITY

Records Schedule Number: DAA-0568-2019-0004

Status: APPROVED
Date Approved: 02/09/2024

General Information

Agency or Establishment	U.S. Customs and Border Protection
Record/Scheduling Group	0568 - Records of the Bureau of Customs and Border Protection
Records Schedule Applies To	Agency Subdivision
Major Subdivision	Office of Trade
Schedule Subject	Trade Allegation Records
Additional Schedule Information	CBP has the responsibility through the Trade Facilitation and Trade Enforcement Act of 2015 (TFTEA), to protect national economic security, facilitate fair trade, support the health and safety of all people, and ensure a level playing field for U.S. industry. Title IV of TFTEA, Prevention of Evasion of Antidumping and Countervailing Orders tasks CBP with preventing evasion of duties imposed by antidumping and countervailing orders. Pursuant to Section 307 of the Tariff Act of 1930, CBP prohibits importation of merchandise made with forced labor. CBP facilitates trade allegations through an online portal, eAllegations, that feeds those allegations to internal CBP investigation tracking databases depending on the type of allegation.
Is There a Classified Version of This Schedule?	No
Is consultation and coordination with Tribal Governments required?	No - the records covered by this schedule do not implicate Tribal interests

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Item Count

Total number of disposition items: 4
Number of Temporary disposition items: 2
Number of Permanent disposition items: 2
Number of Items with Disposition Not Approved: 0
Number of Inactive disposition items: 0

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Outline of Records Schedule Items for DAA-0568-2019-0004

Item #	Title	Disposition
0001	Trade Allegation Tracking Records	Temporary
0002	Enforce and Protect Act (EAPA) Investigation Case Records	Temporary
0003	Enforce and Protect Act (EAPA) Notices of Action Records	Permanent
0004	Forced Labor Investigation Case Records	Permanent

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Records Schedule Items

DAA-0568-2019-0004-0001		STATUS: Active
ITEM GENERAL INFORMATION		
Item Title	Trade Allegation Tracking Records	
Item Description	<p>CBP receives allegations and tracks trade violations including: counterfeit trademark and piratical copyright; misclassification, mis-description, and undervaluation of merchandise; missing or false country of origin markings; evasion of anti-dumping/countervailing duties; smuggling, prohibited items; health and safety violations; textiles and apparel violations; false Free Trade Agreement and preferential claims; general duty evasion; and other trade-related violations. The records include original and revised allegations, investigation assignment, and investigation status, and may include supporting materials for allegations including but not limited to: correspondence, photographic evidence, research, analysis papers, summaries, notifications, and other supporting documentation. Investigative files including threat analyses, internal analysis, two years of import history, and applicable correspondence may also be included in the tracking record.</p>	
Is this item media neutral?	Yes	
Is this item a Big Bucket?	No	
SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS		
Does this item supersede existing disposition authorities?	No	
Is this item a deviation from the GRS?	No	
DISPOSITION INSTRUCTION		
Final Disposition	Temporary	
Cutoff Instructions	Other: Cut off at close of case or completion of litigation and all appeals, whichever is appropriate.	
Retention Period	Destroy 10 year(s) after cutoff.	
ADDITIONAL INFORMATION		
Are any of the records covered by this item national security classified?	No	
GAO Approval Required	No	

DAA-0568-2019-0004-0002	STATUS: Active
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ITEM GENERAL INFORMATION	
Item Title	Enforce and Protect Act (EAPA) Investigation Case Records
Item Description	Investigations of evasion of anti-dumping/countervailing duty allegations received from identified allegeders. The records include the original allegation and investigation records including but not limited to: correspondence, requests for information, lab results, memos to the file, verification reports, photographic evidence, research, analysis papers, summaries, notifications, and other supporting documentation.
Is this item media neutral?	Yes
Is this item a Big Bucket?	No
SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS	
Does this item supersede existing disposition authorities?	No
Is this item a deviation from the GRS?	No
DISPOSITION INSTRUCTION	
Final Disposition	Temporary
Cutoff Instructions	Other: Cut off at close of case or completion of litigation and all appeals, whichever is appropriate.
Retention Period	Destroy 10 year(s) after cutoff.
ADDITIONAL INFORMATION	
Are any of the records covered by this item national security classified?	No
GAO Approval Required	No

DAA-0568-2019-0004-0003	STATUS: Active
ITEM GENERAL INFORMATION	
Item Title	Enforce and Protect Act (EAPA) Notices of Action Records
Item Description	EAPA investigation Notices of Action including: Notices of Investigation and/or Interim Measures that notify importer(s) they are under investigation; Notices of Covered Merchandise Referral for cases referred to Commerce if the scope (type of merchandise) requires clarification; and Notices of Determination as to Evasion- the final determination at the close of the investigation.
Is this item media neutral?	Yes
Is this item a Big Bucket?	No
SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS	

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Does this item supersede existing disposition authorities?	No
Is this item a deviation from the GRS?	No
DISPOSITION INSTRUCTION	
Final Disposition	Permanent
Cutoff Instructions	Other: Cut off upon publication of notice.
Are there multiple instructions for this item?	No
Transfer Instruction	Transfer to the National Archives 20 year(s) after cutoff
ADDITIONAL INFORMATION	
Current Records Format	Textual data:20 MB
Approximate first year of records covered by this authority	2016
End year of records covered by this authority	Still being created
Date span of the initial transfer	From: --/--/2016 To: --/--/2021
Frequency of transfer	5
Are any of the records covered by this item subject to a FOIA exemption?	No

DAA-0568-2019-0004-0004	STATUS: Active
ITEM GENERAL INFORMATION	
Item Title	Forced Labor Investigation Case Records
Item Description	Records of investigations of goods imported or likely to be imported into the United States made, mined, or produced in whole or part with forced labor based on allegations received from external parties or self-initiation. The records include the original allegation and investigation records including but not limited to: correspondence, requests for information, lab results, memos to the file, verification reports, photographic evidence, research, analysis papers, summaries, notifications, and other supporting documentation. Records also include enforcement actions such as Withhold Release Orders, Findings, and modifications or revocations of these.
Is this item media neutral?	Yes
Is this item a Big Bucket?	No
SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS	
Does this item supersede existing disposition authorities?	No

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Is this item a deviation from the GRS?	No
DISPOSITION INSTRUCTION	
Final Disposition	Permanent
Cutoff Instructions	Other: Cut off at revocation of Withhold Release Order or Finding, or at modification for all entities subject to the Withhold Release Order or Finding.
Are there multiple instructions for this item?	No
Transfer Instruction	Transfer to the National Archives 30 year(s) after cutoff
ADDITIONAL INFORMATION	
Current Records Format	Textual data:2 GB ; Digital audio:8 GB ; Digital moving pictures:20 GB ; Digital still images:4 GB ; Geospatial formats:1 GB ; Presentation formats:1 GB ; Structured data formats:1 GB ; Email:1 GB ; Web records:1 GB
Approximate first year of records covered by this authority	2018
End year of records covered by this authority	Still being created
Date span of the initial transfer	From: --/--/2018 To: --/--/2018
Frequency of transfer	1
Are any of the records covered by this item subject to a FOIA exemption?	Yes
FOIA Exemption(s)	FOIA (b)(4) Trade Secrets and Commercial or Financial Information, FOIA (b)(7c) Law Enforcement, FOIA (b)(7f) Law Enforcement, FOIA (b)(5) Inter-agency or Intra-agency Memorandums or Letters Not Available by Law

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Signatory Information

Action	User	Date
Accept	Data Migration	09/28/2020
Approve	Colleen Shogan	02/09/2024