**REQUEST FOR RECORDS DISPOSITION AUTHORITY**

*(See Instructions on reverse)*

**To:** NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)  
WASHINGTON, DC 20408  

1. **FROM (Agency or establishment):** Department of State  

2. **MAJOR SUBDIVISION:**  
   Office of the Legal Adviser (L)  

3. **MINOR SUBDIVISION:**  
   Heathrow Arbitration Team (L/HAT)  

4. **NAME OF PERSON WITH WHOM TO CONFERENCE:** Betty Bates  

5. **TELEPHONE:** (202) 647-6018  

6. **AGENCY CERTIFICATION:**  

   I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 5 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  

<table>
<thead>
<tr>
<th>DATE</th>
<th>SIGNATURE OF AGENCY REPRESENTATIVE</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>10/21/96</td>
<td>[Signature]</td>
<td>Department of State Records Officer</td>
</tr>
</tbody>
</table>

7. **ITEM NO.**  

8. **DESCRIPTION OF ITEM AND PROPOSED DISPOSITION:**  

   See attached 14 items for records of the Heathrow Arbitration Team (L/HAT).  

9. **GRS OR SUPERSEDED JOB CITATION:**  

10. **ACTION TAKEN (NARA USE ONLY):**  

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**LEAVE BLANK (NARA use only)**  

**JOB NUMBER:** N1-76-95-1  

**DATE RECEIVED:** 10/30/96  

**NOTIFICATION TO AGENCY:**  

In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.  

**DATE:** 11-5-96  

**ARCHIVIST OF THE UNITED STATES:** [Signature]  

**DATE:** 11-5-96  

**SIGNATURE:** [Signature]  

**TITLE:** Department of State Records Officer  

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**STANDARD FORM 115 (REV. 3-91)**  

Prescribed by NARA  
36 CFR 1228  

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**115-109**  

**NSN 7540-00-634-4064**  

**PREVIOUS EDITION NOT USABLE**
1. Tribunal Hearings Transcripts

Official corrected transcripts of hearings held before the International Tribunal relating to US-UK arbitration concerning Heathrow Airport user charges. Arranged in chronological order by day.

Retire immediately to RSC after settlement of all claims for transfer to the WNRC. Destroy 30 years after settlement of all claims.

2. Record of Hearings

The complete record documenting the US-UK arbitration concerning Heathrow Airport user charges. Testimonies, exhibits, briefs, copies of transcripts, expert reports, memorandums, Tribunal Award, prehearing transcripts, Rules of Procedure, negatives/pictures of Heathrow Airport, and Tribunal orders.

Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 30 years after settlement of all claims.

3. Key Documents

Compilation of background information on user charges including correspondence, expert reports, copies of articles and related papers on user charges.

Retire immediately to RSC after settlement of all claims. Destroy 15 years after settlement of all claims.

4. Tribunal Record Numbered Documents (GBA Documents)

Briefings, motions, arguments, correspondence exchanged between the two governments and the Tribunal which were assigned numbers by the Registrar.

Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 30 years settlement of all claims.
5. Discovery Information
   
a. Motions and briefs in support of requesting discovery information.

   Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 30 years after settlement of all claims.

   b. Correspondence relating to USG requests and HMG responses relating to discovery of information.

   Retire to RSC immediately after settlement of all claims. Destroy 15 years after settlement of all claims.

6. Subject Files
   
   Arranged alphabetically by subject. Factual information, reports, legal research documents, articles correspondence, expert studies and other documents.

   Retire to RSC immediately after settlement of all claims for transfer to WNRC. Destroy 15 years after settlement of all claims.

7. Officer Subject Files
   
   Subject files belonging to John Crook, Samuel M. Witten and Laura V. Farthing. Correspondence, research materials, memoranda, FAXes and other documents arranged by subject.

   Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 15 years after settlement of all claims.

8. Background Material
   
   British Government studies, papers, airport inquiries, CAA Papers, HMG Communications Records, DTI materials and UK public materials.

   Retire to RSC immediately after settlement of all claims for transfer to WNRC. Destroy 30 years after settlement of all claims.
9. British Discovery Documents

Annex A, C and D documents. British Government documents turned over to USG as a result of discovery requests. Reports, accounting information, cost statements and any other documents obtained by discovery.

Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 30 years after settlement of all claims.

10. USG Discovery Documents

U.S. Government documents and U.S. airport documents turned over to HMG as a result of discovery actions.

Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 30 years after settlement of all claims.

11. General Heathrow Arbitration Files

Arranged chronologically. Correspondence between Department and Tribunal, research material, reports, memorandums, FAXes, articles and other related documents.

Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 15 years after settlement of all claims.

12. Classified Materials

Classified materials pertaining to Heathrow arbitration project. Includes reporting cables to and from Embassy London regarding negotiations during the 1980’s between U.S. and British Government over Heathrow Airport user charges.

Retire immediately to RSC after settlement of all claims for transfer to WNRC. Destroy 15 years after settlement of all claims.

13. Administrative Files

Files relating to the selection and hiring of private sector experts to assist the United States Government in the arbitration, including economists, accountants, and outside counsel. Also includes files relating to the administration of the Heathrow Arbitration Team by the Office of the Legal Adviser.

Retire to RSC after settlement of all claims. Destroy 10 years after settlement of all claims.
14. Historical Files

Memorandums, telegrams, correspondence, court documents, briefs, and final decision documents. Records are as follows:

Heathrow Award on the first Question
Rules of Procedure and Tribunal Orders
USG First Memorandum vol. 1 of 2
USG First Memorandum vol. 2 of 2
USG Reply Memorandum
Appendices to USG Reply Memorandum
HMG First Memorandum vol. 1 of 2
HMG First Memorandum vol. 2 of 2
HMG Rejoinder
Brief on the United States Concerning the Terms of References
Tribunal Rules and Decisions
USG Motion for Clarification
Classified Subject Files (2 folders)
Negotiation of 1983 Memorandum of Understanding

PERMANENT. Transfer to WNRC immediately. Transfer to the National Archives in 2019.

Est. volume on hand: 1 cu. Ft.
Est. annual accumulation: 0 cu. Ft.