

Request for Records Disposition Authority

Records Schedule Number **DAA-0059-2011-0015**
Schedule Status **Approved**

Agency or Establishment **Department of State**
Record Group / Scheduling Group **General Records of the Department of State**
Records Schedule applies to **Major Subdivision**
Major Subdivision **Bureau of Educational and Cultural Affairs**
Minor Subdivision **Office of English Language Programs**
Schedule Subject **Office of English Language Programs (ECA/A/L) Records**
Internal agency concurrences will be provided **No**

Background Information

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
14	1	13	0

GAO Approval

Outline of Records Schedule Items for DAA-0059-2011-0015

Sequence Number	
1	ENGLISH LANGUAGE MATERIALS DEVELOPMENT BRANCH (ECA/A/L/M)
1 1	E-Journals Disposition Authority Number DAA-0059-2011-0015-0006
1 2	Field Research Disposition Authority Number DAA-0059-2011-0015-0012
1 3	English Teaching Forum (periodical)
1 3 1	Record set Disposition Authority Number DAA-0059-2011-0015-0004
1 3 2	All other copies Disposition Authority Number DAA-0059-2011-0015-0005
1 4	Curriculum Materials
1 4 1	Hard-copy and online materials Disposition Authority Number DAA-0059-2011-0015-0007
1 4 2	Audio-visual materials Disposition Authority Number DAA-0059-2011-0015-0008
1 5	Production and Distribution Files
1 5 1	Printing and shipping orders, distribution documents, orders from posts, supply requisitions, galleys and portions of drafts Disposition Authority Number DAA-0059-2011-0015-0009
1 5 2	Planning files Disposition Authority Number DAA-0059-2011-0015-0010
1 5 3	Permission and license agreements Disposition Authority Number DAA-0059-2011-0015-0011
1 6	Social Media
1 6 1	Webinars Disposition Authority Number DAA-0059-2011-0015-0013
1 6 2	Social Media Management and Operations Records Disposition Authority Number DAA-0059-2011-0015-0014
2	RECORDS COMMON TO THE ENGLISH LANGUAGE MATERIALS DEVELOPMENT BRANCH (ECA/A/L/M) and ENGLISH LANGUAGE PROGRAMS BRANCH (ECA/A/L/W)
2 1	Program History File Disposition Authority Number DAA-0059-2011-0015-0017
2 2	American and Foreign Grantee Files
2 2 1	Files not duplicated elsewhere in ECA or at Post

2 2 2

Disposition Authority Number DAA-0059-2011-0015-0015

Office copies

Disposition Authority Number DAA-0059-2011-0015-0016

Records Schedule Items

Sequence Number	
1	ENGLISH LANGUAGE MATERIALS DEVELOPMENT BRANCH (ECA/A/L/M)
1 1	E-Journals
	Disposition Authority Number DAA-0059-2011-0015-0006
	Online-only compilations of pedagogical materials, providing background information, lesson planning, activities, references and resources. Materials are grouped under two broad categories: Language and Civil Society and Language and Life Sciences.
	Final Disposition Temporary
	Item Status Active
	Is this item media neutral? Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes
	Do any of the records covered by this item exist as structured electronic data? No
	Disposition Instruction
	Cutoff Instruction Unknown
	Transfer to Inactive Storage Unknown
	Retention Period Delete when superseded or no longer needed for business purposes
	Additional Information
	GAO Approval Not Required
1 2	Field Research
	Disposition Authority Number DAA-0059-2011-0015-0012
	Electronic and hard-copy files maintained for reference and development
	Final Disposition Temporary
	Item Status Active
	Is this item media neutral? Yes
	Do any of the records covered by this item currently exist in

	electronic format(s) other than e-mail and word processing?	
	Do any of the records covered by this item exist as structured electronic data?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy/delete when business purposes have been served
	Additional Information	
	GAO Approval	Not Required
13	English Teaching Forum (periodical) Quarterly journal for teachers of English as a second or foreign language Begun in 1962, name changed to Forum in 1963 Available electronically beginning in 1993 A complete run in hard copy is maintained in the office	
131	Record set	
	Disposition Authority Number	DAA-0059-2011-0015-0004
	Official set of English Teaching Forum Record keeping copy is limited to paper for this item	
	Final Disposition	Permanent
	Item Status	Active
	Is this item media neutral?	No
	Explanation of limitation	Record keeping copy is limited to paper for this item
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Transfer to the National Archives for Accessioning	Transfer one set of all available issues to the National Archives upon approval of this schedule Transfer future issues in 5-year blocks or upon accumulation of one cubic foot
	Additional Information	

	First year of records accumulation	1962
	What will be the date span of the initial transfer of records to the National Archives?	Unknown Unknown
	How frequently will your agency transfer these records to the National Archives?	Unknown Unknown
1 3 2	All other copies	
	Disposition Authority Number	DAA-0059-2011-0015-0005
	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
	Do any of the records covered by this item exist as structured electronic data?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy or delete when business purposes have been served
	Additional Information	
	GAO Approval	Not Required
1 4	Curriculum Materials	
	Literary and other compilations for classroom use, edited for fluency level, background and pedagogical materials for instructors' use, posters and audiovisual materials Production ranges from titles produced in-house to those produced entirely under contract Sources are both public domain and copyright	
1 4 1	Hard-copy and online materials	
	Disposition Authority Number	DAA-0059-2011-0015-0007
	Final Disposition	Temporary
	Item Status	Active

