

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER	N1-59-94-24
1. FROM (Agency or establishment) Department of State		DATE RECEIVED	6/21/95
2. MAJOR SUBDIVISION Bureau of Economic & Business Affairs		NOTIFICATION TO AGENCY	
3. MINOR SUBDIVISION Internat'l Finance & Development Office of Investment Affairs (EB/IFD/OIA)		In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
4. NAME OF PERSON WITH WHOM TO CONFER Marria Braden	5. TELEPHONE .647-6011	DATE 7/14/95	ARCHIVIST OF THE UNITED STATES <i>John W. Carl</i>

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 5 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE 6/16/95	SIGNATURE OF AGENCY REPRESENTATIVE <i>Kenneth F. Rossman</i>	TITLE Department of State Records Officer
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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
See attached.	<p style="font-size: 1.2em; margin-top: 20px;"><i>Copies sent to agency, NCF, NNT, NIA 7/19/95</i></p>		

**INTERNATIONAL FINANCE AND DEVELOPMENT
OFFICE OF INVESTMENT AFFAIRS**

1. Country Files

a. International Investment (General)

Documents reflect telegrams, memorandums and reports on annual labor, Multinational Development Bank (MDB) projects, consultations on financial services, briefing papers, privatization and deregulation, economic trends, Enterprise for the Americas Initiative (EAI) negotiations, legislation, debt reduction, national trade estimate comments, trade and tax measures, position papers, policy changes, foreign economic trends, commercial environmental issues for U.S. companies, bilateral trade talks, trade and investment council meetings, investment policy, bilateral export subsidies agreements, investment profiles, confiscation of property, insurance claims and contracts, operating problems, payment disputes, Overseas Private Investment Corporation (OPIC) support and related correspondence.

DISPOSITION: Permanent. Block files annually. Transfer to the RSC when 2 years old for transfer to the WNRC. Transfer to the National Archives when 30 years old.

b. Expropriation Cases

Documents reflect the takeover of property of U.S. firms abroad. Included are talking points, Expropriation Committee reviews, appraisal of assets, investment agreements and disputes, status of negotiations, imposed sanctions on foreign aid and development bank lending, follow-up issues, insurance claims and related correspondence.

DISPOSITION: Permanent. Transfer to the RSC once case has been resolved or when 5 years old for transfer to the WNRC. Transfer to the National Archives 30 years after termination of the case.

c. Bilateral Investment Treaties (BIT)

Documents reflect bilateral investment treaties and investment issues arising under Treaties of Friendship, Commerce and Navigation. Included are negotiating rounds, coordination efforts, preparation for senate hearings, senate ratifications, talking points, background information, discussion agendas, Circular 175 Authorizations for the negotiation and conclusion of a treaty on protection of investments, interagency bilateral investment treaty working group documents and related correspondence.

DISPOSITION: Permanent. Transfer to the RSC 2 years after treaty comes into force for transfer to the WNRC. Transfer to the National Archives 20 years after termination of agreement.

2. Tax Treaty Files

Treaties between the US and countries abroad for the prevention of double taxation. Documents reflect handwritten notes, reporting issues on the rounds of negotiations, decision memorandums, preparations for senate hearings, status of treaties, agreements with the U.S., reimbursement system, exemption requests, proposed taxes, requests for information on tax treaties, tax reform, tax bills, legislation, imposed tax on financial assets, disputes and related correspondence.

DISPOSITION: Permanent. Transfer to the RSC upon renewal of treaty or when 10 years old for transfer to the WNRC. Transfer to the National Archives 20 years after termination of agreement.

3. Unitary Taxation

a. Subject Files

Documents reflect a worldwide unitary method of taxation for multinational enterprises. Included are taxation of foreign corporations, position papers, working group on worldwide unitary taxation, responses to requests concerning worldwide combined reporting, opposition to taxation and related correspondence.

DISPOSITION: Permanent. Block files annually. Transfer to the RSC when 5 years old for transfer to the WNRC. Transfer to the National Archives when 30 years old.

b. Case Files

Documents reflect court appeals pertaining to violations of commerce clause, reports, legislation, briefing papers and related correspondence.

DISPOSITION: Permanent. Transfer to the RSC upon resolution of tax issue or when 10 years old whichever is sooner for transfer to the WNRC. Transfer to the National Archives when 30 years old.

4. Investment Policy Files

Documents reflect legislation pertaining to foreign investments. Included are laws and regulations such as Foreign Direct Investment in U.S., Inward Investment, Practices under U.S. Treaties of Friendship, Commerce, and Navigation, taxation, banking, international trade and investment and related correspondence.

DISPOSITION: Permanent. Transfer to the RSC when 5 years old for transfer to the WNRC. Transfer to the National Archives when 30 years old.

5. International Organization Files

Documents reflect multilateral negotiations in the OECD, UN and other international bodies relating to international investment. Included are working groups such as Capital Movements and Invisible Transactions (CMIT) and Committee on International Investment and Multinational Enterprises (CIME), international investment policies, multinational enterprise guidelines, guideline cases involving violations, accounting standards, trade related investment measures in developing countries, status reports, investment and privatization initiatives, UN Code of Conduct, UNCTC meetings and related correspondence.

DISPOSITION: Permanent. Block files annually. Transfer to the RSC when 5 years old for transfer to the WNRC. Transfer to the National Archives when 30 years old.

6. Committee on Foreign Investment in the United States (CFIUS) Files

Documents reflect CFIUS reviews of investment transactions to determine issues of national security warranting an investigation.

a. Case Files

Documents reflect company investments, legislation, voluntary notices of acquisition and other correspondence relating to mergers, acquisitions and takeovers by or with foreign persons.

DISPOSITION: Transfer to the RSC when 1 year old for transfer to the WNRC. Destroy when 10 years old.

b. Review Files

Documents reflect decision memos on whether State should request an investigation or support another agency's request for an investigation of the acquisition of companies or corporations, position papers, clearances on proposed sales of companies and related correspondence.

DISPOSITION: Permanent. Block files annually. Transfer to the RSC when 5 years old for transfer to the WNRC. Transfer to the National Archives when 30 years old.