INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-053-03-002

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Explaination / Description:

This schedule was superseded by N1 053 06 007

Date Reported: 07/24/2019

REQUEST FOR BECORDS ♂		EAVE BLANK (NARA use only)
(See Instructions	on reverse)	JOB NUMBER	
	NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)		5 3-03-2 30-2002
FROM (Agency or establishment)		DATE RECEIVED 10-3	0-2002
	BUREAU OF THE PUBLIC DEBT	NOTIFICATION	TO AGENCY
2. MAJOR SUBDIVISION	Government Securities Regulations	U.S.C. 3303a the	vith provisions of 44 e disposition request, dments, is approved
3. MINOR SUBDIVISION		except for items "disposition i	that may be marked not approved" or " in column 10
4. NAME OF PERSON WITH WHOM TO CO Robert Konz	NFER 5. TELEPHONE (304) 480-6601	1 	of the united spates
6. AGENCY CERTIFICATION I hereby certify that I am authorized that the records proposed for disposal will not be needed after the retention Office, under the provisions of Title 8 XX is not required;	on the attached page(s) are no periods specified; and that written	ot now needed for the busin concurrence from the Gen	ness of this agency or neral Accounting
DATE SIGNATURE OF AGENCY 10/28/02 Vicki Thorpe	CY REPRESENTATIVE	TITLE	ords Officer
			T
7. TEM 8. DESCRIPTION OF ITEM AN	ID PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
See the Atta			
	ched Sheets		

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOS	SED DISPOSITION 9. GRS OR SUF	ERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
N1-53-03-F-0001	Title: Debt Buyback Program—Gen	eral Program Information		
	Title Memo: The Marketable Treass referred to as the Debt Buyback Treasury purchases (redeems) I securities. The documents in th notes, drafts, emails, press relearesearch files dealing with the I and operations of the program, the delegation of authority, and	r Program, is the process wherely onger-term unmatured marketal is item include, but are not limit use issues, graphs, task lists, propebt Buyback Program. They do Treasury's authority to issue the	by the Secretary of the ble U.S. Treasury sed to, handwritten ject plans, and ocument the features	
	Form Number:	Form Series:	Restrictions:	
	Computer System:	Cost Code: 12000		
	Retention Years: 25	Retention Months: 0	Vital Records:	
		y after hardcopy is placed in a ron 5-year intervals, hold in GSRS er cutoff.		
N1-53-03-F-0002	Title: Debt Buyback Program—Impo	ortant Data Files		
	Title Memo: These documents include regulations, informational memoriscussion documents, presenta Buyback Program. These are pand history of the Debt Buyback	os, action memos, meeting note tion documents, and emails that ermanent records that documen	es, decision and deal with the Debt	
	Form Number:	Form Series:	Restrictions:	
	Computer System:	Cost Code: 12000		
	Retention Years: 99	Retention Months: 0	Vital Records:	
	Retention Description: Permanent. a. Electronic copies—Destroy system. b. Hardcopies—cutoff files e	v after hard copy is placed in a revery 5 years. Transfer to NARA	. •	

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROF	POSED DISPOSITION 9. GRS OR SUPE	ERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
N1-53-03-F-0003	Title: Debt Buyback Program—Pr	ess / Media Coverage		
	newspapers, magazines, and	lude, but are not limited to various a other financial industry publication ge from the publishers of the mater	s. The public may	
	Form Number: Computer System:	Form Series: Cost Code: 12000	Restrictions:	
	Retention Years: 10	Retention Months: 0	Vital Records:	
	system.	roy after hard copy is placed in a re s in 5-year intervals, hold in GSRS	. •	
N1-53-03-F-0004	Title: Debt Buyback Program—Pr	oposed Regulations		
		lude, but are not limited to, informa strategies, and working papers used bbt Buyback Program.		
	Form Number: Computer System: Retention Years: 25	Form Series: Cost Code: 12000 Retention Months: 0	Restrictions: Vital Records: X	
		roy after hardcopy is placed in a rec es in 5-year intervals, hold in GSRS		
N1-53-03-F-0005	Title: Debt Buyback Program—Re	egulations and Public Comment Let	tters	
	rule for the Debt Buyback Procomment letters on proposed approval documentation of the that in combination with the	lude, but are not limited to, signed of ogram, the signed proposed Buyback Buyback Rule, and the signature phe Final Buyback Rule. These are public may participate in Debt Buy	ck Rule, public ackage of the permanent records out the specific terms	
	Form Number: Computer System:	Form Series: Cost Code: 12000	Restrictions:	
	Retention Years: 99	Retention Months: 0	Vital Records: X	
	•	Troy after hard copy is placed in reco es every 5 years. Transfer to NARA		

