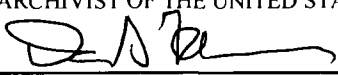
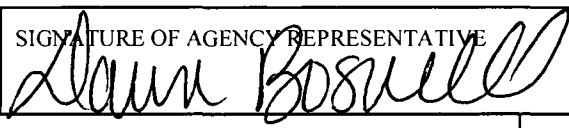


<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b>		JOB NUMBER 01-471-10-1	
TO NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date Received 10/1/10	
FROM (Agency or establishment) Department of the Interior		NOTIFICATION TO AGENCY	
2 MAJOR SUBDIVISION Office of Surface Mining		In accordance with the provisions of 44 U.S.C., 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved or "withdrawn" in column 10	
3 MINOR SUBDIVISION Office of the Chief Information Officer			
4 NAME OF PERSON WITH WHOM TO CONFER Elizabeth Cox	4 TELEPHONE NUMBER 859-260-3927	DATE 14th 2011	ARCHIVIST OF THE UNITED STATES 
5 AGENCY INFORMATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>1</u> page(s) are not needed now for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies  <input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested			
DATE 05/26/2010	SIGNATURE OF AGENCY REPRESENTATIVE 		TITLE Records Officer
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
1	Applicant/Violator System (AVS) (see attached)		

## Office of Surface Mining and Reclamation Enforcement (OSM)

SF-115 Attachment

NARA Job No. N1-471-10-1

**E-System Name:** Applicant/Violator System (AVS)

### **E-System Description:**

The AVS- is an automated information system of applicant, permittee, operator, violation and related data OSM maintains to assist in implementing the Surface Mining Control & Reclamation Act (SMCRA) The relational database was established in 1987 to assist Federal and State permitting authorities with determining permit eligibility for surface coal mining by tracking applicants or their associated entities for unabated or uncorrected violations The database serves as a Web-accessible reference source for regulatory and permitting authorities as well as the public Since 1990, OSM has also used AVS to assist in implementing additional sections of the SMCRA, including sections 201, 506, 507, 511, 518, and 521

## **RECORDS**

### **1. Master Data Files.**

Disposition: **PERMANENT.** Transfer copy of master data files to the National Archives upon approval of this schedule. Transfer subsequent copies of the master data files to the National Archives at end of every 3<sup>rd</sup> fiscal year as specified in National Archives standards at time of transfer

### **2. Reference Data Files.**

Disposition: **TEMPORARY** Review files annually Destroy or delete when no longer needed for business use or reference needs

**Additional Information:****Establishing Authority:**

- P L 95-87, the Surface Mining Control and Reclamation Act of 1977 (SMCRA), Sections 510(c), 201, 506, 507, 511, 518, and 521
- 30 CFR 701.5

**Restrictions:** None

**Superseded Records and Authorities:** None—new unscheduled electronic system.

**Related Records and Authorities:**

- User Identification/Password Data, GRS 20/Item 1c
- Reference Files Related to AML Contractor Information, Interstate Organizational Family Tree Information and Investigation Files, 500-10, N1-471-XX-XX

**Supplementary System Description:**

The AVS system was updated in 2007 to allow for Web access. State and Federal regulatory program offices (including OSM programs) directly input information into the system after registering for User ID and password. The public has view-only access to system data.

System data is directly input by State and Federal regulatory authorities (including OSM) that are responsible for maintaining their record copies with their program offices. AVS is a reference resource of violations that is updated by the responsible regulatory authority when violations are corrected.

**E-System Point of Contact:****Program Office:**

Department of Interior (DOI), Office of Surface Mining Reclamation and Enforcement (OSM), Appalachian Region, Applicant Violator System Office