

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-053-01-007

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 8/1/2019

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Item 4 SPSS-SLGSAFE INTERNET ACCESS & USER ACKNOWLEDGMENTMANUAL
INPUTS10/8/2021

Item 16a SPSS-EARLY REDEMPTION CALCULATIONS REPORTS-ELECTRONIC OUTPUTS:
Electronic report file

Item 18a SPSS-NOTICE OF ASSESSMENT-ELECTRONIC OUTPUTS: Electronic report file

Item 19a SPSS-NOTICES-ELECTRONIC OUTPUTS: Electronic report file

Item 21a SPSS-SECURITY OUTSTANDING BALANCES REPORT-ELECTRONIC OUTPUTS:
Electronic report file

Item 22a SPSS-STATEMENTS OF ACCOUNTS AND HOLDINGS-ELECTRONIC OUTPUTS:
Electronic report file

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1a superseded by N1-053-06-008 / 11

Item 1b superseded by N1-053-06-008 / 12

Item 1c superseded by N1-053-06-008 / 13

Item 2 superseded by N1-053-06-008 / 14

Item 3 superseded by N1-053-03-012 / 1

Item 5a superseded by N1-053-03-012 / 1

Item 5b superseded by N1-053-03-012 / 2

Item 6a superseded by N1-053-03-012 / 1

Item 6b superseded by N1-053-03-012 / 2

Item 7a superseded by N1-053-03-012 / 1

Item 7b superseded by N1-053-03-012 / 2

Item 8a superseded by N1-053-03-012 / 1

Item 8b superseded by N1-053-03-012 / 2

Item 9a superseded by N1-053-03-012 / 1

Item 9b superseded by N1-053-03-012 / 2

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Item 10a superseded by N1-053-03-012 / 1
Item 10b superseded by N1-053-03-012 / 2
Item 11a superseded by N1-053-03-012 / 1
Item 11b superseded by N1-053-06-008 / 19
Item 12 superseded by N1-053-03-012 / 3
Item 13a superseded by N1-053-03-012 / 1
Item 13b superseded by N1-053-03-012 / 3
Item 14a superseded by N1-053-03-012 / 3
Item 14b superseded by N1-053-03-012 / 4
Item 15b superseded by N1-053-03-012 / 4
Item 16b superseded by N1-053-03-012 / 1
Item 17a superseded by N1-053-03-012 / 3
Item 17b superseded by N1-053-03-012 / 4
Item 18b superseded by N1-053-03-012 / 4
Item 19b superseded by N1-053-03-012 / 4
Item 20a superseded by N1-053-03-012 / 3
Item 20b superseded by N1-053-03-012 / 4
Item 21b superseded by N1-053-03-012 / 4
Item 22b superseded by N1-053-03-012 / 4
Item 23 superseded by DAA-GRS2013-0005-0003 (GRS 3.1 item 051)
Item 24 superseded by DAA-GRS2013-0005-0003 (GRS 3.1 item 051)

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY (see instructions on reverse)	
TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408	
1. FROM (Agency or establishment) BUREAU OF THE PUBLIC DEBT	
2. MAJOR SUBDIVISION OFFICE OF PUBLIC DEBT ACCOUNTING	
3. MINOR SUBDIVISION DIVISION OF SPECIAL INVESTMENT	
4. NAME OF PERSON WITH WHOM TO CONFER RONDA BLAKE	5. TELEPHONE (304) 480-6019

(DO NOT WRITE IN THESE SPACES) (NARA use only)	
JOB NUMBER <i>71-053-01-7</i>	
DATE RECEIVED <i>7-11-01</i>	
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column	
DATE <i>11-15-01</i>	ARCHIVIST OF THE UNITED STATES <i>John W. Paul</i>

6. AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 9 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is not required; is attached; or has been requested.

DATE: <i>7-9-01</i>	SIGNATURE OF AGENCY REPRESENTATIVE <i>Ronda Blake</i>	TITLE <i>Records Specialist</i>
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7. ITEM NO	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION.	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
SEE THE ATTACHED SHEETS			
<i>cc Agency NR NWMW NUME NWMW</i>			