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPO	OSITION 9. GRS OR SUPER	SEDED JOB CITATION	10. ACTION TAKEN
		<u>_</u>		(NARA USE ONLY)
	N1-53-03-F-0006 Title: Debt Buyback Pro	ogram—Testimony		
	Title Memo: These documents include, but an at Congressional hearings by financial a Treasury Department.			
	Form Number:	Form Series:	Restrictions:	
	Computer System: Retention Years: 25	Cost Code: 12000 Retention Months: 0	Vital Records:	
	Retention Description: Temporary. a. Electronic copies—Destroy after has system. b. Hard copies—Cut off files in 5-year destroy 25 years after cutoff.			
N1-53-03-F-0007	Title: Collateral Acceptability and Valuation	Program—General Progra	am Information	
	Title Memo: The Collateral Acceptability and at 31 CFR part 380 for Treasury's Fisca the eligibility of collateral and valuation monies and other government financial guidance and information pertaining to use under Treasury's 31 CFR parts 202 documents include, but are not limited reviews, on-site reviews, circulars, report Collateral Acceptability and Valuation	al Service Collateral program and matters pledged to secur- interests. The program all collateral eligibility and value, 203 and 225 Fiscal Servicto, general collateral documents, and policy drafts that	ams and governs e deposits of public so provides aluation matters for ce Programs. The ments, internal	
	Form Number:	Form Series:	Restrictions:	
	Computer System: Retention Years: 25	Cost Code: 12000 Retention Months: 0	Vital Records:	
	Retention Description: Temporary. a. Electronic copies—Destroy after his system. b. Hard copies—Cut off files in 5-year destroy 25 years after cutoff.			·
N1-53-03-F-0008	Title: Collateral Acceptability and Valuation	Program—Important Dat	a Files	
	Title Memo: These documents include, but a program presentations from conference agendas and minutes, project files, circurecords that document the establishmen and Valuation Program.	s and speaking engagemental studies. These a	nts, meeting are permanent	
	Form Number:	Form Series:	Restrictions:	

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPERTY.	POSED DISPOSITION 9. GRS OR SUPE	ERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Computer System: Retention Years: 99	Cost Code: 12000 Retention Months: 0	Vital Records:	
	system.	roy after hard copy is placed in a re es every 5 years. Transfer to NARA		
N1-53-03-F-0009	cutoff.	Valuation Program—Press / Media	•	
	newspapers, magazines, and	lude, but are not limited to various a other financial industry publication age from the publishers of the mater	s. The public may	
	Form Number: Computer System:	Form Series: Cost Code: 12000	Restrictions:	
	Retention Years: 10	Retention Months: 0	Vital Records:	
	system.	roy after hard copy is placed in a re	. •	
N1-53-03-F-0010	Title Memo: These documents inc comment letters on the propo	Valuation Program—Proposed Regulude, but are not limited to, rule proposed rules and the final rules of the Program associated with 31 CFR page 1.	posals, public Collateral	
	Form Number: Computer System:	Form Series: Cost Code: 12000	Restrictions:	
	•	roy after hard copy is placed in a red les in 5-year intervals, hold in GSRS		•
N1-53-03-F-0011	Title: Collateral Acceptability and Letters	Valuation Program—Regulations a	and Public Comment	·
	the rules and the final rules of The comments and final rule	lude, but are not limited to, public of the Collateral Acceptability and Ves are permanent records associated Bureau of Public Debt. They support	/aluation Program. with 31 CFR part	
	Form Number: Computer System:	Form Series: Cost Code: 12000	Restrictions:	

