

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-317-85-02

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 5/3/2022

## **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 2(1) and 2(2)

## **SUPERSEDED AND OBSOLETE ITEMS**

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1a1 is superseded by N1-317-09-002, Item 1a1

Item 1a2 is superseded by N1-317-09-002, Item 1a2

Item 1b1 is superseded by N1-317-09-002, Item 1b1

Item 1b2 is superseded by N1-317-09-002, Item 1b2

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**

(See Instructions on reverse)

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JOB NO. **NCL-317-85-2**

TO: **GENERAL SERVICES ADMINISTRATION  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

DATE RECEIVED  
**5-6-85**

1. FROM (Agency or establishment)

NOTIFICATION TO AGENCY

2. MAJOR SUBDIVISION  
**U. S. Department of Labor**

3. MINOR SUBDIVISION  
**Office of Pension and Welfare Benefit Programs**

4. NAME OF PERSON WITH WHOM TO CONFER  
**Ursaline Sutson**

5. TELEPHONE EXT. **523-6471**

DATE **12-3-85**

ARCHIVIST OF THE UNITED STATES  
*James A. Bunde*

6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of **34** page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence:  is attached; or  is unnecessary.

B. DATE

C. SIGNATURE OF AGENCY REPRESENTATIVE

D. TITLE

**4/29/85**

*Violet Graham*

DOL Records Officer **523-6312**

7. ITEM NO.

8. DESCRIPTION OF ITEM  
(With Inclusive Dates or Retention Periods)

9. GRS OR SUPERSEDED JOB CITATION

10. ACTION TAKEN (NARS USE ONLY)

OPWBP Records Schedule No. 1

The Office of Pension and Welfare Benefit Programs (OPWBP) provides for the administration and enforcement of the Employee Retirement Income Security Act of 1974 (ERISA), approved September 2, 1974 (88 Stat. 829; 29 U.S.C. 1001 note). The Act is administered by the Department of Labor and Treasury, and the Pension Benefit Guaranty Corporation and protects the financial interest of 4.5 million welfare and pension plans with 200,000 million participants and assets of \$920 billion. The Welfare and Pension Plans Disclosure Act (WPPDA) and its reporting provisions, were repealed by the ERISA on January 1, 1975.

*NARA (represented by R. Storm, archival appraiser) and DOL (represented by V. Graham, record manager) have agreed to all changes in this proposed disposition schedule.*

*R. Storm  
May 23, 1985*

*Violet Graham  
5/30/85*

*6 items*

REQUEST FOR RECORDS DISPOSITION AUTHORITY - CONTINUATION

JOB NO.  
NC1-317-85-2

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
	<p>1. Investigative Case Files. Correspondence complaints, investigative reports, and exhibits relating to ERISA investigations. Arranged numerically by case number.</p> <p>a. Primary Case Files. Accumulated by office assigned primary investigative responsibility.</p> <p><u>Disposition.</u></p> <p>(1) <u>Cases referred for legal action.</u> Cut off and remove from active files when litigation is completed. Transfer to Federal Records Center when one (1) year old. Destroy when seven (7) years old.</p> <p>(2) <u>All other records.</u> Cut off and remove from active files when case is closed. Transfer to Federal Records Center when one (1) year old. Destroy when seven (7) years old.</p> <p>b. Auxiliary Case Files. Accumulated by office assigned auxiliary investigative responsibility.</p> <p><u>Disposition.</u></p> <p>(1) <u>Original exhibits and other records necessary to document the investigation.</u> Remove from active files when case is closed. Forward to office having primary investigative responsibility, which will incorporate these records into primary case files (items 1a(1) and 1a(2) above).</p> <p>(2) <u>All other records.</u> Remove from active files when case is closed. Destroy when one (1) year old.</p> <p>2. <u>Intelligence (Zero) Files</u> Correspondence, newspaper clippings, and other records that document general intelligence information concerning individuals and organizations either subject to provisions of ERISA or parties relevantly associated with the Act.</p>	<p>NN166-161 and NC1-317-81-1</p> <p>NN166-161</p>	

**REQUEST FOR RECORDS DISPOSITION AUTHORITY - CONTINUATION**

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7. ITEM NO.	8. DESCRIPTION OF ITEM <i>(With Inclusive Dates or Retention Periods)</i>	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN <i>(NARS USE ONLY)</i>
	<p><u>Dispositions.</u></p> <p>(1) <u>Documents forming a basis for investigative actions.</u> Transfer to the appropriate investigative case files (items 1a and 1b above).</p> <p>(2) <u>All other records.</u> Cut off annually. Destroy when three (3) years old. Do not retire to the Federal Records Centers.</p>		