

REQUEST FOR RECORDS DISPOSITION AUTHORITY

(See Instructions on reverse)

LEAVE BLANK

JOB NO.

NI-317-93-1

DATE RECEIVED

11-23-92

TO: **GENERAL SERVICES ADMINISTRATION
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1. FROM (Agency or establishment)
U.S. Department of Labor

NOTIFICATION TO AGENCY

2. MAJOR SUBDIVISION

Pension and Welfare Benefits Administration

3. MINOR SUBDIVISION

Office of Exemption Determinations (OED)

In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.

4. NAME OF PERSON WITH WHOM TO CONFER

5. TELEPHONE EXT.

DATE

ARCHIVIST OF THE UNITED STATES

John Malagrín

202 219-9211

for
3/29/93

James D. Moore

6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence: is attached; or is unnecessary.

B. DATE

C. SIGNATURE OF AGENCY REPRESENTATIVE

D. TITLE

11/17/92

[Signature]

Records Officer

7. ITEM NO.

8. DESCRIPTION OF ITEM
(With Inclusive Dates or Retention Periods)

9. GRS OR SUPERSEDED JOB CITATION

10. ACTION TAKEN (NARS USE ONLY)

1

PWBA RECORDS SCHEDULE NO. 1

Exemption Petition File (OED). Consists of requests for exemptions from the prohibited transaction provisions of ERISA and/or the Internal Revenue Code. Petitions result in either granting or denying the exemption. Filed numerically by exemption request case number.

NN-166-161
item 6C

Disposition. Remove from active file when case is resolved, hold two (2) years, transfer to WNRC for retention for twenty ~~five~~ ^{three} (25) additional years. Twenty-five (25) year retention period based on the fact that the exemption process is based solely on the record comprising the files. Public frequently requests access to back files to assist them in submitting similar requests. Records often needed for enforcement and litigation purposes. Staff of OED uses files as background to current exemption requests that are under active consideration.

copy as per phone conversation w/ John Malagrín, PWBA 11/30/92

Copies sent to agency, NCF 4/5/93