

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**

(See Instructions on reverse)

NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)  
WASHINGTON DC 20408

- |   |                                       |
|---|---------------------------------------|
| 1. FROM (Agency or establishment)                           | <b>BUREAU OF THE PUBLIC DEBT</b>      |
| 2. MAJOR SUBDIVISION  | Federal Reserve Bank                  |
| 3. MINOR SUBDIVISION  | Existing Records                      |
| 4. NAME OF PERSON WITH WHOM TO CONFER<br><b>Robert Konz</b> | 5. TELEPHONE<br><b>(304) 480-6601</b> |

LEAVE BLANK (NARA use only)

JOB NUMBER  
**71-053-03-1**

DATE RECEIVED  
**10-7-2002**

NOTIFICATION TO AGENCY

In accordance with provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.

DATE **11/5/14** ARCHIVIST OF THE UNITED STATES *[Signature]*

6. AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached \_\_\_ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required;  is attached; or  has been requested.

DATE  
10/01/02

SIGNATURE OF AGENCY REPRESENTATIVE  
**Vicki Thorpe** *[Signature]*

TITLE  
**Records Officer**

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	<b>See the Attached Sheets</b>		

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**

For the Bureau of the Public Debt

October 1, 2002

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION.	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
N1-53-03-01, 0001	<p>Title: FRB Records Prior to 1999</p> <p>Title Memo: These are paper documents created or received by the Federal Reserve Banks while processing Issue, Redemption, Servicing, and related transactions involving Government securities on behalf of the Bureau of Public Debt prior to 1999. The processing of securities work was consolidated and most of these records were created or received by banks that no longer work as a processing agent. The documents include, but are not limited to, issue applications and related documentation, retirements and related documentation, servicing transactions, consignment agent files, payment files, fiscal agency check records, reinvestment applications, reissue records, forwarding items, correspondence, accounting files, audit documentation, and end of day settlement documents.</p> <p>Cost Code: FRB</p> <p>Restrictions: None</p> <p>Retention Description: Temporary.                      These documents are pre 1999 and <del>currently being</del> <i>have been</i> held without regard to normal retention periods due to litigation. <del>Destroy these documents when released by the court but not before 2006.</del> <i>the litigation is authorized as of 2006.</i></p>		
N1-53-03-01, 0002	<p>Title: FRB Legal Evidence Records Prior to 1999</p> <p>Title Memo: These are paper documents created or received prior to 1999 by the Federal Reserve Banks while processing transactions that require legal documentation involving Government securities. The processing of securities work was consolidated and most of these records were created or received by banks that no longer work as a processing agent. The documents include, but are not limited to, correspondence, death certificates, marriage licenses, notices of will, court certificates, affidavits of identify, power of attorney, trust, etc.</p> <p>Cost Code: FRB</p> <p>Restrictions: None</p> <p>Retention Description: Temporary.                      These documents are pre 1999 and <del>currently being</del> <i>have been</i> held without regard to normal retention periods due to litigation. <del>Destroy these documents when released by the court but not before 2009.</del> <i>Destruction of those documents not impacted by the litigation is authorized as of 2009.</i></p>		<p><i>not impacted by</i></p> <p><i>JG per 7/22 email</i></p> <p><i>JG per 7/22 email</i></p>

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For the Bureau of the Public Debt

October 1, 2002

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION.	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
N1-53-03-01, 0003	<p>Title: FRB Records between January 1, 1999 and August 1, 2002</p> <p>Title Memo: These are paper documents created or received by the Federal Reserve Banks during the processing of Saving Bonds or Marketable Securities for the Bureau of Public Debt between January 1, 1999 and August 1, 2002. The documents include, but are not limited to, issue applications and related documentation, retirements and related documentation, servicing transactions, consignment agent files, payment files, fiscal agency check records, reinvestment applications, reissue records, forwarding items, correspondence, accounting files, audit documentation, and end of day settlement documents.</p> <p>Cost Code: FRB</p> <p>Restrictions: None</p> <p>Retention Description: Temporary.</p> <p>These documents were created or received between January 1, 1999 and August 1, 2002 and <del>are currently being held</del> <sup>have been</sup> held without regard to normal retention periods due to litigation. <del>Destroy these documents when released by the court but not before 2009.</del></p> <p><u>Destruction of those documents not impacted by</u></p>		<p><i>BT per 7/22 email</i></p> <p><i>by the litigation is authorized as of 2009.</i></p>
N1-53-03-01, 0004	<p>Title: FRB Legal Evidence Records between January 1, 1999 and August 1, 2002</p> <p>Title Memo: These are paper documents created or received between January 1, 1999 and August 1, 2002 by the Federal Reserve Banks while processing transactions that require legal documentation involving Government securities. The documents include, but are not limited to, correspondence, death certificates, marriage licenses, notices of will, court certificates, affidavits of identify, power of attorney, trust, etc.</p> <p>Cost Code: FRB</p> <p>Restrictions: None</p> <p>Retention Description: Temporary.</p> <p>These documents were created or received between January 1, 1999 and August 1, 2002 and <del>are currently being held</del> <sup>have been</sup> held without regard to normal retention periods due to litigation. <del>Destroy these documents when released by the court but not before 2013.</del></p> <p><u>Destruction of those documents not impacted by</u></p>		<p><i>BT per 7/22 email</i></p> <p><i>is authorized as of 2013.</i></p>