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSIT	9. GRS OR SUPE	RSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Retention Years: 99 Re	tention Months: 0	Vital Records: X	
	Retention Description: Permanent. a. Electronic copies—Destroy after hard system. b. Hard copies— cutoff files every 5 year cutoff			
N1-53-03-F-0012	Title: Collateral Acceptability and Valuation Pro	gram—Testimony		
	Title Memo: These documents include, but are no at Congressional hearings by financial and Treasury Department.			
	Computer System: Co	rm Series: st Code: 12000 tention Months: 0	Restrictions:	
	Retention Description: Temporary. a. Electronic copies—Destroy after hard system. b. Hard copies—Cut off files in 5-year in destroy 25 years after cutoff.	copy is placed in a rec		
N1-53-03-F-0013	Title: Collateral Acceptability and Valuation Pro Title Memo: These documents include legislative acceptable collateral.	-	he determination of	
	Form Number: Fo Computer System: Co	rm Series: st Code: 12000 tention Months: 0	Restrictions: Vital Records:	
	Retention Description: Permanent. a. Electronic copies—Destroy after hard system. b. Hard copies— cutoff files every 5 year cutoff.	••	. •	
N1-53-03-F-0014	Title: Uniform Offering Circular / Auction Rules	s Program—General F	Program Information	
	Title Memo: The Sale and Issue of Marketable B Regulations Program, also referred to as the Rules Program, relates to the establishment part 356 and provides guidance and inform marketable securities auctions. The regular and, combined with the specific offering ar and conditions by which the public may pa	e Uniform Offering C and amendment of the ation for participants tions are used as the b anouncements, set out	ircular / Auction le rules at 31 CFR in Treasury's asis for the program the specific terms	

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PRO	POSED DISPOSITION 9. GRS OR SUPE	RSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
		are not limited to, auction procedurer or proposals and policy, auction mo	•	
	Form Number: Computer System: Retention Years: 25	Form Series: Cost Code: 12000 Retention Months: 0	Restrictions: Vital Records:	
		troy after hardcopy is placed in a reciles in 5-year intervals, hold in GSRS		
N1-53-03-F-0015	Title: Uniform Offering Circular	/ Auction Rules Program—Importan	nt Data Files	
	guidelines, information on in regulatory issues, oral inquir	clude, but are not limited to, correspondiation-index securities, STRIPS Pries, and auction interpretations. The stablishment and history of the Uniforgram.	ogram information, ese are permanent	
	Form Number: Computer System: Retention Years: 99	Form Series: Cost Code: 12000 Retention Months: 0	Restrictions:	
	system.	t. troy after hard copy is placed in a re les every 5 years. Transfer to NARA		
N1-53-03-F-0016	Title: Uniform Offering Circular /	Auction Rules Program—Press / M	edia Coverage	
	newspapers, magazines, and	clude, but are not limited to various a l other financial industry publication age from the publishers of the mater	s. The public may	
	Form Number:	Form Series:	Restrictions:	
	Computer System: Retention Years: 10	Cost Code: 12000	Vital Bassuda.	
	Retention Years: 10	Retention Months: 0	Vital Records:	
	system.	y. troy after hard copy is placed in a re iles in 5-year intervals, hold in GSRS		

N1-53-03-F-0017

Title: Uniform Offering Circular / Auction Rules Program—Regulations & Public Comment Letters