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
N1-53-01-07-0003	<p>Title: SPSS-REJECTED FEDWIRE FUNDS-MANUAL INPUTS</p> <p>Title Memo: These are hard copies of payments rejected by financial institutions that are sent by the Fedwire system for but not limited to early redemptions, demand deposit redemptions, manual payments, and underpayments of special purpose securities.</p> <p>Form Number: _____ Form Series: _____ Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 2 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. Hardcopy: Destroy 2 years after verification of input to system.</p>		
N1-53-01-07-0004	<p>Title: SPSS-SLGSAFE INTERNET ACCESS & USER ACKNOWLEDGMENT-MANUAL INPUTS</p> <p>Title Memo: These are forms received from State and Local Government bodies, Investment Banking firms, Financial Institutions, Bond Councils, and Resolution Funding Corporations requesting access to the SLGSafe internet secure site and identifying individuals that are to have access. These forms include but are not limited to 4144-5 and 4144-6.</p> <p>Form Number: _____ Form Series: _____ Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 3 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. Hardcopy: Cut off after receipt. Destroy 3 years after cutoff or when it is no longer needed, whichever occurs first.</p>		
N1-53-01-07-0005	<p>Title: SPSS-SLGSAFE TEMPLATE WORKSHEET & CASE CHANGE REQUEST-MANUAL INPUTS</p> <p>Title Memo: These are forms received from State and Local Government bodies, Investment Banking firms, Financial Institutions, and Bond Councils that are users of SLGSafe. They are used to request templates be made of their financial information that is to be automatically inserted into new SLGS subscriptions that they are entering on SLGSafe. They are also used to request changes that they are not authorized to make to existing cases that are stored in the SPSS system.</p> <p>Form Number: _____ Form Series: _____ Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 47 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary.</p> <p>a. Hardcopy: Microfilm paper copy 2 years after receipt. Destroy paper copy after microfilm has been reviewed and approved. b. Microfilm: Destroy microfilm when 45 years old.</p>		

REQUEST FOR RECORDS DISPOSITION AUTHORITY

For the Bureau of the Public Debt

August 27, 2001

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
N1-53-01-07-0006	<p>Title: SPSS-SLGS CHANGE REQUEST-MANUAL INPUTS</p> <p>Title Memo: These are hard copies of forms and correspondence received from State and Local Government Entities, Investment Banking firms, Financial Institutions, Bond Councils, and Resolution Funding Corporations. They are used to request changes be made to existing information contained in cases already established for special purpose securities.</p> <p>Form Number: PD F 5376 Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 47 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary.</p> <p>a. Hardcopy: Microfilm paper copy 2 years after receipt. Destroy paper copy after microfilm has been reviewed and approved. b. Microfilm: Destroy microfilm after 45 years old.</p>		
N1-53-01-07-0007	<p>Title: SPSS-SLGS EARLY REDEMPTION REQUEST-MANUAL & ELECTRONIC INPUTS</p> <p>Title Memo: These are hard copies of forms and correspondence received from State and Local Government Entities, Investment Banking firms, Financial Institutions, Bond Councils, and Resolution Funding Corporations. They are used to request that special purpose securities be redeemed before their established maturity date. SPSS also receives the same information electronically via Internet from SLGSafe users.</p> <p>Form Number: PD F 5377 Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 47 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary.</p> <p>a. Hardcopy: Microfilm paper copy 2 years after receipt. Destroy paper copy after microfilm has been reviewed and approved. b. Microfilm: Destroy microfilm after 45 years old. c. Electronic files: Destroy 7 years after case is closed.</p>		<p><i>WITHDRAWN covered by Item 1</i></p>

WITHDRAWN

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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
N1-53-01-07-0010	<p>Title: SPSS-REDEMPTION OF DEMAND DEPOSIT SLGS-MANUAL & ELECTRONIC INPUTS</p> <p>Title Memo: These are hard copies of forms and correspondence received from State and Local Government Entities, Investment Banking firms, Financial Institutions, Bond Councils, and Resolution Funding Corporations. They include but are not limited to forms PD F 5238, letters, and memos. These items supply the data needed to redeem Special Purpose Securities. SPSS also receives the same information electronically via Internet from SLGSafe users.</p> <p>Form Number: PD F 5238 Form Series: A COPY Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 47 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary.</p> <p>a. Hardcopy: Microfilm paper copy 2 years after redemption of securities. Destroy paper copy after microfilm has been reviewed and approved. b. Microfilm: Destroy microfilm after 45 years old. c. Electronic files: Destroy 7 years after case is closed.</p>		
N1-53-01-07-0011	<p>Title: SPSS-U.S. MORTGAGE GUARANTY INSURANCE COMPANY TAX & LOSS BONDS-MANUAL INPUTS</p> <p>Title Memo: These are hard copies of forms received from United States Mortgage Guaranty Insurance Companies for the purchase of Tax and Loss Bonds. They include but are not limited to PD F 3871, which supplies the necessary data to subscribe, to purchase and to issue Tax and Loss Bonds.</p> <p>Form Number: PD F 3871 Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 7 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary.</p> <p>a. Hardcopy: Microfilm paper copy 2 years after receipt. Destroy paper copy after microfilm is reviewed and approved. b. Microfilm: Destroy microfilm after 5 years old.</p>		<p>WITHDRAWN covered by Item 1</p>
N1-53-01-07-0012	<p>Title: SPSS-AUTOMATED CLEARING HOUSE-ELECTRONIC INPUTS</p> <p>Title Memo: SPSS electronically receives a daily file from the Automated Clearing House System (ACH) via bulk data. This file contains information about payments rejected by financial institutions for maturity and interests payments due to state and local governments for Special Purpose Securities.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 7 Retention Months: 0 Vital Records: <input type="checkbox"/></p>		