	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
	Do any of the records covered by this item exist as structured electronic data?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy or delete when superseded or business purposes have been served
	Additional Information	
	GAO Approval	Not Required
1 4 2	Audio-visual materials	
	Disposition Authority Number	DAA-0059-2011-0015-0008
	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy or delete when superseded or business purposes have been served
	Additional Information	
	GAO Approval	Not Required
1 5	Production and Distribution Files	
1 5 1	Printing and shipping orders, distribution documents, orders from posts, supply requisitions, galleys and portions of drafts	
	Disposition Authority Number	DAA-0059-2011-0015-0009

	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy 1 year after completion of job, or when business purposes have been served, whichever is longer
	Additional Information	
	GAO Approval	Not Required
1 5 2	Planning files	
	Disposition Authority Number	DAA-0059-2011-0015-0010
	Files that support the creation of marketing and publication material May include drafts, reference copies, and other research material	
	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy when 3 years old, or when business purposes have been served, whichever is longer
	Additional Information	
	GAO Approval	Not Required
1 5 3	Permission and license agreements	

Disposition Authority Number **DAA-0059-2011-0015-0011**
Permission and license agreements related to various ECA publications

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **No**

Disposition Instruction

Cutoff Instruction **Break files annually by fiscal year Retain in office until agreement has expired**

Transfer to Inactive Storage **Unknown**

Retention Period **Destroy one year after expiration of agreement, or when business purposes have been served, whichever is longer**

Additional Information

GAO Approval **Not Required**

16

Social Media

ECA/A/L established an online site ('ning'), "Shaping the Way We Teach English," which is also the title of a hardcopy/video series of pedagogical materials. Contents include interactive webinar series with related materials such as polls and quizzes, comments and responses from other participants and ECA staff, material posted independently by participants, and subsequent discussion threads

161

Webinars

Disposition Authority Number **DAA-0059-2011-0015-0013**

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **Yes**

Do any of the records covered by this item exist as structured electronic data? **No**

Disposition Instruction

1 6 2

Cutoff Instruction **Cut off annually**
 Transfer to Inactive Storage **Unknown**
 Retention Period **Destroy/delete after 3 years or when no longer needed, whichever is later**
 Additional Information

GAO Approval **Not Required**

Social Media Management and Operations Records

Disposition Authority Number **DAA-0059-2011-0015-0014**

Documents created or received in the development, design, implementation, operations and maintenance of the site

Final Disposition **Temporary**

Item Status **Active**

Is this item media neutral? **Yes**

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? **Yes**

Do any of the records covered by this item exist as structured electronic data? **No**

Disposition Instruction

Cutoff Instruction **Unknown**

Transfer to Inactive Storage **Unknown**

Retention Period **Destroy/delete upon authorized deletion of the related social media content or when business purposes have been served**

Additional Information

GAO Approval **Not Required**

2 **RECORDS COMMON TO THE ENGLISH LANGUAGE MATERIALS DEVELOPMENT BRANCH (ECA/A/L/M) and ENGLISH LANGUAGE PROGRAMS BRANCH (ECA/A/L/W)**

2 1 **Program History File**

Disposition Authority Number **DAA-0059-2011-0015-0017**

Reports and other background material maintained for context, assistance to program participants, and ease of reference

	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy/delete when superseded, obsolete or no longer needed for business purposes
	Additional Information	
	GAO Approval	Not Required
2 2	American and Foreign Grantee Files	
	Contain individual grantee program or project files and team programs involving more than one grantee on all categories of grantees. Records include grantees' application, biographic data sheet, security clearance, grant award, reports of study or training, evaluation data, and related correspondence	
2 2 1	Files not duplicated elsewhere in ECA or at Post	
	Disposition Authority Number	DAA-0059-2011-0015-0015
	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	Disposition Instruction	
	Cutoff Instruction	Unknown
	Transfer to Inactive Storage	Unknown
	Retention Period	Destroy/delete 10 years after termination of grant
	Additional Information	
	GAO Approval	Not Required

2 2 2

Office copies

Disposition Authority Number	DAA-0059-2011-0015-0016
Final Disposition	Temporary
Item Status	Active
Is this item media neutral?	Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
Disposition Instruction	
Cutoff Instruction	Unknown
Transfer to Inactive Storage	Unknown
Retention Period	Destroy/delete 3 years after termination of grant
Additional Information	
GAO Approval	Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified

Signatory Information

Date	Action	By	Title	Organization
07/26/2011	Certify	Tasha Thian	Agency Records Officer	A/GIS - A/GIS/IPS/RA
12/12/2012	Submit for Concurrence	Sean Curry	Senior Records Analyst	National Archives and Records Administration - Agency Services
12/19/2012	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - Records Management Services
12/19/2012	Concur	Laurence Brewer	Director, National Records Management Program	National Archives and Records Administration - National Records Management Program
12/27/2012	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist