

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-058-09-006

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 6/30/2021

## **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Item 2, National Fraud Program FTA case files, all other records.

## **SUPERSEDED AND OBSOLETE ITEMS**

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

DAA-058-2017-0001-0001 supersedes item 1.

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> <i>(See Instructions on reverse)</i>		<b>LEAVE BLANK (NARA use only)</b>	
<b>TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML)</b> 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001		JOB NUMBER <b>N1-058-09-6</b>	
<b>1. FROM (Agency or establishment)</b> Department of Treasury		DATE RECEIVED <i>12/17/08</i>	
<b>2. MAJOR SUBDIVISION</b> Internal Revenue Service (IRS)		NOTIFICATION TO AGENCY  In accordance with the provisions of 44 U.S.C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10.	
<b>3. MINOR SUBDIVISION</b> Small Business/Self Employed (SB/SE)			
<b>4. NAME OF PERSON WITH WHOM TO CONFER</b> Daniel W. Bennett, IRS Records Officer Vickie Boos, FTA Group 6 Manager	<b>5. TELEPHONE</b> (202) 283-9359 (480) 503-7211		
<b>5. AGENCY CERTIFICATION</b>  I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> pages(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  <input checked="" type="checkbox"/> is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.			
DATE December 10, 2008	SIGNATURE OF AGENCY REPRESENTATIVE <i>[Signature]</i> <b>IRS Records Officer</b> Daniel W. Bennett		TITLE IRS Records Officer National Office, OS:A:RE:SC Washington, DC 20224
7. ITEM NO.	8. DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	<b>Update to IRM 1.15.28 for Collection, Item 6a</b>  <b>National Fraud Program Case Files</b>  The records covered by this schedule are created in the Small Business/Self Employed (SB/SE) Business Unit.  <i>See attached.</i>		

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**  
(See Instructions on reverse)

LEAVE BLANK (NARA use only)

JOB NUMBER

**N1-058-09-6**

**Background:**

*National Fraud Program including FTA case files.*  
The Records are (copies) maintained by the National Fraud Program including FTA case files.

1) The records include copies of documents related to fraud cases such as Form 11661, Form 11661A, Form 2797, written plans of action, emails, notes from the Fraud Technical Advisor and misc. other documents obtained as needed to provide guidance and assistance on cases. These are copies only as the original records are placed in the compliance employee's case file.

2) Other records maintained include copies of reports and analysis of various program data.

**Description:**

***National Fraud Program Case Files***

These records include copies of documents related to fraud cases such as Form 11661, Form 11661A, Form 2797, written plans of action, emails, notes from the Fraud Technical Advisor and miscellaneous other documents

1) Copies, maintained by the Fraud Technical Advisor Group Manager.  
A closed case consists of the later of:  
(1) The conclusion of FTA involvement  
(2) The conclusion of the related criminal case or  
(3) The conclusion of any civil litigation related to the fraud issues.

**Disposition:** Destroy 3 years after the case is closed

2) All other records, maintained by the FTA GM and Analysts, including copies of reports and analysis of various program data.

**Disposition:** Destroy 180 days or sooner or when no longer needed in current operations.

NEW

**Superseded by job / item number:**

DAA-0058-2017-0001-0001

**Date (MM/DD/YYYY):**

02/08/2018

RCS/28  
Item 6a

1.15.28  
Item 6a