REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NRA)
WASHINGTON, DC 20408

1. FROM (Agency or establishment) BUREAU OF THE PUBLIC DEBT
2. MAJOR SUBDIVISION Federal Reserve Bank
3. MINOR SUBDIVISION Existing Records
4. NAME OF PERSON WITH WHOM TO CONFER Robert Konz
5. TELEPHONE (304) 480-6601

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

XX is not required; □ is attached; or □ has been requested.

DATE 10/01/02
SIGNATURE OF AGENCY REPRESENTATIVE Vicki Thorpe
TITLE Records Officer

7. ITEM NO.
8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION

See the Attached Sheets

9. GRS OR SUPERSEDED JOB CITATION
10. ACTION TAKEN (NARA USE ONLY)

NSN 7540-00-634-4064
PREVIOUS EDITION NOT USABLE
STANDARD FORM 115 (REV. 3-91)
Prescribed by NARA
36 CFR 1228
# REQUEST FOR RECORDS DISPOSITION AUTHORITY

For the Bureau of the Public Debt  
October 1, 2002

<table>
<thead>
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| N1-53-03-01, 0001 | **Title:** FRB Records Prior to 1999  
**Title Memo:** These are paper documents created or received by the Federal Reserve Banks while processing Issue, Redemption, Servicing, and related transactions involving Government securities on behalf of the Bureau of Public Debt prior to 1999. The processing of securities work was consolidated and most of these records were created or received by banks that no longer work as a processing agent. The documents include, but are not limited to, issue applications and related documentation, retirements and related documentation, servicing transactions, consignment agent files, payment files, fiscal agency check records, reinvestment applications, reissue records, forwarding items, correspondence, accounting files, audit documentation, and end of day settlement documents. | |

Cost Code: FRB  
Restrictions: None

Retention Description: Temporary. These documents are pre 1999 and currently being held without regard to normal retention periods due to litigation. Destroy these documents when released by the court but not before 2009.

Destruction of those documents not impacted by the litigation is authorized as of 2009.

| N1-53-03-01, 0002 | **Title:** FRB Legal Evidence Records Prior to 1999  
**Title Memo:** These are paper documents created or received prior to 1999 by the Federal Reserve Banks while processing transactions that require legal documentation involving Government securities. The processing of securities work was consolidated and most of these records were created or received by banks that no longer work as a processing agent. The documents include, but are not limited to, correspondence, death certificates, marriage licenses, notices of will, court certificates, affidavits of identify, power of attorney, trust, etc. | |

Cost Code: FRB  
Restrictions: None

Retention Description: Temporary. These documents are pre 1999 and currently being held without regard to normal retention periods due to litigation. Destroy these documents when released by the court but not before 2009.

Destruction of those documents not impacted by the litigation is authorized as of 2009.
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<tr>
<td>N1-53-03-01, 0003</td>
<td>Title: FRB Records between January 1, 1999 and August 1, 2002 Title Memo: These are paper documents created or received by the Federal Reserve Banks during the processing of Saving Bonds or Marketable Securities for the Bureau of Public Debt between January 1, 1999 and August 1, 2002. The documents include, but are not limited to, issue applications and related documentation, retirements and related documentation, servicing transactions, consignment agent files, payment files, fiscal agency check records, reinvestment applications, reissue records, forwarding items, correspondence, accounting files, audit documentation, and end of day settlement documents. Cost Code: FRB Restrictions: None</td>
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<td>Destruction of these documents not impacted.</td>
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<td>N1-53-03-01, 0004</td>
<td>Title: FRB Legal Evidence Records between January 1, 1999 and August 1, 2002 Title Memo: These are paper documents created or received between January 1, 1999 and August 1, 2002 by the Federal Reserve Banks while processing transactions that require legal documentation involving Government securities. The documents include, but are not limited to, correspondence, death certificates, marriage licenses, notices of will, court certificates, affidavits of identify, power of attorney, trust, etc. Cost Code: FRB Restrictions: None</td>
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