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N1-53-01-07-0013	<p>Retention Description: Temporary. Electronic file: Destroy when 7 years old.</p> <hr/> <p>Title: SPSS-DAILY ACITIVY STATUS REPORT-PAPER REPORT</p> <p>Title Memo: SPSS generates a daily activity status report. This report contains daily information on the purchase, issue, and redemption of Special Purpose Securities.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 7 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Hardcopy: Microfilm paper copy after 2 years. Destroy paper copy after microfilm is reviewed and approved. b. Microfilm: Destroy microfilm after 5 years old.</p>		
N1-53-01-07-0014	<p>Title: SPSS-INTEREST REPORTS-ELECTRONIC OUTPUTS</p> <p>Title Memo: These are reports generated daily by the system reporting on interest earned on Special Purpose Securities. They include but are not limited to Average Interest Report-Miscellaneous, Average Interest Report-SLGS Time, Detailed Interest Accrual Report-Demand, and Detailed Interest Accrual Report-Time.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 7 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 7 years old. b. Hard copy: Destroy when obsolete or no longer needed.</p>		
N1-53-01-07-0015	<p>Title: SPSS-FUNDS RECEIPT REPORTS-ELECTRONIC OUTPUTS</p> <p>Title Memo: These are reports generated daily by the system showing funds received for each case and total due for each transaction date. They include but are not limited to Case funds comparison Report and Due Date Totals.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 7 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 7 years old. b. Hard copy: Destroy when obsolete or no longer needed.</p>		

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N1-53-01-07-0016	<p>Title: SPSS-EARLY REDEMPTION CALCULATIONS REPORTS-ELECTRONIC OUTPUTS</p> <p>Title Memo: These are reports generated by the system upon request from the user containing information about early redemption of Special Purpose Securities. They include but are not limited to Early Redemption calculations (Simulated) and Early Redemption Calculations Report.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 1 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 1 year old. b. Hard copy: These reports are kept with the early redemption request. See item 7 of this schedule for retention description.</p>		
N1-53-01-07-0017	<p>Title: SPSS-FUTURE AND PRIOR ISSUES & PAYMENTS-ELECTRONIC OUTPUTS</p> <p>Title Memo: These are reports generated by the system on a daily, weekly, and monthly basis. These reports contain information on future issues, future payments, and prior payments of Special Purpose Securities. They include but are not limited to Future Issues Daily Report-Subscriptions Amended, Future Issues Daily Report-Subscriptions Received, Future Issues Weekly Summary Report, Future Payments, Future Payments-Monthly Summary Report, and Prior Months Payments.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 7 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 7 years old. b. Hard copy: Destroy when obsolete or no longer needed.</p>		
N1-53-01-07-0018	<p>Title: SPSS-NOTICE OF ASSESSMENT-ELECTRONIC OUTPUTS</p> <p>Title Memo: These are reports generated by the system on a daily basis containing information on Special Purpose Securities that did not issue because funds were not received for them. They include but are not limited to Notice of Assessment.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 1 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 1 year old. b. Hard copy: Destroy when obsolete or no longer needed.</p>		

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N1-53-01-07-0019	<p>Title: SPSS-NOTICES-ELECTRONIC OUTPUTS</p> <p>Title Memo: these are reports generated by the system on a daily basis containing information on the receipt of subscriptions. They include but are not limited to Notices of Subscription Receipts.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 0 Retention Months: 4 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 4 months old. b. Hard copy: Destroy when obsolete or no longer needed.</p>		
N1-53-01-07-0020	<p>Title: SPSS-SECURITY ROLLOVER BY BUSINESS TRANSACTION DATE REPORTS-ELECTRONIC OUTPUTS</p> <p>Title Memo: These are reports generated on a daily basis containing information on Special Purpose Securities by transaction date. They include but are not limited to the Security Rollover by Business Transaction Date.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 7 Retention Months: 0 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 7 years old. b. Hard copy: Destroy when obsolete or no longer needed.</p>		
N1-53-01-07-0021	<p>Title: SPSS-SECURITY OUTSTANDING BALANCES REPORT-ELECTRONIC OUTPUTS</p> <p>Title Memo: These are reports generated by the system on a daily basis containing information on balances due on Special Purpose Securities. They include but are not limited to the Security Outstanding Balances Report.</p> <p>Form Number: Form Series: Restrictions: NONE Computer System: SPSS Cost Code: 25000 Retention Years: 0 Retention Months: 1 Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. a. Electronic report file: Destroy when 1 month old. b. Hard copy: Destroy when obsolete or no longer needed.</p>		