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPO	OSED DISPOSITION 9. GRS OR SUPE	RSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Title Memo: These are permanent repertaining to auction regulation to the proposed rule. The document auction rules and regulations, and fine rules, meeting notices, and fine			
	Form Number: Computer System: Retention Years: 99	Form Series: Cost Code: 12000	Restrictions:	۰
	Retention Description: Permanent. a. Electronic copies—Destro	Retention Months: 0 by when hard copy is placed in reces every 5 years. Transfer to NARA		
N1-53-03-F-0018	Title: Government Securities Act Re	egulations Program—General Prog	gram Information	
	B. The rules are applicable to to financial responsibility, proceeding, large position reporting pertain to custodial holdings on The documents include, but are group files; drafts; audit, quality examination procedures and metals.	rities Act (GSA) Regulations Prog of the rules at 17 CFR Chapter IV government securities brokers and tection of customer's securities an ing and financial reporting and aud f government securities by deposit re not limited to, correspondence; in fication and confirmation required naterials; conference training mater compliance documents; and enforce	y, Subchapters A and dealers and relate d funds, record lits. The rules also tory institutions. reports; working ments; bank rials; arbitrations,	٠.
	Form Number: Computer System: Retention Years: 25	Form Series: Cost Code: 12000 Retention Months: 0	Restrictions: Vital Records:	
		by after placing hard copy in a reco s in 5-year intervals, hold in GSRS off.	ord keeping system.	
N1-53-03-F-0019	Title: Government Securities Act R	egulations Program—Important D	ata Files	
	engagement records; meeting relations Register notices; Large Position rules and amendments. These	ide, but are not limited to, working tudies; briefing materials; conferer minutes; correspondence; press relon Reporting amendments, notes & are permanent records that docume Government Securities Act Reg	nce & speaking leases & Federal coriginal letters; and lent the	
	Form Number: Computer System:	Form Series: Cost Code: 12000	Restrictions:	

7. ITEM NO.	8. DESCRIPTION OF ITEM AND	PROPOSED DISPOSITION 9. GRS OR SUPER	SEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Retention Years: 99	Retention Months: 0	Vital Records:	
		nent. Destroy after placing hard copy in a record files every 5 years. Transfer to NARA		
N1-53-03-F-0020	Title: Government Securities	Act Regulations Program—Press / Media	Coverage	
	newspapers, magazines,	s include, but are not limited to various an and other financial industry publications. overage from the publishers of the materia	. The public may	
	Form Number: Computer System: Retention Years: 10	Form Series: Cost Code: 12000 Retention Months: 0	Restrictions: Vital Records:	
	system.	Destroy after hard copy is placed in a recoff files in 5-year intervals, hold in GSRS	. 0	
N1-53-03-F-0021	Title: Government Securities	Act Regulations Program—Proposed Reg	gulations	
	Securities Act Regulation	s associated with proposing and finalizing ons Program. They include, but are not ling ents on proposals, final rules, and filing re	nited to, reports,	-
	Form Number: Computer System: Retention Years: 25	Form Series: Cost Code: 12000 Retention Months: 0	Restrictions: Vital Records: X	
	Retention Description: Tempo a. Electronic copies— system.		ord keeping	
	destroy 25 years aft			

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PRO	POSED DISPOSITION 9. GRS OR SUPE	RSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)		
N1-53-03-F-0022	Title: Government Securities Act Letters	Regulations Program—Regulations	and Public Comment			
	Government Securities Act 1	records associated with proposing a Regulations Program. They include, and amendments and public communities.	but are not limited			
	Form Number:	Form Series:	Restrictions:			
	Computer System:	Cost Code: 12000				
	Retention Years: 99	Retention Months: 0	Vital Records: X			
	a. Electronic copies—Des system.	system. b. Hard copies— cutoff files every 5 years. Transfer to NARA 30 years after				
N1-53-03-F-0023	Title Memo: These documents inc	Regulations Program—Testimony clude, but are not limited to, certain to financial and securities market part Form Series:	-			
	Computer System:	Cost Code: 12000	Restrictions:			
	Retention Years: 25	Retention Months: 0	Vital Records:			
	system.	troy after hard copy is placed in a rec les in 5-year intervals, hold in GSRS				
N1-53-03-F-0024	Title: Government Securities Act	Regulations Program—Legislation				
	congressional responses to le	records that include, but are not limegislation, reauthorization legislative fovernment Securities Act of 1986.				
	Form Number:	Form Series:	Restrictions:			
	Computer System:	Cost Code: 12000				
	Retention Years: 99	Retention Months: 0	Vital Records:			
	Retention Description: Permanent	•				

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION 9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	 a. Electronic copies—Destroy after hard copy is placed in a record keeping system. b. Hard copies— cutoff files every 5 years. Transfer to NARA 30 years after cutoff. 	
	·	